CONDITIONAL RESULTS ON THE BIRATIONAL SECTION CONJECTURE OVER SMALL NUMBER FIELDS

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ABSTRACT. In the present paper, we give necessary and sufficient conditions for a birational Galois section of a projective smooth curve over either the *field of rational numbers* or an *imaginary quadratic field* to be *geometric*. As a consequence, we prove that, over such a small number field, to prove the birational section conjecture for projective smooth curves, it suffices to verify that, roughly speaking, for any birational Galois section of the projective line, the local points associated to the birational Galois section *avoid distinct three rational points*, and, moreover, a certain Galois representation determined by the birational Galois section is *unramified at all but finitely many primes*.

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INTRODUCTION

Let k be a field of characteristic $0, \overline{k}$ an algebraic closure of k, and X a *projective smooth geometrically connected curve* over k. Write $G_k \stackrel{\text{def}}{=} \operatorname{Gal}(\overline{k}/k)$ for the absolute Galois group of k determined by the fixed algebraic closure \overline{k} of k. Now we have a natural surjection

$$\pi_1(X) \twoheadrightarrow G_k$$

from the étale fundamental group $\pi_1(X)$ of X to G_k induced by the structure morphism of X. Then Grothendieck's section conjecture

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may be stated as follows: If k is finitely generated over the field of rational numbers, and X is of genus ≥ 2 , then any section of this surjection $\pi_1(X) \twoheadrightarrow G_k$ arises from a k-rational point of X, i.e., the image of any section of this surjection coincides with, or, equivalently, is contained in, a decomposition subgroup of $\pi_1(X)$ associated to a krational point of X. In the present paper, we discuss the *birational version* of this conjecture, i.e., the *birational section conjecture*. Denote by k(X) the function field of X. Fix an algebraic closure $\overline{k(X)}$ of k(X)containing \overline{k} and write $G_{k(X)} \stackrel{\text{def}}{=} \operatorname{Gal}(\overline{k(X)}/k(X))$. Then the natural inclusions $k \hookrightarrow k(X), \overline{k} \hookrightarrow \overline{k(X)}$ determine a surjection

$$G_{k(X)} \twoheadrightarrow G_k$$
,

which factors through the above surjection $\pi_1(X) \twoheadrightarrow G_k$. We shall refer to a section of this surjection $G_{k(X)} \twoheadrightarrow G_k$ as a [*pro*- \mathfrak{Primes}] *birational Galois section* of X/k [cf. Definition 1.2]. In the present paper, we discuss the *geometricity of birational Galois sections*.

Let x be a closed point of X and $D_x \subseteq G_{k(X)}$ a decomposition subgroup of $G_{k(X)}$ associated to x. Then, as is well-known, the image of the composite $D_x \hookrightarrow G_{k(X)} \twoheadrightarrow G_k$ coincides with the open subgroup $G_{k(x)} \subseteq G_k$ of G_k corresponding to the residue field k(x) of Xat x, and, moreover, the resulting *surjection* $D_x \twoheadrightarrow G_{k(x)}$ admits a [not necessarily unique] *section*. In particular, if, moreover, k(x) = x, i.e., $x \in X(k)$, then the closed subgroup $D_x \subseteq G_{k(X)}$ of $G_{k(X)}$ contains the image of a [not necessarily unique] birational Galois section of X/k. We shall say that a birational Galois section of X/k is *geometric* if its image is contained in a decomposition subgroup of $G_{k(X)}$ associated to a [necessarily k-rational] closed point of X [cf. Definition 1.3].

The birational section conjecture over *local fields* has been solved affirmatively. In [8], Koenigsmann proved that if k is either a *p*-adic *local field* for some prime number p [i.e., a finite extension of the *p*-adic completion of the field of rational numbers] or the *field of real numbers*, then any birational Galois section of X/k is *geometric* [cf. [8] Proposition 2.4, (2)]. Moreover, in [12], Pop obtained, by a refined discussion of Koenigsmann's discussion, a result concerning birational Galois sections over *p*-adic local fields [cf. [12], Theorem A], which leads naturally to a proof of the *geometrically pro-p version* of Koenigsmann's result over *p*-adic local fields [cf. Proposition 1.7]. In [18], Wickelgren proved a strong version of the birational section conjecture over the *field of real numbers* [cf. [18], Corollary 1.2].

In the remainder of Introduction, we discuss the geometricity of birational Galois sections over *number fields*; suppose that *k* is a *number field* [i.e., a finite extension of the field of rational numbers].

First, let us recall that, in [1], Esnault and Wittenberg proved that if the Shafarevich-Tate group of the Jacobian variety of X over k is *finite*, then the existence of a birational Galois section of X/k implies

the existence of a *divisor of degree* 1 on X; more precisely, the existence of a section of the natural surjection $G_{k(X)}/[G_{\overline{k}\cdot k(X)}, G_{\overline{k}\cdot k(X)}] \twoheadrightarrow G_k$, where we write $G_{\overline{k}\cdot k(X)} \stackrel{\text{def}}{=} \operatorname{Gal}(\overline{k(X)}/\overline{k}\cdot k(X))$ and $[G_{\overline{k}\cdot k(X)}, G_{\overline{k}\cdot k(X)}]$ for the closure of the commutator subgroup of $G_{\overline{k}\cdot k(X)}$, is equivalent to the existence of a *divisor of degree* 1 on X [cf. [1], Theorem 2.1]. Next, let us recall that, in [4], Harari and Stix proved, as a consequence of results obtained by Stoll in [16], that if there exist an abelian variety A over k and a nonconstant morphism $X \to A$ over k such that both the Mordell-Weil group and the Shafarevich-Tate group of A/k are *finite*, then any birational Galois section of X/k is *geometric* [cf. [4], Theorem 17]. This result of Harari and Stix gives us some examples of X/k for which any birational Galois section is *geometric* [cf. [4], Remark 18, (1)].

To state our main results, let us discuss local points associated to a bi*rational Galois section*. Write \mathfrak{P}_k^f for the set of nonarchimedean primes of k. For each $\mathfrak{p} \in \mathfrak{P}_k^f$, fix an algebraic closure $\overline{k}_{\mathfrak{p}}$ of the p-adic completion $k_{\mathfrak{p}}$ of k containing \overline{k} and write $G_{\mathfrak{p}} \stackrel{\text{def}}{=} \operatorname{Gal}(\overline{k}_{\mathfrak{p}}/k_{\mathfrak{p}}) \subseteq G_k$. Finally, write $\mathbb{A}_k^f \subseteq \prod_{\mathfrak{p} \in \mathfrak{P}_k^f} k_{\mathfrak{p}}$ for the finite part of the adele ring of k, i.e., the subring of $\prod_{\mathfrak{p}\in\mathfrak{P}_{L}^{f}} k_{\mathfrak{p}}$ consisting of elements $(a_{\mathfrak{p}})_{\mathfrak{p}\in\mathfrak{P}_{L}^{f}} \in \prod_{\mathfrak{p}\in\mathfrak{P}_{L}^{f}} k_{\mathfrak{p}}$ such that $a_{\mathfrak{p}}$ is contained in the ring of integers of $k_{\mathfrak{p}}$ for all but finitely many $\mathfrak{p} \in \mathfrak{P}_k^f$. Then it follows from a result obtained in [8], as well as [12], that, for each $\mathfrak{p} \in \mathfrak{P}_{k'}^{t}$ a birational Galois section s of X/k uniquely determines a $k_{\mathfrak{p}}$ -valued point $x_{\mathfrak{p}}$ of X such that, for any open subscheme $U \subseteq X$ of X, the image of the homomorphism $G_{\mathfrak{p}} \to \pi_1(U \otimes_k k_{\mathfrak{p}})$ naturally determined by the isomorphism $\pi_1(U \otimes_k k_{\mathfrak{p}}) \xrightarrow{\sim} \pi_1(U) \times_{G_k} G_{\mathfrak{p}}$ and the composite $G_{\mathfrak{p}} \hookrightarrow$ $G_k \xrightarrow{s} G_{k(X)} \twoheadrightarrow \pi_1(U)$ is contained in a decomposition subgroup of $\pi_1(U \otimes_k k_p)$ associated to x_p [cf. Proposition 2.9]; we shall refer to the $k_{\mathfrak{p}}$ -valued point $x_{\mathfrak{p}}$ as the $k_{\mathfrak{p}}$ -valued point of X associated to s [cf. Definition 2.6]. In particular, [since X is *projective* over k] the birational Galois section s uniquely determines an \mathbb{A}_k^f -valued point $x_{\mathbb{A}} \stackrel{\text{def}}{=} (x_{\mathfrak{p}})_{\mathfrak{p} \in \mathfrak{P}^f_k} \in X(\mathbb{A}^f_k) \subseteq \prod_{\mathfrak{p} \in \mathfrak{P}^f_k} X(k_{\mathfrak{p}}) \text{ of } X; \text{ we shall refer to the}$ \mathbb{A}_k^f -valued point $x_{\mathbb{A}}$ as the \mathbb{A}_k^f -valued point of X associated to s [cf. Definition 2.6]. Note that if the birational Galois section s is geometric, then there exists a [necessarily unique] k-rational point $x \in X(k)$ of X such that, for each $\mathfrak{p} \in \mathfrak{P}_{k'}^{f}$ the $k_{\mathfrak{p}}$ -valued point of X determined by x is the k_{p} -valued point of X associated to s [cf. Remark 2.6.1].

The following result is the main result, which gives necessary and sufficient conditions for a birational Galois section of a projective smooth geometrically connected curve over a *small number field*, i.e., either the *field of rational numbers* or an *imaginary quadratic field*, to be

geometric [cf. Theorem 4.5 in the case where C consists of all finite groups].

Theorem A. Let k be either the field of rational numbers or an imaginary quadratic field, X a projective smooth geometrically connected curve over k, and s a [pro-Primes] birational Galois section of X/k [cf. Definition 1.2]. Then the following conditions are equivalent:

- (1) *s* is geometric [*cf. Definition* 1.3].
- (2) The following two conditions are satisfied:
 - (2-i) There exists a finite morphism $\phi: X \to \mathbb{P}^1_k$ over k such that, for each $\mathfrak{p} \in \mathfrak{P}_k^f$, the composite

$$\operatorname{Spec} k_{\mathfrak{p}} \xrightarrow{x_{\mathfrak{p}}} X \xrightarrow{\phi} \mathbb{P}^{1}_{k}$$

- determines a $k_{\mathfrak{p}}$ -valued point of $\mathbb{P}^1_k \setminus \{0, 1, \infty\} \subseteq \mathbb{P}^1_k$. (2-ii) For each open subscheme $U \subseteq X$ of X which is a hyperbolic **curve** over k [cf. §0], there exists a prime number l_U such that the pro- l_U Galois section of U/k [cf. Definition 1.2] naturally determined by s is either **cuspidal** [cf. Definition 4.3, (i)] or unramified almost everywhere [cf. Definition 4.3, (ii)].
- (3) There exists a finite morphism $\phi: X \to \mathbb{P}^1_k$ over k such that the composite

$$\operatorname{Spec} \mathbb{A}_k^f \xrightarrow{x_{\mathbb{A}}} X \xrightarrow{\phi} \mathbb{P}_k^1$$

determines an \mathbb{A}_k^f -valued point of $\mathbb{P}_k^1 \setminus \{0, 1, \infty\} \subseteq \mathbb{P}_k^1$. (4) There exist a finite subset $T \subseteq \mathfrak{P}_k^f$ of \mathfrak{P}_k^f and a closed subscheme $Z \subseteq X$ of X which is finite over k such that, for each $\mathfrak{p} \in \mathfrak{P}_k^f \setminus T$, [the image of] the $k_{\mathfrak{p}}$ -valued point $x_{\mathfrak{p}}$ of X is contained in $Z \subseteq X$.

The outline of the proof of Theorem A is as follows: The implications $(1) \Rightarrow (2) \Rightarrow (3)$ follow immediately from the various definitions involved, together with some results that are proved in Appendix and derived from the discussion given in [7]. Next, to verify the implications $(3) \Rightarrow (4) \Rightarrow (1)$, let us observe that since k is either the field of rational numbers or an imaginary quadratic field, the following assertion (†) holds [cf. Lemma 3.5]:

> (†): for every [pro-Primes] birational Galois section of \mathbb{P}^1_k/k , if the associated \mathbb{A}^f_k -valued point of \mathbb{P}^1_k determines an \mathbb{A}_k^f -valued point of $\mathbb{G}_{m,k} \stackrel{\text{def}}{=} \mathbb{P}_k^1 \setminus \{0,\infty\}$, then the induced [pro- \mathfrak{Primes}] Galois section of $\mathbb{G}_{m,k}/k$ arises from a *k*-rational point of $\mathbb{G}_{m,k}$.

Then the implication $(3) \Rightarrow (4)$ follows immediately from (†). Thus, it remains to verify the implication $(4) \Rightarrow (1)$. Since X is projective, we have a closed immersion $X \hookrightarrow \mathbb{P}_k^N$ for some positive integer N. Now by condition (4), we may assume without loss of generality that, for every nonarchimedean prime \mathfrak{p} of k, the $k_{\mathfrak{p}}$ -valued point of \mathbb{P}_k^N determined by this closed immersion $X \hookrightarrow \mathbb{P}_k^N$ and the k_p -valued point of X associated to the given birational Galois section s lies on the open subscheme $\mathbb{G}_{m,k} \times_k \cdots \times_k \mathbb{G}_{m,k} \subseteq \mathbb{A}_k^N \subseteq \mathbb{P}_k^N$ of \mathbb{P}_k^N ; in particular, we have a k_p -valued point of $\mathbb{G}_{m,k} \times_k \cdots \times_k \mathbb{G}_{m,k}$. Moreover, again by condition (4), together with the above assertion (†), one verifies easily that, for each \mathfrak{p} , any one of the N factors of the *coordinate* of the k_p -valued point of $\mathbb{G}_{m,k} \times_k \cdots \times_k \mathbb{G}_{m,k}$ is *k*-rational. In particular, it follows that X admits a *k*-rational point. Thus, by applying this observation to the various open subgroups of $G_{k(X)}$ that contain the image of s, we conclude that s is geometric. This completes the explanation of the outline of the proof of Theorem A.

Note that Theorem A is a result *without any assumption on the finiteness of a Shafarevich-Tate group*. Next, let us observe that the equivalence (1) \Leftrightarrow (3) of Theorem A may be regarded as a *tripod analogue* of the result due to Harari and Stix discussed above, i.e., [4], Theorem 17. The condition that k is either the field of rational numbers or an imaginary quadratic field [i.e., the assumption that the group of units of the ring of integers of k is *finite*] in the statement of Theorem A may be regarded as an analogue of the finiteness condition on the Mordell-Weil group in the statement of [4], Theorem 17; on the other hand, since any abelian variety is *proper*, in the case of [4], Theorem 17, the condition corresponding to our condition that the birational Galois section determines [not only a $(\prod_{\mathfrak{p}\in\mathfrak{P}_k^f} k_{\mathfrak{p}})$ -valued point but also] an \mathbb{A}_k^f -valued point of the tripod $\mathbb{P}_k^1 \setminus \{0, 1, \infty\}$ in Theorem A is *automatically satisfied*.

Let us also observe that our main result leads naturally to some examples — which, however, were already essentially obtained by Stoll — of projective smooth curves for which any *prosolvable* birational Galois section is *geometric* [cf. Remarks 4.2.1; 4.5.1, (iv)].

As a corollary of Theorem A, we prove the following result [cf. Corollary 4.6].

Theorem B. Let k be either the field of rational numbers or an imaginary quadratic field. Then the following assertions are equivalent:

- (1) Any [pro-Primes] birational Galois section [cf. Definition 1.2] of any projective smooth geometrically connected curve over k is **geometric** [cf. Definition 1.3].
- (2) Any [pro- \mathfrak{Primes}] birational Galois section of \mathbb{P}^1_k/k is geometric.
- (3) Any [pro-𝔅rime𝔅] birational Galois section s of 𝒫¹_k/k satisfies the following two conditions:
 - (3-i) There exist three distinct elements $a, b, c \in \mathbb{P}_k^1(k)$ of $\mathbb{P}_k^1(k)$ such that, for any nonarchimedean prime \mathfrak{p} of k, the $k_{\mathfrak{p}}$ -valued point of \mathbb{P}_k^1 associated to s is $\notin \{a, b, c\} \subseteq (\mathbb{P}_k^1(k) \subseteq) \mathbb{P}_k^1(k_{\mathfrak{p}})$.
 - (3-ii) There exists a prime number l such that the pro-l Galois section of $\mathbb{P}^1_k \setminus \{0, 1, \infty\}$ [cf. Definition 1.2] naturally determined by

s is either **cuspidal** [*cf. Definition* 4.3, (i)] *or* **unramified almost everywhere** [*cf. Definition* 4.3, (ii)].

- (4) Any [pro- \mathfrak{Primes}] birational Galois section s of \mathbb{P}_k^1/k satisfies the following two conditions:
 - (4-i) There exist three distinct elements $a, b, c \in \mathbb{P}_k^1(k)$ of $\mathbb{P}_k^1(k)$ such that, for any nonarchimedean prime \mathfrak{p} of k, the $k_{\mathfrak{p}}$ -valued point of \mathbb{P}_k^1 associated to s is $\notin \{a, b, c\} \subseteq (\mathbb{P}_k^1(k) \subseteq) \mathbb{P}_k^1(k_{\mathfrak{p}})$.
 - (4-ii) Write $s^{\mathbb{P}}$ for the pro- \mathfrak{Primes} Galois section of $\mathbb{P}_k^1 \setminus \{0, 1, \infty\}$ [cf. Definition 1.2] naturally determined by s. Then it holds either that $s^{\mathbb{P}}$ is **cuspidal** [cf. Definition 4.3, (i)], or that there exists a prime number l such that the l-adic Galois representation

$$G_k \xrightarrow{s^*} \pi_1(\mathbb{P}^1_k \setminus \{0, 1, \infty\}) \longrightarrow \mathrm{GL}_2(\mathbb{Z}_l)$$

— where the second arrow $\pi_1(\mathbb{P}^1_k \setminus \{0, 1, \infty\}) \to \operatorname{GL}_2(\mathbb{Z}_l)$ is the *l*-adic representation of $\pi_1(\mathbb{P}^1_k \setminus \{0, 1, \infty\})$ determined by the **Legendre family of elliptic curves** over $\mathbb{P}^1_k \setminus \{0, 1, \infty\}$, *i.e.*, the elliptic curve over $\mathbb{P}^1_k \setminus \{0, 1, \infty\} = \operatorname{Spec} k[u^{\pm 1}, (1 - u)^{-1}]$ determined by the equation " $y^2 = x(x - 1)(x - u)$ " is unramified at all but finitely many primes of k.

