# Rigidity of Graphs and Frameworks 

Bill Jackson<br>School of Mathematical Sciences<br>Queen Mary, University of London<br>England

Durham, 16 July, 2013

## Bar-and-Joint Frameworks

- A d-dimensional bar-and-joint framework is a pair $(G, p)$, where $G=(V, E)$ is a graph and $p$ is a map from $V$ to $\mathbb{R}^{d}$.


## Bar-and-Joint Frameworks

- A d-dimensional bar-and-joint framework is a pair $(G, p)$, where $G=(V, E)$ is a graph and $p$ is a map from $V$ to $\mathbb{R}^{d}$.
- We consider the framework to be a straight line realization of $G$ in $\mathbb{R}^{d}$ in which the length of an edge $u v \in E$ is given by the Euclidean distance $\