As a consequence [cf. the equivalences $(1) \Leftrightarrow (3)$ and $(1) \Leftrightarrow (4)$ of Theorem B], for a number field k which is either the *field of rational numbers* or an *imaginary quadratic field*, to prove the *birational section conjecture* over k [i.e., assertion (1) of Theorem B], it suffices to verify that, roughly speaking, for any birational Galois section of the projective line over k, the local points associated to the birational Galois section *avoid distinct three rational points* [cf. conditions (3-i), (4-i)], and, moreover, a certain Galois representation determined by the birational Galois section is *unramified at all but finitely many primes* [cf. conditions (3-ii), (4-ii)]. However, it is not clear to the author at the time of writing whether or not these are always satisfied.

Finally, the author should mention that the referee pointed out that, after the present paper, Stix presented, in [15], results that are similar to and stronger than some results of the present paper. In fact, for instance, a similar result to the equivalence $(1) \Leftrightarrow (2)$ of Theorem A (respectively, the equivalence $(1) \Leftrightarrow (4)$ of Theorem B; Lemma 3.5; Theorem 4.1; Corollary 4.2) of the present paper may be found as [15], Theorem A (respectively, [15], Theorem C; [15], Proposition 4; [15], Corollary 9; [15], Corollary 11).

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0. NOTATIONS AND CONVENTIONS

Numbers: The notation \mathfrak{P} times will be used to denote the set of all prime numbers. The notation \mathbb{Z} will be used to denote the ring of rational integers. If $\Sigma \subseteq \mathfrak{P}$ times, then we shall refer to a nonzero integer whose prime divisors are contained in Σ as a Σ -*integer*, and we shall write $\widehat{\mathbb{Z}}^{\Sigma}$ for the pro- Σ completion of \mathbb{Z} , i.e., $\widehat{\mathbb{Z}}^{\Sigma} \stackrel{\text{def}}{=} \lim_{i \to \infty} \mathbb{Z}/n\mathbb{Z}$, where the projective limit is over all positive Σ -integers n. We shall refer to a finite (respectively, finitely generated) extension of the field of rational numbers as a *number field* (respectively, *finitely generated field of characteristic* 0). If $p \in \mathfrak{P}$ times, then the notation \mathbb{Z}_p will be used to denote the *p*-adic completion of \mathbb{Z} , and we shall refer to a finite extension of the *p*-adic completion of \mathbb{Z} , and we shall refer to a finite a finite product completion of \mathbb{Z} .

Profinite groups: Let *G* be a profinite group and $H \subseteq G$ a closed subgroup of *G*. Then we shall denote by $Z_G(H)$, $N_G(H)$, $Z_G^{\text{loc}}(H)$ the *centralizer*, *normalizer*, *local centralizer* of *H* in *G*, respectively, i.e.,

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$$Z_G(H) \stackrel{\text{def}}{=} \{ g \in G \mid ghg^{-1} = h \text{ for any } h \in H \},$$
$$N_G(H) \stackrel{\text{def}}{=} \{ g \in G \mid g \cdot H \cdot g^{-1} = H \},$$
$$Z_G^{\text{loc}}(H) \stackrel{\text{def}}{=} \varinjlim_U Z_G(U)$$

— where the injective limit is over all open subgroups $U \subseteq H$ of H. We shall refer to $Z(G) \stackrel{\text{def}}{=} Z_G(G)$, $Z^{\text{loc}}(G) \stackrel{\text{def}}{=} Z_G^{\text{loc}}(G)$ as the *center*, *local center* of G, respectively. We shall say that G is *center-free*, *slim* if $Z(G) = \{1\}, Z^{\text{loc}}(G) = \{1\}$, respectively.

Let $\Sigma \subseteq \mathfrak{Primes}$ be a nonempty subset of \mathfrak{Primes} [where we refer to the discussion entitled "Numbers" concerning the set \mathfrak{Primes}]. Then we shall say that a finite group *G* is a Σ -group if the cardinality of *G* is a Σ -integer [where we refer to the discussion entitled "Numbers" concerning the term " Σ -integer"].

Let C be a full formation [i.e., a family of finite groups that is closed under taking quotients, subgroups, and extensions]. We shall say that a finite group is a *C*-group if [a finite group which is isomorphic to] the finite group is contained in C. We shall say that a profinite group is a *pro-C* group if every finite quotient of the profinite group is a *C*-group. We shall write $\Sigma(C) \subseteq \mathfrak{Primes}$ for the set of prime numbers $p \in \mathfrak{Primes}$ such that $\mathbb{Z}/p\mathbb{Z}$ is a *C*-group. Here, we note that one verifies easily that $\Sigma(C) = \mathfrak{Primes}$ if and only if C contains all finite solvable groups. If C consists of all Σ -groups for some nonempty

subset $\Sigma \subseteq \mathfrak{Primes}$, then we shall refer to a pro- \mathcal{C} group as a *pro*- Σ *group*.

Let *G* be a profinite group. Then we shall write Aut(G) for the group of [continuous] automorphisms of *G*, $Inn(G) \subseteq Aut(G)$ for the group of inner automorphisms of *G*, and

$$\operatorname{Out}(G) \stackrel{\text{def}}{=} \operatorname{Aut}(G) / \operatorname{Inn}(G)$$

If, moreover, *G* is *topologically finitely generated*, then one verifies easily that the topology of *G* admits a basis of *characteristic open subgroups*, which thus induces a *profinite topology* on the group Aut(G), hence also a *profinite topology* on the group Out(G).

Curves: Let *S* be a scheme and *X* a scheme over *S*. Then we shall say that *X* is a *smooth curve* over *S* if there exist a scheme X^{cpt} which is smooth, proper, geometrically connected, and of relative dimension 1 over *S* and a closed subscheme $D \subseteq X^{cpt}$ of X^{cpt} which is finite and étale over *S* such that the complement $X^{cpt} \setminus D$ of *D* in X^{cpt} is isomorphic to *X* over *S*. Note that, as is well-known, if *X* is a smooth curve over [the spectrum of] a field *k*, then the pair " (X^{cpt}, D) " is *uniquely determined up to canonical isomorphism over k*; we shall refer to X^{cpt} as the *smooth compactification* of *X* over *k* and to a geometric point of X^{cpt} whose image lies on *D* as a *cusp* of *X*.

Let *S* be a scheme. Then we shall say that a smooth curve *X* over *S* is a *hyperbolic curve* (respectively, *tripod*) over *S* if there exist a pair (X^{cpt}, D) satisfying the condition in the above definition of the term "smooth curve" and a pair (g, r) of nonnegative integers such that 2g - 2 + r > 0 (respectively, (g, r) = (0, 3)), any geometric fiber of $X^{\text{cpt}} \rightarrow S$ is [a necessarily smooth, proper, and connected curve] of genus *g*, and the degree of $D \subseteq X^{\text{cpt}}$ over *S* is *r*.

Let *S* be a scheme, $U \subseteq S$ an open subscheme of *S*, and *X* a hyperbolic curve over *U*. Then we shall say that *X* admits *good reduction* over *S* if there exists a hyperbolic curve X_S over *S* such that $X_S \times_S U$ is isomorphic to *X* over *U*.

1. BIRATIONAL GALOIS SECTIONS AND THEIR GEOMETRICITY

In the present §1, we discuss the notion of a *birational Galois section*. In the present §1, let C be a full formation, k a field of characteristic 0, and \overline{k} an algebraic closure of k. For a finite extension $k' (\subseteq \overline{k})$ of k, write $G_{k'} \stackrel{\text{def}}{=} \text{Gal}(\overline{k}/k')$.

Definition 1.1. Let X be a *quasi-compact* scheme which is *geometrically integral* over k.

(i) We shall write

k(X)

for the function field of *X*.

(ii) We shall write

$$\Delta_{X/k}^c$$

for the *pro-C* geometric fundamental group of *X*, i.e., the maximal pro-*C* quotient of $\pi_1(X \otimes_k \overline{k})$, and

 $\Pi^{\mathcal{C}}_{X/k}$

for the *geometrically pro-C fundamental group* of X, i.e., the quotient of $\pi_1(X)$ by the kernel of the natural surjection $\pi_1(X \otimes_k \overline{k}) \twoheadrightarrow \Delta_{X/k}^{\mathcal{C}}$. If X is the spectrum of a k-algebra R, then we shall write

$$\Delta_{R/k}^{\mathcal{C}} \stackrel{\text{def}}{=} \Delta_{X/k}^{\mathcal{C}} ; \quad \Pi_{R/k}^{\mathcal{C}} \stackrel{\text{def}}{=} \Pi_{X/k}^{\mathcal{C}} ;$$

Thus, we have a commutative diagram of profinite groups

[cf. (i)] — where the horizontal sequences are *exact* [cf. [3], Exposé IX, Théorème 6.1].

If C consists of all Σ -groups [cf. §0] for some nonempty subset $\Sigma \subseteq \mathfrak{Primes}$ [cf. §0], then we shall write

$$\Delta_{X/k}^{\Sigma} \stackrel{\text{def}}{=} \Delta_{X/k}^{\mathcal{C}} ; \ \Pi_{X/k}^{\Sigma} \stackrel{\text{def}}{=} \Pi_{X/k}^{\mathcal{C}} .$$

Definition 1.2. Let *X* be a *quasi-compact* scheme which is *geometrically integral* over *k*. Then we shall refer to a section of the upper (respectively, lower) exact sequence of the commutative diagram of Definition 1.1, (ii), as a *pro-C birational Galois section* (respectively, *pro-C Galois section*) of *X/k*. The $\Delta_{k(X)/k}^{c}$ -conjugacy (respectively, $\Delta_{X/k}^{c}$ conjugacy) class of a pro-*C* birational Galois section (respectively, pro-*C* Galois section) of *X/k* as the *conjugacy class* of the pro-*C* birational Galois section).

If C consists of all Σ -groups for some nonempty subset $\Sigma \subseteq \mathfrak{Primes}$, then we shall refer to a pro-C birational Galois section (respectively, pro-C Galois section) of X/k as a pro- Σ birational Galois section (respectively, pro- Σ Galois section) of X/k.

Definition 1.3. Let *X* be a *smooth curve* over *k* [cf. §0] and *s* a pro-*C* birational Galois section (respectively, pro-*C* Galois section) of *X*/*k* [cf. Definition 1.2]. Then we shall say that *s* is *geometric* if the image of *s* is contained in a decomposition subgroup of $\Pi_{k(X)/k}^{\mathcal{C}}$ (respectively, $\Pi_{X/k}^{\mathcal{C}}$) associated to a [necessarily *k*-rational] closed point of the [uniquely determined] smooth compactification of *X* over *k*.

Remark 1.3.1. Let *X* be a *smooth curve* over *k*. Then it follows immediately from the various definitions involved that the geometricity of a pro-*C* birational Galois section (respectively, pro-*C* Galois section) of X/k depends only on its conjugacy class [cf. Definition 1.2].

Remark 1.3.2. Let X, Y be smooth curves over k and $Y \to X$ a dominant morphism over k, which thus determines a finite extension $k(X) \hookrightarrow k(Y)$ over k. If a pro-C birational Galois section (respectively, pro-C Galois section) s of Y/k is geometric, then it follows immediately from the various definitions involved that the pro-C birational Galois section (respectively, pro-C Galois section) of X/kdetermined by s and the morphism $Y \to X$ [i.e., the pro-C birational Galois section (respectively, pro-C Galois section) of X/k obtained as the composite of s and the natural open homomorphism $\Pi_{k(Y)/k}^{\mathcal{C}} \to \Pi_{k(X)/k}^{\mathcal{C}}$ (respectively, $\Pi_{Y/k}^{\mathcal{C}} \to \Pi_{X/k}^{\mathcal{C}}$) induced by $Y \to X$] is geometric.

Remark 1.3.3. Let *X* be a *projective smooth curve* over $k, U \subseteq X$ an open subscheme of *X*, and *s* a pro-*C* birational Galois section of *X*/*k*. Then it follows immediately from the various definitions involved that if *s* is *geometric*, then the pro-*C* Galois section of *U*/*k* naturally determined by *s* [i.e., the pro-*C* Galois section of *U*/*k* obtained as the composite of *s* and the natural surjection $\Pi_{k(X)/k}^{\mathcal{C}} \twoheadrightarrow \Pi_{U/k}^{\mathcal{C}}$] is *geometric*.

Lemma 1.4. Let X be a hyperbolic curve over k [cf. §0] and x, y closed points of the [uniquely determined] smooth compactification of X. Suppose that k is generalized sub-p-adic [i.e., k is isomorphic to a subfield of a finitely generated extension of the p-adic completion of the maximal unramified extension of the p-adic completion of the field of rational numbers cf. [11], Definition 4.11] for some $p \in \Sigma(C)$ [cf. §0]. Then the following conditions are equivalent:

- (1) x = y.
- (2) There exist respective decomposition subgroups D_x , $D_y \subseteq \prod_{X/k}^{\mathcal{C}}$ of $\prod_{X/k}^{\mathcal{C}}$ associated to x, y such that the image of the composite

$$D_x \cap D_y \hookrightarrow \Pi^{\mathcal{C}}_{X/k} \twoheadrightarrow G_k$$

is open.

Proof. The implication $(1) \Rightarrow (2)$ is immediate. Next, we verify the implication $(2) \Rightarrow (1)$. Suppose that condition (2) is satisfied. Then it is immediate that, to verify the implication $(2) \Rightarrow (1)$, by replacing $\Pi_{X/k}^{\mathcal{C}}$ by an open subgroup of $\Pi_{X/k}^{\mathcal{C}}$, we may assume without loss of generality that X is of *genus* ≥ 2 , and, moreover, the displayed composite of condition (2) is *surjective*, hence also that x and y are k-rational. Thus, to verify the implication $(2) \Rightarrow (1)$, by replacing X by its smooth compactification, we may assume without loss

of generality that $x, y \in X(k)$. Then, by considering the quotient $\Pi_{X/k}^{\mathcal{C}} \twoheadrightarrow \Pi_{X/k}^{\{p\}}$ of $\Pi_{X/k}^{\mathcal{C}}$, the implication (2) \Rightarrow (1) follows immediately from [11], Theorem 4.12 [cf. also [11], Remark following Theorem 4.12], together with a similar argument to the argument used in the proof of [10], Theorem C. This completes the proof of the implication (2) \Rightarrow (1), hence also of Lemma 1.4.

Lemma 1.5. Let X be a hyperbolic curve over k, s a pro-C Galois section of X/k [cf. Definition 1.2], and $k' (\subseteq \overline{k})$ a finite extension of k. Suppose that k is either

- (a) *a* finitely generated field of characteristic 0 [*cf.* $\S 0$] or
- (b) a *p*-adic local field for some $p \in \Sigma(\mathcal{C})$ [cf. §0].

Then the following conditions are equivalent:

- (1) *s* is geometric [*cf. Definition* 1.3].
- (2) The pro-C Galois section $s|_{G_{k'}}$ of $X \otimes_k k'/k'$ determined by s is geometric.
- (3) For any open subgroup $H \subseteq \prod_{X/k}^{\mathcal{C}}$ of $\prod_{X/k}^{\mathcal{C}}$ containing the image of *s*, the [uniquely determined] smooth compactification of the finite étale covering of *X* corresponding to $H \subseteq \prod_{X/k}^{\mathcal{C}}$ admits a *k'*-valued point.

Proof. The equivalence $(1) \Leftrightarrow (2)$ follows immediately from a similar argument to the argument used in the proof of [7], Lemma 54. Here, we note that if k satisfies the condition (b), then, to applying a similar argument to the argument used in the proof of [7], Lemma 54, we have to replace "[Moc99, Theorem C]" (respectively, the *finiteness* of the set " $(X^n)^{\text{cpt}}(k)$ " obtained by Mordell-Faltings's theorem) in the proof of [7], Lemma 54, by Lemma 1.4 (respectively, the *compactness* of the set " $(X^n)^{\text{cpt}}(k)$ " obtained by the consideration of a suitable model of " $(X^n)^{\text{cpt}}(k)$ " over the ring of integers of k). The equivalence (2) \Leftrightarrow (3) follows immediately from a similar argument to the argument applied in the proof of [17], Proposition 2.8, (iv). This completes the proof of Lemma 1.5.

Lemma 1.6. Let X be a **smooth curve** over k, s a pro-C birational Galois section of X/k [cf. Definition 1.2], and $k' (\subseteq \overline{k})$ a finite extension of k. Suppose that k is either

- (a) *a* finitely generated field of characteristic 0 [cf. §0] or
- (b) a *p*-adic local field [cf. §0] for some $p \in \Sigma(C)$ [cf. §0].

Then the following conditions are equivalent:

- (1) *s* is geometric [*cf.* Definition 1.3].
- (2) The pro-*C* birational Galois section $s|_{G_k}$, of $X \otimes_k k'/k'$ determined by *s* is **geometric**.
- (3) For any open subgroup $H \subseteq \prod_{k(X)/k}^{\mathcal{C}}$ of $\prod_{k(X)/k}^{\mathcal{C}}$ containing the image of *s*, the [uniquely determined] smooth compactification of the

normalization of X in the finite extension of k(X) corresponding to $H \subseteq \prod_{k(X)/k}^{C}$ admits a k'-valued point.

Proof. This follows immediately from a similar argument to the argument applied in the proof of Lemma 1.5.

The following result was essentially proved in [12] by a refined discussion of the discussion given in [8].

Proposition 1.7. Let p be a prime number and X a smooth curve over *k.* Suppose that $p \in \Sigma(\mathcal{C})$ [cf. §0], and that k is a *p*-adic local field. Then any pro-C birational Galois section of X/k [cf. Definition 1.2] is geometric [cf. Definition 1.3].

Proof. It follows from the equivalence $(1) \Leftrightarrow (3)$ of Lemma 1.6 that, to verify Proposition 1.7, it suffices to verify that, for any open subgroup $H \subseteq \prod_{k(X)/k}^{\mathcal{C}}$ of $\prod_{k(X)/k}^{\mathcal{C}}$ containing the image of *s*, the [uniquely determined] smooth compactification of the normalization of X in the finite extension of k(X) corresponding to $H \subseteq \prod_{k(X)/k}^{\mathcal{C}}$ admits a $k(\zeta_p)$ -valued point, where we use the notation $\zeta_p \in \overline{k}$ to denote a primitive p-th root of unity. On the other hand, this follows immediately from [12], Theorem A, (2). This completes the proof of Proposition 1.7.

2. LOCAL GEOMETRICITY OF BIRATIONAL GALOIS SECTIONS

In the present §2, we discuss the notion of the *local geometricity* of birational Galois sections of smooth curves over number fields. In the present §2, let C be a full formation, k a number field [cf. §0], \overline{k} an algebraic closure of k, and X a smooth curve over k [cf. $\S0$]. Write

 $\mathfrak{o}_k \subseteq k$

for the ring of integers of k,

$$\mathfrak{P}^{f}_{k}$$

for the set of all nonarchimedean primes of k, and

$$X^{\mathrm{cpt}}$$

for the [uniquely determined] smooth compactification of *X* over *k*. Moreover, for each $\mathfrak{p} \in \mathfrak{P}_k^f$, write

 $k_{\mathfrak{p}}$

for the p-adic completion of k and

dof

$$\mathfrak{o}_{\mathfrak{p}} \subseteq k_{\mathfrak{p}}$$

for the ring of integers of k_p . For each $p \in \mathfrak{P}_k^f$, let us fix an algebraic closure $\overline{k}_{\mathfrak{p}}$ of $k_{\mathfrak{p}}$ containing \overline{k} and write

$$G_{\mathfrak{p}} \stackrel{\text{def}}{=} \operatorname{Gal}(\overline{k}_{\mathfrak{p}}/k_{\mathfrak{p}}) \subseteq G_k \stackrel{\text{def}}{=} \operatorname{Gal}(\overline{k}/k).$$

Definition 2.1. Let *s* be a pro-*C* Galois section of X/k [cf. Definition 1.2]. For a nonarchimedean prime $\mathfrak{p} \in \mathfrak{P}_k^f$ of *k*, we shall say that *s* is *geometric at* \mathfrak{p} if the pro-*C* Galois section of $X \otimes_k k_{\mathfrak{p}}/k_{\mathfrak{p}}$ naturally determined by *s* [i.e., the pro-*C* Galois section of $X \otimes_k k_{\mathfrak{p}}/k_{\mathfrak{p}}$ determined by the natural isomorphism

$$\Pi^{\mathcal{C}}_{X\otimes_k k_{\mathfrak{p}}/k_{\mathfrak{p}}} \xrightarrow{\sim} \Pi^{\mathcal{C}}_{X/k} \times_{G_k} G_{\mathfrak{p}}$$

and the composite

$$G_{\mathfrak{p}} \hookrightarrow G_k \xrightarrow{s} \Pi^{\mathcal{C}}_{X/k}$$
]

is *geometric* [cf. Definition 1.3]. For a subset $S \subseteq \mathfrak{P}_k^f$ of \mathfrak{P}_k^f , we shall say that *s* is *geometric at S* if, for each $\mathfrak{p} \in S$, *s* is geometric at \mathfrak{p} . Finally, we shall say that *s* is *locally geometric* if *s* is geometric at \mathfrak{P}_k^f .

Remark 2.1.1. In the notation of Definition 2.1, it is immediate that if *s* is *geometric* [cf. Definition 1.3], then *s* is *locally geometric*.

Definition 2.2. Let $S \subseteq \mathfrak{P}_k^f$ be a subset of \mathfrak{P}_k^f . Then we shall write

$$\widetilde{\mathbb{A}}_k^f|_S \stackrel{\text{def}}{=} \prod_{\mathfrak{p} \in S} k_\mathfrak{p} ;$$

$$\begin{split} \mathbb{A}_{k}^{f}|_{S} &\stackrel{\text{def}}{=} \left\{ (a_{\mathfrak{p}})_{\mathfrak{p}\in S} \in \widetilde{\mathbb{A}}_{k}^{f}|_{S} \ \middle| \ a_{\mathfrak{p}} \in \mathfrak{o}_{\mathfrak{p}} \text{ for all but finitely many } \mathfrak{p} \in S \right\}; \\ & \widetilde{\mathbb{A}}_{k}^{f} \stackrel{\text{def}}{=} \widetilde{\mathbb{A}}_{k}^{f}|_{\mathfrak{P}_{k}^{f}} ; \ \mathbb{A}_{k}^{f} \stackrel{\text{def}}{=} \mathbb{A}_{k}^{f}|_{\mathfrak{P}_{k}^{f}}. \end{split}$$

Remark 2.2.1. Since X^{cpt} is *proper* over k, for any subset $S \subseteq \mathfrak{P}_k^f$ of \mathfrak{P}_k^f , the natural injection $X^{\text{cpt}}(\mathbb{A}_k^f|_S) \hookrightarrow X^{\text{cpt}}(\widetilde{\mathbb{A}}_k^f|_S)$ is *bijective*.

Definition 2.3. Let *s* be a pro-*C* Galois section of X/k [cf. Definition 1.2]. If *s* is geometric at a nonarchimedean prime $\mathfrak{p} \in \mathfrak{P}_k^f$ of *k* [cf. Definition 2.1], i.e., there exists a $k_\mathfrak{p}$ -valued point $x_\mathfrak{p} \in X^{\operatorname{cpt}}(k_\mathfrak{p}) = (X^{\operatorname{cpt}} \otimes_k k_\mathfrak{p})(k_\mathfrak{p})$ of X^{cpt} such that the image of the pro-*C* Galois section of $X \otimes_k k_\mathfrak{p}/k_\mathfrak{p}$ naturally determined by *s* is contained in a decomposition subgroup of $\Pi_{X \otimes_k k_\mathfrak{p}/k_\mathfrak{p}}^c$ associated to $x_\mathfrak{p}$, then we shall refer to such a $k_\mathfrak{p}$ -valued point " $x_\mathfrak{p}$ " of X^{cpt} as a $k_\mathfrak{p}$ -valued point of X^{cpt} associated to *s*. If *s* is geometric at a subset $S \subseteq \mathfrak{P}_k^f$ of \mathfrak{P}_k^f , then we shall refer to an $\widetilde{\mathbb{A}}_k^f|_S$ -valued point, or, equivalently [cf. Remark 2.2.1], an $\mathbb{A}_k^f|_S$ -valued point, of X^{cpt} determined by $k_\mathfrak{p}$ -valued points of X^{cpt} associated to *s*. The point, of X^{cpt} determined by $k_\mathfrak{p}$ -valued points of X^{cpt} associated to *s*.

Remark 2.3.1. In the notation of Definition 2.3, suppose that *s* is *geometric* [cf. Definition 1.3], hence also *locally geometric* [cf. Definition 2.1; Remark 2.1.1]. Then it is immediate that there exists a *k*-rational point $x \in X^{\text{cpt}}(k)$ of X^{cpt} such that, for each $\mathfrak{p} \in \mathfrak{P}_k^f$, the

 $k_{\mathfrak{p}}$ -valued point of X^{cpt} determined by x is a $k_{\mathfrak{p}}$ -valued point of X^{cpt} associated to s. In particular, the \mathbb{A}_{k}^{f} -valued point of X^{cpt} determined by x is an \mathbb{A}_{k}^{f} -valued point of X^{cpt} associated to s.

Note that if C contains all finite *solvable* groups, and X is a *hyperbolic curve* over k [cf. §0], then it follows from Theorem 4.1 below that the converse holds, i.e., if s is *locally geometric*, and there exists a k-rational point $x \in X^{\text{cpt}}(k)$ of X^{cpt} such that, for each $\mathfrak{p} \in \mathfrak{P}_k^f$, the $k_{\mathfrak{p}}$ -valued point of X^{cpt} determined by x is a $k_{\mathfrak{p}}$ -valued point of X^{cpt} associated to s, then s is *geometric*.

Lemma 2.4. Let *s* be a pro-*C* birational Galois section of X/k [cf. Definition 1.2] and $\mathfrak{p} \in \mathfrak{P}_k^f$. For an open subscheme $U \subseteq X^{\text{cpt}}$ of X^{cpt} , write

s[U]

for the pro-*C* Galois section of U/k [cf. Definition 1.2] naturally determined by *s* [i.e., the pro-*C* Galois section of U/k obtained as the composite of *s* and the natural surjection $\Pi_{k(X)/k}^{\mathcal{C}} \twoheadrightarrow \Pi_{U/k}^{\mathcal{C}}$];

 $s[U, \mathfrak{p}]$

for the pro-C Galois section of $U \otimes_k k_{\mathfrak{p}}/k_{\mathfrak{p}}$ naturally determined by s [i.e., the pro-C Galois section of $U \otimes_k k_{\mathfrak{p}}/k_{\mathfrak{p}}$ determined by the natural isomorphism

$$\Pi^{\mathcal{C}}_{U\otimes_k k\mathfrak{p}/k\mathfrak{p}} \xrightarrow{\sim} \Pi^{\mathcal{C}}_{U/k} \times_{G_k} G_{\mathfrak{p}}$$

and the composite

$$G_{\mathfrak{p}} \hookrightarrow G_k \xrightarrow{s} \Pi^{\mathcal{C}}_{k(X)/k} \twoheadrightarrow \Pi^{\mathcal{C}}_{U/k}].$$

Then the following conditions are equivalent:

- There exists a k_p-valued point x_p ∈ X^{cpt}(k_p) = (X^{cpt} ⊗_k k_p)(k_p) of X^{cpt} such that, for any open subscheme U ⊆ X^{cpt} of X^{cpt}, the image of the pro-C Galois section s[U, p] of U ⊗_k k_p/k_p is contained in a decomposition subgroup of Π^C_{U⊗kkp/kp} associated to x_p.
 For any open subscheme U ⊆ X^{cpt} of X^{cpt}, the pro-C Galois section
- (2) For any open subscheme $U \subseteq X^{\text{cpt}}$ of X^{cpt} , the pro-C Galois section s[U] of U/k is geometric at \mathfrak{p} [cf. Definition 2.1], i.e., the pro-C Galois section $s[U, \mathfrak{p}]$ of $U \otimes_k k_{\mathfrak{p}}/k_{\mathfrak{p}}$ is geometric [or, equivalently, the image of $s[U, \mathfrak{p}]$ is contained in a decomposition subgroup of $\Pi^{\mathcal{C}}_{U \otimes_k k_{\mathfrak{p}}/k_{\mathfrak{p}}}$ associated to a $k_{\mathfrak{p}}$ -rational point of $X^{\text{cpt}} \otimes_k k_{\mathfrak{p}}$].
- (3) For any open subgroup $H \subseteq \prod_{k(X)/k}^{C}$ of $\prod_{k(X)/k}^{C}$ containing the image of s, the [uniquely determined] smooth compactification of the normalization of X in the finite extension of k(X) corresponding to $H \subseteq \prod_{k(X)/k}^{C}$ admits a k_{p} -valued point.
- (4) The image of the homomorphism G_p → Π^C_{k(X)/k}×_{G_k}G_p induced by s is contained in the image of a decomposition subgroup of Π^C_{k_p(X⊗_kk_p)/k_p associated to a [necessarily k_p-rational] closed point of X^{cpt} ⊗_k k_p by the natural surjection Π^C_{k_p(X⊗_kk_p)/k_p} → Π^C_{k(X)/k}×_{G_k} G_p.}

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Proof. The implications $(4) \Rightarrow (1) \Rightarrow (2) \Rightarrow (3)$ are immediate. Finally, the implication $(3) \Rightarrow (4)$ follows immediately from a similar argument to the argument applied in the proof of [17], Proposition 2.8, (iv). This completes the proof of Lemma 2.4.

Definition 2.5. Let *s* be a pro-*C* birational Galois section of X/k [cf. Definition 1.2]. For a nonarchimedean prime $\mathfrak{p} \in \mathfrak{P}_k^f$ of *k*, we shall say that *s* is *geometric at* \mathfrak{p} if the pair (s, \mathfrak{p}) satisfies equivalent conditions (1), (2), (3), and (4) of Lemma 2.4. For a subset $S \subseteq \mathfrak{P}_k^f$ of \mathfrak{P}_k^f , we shall say that *s* is *geometric at S* if, for each $\mathfrak{p} \in S$, *s* is geometric at \mathfrak{p} . Finally, we shall say that *s* is *locally geometric* if *s* is geometric at \mathfrak{P}_k^f .

Remark 2.5.1. In the notation of Definition 2.5, it is immediate that if *s* is *geometric* [cf. Definition 1.3], then *s* is *locally geometric*.

Definition 2.6. Let *s* be a pro-*C* birational Galois section of X/k [cf. Definition 1.2]. If *s* is geometric at a nonarchimedean prime $\mathfrak{p} \in \mathfrak{P}_k^f$ of *k* [cf. Definition 2.5], i.e., the pair (s, \mathfrak{p}) satisfies condition (1) of Lemma 2.4, then we shall refer to a $k_\mathfrak{p}$ -valued point " $x_\mathfrak{p}$ " of X^{cpt} appearing in condition (1) of Lemma 2.4 as a $k_\mathfrak{p}$ -valued point of X^{cpt} associated to *s*. If *s* is geometric at a subset $S \subseteq \mathfrak{P}_k^f$ of \mathfrak{P}_k^f , then we shall refer to an $\widetilde{\mathbb{A}}_k^f|_S$ -valued point, or, equivalently [cf. Remark 2.2.1], an $\mathbb{A}_k^f|_S$ -valued point, of X^{cpt} determined by $k_\mathfrak{p}$ -valued points of X^{cpt} associated to *s* — where \mathfrak{p} ranges over elements of S — as an $\widetilde{\mathbb{A}}_k^f|_S$ -valued point, or, equivalently, an $\mathbb{A}_k^f|_S$ -valued point, of X^{cpt} associated to *s*.

Remark 2.6.1. In the notation of Definition 2.6, suppose that *s* is *geometric* [cf. Definition 1.3], hence also *locally geometric* [cf. Definition 2.5; Remark 2.5.1]. Then it is immediate that there exists a *k*-rational point $x \in X^{\text{cpt}}(k)$ of X^{cpt} such that, for each $\mathfrak{p} \in \mathfrak{P}_k^f$, the $k_{\mathfrak{p}}$ -valued point of X^{cpt} determined by *x* is a $k_{\mathfrak{p}}$ -valued point of X^{cpt} determined by *x* is a $k_{\mathfrak{p}}$ -valued point of X^{cpt} determined by *x* is an \mathbb{A}_k^f -valued point of X^{cpt} associated to *s*.

Note that if C contains all finite *solvable* groups, then it follows from Theorem 4.1 below that the converse holds, i.e., if *s* is *locally geometric*, and there exists a *k*-rational point $x \in X^{\text{cpt}}(k)$ of X^{cpt} such that, for each $\mathfrak{p} \in \mathfrak{P}_k^f$, the $k_{\mathfrak{p}}$ -valued point of X^{cpt} determined by *x* is a $k_{\mathfrak{p}}$ -valued point of X^{cpt} associated to *s*, then *s* is *geometric*.

Lemma 2.7. Let *s* be a pro-*C* birational Galois section (respectively, pro-*C* Galois section) of X/k [cf. Definition 1.2] and $S \subseteq \mathfrak{P}_k^f$ a subset of \mathfrak{P}_k^f . Suppose that *s* is **geometric at** *S* [cf. Definition 2.5 (respectively, Definition 2.1)], and that, for each $\mathfrak{p} \in S$, the residue characteristic of \mathfrak{p} is $\in \Sigma(\mathcal{C})$ [cf. §0]. Suppose, moreover, that if *s* is a pro-*C* Galois section of X/k,

then X is a hyperbolic curve over k [cf. §0]. Then an $\mathbb{A}_k^f|_S$ -valued point of X^{cpt} associated to s [cf. Definition 2.6 (respectively, Definition 2.3)] is uniquely determined by s.

Proof. Observe that, to verify Lemma 2.7, by replacing *S* by a subset of *S* of *cardinality* 1, we may assume without loss of generality that $S = \{\mathfrak{p}\}$ for some $\mathfrak{p} \in \mathfrak{P}_k^f$. Then the uniqueness in question follows immediately from Lemma 1.4. This completes the proof of Lemma 2.7.

Lemma 2.8. Let s be a pro-C birational Galois section of X/k [cf. Definition 1.2], $S \subseteq \mathfrak{P}_k^f$ a subset of \mathfrak{P}_k^f , and $x_{\mathbb{A}} \in X^{\operatorname{cpt}}(\mathbb{A}_k^f|_S)$ an $\mathbb{A}_k^f|_S$ -valued point of X^{cpt} . Suppose that s is **geometric at S** [cf. Definition 2.5]. Write s[X] for the pro-C Galois section of X/k [cf. Definition 1.2] naturally determined by s. Then the following hold:

- (i) s[X] is geometric at S [cf. Definition 2.1].
- (ii) If $x_{\mathbb{A}} \in X^{\text{cpt}}(\mathbb{A}^{f}_{k}|_{S})$ is an $\mathbb{A}^{f}_{k}|_{S}$ -valued point of X^{cpt} associated to s [cf. Definition 2.6], then $x_{\mathbb{A}} \in X^{\text{cpt}}(\mathbb{A}^{f}_{k}|_{S})$ is an $\mathbb{A}^{f}_{k}|_{S}$ -valued point of X^{cpt} associated to s[X] [cf. Definition 2.3; assertion (i)].
- (iii) Suppose, moreover, that, for each $\mathfrak{p} \in S$, the residue characteristic of \mathfrak{p} is $\in \Sigma(\mathcal{C})$ [cf. §0], and that X is a hyperbolic curve over k. Then it holds that $x_{\mathbb{A}} \in X^{\operatorname{cpt}}(\mathbb{A}_{k}^{f}|_{S})$ is an $\mathbb{A}_{k}^{f}|_{S}$ -valued point of X^{cpt} associated to s if and only if $x_{\mathbb{A}} \in X^{\operatorname{cpt}}(\mathbb{A}_{k}^{f}|_{S})$ is an $\mathbb{A}_{k}^{f}|_{S}$ -valued point of X^{cpt} associated to s[X] [cf. assertion (i)].

Proof. Assertions (i), (ii) follow immediately from the various definitions involved. Assertion (iii) follows immediately from Lemma 2.7, together with assertion (ii). This completes the proof of Lemma 2.8.

The following result was essentially proved in [12] by a refined discussion of the discussion given in [8].

Proposition 2.9. Let *s* be a pro-*C* birational Galois section of X/k [cf. Definition 1.2] and $S \subseteq \mathfrak{P}_k^f$ a subset of \mathfrak{P}_k^f such that, for each $\mathfrak{p} \in S$, the residue characteristic of \mathfrak{p} is $\in \Sigma(\mathcal{C})$ [cf. §0]. Then *s* is **geometric at** *S* [cf. Definition 2.5]. In particular, *s* determines a **unique** $\mathbb{A}_k^f|_S$ -valued point of X^{cpt} [cf. Definition 2.6].

Proof. If *s* is *geometric at S*, then the uniqueness of an $\mathbb{A}_k^f|_S$ -valued point of X^{cpt} associated to *s* follows from Lemma 2.7. Thus, to verify Proposition 2.9, it suffices to verify that *s* is *geometric at S*. Moreover, it follows immediately from the various definitions involved that, to verify that *s* is *geometric at S*, by replacing *S* by a subset of *S* of *cardinality* 1, we may assume without loss of generality that $S = \{\mathfrak{p}\}$ for some $\mathfrak{p} \in \mathfrak{P}_k^f$, whose residue characteristic we denote by *p*. Thus, it follows from Lemma 2.10 below [cf. condition (5) of

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Lemma 2.10 below] that, to verify Proposition 2.9, it suffices to verify that, for any open subgroup $H \subseteq \prod_{k(X)/k}^{\mathcal{C}}$ of $\prod_{k(X)/k}^{\mathcal{C}}$ containing the image of s, the [uniquely determined] smooth compactification Y of the normalization of X in the finite extension of k(X) corresponding to $H \subseteq \prod_{k(X)/k}^{\mathcal{C}}$ admits a $k_{\mathfrak{p}}(\zeta_p)$ -valued point, where we use the notation $\zeta_p \in \overline{k}$ to denote a *primitive p-th root of unity*. On the other hand, by considering the restriction of the pro- \mathcal{C} birational Galois section of Y/k naturally determined by s to the closed subgroup $\operatorname{Gal}(\overline{k}/k(\zeta_p)^h)$ of G_k , where we write $k(\zeta_p)^h \subseteq \overline{k}$ for the algebraic closure of $k(\zeta_p)$ in $k_{\mathfrak{p}}(\zeta_p)$, we conclude from [12], Theorem B, (2), that $Y(k(\zeta_p)^h) \neq \emptyset$, hence also that $Y(k_{\mathfrak{p}}(\zeta_p)) \neq \emptyset$. This completes the proof of Proposition 2.9.

Lemma 2.10. In the notation of Lemma 2.4, suppose, moreover, that the residue characteristic of \mathfrak{p} is $\in \Sigma(\mathcal{C})$ [cf. §0]. Let $k'_{\mathfrak{p}} (\subseteq \overline{k}_{\mathfrak{p}})$ be a finite extension of $k_{\mathfrak{p}}$. Then equivalent conditions (1), (2), (3), and (4) of Lemma 2.4 are equivalent to the following conditions:

- (5) For any open subgroup $H \subseteq \prod_{k(X)/k}^{C}$ of $\prod_{k(X)/k}^{C}$ containing the image of *s*, the [uniquely determined] smooth compactification of the normalization of X in the finite extension of k(X) corresponding to $H \subseteq \prod_{k(X)/k}^{C}$ admits a $k_{\mathfrak{p}}'$ -valued point.
- (6) The image of the composite of the natural inclusion Gal(k̄_p/k'_p) → G_p and the homomorphism G_p → Π^C_{k(X)/k} ×_{G_k} G_p induced by s is contained in the image of a decomposition subgroup of Π^C_{kp(X⊗kkp)/kp} associated to a closed point of X^{cpt} ⊗_k k_p [necessarily defined over a subfield of k'_p] by the natural surjection Π^C_{kp(X⊗kkp)/kp} → Π^C_{k(X)/k}×_{G_k} G_p.

Proof. The implication $(3) \Rightarrow (5)$ is immediate. Moreover, by applying the implication $(3) \Rightarrow (4)$ of Lemma 2.4 to the restriction of s to a suitable open subgroup of G_k , we conclude that the implication $(5) \Rightarrow (6)$ holds. Finally, the implication $(6) \Rightarrow (4)$ follows immediately from a similar argument to the argument applied in the proof of [7], Lemma 54, by replacing "[Moc99, Theorem C]" (respectively, the *finiteness* of the set " $(X^n)^{cpt}(k)$ " obtained by Mordell-Faltings's theorem) in the proof of [7], Lemma 54, by Lemma 1.4 (respectively, the *compactness* of the set " $(X^n)^{cpt}(k)$ " obtained by the consideration of a suitable model of " $(X^n)^{cpt}$ " over the ring of integers of k). This completes the proof of Lemma 2.10.

Proposition 2.11. Suppose that X is a hyperbolic curve over k. Let s be a pro-C Galois section of X/k [cf. Definition 1.2] and $S \subseteq \mathfrak{P}_k^f$ a subset of \mathfrak{P}_k^f such that, for each $\mathfrak{p} \in S$, the residue characteristic of \mathfrak{p} is $\in \Sigma(\mathcal{C})$ [cf. §0]. Suppose that s arises from a pro-C birational Galois section of X/k [cf.

Definition 1.2]. Then *s* is **geometric at** S [cf. Definition 2.1]. In particular, *s* determines a **unique** $\mathbb{A}_k^f|_S$ -valued point of X^{cpt} [cf. Definition 2.3].

Proof. The fact that *s* is *geometric at S* follows immediately from Proposition 2.9, together with Lemma 2.8, (i). The fact that *s* determines a *unique* $\mathbb{A}_k^f|_S$ -valued point of X^{cpt} follows immediately from Lemma 2.7. This completes the proof of Proposition 2.11.

3. GALOIS SECTIONS OF TORI THAT LOCALLY ARISE FROM POINTS

In the present §3, we discuss *Galois sections of tori that locally arise* from points. We maintain the notation of the preceding §2. Let $\Sigma \subseteq$ Primes be a nonempty subset of Primes [cf. §0]. Write

$$\operatorname{Div}(\mathfrak{o}_k) \stackrel{\text{def}}{=} \bigoplus_{\mathfrak{p} \in \mathfrak{P}_k^f} \mathbb{Z} \quad \twoheadrightarrow \quad \operatorname{Pic}(\mathfrak{o}_k) \stackrel{\text{def}}{=} \operatorname{Pic}(\operatorname{Spec} \mathfrak{o}_k);$$

 d_k for the minimal positive integer such that $d_k \cdot \text{Pic}(\mathfrak{o}_k) = \{0\}$;

$$\mathbb{G}_{m,\mathbb{Z}} \stackrel{\text{def}}{=} \mathbb{P}^1_{\mathbb{Z}} \setminus \{0,\infty\} = \operatorname{Spec} \mathbb{Z}[u^{\pm 1}];$$

for each $\mathfrak{p} \in \mathfrak{P}^f_k$,

 $v_{\mathfrak{p}} \colon k^{\times} \longrightarrow \mathbb{Z}$

for the p-adic valuation which induces a *surjection* $k_{\mathfrak{p}}^{\times} \twoheadrightarrow \mathbb{Z}$;

$$\begin{array}{rccc} \operatorname{liv}_k \colon & k^{\times} & \longrightarrow & \operatorname{Div}(\mathfrak{o}_k) \\ & a & \mapsto & \sum_{\mathfrak{p} \in \mathfrak{P}_k^f} v_{\mathfrak{p}}(a) \cdot \mathfrak{p} \end{array}$$

[Thus, we have an *exact* sequence of modules

0

$$0 \longrightarrow \mathfrak{o}_k^{\times} \longrightarrow k^{\times} \xrightarrow{\operatorname{div}_k} \operatorname{Div}(\mathfrak{o}_k) \longrightarrow \operatorname{Pic}(\mathfrak{o}_k) \longrightarrow 0.$$

Write, moreover, for a ring R,

$$\mathbb{G}_{m,R} \stackrel{\mathrm{def}}{=} \mathbb{G}_{m,\mathbb{Z}} \otimes_{\mathbb{Z}} R \,.$$

Let us identify $\mathbb{G}_{m,R}(R)$ with R^{\times} by the invertible function $u \in R[u^{\pm 1}]^{\times}$:

$$\mathbb{G}_{m,R}(R) \simeq R^{\times}$$

Definition 3.1. Let *M* be a module. Then we shall write

$$M[\Sigma] \stackrel{\text{def}}{=} \varliminf M/nM$$

— where *n* ranges over positive Σ -integers [cf. §0].

Lemma 3.2.

(i) For every [not necessarily algebraic] extension k' of k, if we write

$$\mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k'}/k')$$

for the set of conjugacy classes of pro- Σ Galois sections of $\mathbb{G}_{m,k'}/k'$ [cf. Definition 1.2], then the map

$$\mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k'}/k') \longrightarrow H^1(k', \Delta^{\Sigma}_{\mathbb{G}_{m,k}/k})$$

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determined by the natural isomorphism

$$\Delta^{\Sigma}_{\mathbb{G}_{m,k'}/k'} \xrightarrow{\sim} \Delta^{\Sigma}_{\mathbb{G}_{m,k}/k}$$

induced by $k \hookrightarrow k'$ and the map

$$\operatorname{GS}^{\Sigma}(\mathbb{G}_{m,k'}/k') \longrightarrow H^1(k', \Delta_{\mathbb{G}_{m,k'}/k'}^{\Sigma})$$

given by mapping an element $s \in \mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k'}/k')$ to the element of $H^1(k', \Delta_{\mathbb{G}_{m,k'}/k'}^{\Sigma})$ obtained by considering the difference of s and the element of $\mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k'}/k')$ arising from the k-rational point $1 \in (k')^{\times} \simeq \mathbb{G}_{m,k'}(k')$ is **bijective**.

(ii) There exists a natural isomorphism $\Delta_{\mathbb{G}_{m,k}/k}^{\Sigma} \xrightarrow{\sim} \widehat{\mathbb{Z}}^{\Sigma}(1)$ [where "(1)" denotes a Tate twist] such that, for every [not necessarily algebraic] extension k' of k, the following diagram of sets commutes:

Here, the left-hand upper horizontal arrow is the natural map given by mapping a k'-rational point of $\mathbb{G}_{m,k'}$ to the conjugacy class of a pro- Σ Galois section of $\mathbb{G}_{m,k'}/k'$ associated to the k'-rational point, the right-hand upper horizontal arrow is the bijection of (i), the left-hand vertical arrow is the natural identification by the fixed invertible function u, the right-hand vertical arrow is the isomorphism induced by the isomorphism in question $\Delta_{\mathbb{G}_{m,k}/k}^{\Sigma} \xrightarrow{\sim} \widehat{\mathbb{Z}}^{\Sigma}(1)$, the left-hand lower horizontal arrow is the natural homomorphism [cf. Definition 3.1], and the right-hand lower horizontal arrow is the natural isomorphism given by the Kummer theory.

(iii) Let $S \subseteq \mathfrak{P}_k^f$ be a subset of \mathfrak{P}_k^f . Then there exists a natural isomorphism between the commutative diagram of sets



Proof. Assertion (i) follows immediately from the various definitions involved. Next, we verify assertion (ii). For a positive integer n,

write $\mu_n \subseteq \overline{k}$ for the group of *n*-th roots of unity. Then, as is well-known, for a positive Σ -integer *n*, there exist natural isomorphisms

$$H^{1}(\Delta_{\mathbb{G}_{m,k}/k}^{\Sigma},\mu_{n}) \xrightarrow{\sim} H^{1}(\mathbb{G}_{m,\overline{k}},\mu_{n}) \xleftarrow{\sim} \overline{k}[u^{\pm 1}]^{\times}/(\overline{k}[u^{\pm 1}]^{\times})^{n}$$

Thus, the invertible function $u \in \overline{k}[u^{\pm 1}]^{\times}$ determines an element of

$$H^1(\Delta^{\Sigma}_{\mathbb{G}_{m,k}/k}, \widehat{\mathbb{Z}}^{\Sigma}(1)) \xrightarrow{\sim} \operatorname{Hom}(\Delta^{\Sigma}_{\mathbb{G}_{m,k}/k}, \widehat{\mathbb{Z}}^{\Sigma}(1)).$$

On the other hand, one verifies easily that the resulting homomorphism $\Delta_{\mathbb{G}_{m,k}/k}^{\Sigma} \to \widehat{\mathbb{Z}}^{\Sigma}(1)$ is an *isomorphism* and satisfies the condition in the statement of assertion (ii). This completes the proof of assertion (ii). Assertion (iii) follows immediately from assertion (ii). This completes the proof of Lemma 3.2.

Lemma 3.3. *The following hold:*

(i) *The exact sequence of modules*

$$1 \longrightarrow \mathfrak{o}_k^{\times} \longrightarrow k^{\times} \xrightarrow{\operatorname{div}_k} \operatorname{Div}(\mathfrak{o}_k)$$

determines an exact sequence of modules

$$\mathfrak{l} \longrightarrow \mathfrak{o}_k^{\times}[\Sigma] \longrightarrow k^{\times}[\Sigma] \stackrel{\operatorname{div}_k[\Sigma]}{\longrightarrow} \operatorname{Div}(\mathfrak{o}_k)[\Sigma] \,.$$

(ii) There is **no nontrivial** element of the cokernel of the natural homomorphism $k^{\times} \to k^{\times}[\Sigma]$ which is **annihilated** by a Σ -integer.

Proof. First, we verify assertion (i). Write $M \stackrel{\text{def}}{=} \operatorname{Im}(\operatorname{div}_k) \subseteq \operatorname{Div}(\mathfrak{o}_k)$ for the image of div_k . Then since M is a *free* \mathbb{Z} -module, there exists a section of the natural surjection $k^{\times} \to M$; thus, we obtain a noncanonical isomorphism $\mathfrak{o}_k^{\times} \times M \xrightarrow{\sim} k^{\times}$. In particular, the natural homomorphism $\mathfrak{o}_k^{\times}[\Sigma] \to k^{\times}[\Sigma]$ is *injective*. Thus, to verify assertion (i), it suffices to verify that the kernel of $\operatorname{div}_k[\Sigma]$ is contained in $\mathfrak{o}_k^{\times}[\Sigma] \subseteq k^{\times}[\Sigma]$, or, equivalently [by the existence of the noncanonical isomorphism $\mathfrak{o}_k^{\times} \times M \xrightarrow{\sim} k^{\times}$], the natural homomorphism $M[\Sigma] \to \operatorname{Div}(\mathfrak{o}_k)[\Sigma]$ is *injective*.

For an element $\sum a_i \cdot \mathfrak{p}_i \in \operatorname{Div}(\mathfrak{o}_k)$, where $a_i \in \mathbb{Z}$ and $\mathfrak{p}_i \in \mathfrak{P}_k^f$, write $[\sum a_i \cdot \mathfrak{p}_i] \in \operatorname{Pic}(\mathfrak{o}_k) = \operatorname{Div}(\mathfrak{o}_k)/M$ for the element of the cokernel of div_k determined by $\sum a_i \cdot \mathfrak{p}_i \in \operatorname{Div}(\mathfrak{o}_k)$. Now, for an element $a \in \operatorname{Pic}(\mathfrak{o}_k)$, let us fix a nonarchimedean prime $\mathfrak{q}_a \in \mathfrak{P}_k^f$ of k such that $a = [1 \cdot \mathfrak{q}_a]$. [Note that it follows immediately from Chebotarev's density theorem that the subset of \mathfrak{P}_k^f consisting of $\mathfrak{p} \in \mathfrak{P}_k^f$ such that $a = [1 \cdot \mathfrak{p}]$ is of *density* $1/\sharp\operatorname{Pic}(\mathfrak{o}_k)$; in particular, such a \mathfrak{q}_a always exists.] Write $T \stackrel{\text{def}}{=} \{\mathfrak{q}_a \in \mathfrak{P}_k^f | a \in \operatorname{Pic}(\mathfrak{o}_k)\}$. Moreover, for each $\mathfrak{p} \in \mathfrak{P}_k^f \setminus T$, write $x_{\mathfrak{p}} \stackrel{\text{def}}{=} 1 \cdot \mathfrak{p} - 1 \cdot \mathfrak{q}_{[1 \cdot \mathfrak{p}]} \in \operatorname{Div}(\mathfrak{o}_k)$. Then one verifies easily that the \mathbb{Z} -submodule $N \subseteq \operatorname{Div}(\mathfrak{o}_k)$ generated by $\{x_{\mathfrak{p}} | \mathfrak{p} \in \mathfrak{P}_k^f \setminus T\}$ is contained in M and determines a section of the natural projection $\operatorname{Div}(\mathfrak{o}_k) \to \mathbb{P}_k$

 $\bigoplus_{\mathfrak{p}\in\mathfrak{P}_k^f\setminus T}\mathbb{Z}$. In particular, we obtain a commutative diagram of *free* \mathbb{Z} -modules

— where the horizontal sequences are *exact*, and the vertical arrows are *injective*. On the other hand, since $\operatorname{Pic}(\mathfrak{o}_k)$, hence also *T*, is *finite*, M/N and $\operatorname{Div}(\mathfrak{o}_k)/N$ are *finitely generated free* \mathbb{Z} -modules. In particular, one verifies easily that the natural homomorphism $(M/N)[\Sigma] \rightarrow$ $(\operatorname{Div}(\mathfrak{o}_k)/N)[\Sigma]$ is *injective*. Thus, it follows immediately that the natural homomorphism in question $M[\Sigma] \rightarrow \operatorname{Div}(\mathfrak{o}_k)[\Sigma]$ is *injective*. This completes the proof of assertion (i).

Next, we verify assertion (ii). Now let us observe that one verifies easily that there is *no nontrivial* element of the cokernel of the natural homomorphism $\mathbb{Z} \to \widehat{\mathbb{Z}}^{\Sigma}$ which is *annihilated* by a Σ -integer. Thus, assertion (ii) follows immediately from the existence of the [noncanonical] isomorphism $\mathfrak{o}_k^{\times} \times M \xrightarrow{\sim} k^{\times}$ obtained in the proof of assertion (i), together with the well-known fact that \mathfrak{o}_k^{\times} is *finitely generated*. This completes the proof of assertion (ii).

Remark 3.3.1. The observation given in the proof of Lemma 3.3 was related to the author by *A. Tamagawa* and *S. Yasuda*.

Lemma 3.4. By applying Lemma 3.2, (iii), let us identify $\mathbb{G}_{m,k}(k)$ (respectively, $\mathbb{G}_{m,k}(\mathbb{A}_k^f)$; $\mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k}/k)$; $\prod_{\mathfrak{p}\in\mathfrak{P}_k^f}\mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k\mathfrak{p}}/k\mathfrak{p})$) with k^{\times} (respectively, $(\mathbb{A}_k^f)^{\times}$; $k^{\times}[\Sigma]$; $\prod_{\mathfrak{p}\in\mathfrak{P}_k^f}(k\mathfrak{p}^{\times}[\Sigma])$). Suppose that k is either the field of rational numbers or an imaginary quadratic field. Let

$$(a_{\mathfrak{p}})_{\mathfrak{p}\in\mathfrak{P}_{k}^{f}} \in (\mathbb{A}_{k}^{f})^{\times} \simeq \mathbb{G}_{m,k}(\mathbb{A}_{k}^{f})$$
$$a \in k^{\times}[\Sigma] \simeq \mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k}/k)$$

be such that their images in $\prod_{\mathfrak{p}\in\mathfrak{P}_k^f}(k_\mathfrak{p}^{\times}[\Sigma]) \simeq \prod_{\mathfrak{p}\in\mathfrak{P}_k^f} \mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k_\mathfrak{p}}/k_\mathfrak{p})$ [cf. the diagrams of Lemma 3.2, (iii)] coincide. Then the following hold:

- (i) $a^{d_k} \in k^{\times}[\Sigma] \simeq \mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k}/k)$ is contained in the image of the natural homomorphism $k^{\times} \simeq \mathbb{G}_{m,k}(k) \to k^{\times}[\Sigma] \simeq \mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k}/k).$
- (ii) If d_k is a Σ -integer, then $a \in k^{\times}[\Sigma] \simeq \mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k}/k)$ is contained in the image of the natural homomorphism $k^{\times} \simeq \mathbb{G}_{m,k}(k) \rightarrow k^{\times}[\Sigma] \simeq \mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k}/k)$.
- (iii) If d_k is a Σ -integer, and we fix an element $\tilde{a} \in k^{\times} \simeq \mathbb{G}_{m,k}(k)$ whose image in $k^{\times}[\Sigma] \simeq \mathrm{GS}^{\Sigma}(\mathbb{G}_{m,k}/k)$ coincides with a [cf. (ii)], then, for each $\mathfrak{p} \in \mathfrak{P}_k^f$ whose residue characteristic is $\in \Sigma$, the difference $a_{\mathfrak{p}} \cdot \tilde{a}^{-1} \in k_{\mathfrak{p}}^{\times}$ is a root of unity whose order is a ($\mathfrak{Primes} \setminus \Sigma$)integer.

Proof. First, we verify assertion (i). Since the image of $a \in k^{\times}[\Sigma] \simeq GS^{\Sigma}(\mathbb{G}_{m,k}/k)$ in $\prod_{\mathfrak{p}\in\mathfrak{P}_{k}^{f}}(k_{\mathfrak{p}}^{\times}[\Sigma]) \simeq \prod_{\mathfrak{p}\in\mathfrak{P}_{k}^{f}}GS^{\Sigma}(\mathbb{G}_{m,k_{\mathfrak{p}}}/k_{\mathfrak{p}})$ is contained in the image of the natural homomorphism $(\mathbb{A}_{k}^{f})^{\times} \simeq \mathbb{G}_{m,k}(\mathbb{A}_{k}^{f}) \to \prod_{\mathfrak{p}\in\mathfrak{P}_{k}^{f}}(k_{\mathfrak{p}}^{\times}[\Sigma]) \simeq \prod_{\mathfrak{p}\in\mathfrak{P}_{k}^{f}}GS^{\Sigma}(\mathbb{G}_{m,k_{\mathfrak{p}}}/k_{\mathfrak{p}})$, one verifies easily that the image of $a \in k^{\times}[\Sigma]$ by the homomorphism $\operatorname{div}_{k}[\Sigma] : k^{\times}[\Sigma] \to \operatorname{Div}(\mathfrak{o}_{k})[\Sigma]$ is contain in the \mathbb{Z} -submodule $\operatorname{Div}(\mathfrak{o}_{k}) \subseteq \operatorname{Div}(\mathfrak{o}_{k})[\Sigma]$. Thus, it follows immediately from the definition of d_{k} that there exists $\tilde{b} \in k^{\times}$ such that the images \tilde{b} and $a^{d_{k}}$ in $\operatorname{Div}(\mathfrak{o}_{k})[\Sigma]$ coincide. On the other hand, since k is either the *field of rational numbers* or an *imaginary quadratic field*, it holds that $\mathfrak{o}_{k}^{\times}$ is *finite*, which thus implies that $\mathfrak{o}_{k}^{\times} \to \mathfrak{o}_{k}^{\times}[\Sigma]$ is *surjective*. Thus, it follows immediately from Lemma 3.3, (i), that, by replacing \tilde{b} by a suitable element of k^{\times} , we conclude that $a^{d_{k}}$ coincides with the image of $\tilde{b} \in k^{\times}$ in $k^{\times}[\Sigma]$. This completes the proof of assertion (i).

Assertion (ii) follows immediately from Lemma 3.3, (ii), together with assertion (i); our assumption that d_k is a Σ -integer. Finally, we verify assertion (iii). One verifies easily that, for each $\mathfrak{p} \in \mathfrak{P}_k^f$ whose residue characteristic is $\in \Sigma$, the kernel of the natural homomorphism $k_{\mathfrak{p}}^{\times} \to k_{\mathfrak{p}}^{\times}[\Sigma]$ consists of roots of unity in $k_{\mathfrak{p}}$ whose orders are $(\mathfrak{Primes} \setminus \Sigma)$ -integers. Thus, assertion (iii) follows immediately from assertion (ii). This completes the proof of assertion (iii).

Lemma 3.5. In the notation of Lemma 3.4, if $\Sigma = \mathfrak{Primes}$, then the commutative diagram of sets

is cartesian.

Proof. This follows immediately from Lemma 3.4, (ii), (iii).

4. CONDITIONAL RESULTS ON THE BIRATIONAL SECTION CONJECTURE

In the present §4, we prove conditional results on the birational section conjecture for projective smooth curves over number fields. We maintain the notation of the preceding §3.

First, let us recall the following result that was essentially proved in [16]. It seems to the author that [at least, a similar result to] the following result is likely to be well-known to experts. Since, however, the result could not be found in the literature, the author decided to give a proof. **Theorem 4.1.** Let *C* be a full formation that contains all finite **solvable** groups, *k* a number field [*cf*. §0], *X* a projective smooth curve (respectively, hyperbolic curve) over *k* [*cf*. §0], and *s* a pro-*C* birational Galois section (respectively, locally geometric pro-*C* Galois section) of *X*/*k* [*cf*. Definition 1.2 (respectively, Definitions 1.2; 2.1)]. Write \mathfrak{P}_k^f for the set of nonarchimedean primes of *k* and *X*^{cpt} for the [uniquely determined] smooth compactification of *X* over *k*. For each $\mathfrak{p} \in \mathfrak{P}_k^f$, write $k_\mathfrak{p}$ for the \mathfrak{p} -adic completion of *k*. Then the following conditions are equivalent:

- (1) *s* is geometric [*cf. Definition* 1.3].
- (2) There exist a subset T ⊆ 𝔅^f_k of 𝔅^f_k of density 0 and a closed subscheme Z ⊆ X^{cpt} of X^{cpt} which is finite over k such that, for each 𝔅 ∈ 𝔅^f_k\T, the [image of the uniquely determined cf. Lemma 2.7] k_p-valued point of X^{cpt} associated to s [cf. Definition 2.6; Proposition 2.9 (respectively, Definition 2.3)] is contained in Z ⊆ X^{cpt}.

Proof. First, we verify Theorem 4.1 in the case where *s* is a *locally geometric pro-C Galois section*. The implication $(1) \Rightarrow (2)$ is immediate [cf. also Remark 2.3.1]. Next, we verify the implication $(2) \Rightarrow (1)$. Now observe that it follows from the equivalence $(1) \Leftrightarrow (2)$ of Lemma 1.5 that, to verify the implication $(2) \Rightarrow (1)$, by replacing k by a suitable finite extension of k, we may assume without loss of generality that k is totally imaginary. Next, observe that, for each open subgroup $H \subseteq \prod_{X/k}^{\mathcal{C}}$ of $\prod_{X/k}^{\mathcal{C}}$ containing the image of *s*, if we write *Y* for the connected finite étale covering of X corresponding to $H \subseteq \Pi_{X/k}^{\mathcal{C}}$ [thus, $\Pi_{Y/k}^{\mathcal{C}} = H \subseteq \Pi_{X/k}^{\mathcal{C}}$], then since the morphism $Y \to X$ is *finite*, one verifies easily that the pro-C Galois section of Y/k naturally determined by s [which is necessarily *locally geometric* by the various definitions involved] satisfies condition (2). Thus, to verify the implication (2) \Rightarrow (1), by replacing X by such a suitable Y, we may assume without loss of generality that *X* is of *genus* \geq 2; moreover, it follows from the equivalence $(1) \Leftrightarrow (3)$ of Lemma 1.5 that, to verify the implication $(2) \Rightarrow (1)$, by applying the conclusion to various open subgroups of $\Pi_{X/k}^{\mathcal{C}}$ containg the image of *s*, it suffices to verify that $X^{\text{cpt}}(k) \neq \emptyset$. In particular, since X is of genus ≥ 2 , and [one verifies easily that] the pro-C Galois section of X^{cpt}/k naturally determined by s is *locally geometric* and satisfies condition (2), to verify that $X^{\text{cpt}}(k) \neq \emptyset$, by replacing X by X^{cpt} , we may assume without loss of generality that $X^{cpt} = X$.

Now since *s* is *locally geometric*, and *k* is *totally imaginary*, it follows immediately from the definition of " $X(\mathbb{A}_k)^{\text{f-ab}}$ " [cf. [16], Definition 5.4, (3)] that the [uniquely determined] k_p -valued points of *X* associated to *s* — where \mathfrak{p} ranges over nonarchimedean primes of *k* — form a part of an element of $X(\mathbb{A}_k)^{\text{f-ab}}$. Thus, it follows immediately from [16], Theorem 8.2, together with condition (2), that $Z(k) \neq \emptyset$,

hence also $X(k) \neq \emptyset$. This completes the proof of the implication (2) \Rightarrow (1), hence also of Theorem 4.1 in the case where *s* is a *locally* geometric pro-*C* Galois section.

Next, we verify Theorem 4.1 in the case where s is a pro-C birational *Galois section.* The implication $(1) \Rightarrow (2)$ is immediate [cf. also Remark 2.6.1]. Next, we verify the implication $(2) \Rightarrow (1)$. First, observe that it follows immediately from a similar argument to the argument applied in the proof of Theorem 4.1 in the case where s is a *locally* geometric pro-C Galois section that, to verify Theorem 4.1 in the case where s is a pro-C birational Galois section, by replacing $\Pi_{k(X)/k}^{\mathcal{C}}$ by an open subgroup of $\Pi_{k(X)/k}^{\mathcal{C}}$ containing the image of *s*, we may assume without loss of generality that X is of genus ≥ 2 ; moreover, it follows from the equivalence $(1) \Leftrightarrow (3)$ of Lemma 1.6 that, to verify the implication (2) \Rightarrow (1), by applying the conclusion to various open subgroups of $\prod_{k(X)/k}^{\mathcal{C}}$ containg the image of s, it suffices to verify that $X(k) \neq \emptyset$. On the other hand, since X is of genus ≥ 2 , in light of Proposition 2.11, by applying Theorem 4.1 in the case where *s* is a locally geometric pro-C Galois section to the pro-C Galois section of X/k naturally determined by s, we conclude that $X(k) \neq \emptyset$. This completes the proof of the implication $(2) \Rightarrow (1)$, hence also of Theorem 4.1 in the case where *s* is a *pro-C* birational Galois section.

Theorem 4.1 naturally leads to the following corollary that was essentially proved by Stoll [cf., e.g., [16], Theorem 8.6].

Corollary 4.2. Let *C* be a full formation that contains all finite **solvable** groups, *k* a **number field** [cf. §0], and *X* a **projective smooth curve** (respectively, **hyperbolic curve**) over *k* [cf. §0]. Suppose that there exist an **abelian variety** *A* over *k* and a **nonconstant** morphism $X \rightarrow A$ over *k* such that both the Mordell-Weil group and the Shafarevich-Tate group of *A*/*k* are finite. Then any pro-*C* **birational Galois section** (respectively, any **locally geometric** pro-*C* **Galois section**) of *X*/*k* [cf. Definition 1.2 (respectively, Definitions 1.2; 2.1)] is **geometric** [cf. Definition 1.3].

Proof. Write

$$\widehat{\operatorname{Sel}}^{f}(A/k) \stackrel{\text{def}}{=} \varprojlim_{n} \operatorname{Ker} \left(H^{1}(k, A(\overline{k})[n]) \to \prod_{\mathfrak{p} \in \mathfrak{P}_{k}^{f}} H^{1}(k_{\mathfrak{p}}, A(\overline{k})) \right)$$

— where the projective limit is over all positive integers n, and A(k)[n]is the subgroup of $A(\overline{k})$ consisting of elements of $A(\overline{k})$ that are annihilated by n. Then the well-known natural G_k -equivariant isomorphism $A(\overline{k})[n] \xrightarrow{\sim} \Delta_{A/k}^{\operatorname{primes}}/n\Delta_{A/k}^{\operatorname{primes}}$ induces a natural injection $\widehat{\operatorname{Sel}}^f(A/k) \hookrightarrow H^1(k, \Delta_{A/k}^{\operatorname{primes}})$; moreover, it follows immediately from the various definitions involved that the pro- primes Kummer homomorphism $A(k) \to H^1(k, \Delta_{A/k}^{\operatorname{primes}})$ associated to A [cf., e.g., [6],

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Remark 1.1.4, (iii)] factors through $\widehat{\operatorname{Sel}}^f(A/k) \subseteq H^1(k, \Delta_{A/k}^{\operatorname{\operatorname{primes}}})$, which thus implies that we have a natural *injection* $A(k) \hookrightarrow \widehat{\operatorname{Sel}}^f(A/k)$. [Here, this *injectivity* is a formal consequence of the well-known fact that there is *no nontrivial divisible* element of A(k).] On the other hand, since the Shafarevich-Tate group of A/k is *finite*, in light of the fact that the absolute Galois group of the completion of k at an *archimedean prime* is either $\simeq \mathbb{Z}/2\mathbb{Z}$ or $\simeq \{1\}$, one verifies easily that, for each positive integer n, the cokernel of the natural homomorphism

$$A(k)/nA(k) \longrightarrow \operatorname{Ker}\left(H^{1}(k, A(\overline{k})[n]) \to \prod_{\mathfrak{p} \in \mathfrak{P}_{k}^{f}} H^{1}(k_{\mathfrak{p}}, A(\overline{k}))\right)$$

is annihilated by a positive integer which does not depend on n. Thus, since the Mordell-Weil group of A/k is finite, it follows immediately that the resulting injection $A(k) \hookrightarrow \widehat{\operatorname{Sel}}^f(A/k)$ is an *isomorphism*.

Let *s* be a pro-*C* birational Galois section (respectively, *locally geometric* pro-*C* Galois section) of X/k. Write s^A for the pro- \mathfrak{P} rimes Galois section of A/k obtained as the composite

$$G_k \xrightarrow{s} \Pi^{\mathcal{C}}_{k(X)/k} \longrightarrow \Pi^{\mathcal{C}}_{X/k} \longrightarrow \Pi^{\mathcal{C}}_{A/k} = \Pi^{\mathfrak{Primes}}_{A/k}$$

(respectively, $G_k \xrightarrow{s} \Pi^{\mathcal{C}}_{X/k} \longrightarrow \Pi^{\mathcal{C}}_{A/k} = \Pi^{\mathfrak{Primes}}_{A/k}$

- where the third (respectively, second) arrow is the homomorphism over G_k induced by the *nonconstant* morphism $X \to A$ over k. Then s^{A} naturally determines an element of $H^{1}(k, \Delta_{A/k}^{\operatorname{\mathfrak{Primes}}})$ [cf., e.g., [6], Remark 1.1.4, (ii)]; moreover, it follows immediately from Proposition 2.9 (respectively, our assumption that s is *locally geometric*), together with the various definitions involved, that this element is contained in $A(k) \xrightarrow{\sim} \widehat{\operatorname{Sel}}^{f}(A/k) \subseteq H^{1}(k, \Delta_{A/k}^{\operatorname{\mathfrak{Primes}}})$. In particular, since $X \to A$ is nonconstant, and the Mordell-Weil group A(k) is finite, it follows immediately from the *injectivity* of the pro-Primes Kummer homomorphism associated to $A \otimes_k k_p$ [that is a formal consequence of the well-known fact that there is no nontrivial divisible element of $A(k_{p})$], together with [6], Remark 1.1.4, (iii), that s satisfies condition (2) of Theorem 4.1. Thus, it follows from the implication $(2) \Rightarrow (1)$ of Theorem 4.1 that *s* is *geometric*. This completes the proof of Corollary 4.2.

Remark 4.2.1. As in the cases of [4], Theorem 17; [16], Theorem 8.6, one may apply Corollary 4.2 to obtain some examples of projective smooth curves over number fields for which any *prosolvable* birational Galois section [i.e., any pro-C birational Galois section in the case where C consists of all finite solvable groups] is *geometric* [cf., e.g., the discussions in [4], Remark 18, (1); [16], Example 8.7; [16], Corollary 8.8].

Remark 4.2.2. The observation given in the proof of Corollary 4.2 was related to the author by *A. Tamagawa* and *S. Yasuda*.

Definition 4.3. Suppose that *X* is a *hyperbolic curve* over [the number field] *k*. Let *s* be a pro-*C* Galois section of X/k [cf. Definition 1.2].

- (i) We shall say that *s* is *cuspidal* if the image of *s* is contained in a decomposition subgroup of $\Pi_{X/k}^{\mathcal{C}}$ associated to a cusp of X/k.
- (ii) We shall say that *s* is *unramified almost everywhere* if the composite

$$G_k \xrightarrow{s} \Pi_{X/k}^{\mathcal{C}} \longrightarrow \operatorname{Aut}(\Delta_{X/k}^{\mathcal{C}})$$

— where the second arrow is the action of $\Pi_{X/k}^{\mathcal{C}}$ on $\Delta_{X/k}^{\mathcal{C}}$ obtained by conjugation — is unramified for all but finitely many $\mathfrak{p} \in \mathfrak{P}_k^f$.

Remark 4.3.1. In the notation of Definition 4.3, it is immediate that if *s* is *cuspidal* [cf. Definition 4.3, (i)], then *s* is *geometric* [cf. Definition 1.3].

Proposition 4.4. Suppose that Σ is finite. Then any geometric [cf. Definition 1.3] pro- Σ Galois section [cf. Definition 1.2] of a hyperbolic curve over a number field is either cuspidal [cf. Definition 4.3, (i)] or unramified almost everywhere [cf. Definition 4.3, (ii)].

Proof. This follows immediately from Proposition A.7.

Next, we prove the main result of the present paper.

Theorem 4.5. Let *C* be a full formation, *k* either the field of rational numbers or an imaginary quadratic field, *X* a projective smooth curve over *k* [cf. §0], and *s* a pro-*C* birational Galois section of *X*/*k* [cf. Definition 1.2]. Write o_k for the ring of integers of *k* and \mathfrak{P}_k^f for the set of nonarchimedean primes of *k*. For each $\mathfrak{p} \in \mathfrak{P}_k^f$, write $k_{\mathfrak{p}}$ for the \mathfrak{p} -adic completion of *k* and $o_{\mathfrak{p}}$ for the ring of integers of $k_{\mathfrak{p}}$. Write, moreover, \mathbb{A}_k^f for the finite part of the adele ring of *k*, *i.e.*,

$$\mathbb{A}_{k}^{f} \stackrel{\text{def}}{=} \left\{ \left(a_{\mathfrak{p}} \right)_{\mathfrak{p} \in \mathfrak{P}_{k}^{f}} \in \prod_{\mathfrak{p} \in \mathfrak{P}_{k}^{f}} k_{\mathfrak{p}} \middle| a_{\mathfrak{p}} \in \mathfrak{o}_{\mathfrak{p}} \text{ for all but finitely many } \mathfrak{p} \right\}.$$

Suppose that the following three conditions are satisfied:

- (a) *The pro-C birational Galois section s is* **locally geometric** [*cf. Def-inition* 2.5].
- (b) $\Sigma(C)$ [cf. §0] is cofinite, i.e., $\mathfrak{Primes} \setminus \Sigma(C)$ [cf. §0] is finite.
- (c) $\operatorname{Pic}(\mathfrak{o}_k) \stackrel{\text{def}}{=} \operatorname{Pic}(\operatorname{Spec} \mathfrak{o}_k)$ is annihilated by a $\Sigma(\mathcal{C})$ -integer [cf. §0].

[Note that it follows from Proposition 2.9 that if $\Sigma(C) = \mathfrak{Primes}$, or, equivalently [cf. §0], C contains all finite solvable groups, then the above three conditions are satisfied.] Then the following conditions are equivalent:

- (1) The pro-C birational Galois section s is geometric [cf. Defini*tion* 1.3].
- (2) The following two conditions are satisfied:
 - (2-i) There exist a finite morphism $\phi: X \to \mathbb{P}^1_k$ over k and, for each $\mathfrak{p} \in \mathfrak{P}_k^f$, a $k_{\mathfrak{p}}$ -valued point $x_{\mathfrak{p}}$ of X associated to s [cf. Definition 2.6; condition (a)] [note that if the residue characteristic of \mathfrak{p} is $\in \Sigma(\mathcal{C})$, then the $k_{\mathfrak{p}}$ -valued point $x_{\mathfrak{p}}$ of X associated to s is uniquely determined — cf. Lemma 2.7] such that the composite

Spec
$$k_{\mathfrak{p}} \xrightarrow{x_{\mathfrak{p}}} X \xrightarrow{\phi} \mathbb{P}^{1}_{k}$$

determines a $k_{\mathfrak{p}}$ -valued point of $\mathbb{P}^1_k \setminus \{0, 1, \infty\} \subseteq \mathbb{P}^1_k$.

- (2-ii) For each open subscheme $U \subseteq X$ of X which is a hyperbolic **curve** over k [cf. §0], there exists a prime number $l_U \in \Sigma(\mathcal{C})$ contained in $\Sigma(\mathcal{C})$ such that the pro- l_U Galois section of U/k[cf. Definition 1.2] naturally determined by s is either **cusp**idal [cf. Definition 4.3, (i)] or unramified almost everywhere [cf. Definition 4.3, (ii)].
- (3) There exist a finite morphism $\phi \colon X \to \mathbb{P}^1_k$ over k and an \mathbb{A}^f_k -valued point $x_{\mathbb{A}}$ of X associated to s [cf. Definition 2.6; condition (a)] [note that if $\Sigma(\mathcal{C}) = \mathfrak{Primes}$, then the \mathbb{A}_k^f -valued point $x_{\mathbb{A}}$ of X associated to s is uniquely determined — cf. Lemma 2.7] such that the composite

$$\operatorname{Spec} \mathbb{A}_k^f \xrightarrow{x_{\mathbb{A}}} X \xrightarrow{\phi} \mathbb{P}_k^1$$

determines an \mathbb{A}_k^f -valued point of $\mathbb{P}_k^1 \setminus \{0, 1, \infty\} \subseteq \mathbb{P}_k^1$. (4) There exist a finite subset $T \subseteq \mathfrak{P}_k^f$ of \mathfrak{P}_k^f and a closed subscheme $Z \subseteq X$ of X which is **finite** over k such that, for each $\mathfrak{p} \in \mathfrak{P}_k^f \setminus T$ whose residue characteristic is $\in \Sigma(\mathcal{C})$, the [image of the uniquely determined — cf. Lemma 2.7 k_{p} -valued point x_{p} of X associated to s [cf. Definition 2.6; condition (a)] is contained in $Z \subseteq X$.

Proof. The implication $(1) \Rightarrow (2)$ follows immediately from Proposition 4.4, together with Remark 2.6.1. Next, we verify the implication $(2) \Rightarrow (3)$. Suppose that condition (2) is satisfied. Then, by condition (2-i), for each $\mathfrak{p} \in \mathfrak{P}_k^f$, the composite

Spec
$$k_{\mathfrak{p}} \xrightarrow{x_{\mathfrak{p}}} X \xrightarrow{\phi} \mathbb{P}^{1}_{k}$$

determines a $k_{\mathfrak{p}}$ -valued point of $\mathbb{P}^1_k \setminus \{0, 1, \infty\}$. Thus, to verify the implication (2) \Rightarrow (3), it suffices to verify that the above k_{p} -valued point of $\mathbb{P}^1_k \setminus \{0, 1, \infty\}$ obtained as the composite $\phi \circ x_p$ determines an $\mathfrak{o}_{\mathfrak{p}}$ -valued point of $\mathbb{P}^1_{\mathfrak{o}_{\mathfrak{p}}} \setminus \{0, 1, \infty\}$ for all but finitely many $\mathfrak{p} \in \mathfrak{P}^f_k$. Write $U \subseteq X$ for the open subscheme of X obtained as the inverse image of $\mathbb{P}^1_k \setminus \{0, 1, \infty\} \subseteq \mathbb{P}^1_k$ by ϕ . Then, by condition (2-ii), there exists

a prime number $l_U \in \Sigma(\mathcal{C})$ contained in $\Sigma(\mathcal{C})$ such that the pro- l_U Galois section s^U of U/k obtained as the composite

$$G_k \xrightarrow{s} \Pi^{\mathcal{C}}_{k(X)/k} \longrightarrow \Pi^{\{l_U\}}_{U/k}$$

is either *cuspidal* or *unramified almost everywhere*. Write $s^{\mathbb{P}}$ for the pro l_U Galois section of $\mathbb{P}^1_k \setminus \{0, 1, \infty\}$ obtained as the composite

$$G_k \xrightarrow{s} \Pi^{\mathcal{C}}_{k(X)/k} \longrightarrow \Pi^{\{l_U\}}_{U/k} \longrightarrow \Pi^{\{l_U\}}_{(\mathbb{P}^1_k \setminus \{0, 1, \infty\})/k}$$

— where the third arrow is the homomorphism over G_k induced by ϕ . Then since the morphism $U \to \mathbb{P}^1_k \setminus \{0, 1, \infty\}$ induced by ϕ is *finite*, one verifies easily that the homomorphism $\Pi_{U/k}^{\{l_U\}} \to \Pi_{(\mathbb{P}^1_k \setminus \{0,1,\infty\})/k}^{\{l_U\}}$ maps *injectively* any cuspidal decomposition subgroup of $\Pi_{U/k}^{\{l_U\}}$ associated to a cusp of U/k to a cuspidal decomposition subgroup of $\Pi_{(\mathbb{P}^1_k \setminus \{0,1,\infty\})/k}^{\{l_U\}}$ associated to a cusp of $\mathbb{P}^1_k \setminus \{0,1,\infty\}$. Thus, it follows immediately that if s^U is *cuspidal*, then $s^{\mathbb{P}}$ is *cuspidal*. On the other hand, by applying Lemma 1.4 [to " $\phi \circ x_{\mathfrak{p}}$ " for $\mathfrak{p} \in \mathfrak{P}_k^f$ whose residue characteristic is $= l_U$], it follows immediately from condition (2-i) that $s^{\mathbb{P}}$ is not cuspidal. Thus, we conclude that s^{U} is not cuspidal, hence also [by condition (2-ii)] unramified almost everywhere. In particular, it follows Proposition A.10, (ii), that $s^{\mathbb{P}}$ is unramified almost everywhere. Therefore, it follows immediately from Proposition A.7, together with condition (2-i), that the k_p -valued point of \mathbb{P}^1_k obtained as the composite $\phi \circ x_{\mathfrak{p}}$ determines an $\mathfrak{o}_{\mathfrak{p}}$ -valued point of $\mathbb{P}^1_{\mathfrak{o}_{\mathfrak{p}}} \setminus \{0, 1, \infty\}$ for all but finitely many $\mathfrak{p} \in \mathfrak{P}_k^f$. This completes the proof of the implication $(2) \Rightarrow (3)$.

Next, we verify the implication $(3) \Rightarrow (4)$. Suppose that condition (3) is satisfied. Write $s^{\mathbb{G}}$ for the pro- $\Sigma(\mathcal{C})$ Galois section of $\mathbb{G}_{m,k} \stackrel{\text{def}}{=} \mathbb{P}^1_k \setminus \{0,\infty\}$ over k obtained as the composite

$$G_k \xrightarrow{s} \Pi^{\mathcal{C}}_{k(X)/k} \to \Pi^{\mathcal{C}}_{k(\mathbb{P}^1_k)/k} \twoheadrightarrow \Pi^{\mathcal{C}}_{\mathbb{G}_{m,k}/k} = \Pi^{\Sigma(\mathcal{C})}_{\mathbb{G}_{m,k}/k}$$

— where the second arrow is the homomorphism over G_k induced by ϕ — and $t^{\mathbb{G}}$ for the pro- $\Sigma(\mathcal{C})$ Galois section of $\mathbb{G}_{m,k}$ over k obtained as the composite

$$G_k \xrightarrow{s} \Pi^{\mathcal{C}}_{k(X)/k} \to \Pi^{\mathcal{C}}_{k(\mathbb{P}^1_k)/k} \xrightarrow{\sim} \Pi^{\mathcal{C}}_{k(\mathbb{P}^1_k)/k} \twoheadrightarrow \Pi^{\mathcal{C}}_{\mathbb{G}_{m,k}/k} = \Pi^{\Sigma(\mathcal{C})}_{\mathbb{G}_{m,k}/k}$$

— where the second arrow is the homomorphism over G_k induced by ϕ , and the third arrow is the automorphism over G_k induced by the automorphism of \mathbb{P}^1_k over k given by " $u \mapsto 1 - u$ ". Then it follows immediately from condition (3) that there exists an element $(a_{\mathfrak{p}})_{\mathfrak{p}\in\mathfrak{P}^f_k} \in (\widetilde{\mathbb{A}}^f_k)^{\times}$ such that $(a_{\mathfrak{p}})_{\mathfrak{p}\in\mathfrak{P}^f_k}, (1-a_{\mathfrak{p}})_{\mathfrak{p}\in\mathfrak{P}^f_k} \in (\mathbb{A}^f_k)^{\times} \simeq \mathbb{G}_{m,k}(\mathbb{A}^f_k),$ and, moreover, the respective images of the pro- $\Sigma(\mathcal{C})$ Galois sections $s^{\mathbb{G}}, t^{\mathbb{G}} \in \mathrm{GS}^{\Sigma(\mathcal{C})}(\mathbb{G}_{m,k}/k) \simeq k^{\times}[\Sigma(\mathcal{C})]$ [cf. Lemma 3.2, (i), (ii)]

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in the set $\prod_{\mathfrak{p}\in\mathfrak{P}_k^f} \mathrm{GS}^{\Sigma(\mathcal{C})}(\mathbb{G}_{m,k_\mathfrak{p}}/k_\mathfrak{p}) \simeq \prod_{\mathfrak{p}\in\mathfrak{P}_k^f} (k_\mathfrak{p}^{\times}[\Sigma(\mathcal{C})])$ [cf. the diagrams of Lemma 3.2, (iii)] *coincide* with the respective images of the elements $(a_\mathfrak{p})_{\mathfrak{p}\in\mathfrak{P}_k^f}, (1-a_\mathfrak{p})_{\mathfrak{p}\in\mathfrak{P}_k^f} \in (\mathbb{A}_k^f)^{\times} \simeq \mathbb{G}_{m,k}(\mathbb{A}_k^f)$ in the set $\prod_{\mathfrak{p}\in\mathfrak{P}_k^f} (k_\mathfrak{p}^{\times}[\Sigma(\mathcal{C})]) \simeq \prod_{\mathfrak{p}\in\mathfrak{P}_k^f} \mathrm{GS}^{\Sigma(\mathcal{C})}(\mathbb{G}_{m,k_\mathfrak{p}}/k_\mathfrak{p})$. Thus, it follows from Lemma 3.4, (ii), (iii); together with condition (c), that

(*): there exist \tilde{a}_s , $\tilde{a}_t \in k^{\times}$ such that, for $\mathfrak{p} \in \mathfrak{P}_k^f$, if we write $u_{\mathfrak{p}} \stackrel{\text{def}}{=} a_{\mathfrak{p}} \cdot \tilde{a}_s^{-1}$, $v_{\mathfrak{p}} \stackrel{\text{def}}{=} (1 - a_{\mathfrak{p}}) \cdot \tilde{a}_t^{-1} \in k_{\mathfrak{p}}^{\times}$, and the residue characteristic of \mathfrak{p} is $\in \Sigma(\mathcal{C})$, then $u_{\mathfrak{p}}$, $v_{\mathfrak{p}}$ are *roots* of unity of $k_{\mathfrak{p}}$ whose orders are ($\mathfrak{Primes} \setminus \Sigma(\mathcal{C})$)-integers.

Now let us observe that, for $\mathfrak{p} \in \mathfrak{P}_k^f$, the pair $(u_{\mathfrak{p}}, v_{\mathfrak{p}})$ satisfies the equation

$$1 = \widetilde{a}_s \cdot u_p + \widetilde{a}_t \cdot v_p$$
 .

Thus, it follows immediately from [2], Theorem 1.1, together with condition (b), that the set $\{(u_{\mathfrak{p}}, v_{\mathfrak{p}})\}_{\mathfrak{p}\in\mathfrak{P}_{k}^{f}}$, hence also the set $\{u_{\mathfrak{p}}\}_{\mathfrak{p}\in\mathfrak{P}_{k}^{f}}$, is *finite*. In particular, since $a_{\mathfrak{p}} = \tilde{a}_{s} \cdot u_{\mathfrak{p}}$ [cf. (*)], it follows immediately that the pro- \mathcal{C} birational Galois section of \mathbb{P}_{k}^{1}/k obtained as the composite

$$G_k \xrightarrow{s} \Pi^{\mathcal{C}}_{k(X)/k} \longrightarrow \Pi^{\mathcal{C}}_{k(\mathbb{P}^1_k)/k}$$

— where the second arrow is the homomorphism over G_k induced by ϕ — satisfies condition (4). Therefore, since ϕ is *finite*, one verifies easily that the pro-C birational Galois section *s* satisfies condition (4). This completes the proof of the implication (3) \Rightarrow (4).

Finally, we verify the implication $(4) \Rightarrow (1)$. Suppose that condition (4) is satisfied. Let us fix an element $\mathfrak{p}_0 \in \mathfrak{P}_k^f \setminus T$ of $\mathfrak{P}_k^f \setminus T$ such that the residue characteristic of \mathfrak{p}_0 is $\in \Sigma(\mathcal{C})$ [note that, by condition (b), such a \mathfrak{p}_0 always exists] and write $r(\mathfrak{p}_0)$ for the cardinality of the set of roots of unity of $k_{\mathfrak{p}_0}$. Now observe that, for any open subgroup $H \subseteq \prod_{k(X)/k}^{\mathcal{C}}$ of $\prod_{k(X)/k}^{\mathcal{C}}$ containing the image of s, if we write Y for the normalization of X in the finite extension of k(X) corresponding to $H \subseteq \prod_{k(X)/k}^{\mathcal{C}}$ [thus, $\prod_{k(Y)/k}^{\mathcal{C}} = H \subseteq \prod_{k(X)/k}^{\mathcal{C}}$], then since the morphism $Y \to X$ is *finite*, the pro- \mathcal{C} birational Galois section of Y/k determined by s satisfies condition (4) relative to the finite subset "T" $\subseteq \mathfrak{P}_k^f$ appearing in condition (4). Thus, it follows from the equivalence (1) \Leftrightarrow (3) of Lemma 1.6 that, to verify condition (1), by applying the conclusion to various such H's, it suffices to verify that X admits a $k(\zeta_{r(\mathfrak{p}_0)})$ -valued point — where we use the notation $\zeta_{r(\mathfrak{p}_0)} \in \overline{k}$ to denote a *primitive* $r(\mathfrak{p}_0)$ -th root of unity.

For each $\mathfrak{p} \in \mathfrak{P}_k^f$, let us fix a $k_\mathfrak{p}$ -valued point $x_\mathfrak{p}$ of X associated to s [cf. condition (a)]. Now since X is *projective*, there exists a closed immersion $X \hookrightarrow \mathbb{P}_k^N$ over k for some positive integer N. Then it follows immediately from condition (4) that there exists a hyperplane $H \subseteq$

 \mathbb{P}_k^N defined over k such that, for any $\mathfrak{p} \in \mathfrak{P}_k^f$, [the image of] the fixed $k_{\mathfrak{p}}$ -valued point $x_{\mathfrak{p}}$ of X is contained in $X \setminus (X \cap H) \subseteq \mathbb{P}_k^N \setminus H \simeq \mathbb{A}_k^N$. Moreover, again by condition (4) — by considering a suitable automorphism of \mathbb{A}_k^1 over k — we may assume without loss of generality that, for each $i \in \{1, \dots, N\}$ and $\mathfrak{p} \in \mathfrak{P}_k^f$, the $k_{\mathfrak{p}}$ -valued point of \mathbb{A}_k^1 obtained as the composite

$$\operatorname{Spec} k_{\mathfrak{p}} \xrightarrow{x_{\mathfrak{p}}} X \setminus (X \cap H) \hookrightarrow \mathbb{P}_{k}^{N} \setminus H \simeq \mathbb{A}_{k}^{N} \xrightarrow{\operatorname{pr}_{i}} \mathbb{A}_{k}^{1}$$

factors through $\mathbb{G}_{m,k} \stackrel{\text{def}}{=} \mathbb{A}_k^1 \setminus \{0\} \subseteq \mathbb{A}_k^1$. Therefore, we conclude that there exist an open subscheme $U \subseteq X$ of X and a closed immersion $U \hookrightarrow \mathbb{G}_{m,k} \times_k \cdots \times_k \mathbb{G}_{m,k}$ over k such that the $\widetilde{\mathbb{A}}_k^f$ -valued point $x_{\mathbb{A}} \stackrel{\text{def}}{=} (x_{\mathfrak{p}})_{\mathfrak{p} \in \mathfrak{P}_k^f}$ of X determined by the fixed $k_{\mathfrak{p}}$ -valued points $x_{\mathfrak{p}}$ lies on U. On the other hand, again by condition (4), one verifies easily that, for each $i \in \{1, \cdots, N\}$, the $\widetilde{\mathbb{A}}_k^f$ -valued point of $\mathbb{G}_{m,k}$ obtained as the composite

$$\operatorname{Spec} \widetilde{\mathbb{A}}_k^f \xrightarrow{x_{\mathbb{A}}} U \hookrightarrow \mathbb{G}_{m,k} \times_k \cdots \times_k \mathbb{G}_{m,k} \xrightarrow{\operatorname{pr}_i} \mathbb{G}_{m,k}$$

determines an \mathbb{A}_k^f -valued point of $\mathbb{G}_{m,k}$. Thus, it follows immediately from Lemma 3.4, (ii), (iii); condition (c), that, for each $i \in \{1, \dots, N\}$, the $k_{\mathfrak{p}_0}$ -valued point of $\mathbb{G}_{m,k}$ obtained as the composite

Spec
$$k_{\mathfrak{p}_0} \xrightarrow{x_{\mathfrak{p}_0}} U \hookrightarrow \mathbb{G}_{m,k} \times_k \cdots \times_k \mathbb{G}_{m,k} \xrightarrow{\mathrm{pr}_i} \mathbb{G}_{m,k}$$

determines a $k(\zeta_{r(\mathfrak{p}_0)})$ -valued point of $\mathbb{G}_{m,k}$. In particular, since $U \hookrightarrow \mathbb{G}_{m,k} \times_k \cdots \times_k \mathbb{G}_{m,k}$ is a *closed immersion*, one verifies easily that the $k_{\mathfrak{p}_0}$ -valued point $x_{\mathfrak{p}_0}$ of U, hence also X, determines a $k(\zeta_{r(\mathfrak{p}_0)})$ -valued point. This completes the proof of the implication $(4) \Rightarrow (1)$, hence also of Theorem 4.5.

Remark 4.5.1.

- (i) Theorem 4.5 is a result *without any assumption on the finiteness of a Shafarevich-Tate group.*
- (ii) The equivalence (1) ⇔ (3) of Theorem 4.5 may be regarded as a *tripod analogue* of [4], Theorem 17. The condition that k is either the field of rational numbers or an imaginary quadratic field [i.e., the assumption that o[×]_k is finite] in the statement of Theorem 4.5 may be regarded as an analogue of the finiteness condition on the Mordell-Weil group in the statement of [4], Theorem 17; the condition that Pic(o_k) is annihilated by a Σ(C)-integer in the statement of Theorem 4.5 may be regarded as an analogue of the finiteness condition on the Shafarevich-Tate group in the statement of [4], Theorem 17. On the other hand, since any abelian variety is *proper*, in the case of [4], Theorem 17, the condition corresponding to our condition that the birational Galois section determines [not

only an \mathbb{A}_k^f -valued point but also] an \mathbb{A}_k^f -valued point of the tripod $\mathbb{P}_k^1 \setminus \{0, 1, \infty\}$ in Theorem 4.5 is *automatically satisfied*.

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- (iii) If C contains all finite *solvable* groups, then Theorem 4.1 implies the equivalence $(1) \Leftrightarrow (4)$ of Theorem 4.5.
- (iv) One verifies easily that the proof of the equivalence $(1) \Leftrightarrow (4)$ of Theorem 4.5 gives us an *alternative proof* of Corollary 4.2 in the case where *s* is a *pro-C birational Galois section*, and *k* is either the *field of rational numbers* or an *imaginary quadratic field*. Indeed, in the notation of Corollary 4.2, it follows from the argument given in the proof of Corollary 4.2 that every pro-*C* birational Galois section *s* of *X*/*k* satisfies condition (4) of Theorem 4.5. Thus, it follows from the equivalence $(1) \Leftrightarrow (4)$ of Theorem 4.5 that *s* is *geometric*.

Corollary 4.6. Let k be either the field of rational numbers or an imaginary quadratic field and \overline{k} an algebraic closure of k. Write $G_k \stackrel{\text{def}}{=} \operatorname{Gal}(\overline{k}/k)$ and \mathfrak{P}_k^f for the set of nonarchimedean primes of k. For each $\mathfrak{p} \in \mathfrak{P}_k^f$, write $k_{\mathfrak{p}}$ for the \mathfrak{p} -adic completion of k. Then the following assertions are equivalent:

- (1) Any pro-Primes birational Galois section [cf. Definition 1.2] of any projective smooth curve over k [cf. §0] is geometric [cf. Definition 1.3].
- (2) Any pro- \mathfrak{Primes} birational Galois section of \mathbb{P}^1_k/k is geometric.
- (3) Any pro- \mathfrak{Primes} birational Galois section s of \mathbb{P}^1_k/k satisfies the following two conditions:
 - (3-i) There exist three distinct elements $a, b, c \in \mathbb{P}_k^1(k)$ of $\mathbb{P}_k^1(k)$ such that, for any nonarchimedean prime \mathfrak{p} of k, the [uniquely determined — cf. Lemma 2.7] $k_{\mathfrak{p}}$ -valued point of \mathbb{P}_k^1 associated to s [cf. Definition 2.6; Proposition 2.9] is $\notin \{a, b, c\} \subseteq$ $(\mathbb{P}_k^1(k) \subseteq) \mathbb{P}_k^1(k_{\mathfrak{p}}).$
 - (3-ii) There exists a prime number l such that the pro-l Galois section of P¹_k \ {0, 1, ∞} [cf. Definition 1.2] naturally determined by s is either cuspidal [cf. Definition 4.3, (i)] or unramified almost everywhere [cf. Definition 4.3, (ii)].
- (4) Any pro- \mathfrak{Primes} birational Galois section s of \mathbb{P}^1_k/k satisfies the following two conditions:
 - (4-i) There exist three distinct elements $a, b, c \in \mathbb{P}_k^1(k)$ of $\mathbb{P}_k^1(k)$ such that, for any nonarchimedean prime \mathfrak{p} of k, the [uniquely determined — cf. Lemma 2.7] $k_{\mathfrak{p}}$ -valued point of \mathbb{P}_k^1 associated to s [cf. Definition 2.6; Proposition 2.9] is $\notin \{a, b, c\} \subseteq$ $(\mathbb{P}_k^1(k) \subseteq) \mathbb{P}_k^1(k_{\mathfrak{p}}).$
 - (4-ii) Write $s^{\mathbb{P}}$ for the pro- \mathfrak{Primes} Galois section of $\mathbb{P}^1_k \setminus \{0, 1, \infty\}$ naturally determined by s. Then it holds either that $s^{\mathbb{P}}$ is **cuspidal**, or that there exists a prime number l such that the l-adic

Galois representation

$$G_k \xrightarrow{s^{\mathbb{P}}} \Pi_{(\mathbb{P}^1_k \setminus \{0,1,\infty\})/k}^{\mathfrak{Primes}} \longrightarrow \mathrm{GL}_2(\mathbb{Z}_l)$$

— where we refer to Definition 1.1, (ii), concerning the profinite group $\Pi_{(\mathbb{P}^1_k \setminus \{0,1,\infty\})/k}^{\mathfrak{Primes}}$; the second arrow $\Pi_{(\mathbb{P}^1_k \setminus \{0,1,\infty\})/k}^{\mathfrak{Primes}} \rightarrow$ $\operatorname{GL}_2(\mathbb{Z}_l)$ is the *l*-adic representation determined by the **Legendre family of elliptic curves** over $\mathbb{P}^1_k \setminus \{0,1,\infty\}$, *i.e.*, the elliptic curve over $\mathbb{P}^1_k \setminus \{0,1,\infty\} = \operatorname{Spec} k[u^{\pm 1}, (1-u)^{-1}]$ determined by the equation " $y^2 = x(x-1)(x-u)$ " — is unramified at all but finitely many $\mathfrak{p} \in \mathfrak{P}^f_k$.

Proof. The implications $(1) \Rightarrow (2) \Rightarrow (4)$ are immediate [cf. also Remark 2.6.1]. On the other hand, the implication $(2) \Rightarrow (1)$ follows immediately from the fact that any projective smooth curve over k may be obtained as the normalization of \mathbb{P}^1_k in the finite extension of $k(\mathbb{P}^1_k)$ corresponding to an open subgroup of $\Pi^{\mathfrak{Primes}}_{k(\mathbb{P}^1_k)/k}$. Moreover, let us observe that it follows immediately from Proposition 4.4, together with Remark 2.6.1, that the implications $(2) \Rightarrow (3)$ holds.

Finally, we verify the implication $(3) \Rightarrow (2)$ (respectively, $(4) \Rightarrow (2)$). Suppose that assertion (3) (respectively, assertion (4)) holds. Let s be a pro- \mathfrak{P} rimes birational Galois section of \mathbb{P}_k^1/k . For each nonarchimedean prime \mathfrak{p} of k, write $x_\mathfrak{p}$ for the [uniquely determined] $k_\mathfrak{p}$ -valued point of \mathbb{P}_k^1 associated to s. Then it follows from condition (3-i) (respectively, condition (4-i)) that, by considering a suitable automorphism of \mathbb{P}_k^1 over k, we may assume without loss of generality that, for any $\mathfrak{p} \in \mathfrak{P}_k^f$, $x_\mathfrak{p} \in \mathbb{P}_k^1(k_\mathfrak{p})$ is $\notin \{0, 1, \infty\} \subseteq \mathbb{P}_k^1(k_\mathfrak{p})$. Thus, for any prime number l, by applying Lemma 1.4 [to " $x_\mathfrak{p}$ " for $\mathfrak{p} \in \mathfrak{P}_k^f$ whose residue characteristic is = l], it follows immediately that the pro-l Galois section $s^{\mathbb{P},\{l\}}$ of $\mathbb{P}_k^1 \setminus \{0, 1, \infty\}$ obtained as the composite

$$G_k \stackrel{s}{\longrightarrow} \Pi_{k(\mathbb{P}^1_k)/k}^{\mathfrak{Primes}} \longrightarrow \Pi_{(\mathbb{P}^1_k \setminus \{0,1,\infty\})/k}^{\{l\}} \,,$$

hence also the pro- \Re rimes Galois section $s^{\mathbb{P}}$ of $\mathbb{P}^1_k\setminus\{0,1,\infty\}$ obtained as the composite

$$G_k \xrightarrow{s} \Pi_{k(\mathbb{P}^1_k)/k}^{\mathfrak{Primes}} \longrightarrow \Pi_{(\mathbb{P}^1_k \setminus \{0,1,\infty\})/k}^{\mathfrak{Primes}},$$

is *not cuspidal*. Thus, by condition (3-ii) (respectively, condition (4-ii)), we conclude that there exists a prime number l_0 such that $s^{\mathbb{P},\{l_0\}}$ is *unramified almost everywhere* (respectively, the l_0 -adic Galois representation obtained as the displayed composite of condition (4-ii) is *unramified at all but finitely many* $\mathfrak{p} \in \mathfrak{P}_k^f$). Thus, since [we have assumed that] for any $\mathfrak{p} \in \mathfrak{P}_k^f$, $x_{\mathfrak{p}} \in \mathbb{P}_k^1(k_{\mathfrak{p}})$ is $\notin \{0, 1, \infty\} \subseteq \mathbb{P}_k^1(k_{\mathfrak{p}})$, it follows immediately from Proposition A.7 (respectively, [14], Theorem 1) that the birational pro- \mathfrak{P} rimes Galois section *s* of \mathbb{P}_k^1/k satisfies condition (3) of Theorem 4.5, hence also [by the equivalence

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(1) \Leftrightarrow (3) of Theorem 4.5] that *s* is *geometric*. This completes the proof of the implication (3) \Rightarrow (2) (respectively, (4) \Rightarrow (2)), hence also of Corollary 4.6.

APPENDIX A. RAMIFICATION OF GALOIS SECTIONS

In the present §A, we discuss the *ramification of Galois sections* of hyperbolic curves over *p*-adic local fields. In the present §A, let $\Sigma \subseteq \mathfrak{P}$ rimes be a nonempty subset of \mathfrak{P} rimes [cf. §0], *k* a *p*-adic local field for some prime number *p* [cf. §0], \overline{k} an algebraic closure of *k*, and *X* a *hyperbolic curve* over *k* [cf. §0]. For a finite extension $k' (\subseteq \overline{k})$ of *k*, write

$$G_{k'} \stackrel{\text{def}}{=} \operatorname{Gal}(\overline{k}/k') ,$$
$$I_{k'} \subseteq G_{k'}$$

for the inertia subgroup of $G_{k'}$, and

$$\mathfrak{o}_{k'} \subseteq k'$$

for the ring of integers of k'. Write, moreover,

 X^{cpt}

for the [uniquely determined] smooth compactification of X over k;

$$\Delta_{X/k}^{\Sigma}$$

for the *pro*- Σ *geometric fundamental group* of *X*, i.e., the maximal pro- Σ quotient of $\pi_1(X \otimes_k \overline{k})$;

 $\Pi_{X/k}^{\Sigma}$

for the *geometrically pro-* Σ *fundamental group* of X, i.e., the quotient of $\pi_1(X)$ by the kernel of the natural surjection $\pi_1(X \otimes_k \overline{k}) \twoheadrightarrow \Delta_{X/k}^{\Sigma}$. Thus, we have an exact sequence of profinite groups [cf. [3], Exposé IX, Théorème 6.1]

$$1 \longrightarrow \Delta_{X/k}^{\Sigma} \longrightarrow \Pi_{X/k}^{\Sigma} \longrightarrow G_k \longrightarrow 1.$$

Let *s* be a pro- Σ Galois section of X/k [cf. [6], Definition 1.1, (i)], i.e., a section of the above exact sequence of profinite groups.

Definition A.1. We shall say that *s* is *unramified* (respectively, *poten*-*tially unramified*) if the image of the composite

$$I_k \longrightarrow G_k \xrightarrow{s} \Pi_{X/k}^{\Sigma} \longrightarrow \operatorname{Aut}(\Delta_{X/k}^{\Sigma})$$

— where the third arrow is the action of $\Pi_{X/k}^{\Sigma}$ on $\Delta_{X/k}^{\Sigma}$ obtained by conjugation — is trivial (respectively, finite).

Proposition A.2. *The following hold:*

(i) If p ∈ Σ, then any pro-Σ Galois section of X/k [cf. [6], Definition 1.1, (i)] is not potentially unramified, hence also not unramified [cf. Definition A.1].

(ii) If X does not admit good reduction over \mathfrak{o}_k [cf. §0], then any pro- Σ Galois section of X/k is not unramified.

Proof. Let *s* be a pro- Σ Galois section of X/k. First, we verify assertion (i). Now one verifies easily that there exists a *characteristic* open subgroup $H \subseteq \Delta_{X/k}^{\Sigma}$ of $\Delta_{X/k}^{\Sigma}$ such that the connected finite étale covering of *X* corresponding to the open subgroup $H \cdot \text{Im}(s)$ of $\Pi_{X/k}^{\Sigma}$ topologically generated by *H* and Im(s) is of *genus* ≥ 1 . On the other hand, since $H \subseteq \Delta_{X/k}^{\Sigma}$ is *characteristic*, and [as is well-known] $\Delta_{X/k}^{\Sigma}$ is *slim* [cf. §0], it follows from [7], Lemma 5, that we have a natural *injection* $\text{Aut}(\Delta_{X/k}^{\Sigma}) \hookrightarrow \text{Aut}(H)$. Thus, to verify assertion (i), by replacing $\Pi_{X/k}^{\Sigma}$ by the open subgroup $H \cdot \text{Im}(s)$, we may assume without loss of generality that X^{cpt} is of *genus* ≥ 1 . Next, let us observe that, as is well-known, since $p \in \Sigma$, and X^{cpt} is of *genus* ≥ 1 , there exist G_k -equivariant isomorphisms

$$H^2(\Delta_{X^{\mathrm{cpt}}/k}^{\Sigma}, \mathbb{Z}_p) \simeq H^2(X^{\mathrm{cpt}} \otimes_k \overline{k}, \mathbb{Z}_p) \simeq \mathbb{Z}_p(1)$$

— where "(1)" denotes a *Tate twist*. In particular, [the restriction to I_k of] the *p*-adic cyclotomic representation $\chi_p: I_k \to \operatorname{Aut}(\mathbb{Z}_p(1))$ factors through the displayed composite of Definition A.1. On the other hand, one may verify easily that the image of χ_p is *infinite*. Thus, *s* is *not potentially unramified*. This completes the proof of assertion (i).

Next, we verify assertion (ii). Suppose that *X* does *not admit good reduction* over \mathfrak{o}_k . Now it follows from assertion (i) that, to verify assertion (ii), we may assume without loss of generality that $p \notin \Sigma$. Then it follows immediately from [17], Theorem 0.8, that the image of the composite

$$I_k \longrightarrow \operatorname{Aut}(\Delta_{X/k}^{\Sigma}) \longrightarrow \operatorname{Out}(\Delta_{X/k}^{\Sigma})$$

— where the first arrow is the displayed composite of Definition A.1 — is *nontrivial*, hence that *s* is *not unramified*. This completes the proof of assertion (ii). \Box

Definition A.3. If *X* admits *good reduction* \mathcal{X} over \mathfrak{o}_k [cf. §0], then we shall write

$$(\pi_1(X) \twoheadrightarrow \Pi_{X/k}^{\Sigma} \twoheadrightarrow) \Pi_{X/k}^{\Sigma-\text{\acute{e}t}}$$

for the quotient of $\pi_1(X)$ by the normal closed subgroup topologically normally generated by the kernels of the natural surjections $\pi_1(X) \twoheadrightarrow \Pi_{X/k}^{\Sigma}, \pi_1(X) \twoheadrightarrow \pi_1(\mathcal{X})$. Thus, the natural surjection $\Pi_{X/k}^{\Sigma} \twoheadrightarrow G_k$ determines a surjection $\Pi_{X/k}^{\Sigma-\text{\acute{e}t}} \twoheadrightarrow G_k/I_k$. We shall write

 $\Delta_{X/k}^{\Sigma-\text{\acute{e}t}}$

for the kernel of the surjection $\prod_{X/k}^{\Sigma-\text{\acute{e}t}} \twoheadrightarrow G_k/I_k$. Thus, we have a commutative diagram of profinite groups



— where the horizontal sequences are *exact*.

Remark A.3.1. In the notation of Definition A.3, as is well-known, if $p \notin \Sigma$, then the left-hand vertical arrow $\Delta_{X/k}^{\Sigma} \to \Delta_{X/k}^{\Sigma-\acute{e}t}$ of the commutative diagram of Definition A.3 is an *isomorphism*. In particular, the right-hand upper horizontal arrow $\Pi_{X/k}^{\Sigma} \to G_k$ induces an *isomorphism* Ker($\Pi_{X/k}^{\Sigma} \to \Pi_{X/k}^{\Sigma-\acute{e}t}) \xrightarrow{\sim} I_k$, and the right-hand square of the commutative diagram of Definition A.3 is *cartesian*.

Proposition A.4. *The following conditions are equivalent:*

- (1) *s* is **unramified** [*cf.* Definition A.1].
- (2) $p \notin \Sigma$, X admits good reduction over \mathfrak{o}_k [cf. §0], and the image of the composite

$$I_k \hookrightarrow G_k \xrightarrow{s} \Pi_{X/k}^{\Sigma} \twoheadrightarrow \Pi_{X/k}^{\Sigma-\text{\acute{e}t}}$$

[cf. Definition A.3] is trivial.

(3) $p \notin \Sigma$, X admits good reduction over o_k , and the composite

$$I_k \hookrightarrow G_k \stackrel{s}{\to} \Pi_{X/k}^{\Sigma}$$

determines an isomorphism

$$I_k \xrightarrow{\sim} \operatorname{Ker}(\Pi_{X/k}^{\Sigma} \twoheadrightarrow \Pi_{X/k}^{\Sigma-\operatorname{\acute{e}t}}).$$

(4) p ∉ Σ, and, for any open subgroup H ⊆ Π^Σ_{X/k} of Π^Σ_{X/k} containing the image of s, the connected finite étale covering of X corresponding to H ⊆ Π^Σ_{X/k} admits good reduction over o_k.

Proof. First, we verify the equivalence $(1) \Leftrightarrow (2)$. It follows immediately from Proposition A.2 that both (1) and (2) imply that $p \notin \Sigma$, and that X admits *good reduction* over \mathfrak{o}_k . Thus, suppose that these conditions are satisfied. Write J for the image of the displayed composite of condition (2). Then it follows immediately from the existence of the commutative diagram of Definition A.3 that $J \subseteq \Delta_{X/k}^{\Sigma-\acute{e}t} \subseteq \Pi_{X/k}^{\Sigma-\acute{e}t}$. Thus, it follows immediately from Remark A.3.1 that the displayed composite $I_k \to \operatorname{Aut}(\Delta_{X/k}^{\Sigma})$ of Definition A.1 factors as

$$I_k \twoheadrightarrow J \hookrightarrow \Delta_{X/k}^{\Sigma \text{-\acute{et}}} \to \operatorname{Aut}(\Delta_{X/k}^{\Sigma \text{-\acute{et}}}) \stackrel{\sim}{\leftarrow} \operatorname{Aut}(\Delta_{X/k}^{\Sigma})$$

— where the third arrow is the action of $\Delta_{X/k}^{\Sigma-\text{\acute{e}t}}$ on $\Delta_{X/k}^{\Sigma-\text{\acute{e}t}}$ obtained by conjugation. Now since, as is well-known, $\Delta_{X/k}^{\Sigma} \xrightarrow{\sim} \Delta_{X/k}^{\Sigma-\text{\acute{e}t}}$ is *center-free*, the third arrow of this composite is *injective*. Therefore, it follows

immediately that the condition that *s* is *unramified* is equivalent to the condition that $J = \{1\}$. This completes the proof of the equivalence $(1) \Leftrightarrow (2)$.

The equivalence (2) \Leftrightarrow (3) follows immediately from Remark A.3.1. Next, we verify the implication (3) \Rightarrow (4). Suppose that condition (3) is satisfied. Then it is immediate that if an open subgroup of $\Pi_{X/k}^{\Sigma}$ contains the image of *s*, then it arises from an open subgroup of $\Pi_{X/k}^{\Sigma-\text{\acute{e}t}}$; thus, it follows immediately from the various definitions involved that the corresponding connected finite étale covering of *X* admits *good reduction* over \mathfrak{o}_k . This completes the proof of the implication (3) \Rightarrow (4).

Finally, we verify the implication $(4) \Rightarrow (3)$. Suppose that condition (4) is satisfied. Let $H \subseteq \Pi_{X/k}^{\Sigma}$ be an open subgroup of $\Pi_{X/k}^{\Sigma}$ containing the image of *s*. Write $Y \to X$ for the connected finite étale covering of X corresponding to $H \subseteq \Pi_{X/k}^{\Sigma}$; thus, $\Pi_{Y/k}^{\Sigma} = H \subseteq$ $\Pi_{X/k}^{\Sigma}$. Then it follows from condition (4) that Y admits good reduction over o_k . Thus, it follows from [9], Lemma 8.3, that the morphism $Y \rightarrow X$ extends to a morphism between their [uniquely determined] smooth models. In particular, it follows immediately from the definitions of $\Pi_{X/k}^{\Sigma-\text{\acute{e}t}}$ and $\Pi_{Y/k}^{\Sigma-\text{\acute{e}t}}$ that the inclusion $\Pi_{Y/k}^{\Sigma} \subseteq \Pi_{X/k}^{\Sigma}$ determines an inclusion $\operatorname{Ker}(\Pi_{Y/k}^{\Sigma'} \twoheadrightarrow \Pi_{Y/k}^{\Sigma-\operatorname{\acute{e}t}}) \subseteq \operatorname{Ker}(\Pi_{X/k}^{\Sigma} \twoheadrightarrow \Pi_{X/k}^{\Sigma-\operatorname{\acute{e}t}})$. Thus, it follows immediately from Remark A.3.1 that $\operatorname{Ker}(\Pi_{Y/k}^{\Sigma} \twoheadrightarrow \Pi_{Y/k}^{\Sigma - \acute{e}t}) =$ $\operatorname{Ker}(\Pi_{X/k}^{\Sigma} \twoheadrightarrow \Pi_{X/k}^{\Sigma-\text{\acute{e}t}})$, hence that $\operatorname{Ker}(\Pi_{X/k}^{\Sigma} \twoheadrightarrow \Pi_{X/k}^{\Sigma-\text{\acute{e}t}}) \subseteq \Pi_{Y/k}^{\Sigma} = H$. Therefore, by considering the intersection of such H's, we obtain that $\operatorname{Ker}(\Pi_{X/k}^{\Sigma} \twoheadrightarrow \Pi_{X/k}^{\Sigma \text{-}\acute{et}}) \subseteq \operatorname{Im}(s)$. Thus, again by Remark A.3.1, we conclude that condition (3) holds. This completes the proof of the implication $(4) \Rightarrow (3)$, hence also of Proposition A.4. \square

Lemma A.5. Suppose that $p \notin \Sigma$, and that X admits **good reduction** over \mathfrak{o}_k [cf. §0]. Let $\Pi \subseteq \Pi_{X/k}^{\Sigma-\acute{et}}$ be an open subgroup of $\Pi_{X/k}^{\Sigma-\acute{et}}$. Write $s_{\mathfrak{o}}$ for the composite $G_k \xrightarrow{s} \Pi_{X/k} \twoheadrightarrow \Pi_{X/k}^{\Sigma-\acute{et}}$, $k' (\subseteq \overline{k})$ for the [necessarily unramified] finite extension of k corresponding to the image of the composite $\Pi \hookrightarrow \Pi_{X/k}^{\Sigma-\acute{et}} \twoheadrightarrow G_k/I_k$, $Y \to X$ for the connected finite étale covering of X corresponding to the open subgroup $\Pi \subseteq \Pi_{X/k}^{\Sigma-\acute{et}}$, and Y^{cpt} for the [uniquely determined] smooth compactification of Y over k'. [Here, it follows immediately from the various definitions involved that Y is a **hyperbolic curve** over k'; Y, hence also Y^{cpt} , admits **good reduction** over $\mathfrak{o}_{k'}$; $\Pi_{Y/k'}^{\Sigma-\acute{et}} = \Pi \subseteq \Pi_{X/k}^{\Sigma-\acute{et}}$.] Suppose, moreover, that Y is of **genus** \geq 2. Then the image of the composite

$$s_{\mathfrak{o}}(I_k) \cap \Delta_{Y/k'}^{\Sigma-\text{\acute{e}t}} \hookrightarrow \Delta_{Y/k'}^{\Sigma-\text{\acute{e}t}} \twoheadrightarrow \Delta_{Y^{\mathrm{cpt}}/k'}^{\Sigma-\text{\acute{e}t}} \twoheadrightarrow (\Delta_{Y^{\mathrm{cpt}}/k'}^{\Sigma-\text{\acute{e}t}})^{\mathrm{ab}}$$

— where the second arrow is the surjection induced by the open immersion $Y \hookrightarrow Y^{\text{cpt}}$ — is trivial.

Proof. This follows immediately from a similar argument to the argument used in the proof of assertion (ii) in the proof of [6], Lemma 3.3. \Box

Proposition A.6. Suppose that $p \notin \Sigma$, and that X admits good reduction over \mathfrak{o}_k [cf. §0]. Then the following conditions are equivalent:

- (1) *s* is ramified, *i.e.*, not unramified [*cf. Definition A.1*].
- (2) The image of the composite

$$I_k \hookrightarrow G_k \xrightarrow{s} \Pi_{X/k}^{\Sigma} \twoheadrightarrow \Pi_{X/k}^{\Sigma-\text{\acute{e}t}}$$

is a nontrivial closed subgroup of a cuspidal inertia subgroup of $\prod_{X/k}^{\Sigma-\text{\'et}}$ associated to a cusp of X/k.

(3) The image of the composite

$$I_k \hookrightarrow G_k \xrightarrow{s} \Pi_{X/k}^{\Sigma} \twoheadrightarrow \Phi_{X/k}^{\Sigma}$$

— where $\Phi_{X/k}^{\Sigma}$ is the quotient of $\Pi_{X/k}^{\Sigma}$ defined in [7], Definition 1, (iv), i.e., the quotient of $\Pi_{X/k}^{\Sigma}$ by the kernel $Z_{\Pi_{X/k}^{\Sigma}}(\Delta_{X/k}^{\Sigma})$ of the homomorphism $\Pi_{X/k}^{\Sigma} \to \operatorname{Aut}(\Delta_{X/k}^{\Sigma})$ obtained by conjugation — is a nontrivial closed subgroup of a cuspidal inertia subgroup of $\Phi_{X/k}^{\Sigma}$ associated to a cusp of X/k.

(4) There exists an element $l \in \Sigma$ of Σ such that the pro-l Galois section of X/k [cf. [6], Definition 1.1, (i)] naturally determined by s is ramified.

Proof. First, we verify the equivalence $(1) \Leftrightarrow (2)$. It follows from the equivalence (1) \Leftrightarrow (2) of Lemma A.4 that s is ramified if and only if the image of the composite of condition (2) is *nontrivial*. On the other hand, it follows immediately from the existence of the commutative diagram of Definition A.3, together with Remark A.3.1, that the composite of condition (2) factors through the maximal pro- Σ quotient of I_k , which is, as is well-known, procyclic. Thus, it follows immediately from Lemma A.5, together with [5], Lemma 1.6, that the equivalence $(1) \Leftrightarrow (2)$ holds. This completes the proof of the equivalence $(1) \Leftrightarrow (2)$. Next, let us observe that the implication $(3) \Rightarrow (1)$ follows immediately from the various definitions involved [cf. also the definition of the quotient $\Phi_{X/k}^{\Sigma}$]. Next, we verify the implication (2) \Rightarrow (3). Since, as is well-known, $\Delta_{X/k}^{\Sigma}$ is *slim* [cf. §0], it follows from [7], Proposition 6, (ii), together with Remark A.3.1, that we have a sequence of natural surjections $\Pi_{X/k}^{\Sigma} \twoheadrightarrow \Pi_{X/k}^{\Sigma-\text{\acute{e}t}} \twoheadrightarrow \Phi_{X/k}^{\Sigma}$ which induces an *injection* $\Delta_{X/k}^{\Sigma} \xrightarrow{\sim} \Delta_{X/k}^{\Sigma-\text{\acute{e}t}} \hookrightarrow \Phi_{X/k}^{\Sigma}$. Thus, one verifies easily that the implication $(2) \Rightarrow (3)$ holds. This completes the proof of the implication $(2) \Rightarrow (3)$. Finally, we verify the equivalence (1) \Leftrightarrow (4). For each nonempty subset $\Sigma' \subseteq \Sigma$ of Σ , write $J_{\Sigma'}$ for the image of the composite

$$I_k \hookrightarrow G_k \xrightarrow{s} \Pi_{X/k}^{\Sigma} \twoheadrightarrow \Pi_{X/k}^{\Sigma'} \twoheadrightarrow \Pi_{X/k}^{\Sigma' - \text{\acute{et}}}.$$

Then it follows immediately from the verified equivalence (1) \Leftrightarrow (2), together with the well-known structure of the maximal pro- Σ quotient of the fundamental group of a smooth curve over an algebraically closed field of characteristic $\notin \Sigma$, that, for each nonempty subset $\Sigma' \subseteq \Sigma$ of Σ , the image $J_{\Sigma'}$ is *procyclic*, and, moreover, $J_{\Sigma'}$ is the *maximal pro*- Σ' *quotient* of J_{Σ} [relative to the natural surjection $J_{\Sigma} \twoheadrightarrow J_{\Sigma'}$]. In particular, we conclude that $J_{\Sigma} = \{1\}$ if and only if $J_{\{l\}} = \{1\}$ for any $l \in \Sigma$, i.e., the equivalence (1) \Leftrightarrow (4) holds. This completes the proof of the equivalence (1) \Leftrightarrow (4), hence also of Proposition A.6.

Proposition A.7. Suppose that $p \notin \Sigma$, and that *s* is **geometric** [cf. [6], Definition 1.1, (iii)]. Let $x \in X^{\operatorname{cpt}}(k)$ be a *k*-rational point of X^{cpt} such that a decomposition subgroup of $\Pi_{X/k}^{\Sigma}$ associated to *x* contains the image of *s*. Consider the following three conditions:

- (1) *s* is **unramified** [*cf.* Definition A.1].
- (2) $x \in X(k)$, and the hyperbolic curve $X \setminus \{x\}$, hence also the hyperbolic curve X, over k admits **good reduction** over \mathfrak{o}_k [cf. §0].
- (3) $x \notin X(k)$.

Then we have implications

$$(2) \Longrightarrow (1) \Longrightarrow either (2) or (3).$$

In particular, if $x \in X(k)$, then we have an equivalence

$$(1) \iff (2)$$

Proof. To verify Proposition A.7, it is immediate that it suffices to verify that if $x \in X(k)$, then condition (1) is equivalent to condition (2). Thus, suppose that $x \in X(k)$. Now let us observe that it follows immediately from Proposition A.2, (ii), that both (1) and (2) imply that *X* admits *good reduction* over o_k . Thus, we may assume without loss of generality that X admits good reduction over \mathfrak{o}_k . Moreover, observe that it follows immediately from the equivalence $(1) \Leftrightarrow (4)$ of Proposition A.6 that, to verify the equivalence $(1) \Leftrightarrow (2)$, by considering the pro-*l* Galois section of X/k naturally determined by s where *l* ranges over elements of Σ — we may assume without loss of generality that Σ is of *cardinality* 1. On the other hand, since Σ is of cardinality 1, it follows immediately from [7], Proposition 19, (ii), that the kernel of the composite $G_k \xrightarrow{s} \Pi_{X/k}^{\Sigma} \to \operatorname{Aut}(\Delta_{X/k}^{\Sigma})$ coincides with the kernel of the pro- Σ outer Galois representation associated to the hyperbolic curve $X \setminus \{x\}$ over k. Thus, the equivalence (1) \Leftrightarrow (2) follows immediately from [17], Theorem 0.8. This completes the proof of Proposition A.7.

Proposition A.8. Suppose that $p \notin \Sigma$, that X admits good reduction over \mathfrak{o}_k [cf. §0], and that X is proper over k. Then any pro- Σ Galois section of X/k [cf. [6], Definition 1.1, (i)] is unramified [cf. Definition A.1].

Proof. This follows immediately from the equivalence $(1) \Leftrightarrow (2)$ of Proposition A.6.

Remark A.8.1. Proposition A.8 may be regarded as a *Galois section version* of the *valuative criterion for properness* of morphisms of schemes.

Remark A.8.2. In [13], Saïdi proved the existence of a *nongeometric* pro- Σ Galois section in the situation of Proposition A.8 [cf. [13], Proposition 4.2.1].

Proposition A.9. Suppose that $p \notin \Sigma$, and that X admits good reduction over \mathfrak{o}_k [cf. §0]. Then s is unramified if and only if s is potentially unramified [cf. Definition A.1].

Proof. This follows immediately from the equivalence $(1) \Leftrightarrow (2)$ of Proposition A.6, together with the well-known fact that any cuspidal inertia subgroup of $\Pi_{X/k}^{\Sigma-\text{\acute{e}t}}$ associated to a cusp of X/k is isomorphic to $\widehat{\mathbb{Z}}^{\Sigma}$ as an abstract profinite group.

Proposition A.10. Let Y be a hyperbolic curve over k and $X \to Y$ a **dominant** morphism over k. Write s_Y for the pro- Σ Galois section of Y/k [cf. [6], Definition 1.1, (i)] determined by s, i.e., the composite $G_k \xrightarrow{s} \Pi_{X/k}^{\Sigma} \to \Pi_{Y/k}^{\Sigma}$. Then the following hold:

- (i) Write Φ^Σ_{X/k}, Φ^Σ_{Y/k} for the respective quotients of Π^Σ_{X/k}, Π^Σ_{Y/k} defined in [7], Definition 1, (iv) [cf. also the statement of condition (3) of Proposition A.6]. Then the natural homomorphism Π^Σ_{X/k} → Π^Σ_{Y/k} induces a homomorphism Φ^Σ_{X/k} → Φ^Σ_{Y/k}.
- (ii) If s is unramified (respectively, potentially unramified) [cf. Definition A.1], then s_Y is unramified (respectively, potentially unramified).
- (iii) Suppose that $X \to Y$ is finite, and that X and Y admit good reduction over \mathfrak{o}_k [cf. §0]. Then s is unramified if and only if s_Y is unramified.

Proof. First, we verify assertion (i). Now since, as is well-known, the profinite group $\Delta_{Y/k}^{\Sigma}$ is *slim* [cf. §0], for any open subgroup $H \subseteq \Delta_{Y/k}^{\Sigma}$ of $\Delta_{Y/k}^{\Sigma}$, it follows immediately from [7], Lemma 5, that $N_{\Pi_{Y/k}}(H) \cap Z_{\Pi_{Y/k}}(\Delta_{Y/k}^{\Sigma}) = Z_{\Pi_{Y/k}}(H)$. Thus, it follows immediately from the fact that the natural homomorphism $\Delta_{X/k}^{\Sigma} \to \Delta_{Y/k}^{\Sigma}$ is *open* that the natural homomorphism $\Pi_{X/k}^{\Sigma} \to \Pi_{Y/k}^{\Sigma}$ induces a homomorphism $\Phi_{X/k}^{\Sigma} \to \Phi_{Y/k}^{\Sigma}$. This completes the proof of assertion (i). Assertion (ii) follows immediately from the various definitions involved, together with assertion (i) [cf. also the definitions of the quotients

 $\Phi_{X/k}^{\Sigma}, \Phi_{Y/k}^{\Sigma}$]. Finally, we verify assertion (iii). It follows from Proposition A.2, (i), that both the condition that *s* is *unramified* and the condition that s_Y is *unramified* imply that $p \notin \Sigma$. Thus, suppose that $p \notin \Sigma$. On the other hand, since $X \to Y$ is *finite*, one verifies easily that the restriction of the natural homomorphism $\Delta_{X/k}^{\Sigma} \to \Delta_{Y/k}^{\Sigma}$ to any cuspidal inertia subgroup of $\Pi_{X/k}^{\Sigma}$ associated to a cusp of X/k is *injective*. Thus, assertion (iii) follows immediately from assertions (i), (ii); the equivalence (1) \Leftrightarrow (3) of Proposition A.6, together with the fact that the sequences of natural surjections $\Pi_{X/k}^{\Sigma} \to \Pi_{X/k}^{\Sigma-\text{\acute{e}t}} \to \Phi_{X/k}^{\Sigma}$, $\Pi_{Y/k}^{\Sigma-\text{\acute{e}t}} \to \Phi_{Y/k}^{\Sigma}$ induce *injections* $\Delta_{X/k}^{\Sigma} \to \Delta_{X/k}^{\Sigma-\text{\acute{e}t}} \to \Phi_{X/k}^{\Sigma}$, $\Delta_{Y/k}^{\Sigma-\text{\acute{e}t}} \to \Phi_{Y/k}^{\Sigma}$, respectively [cf. the proof of the implication (2) \Rightarrow (3) of Proposition A.6]. This completes the proof of assertion (iii).

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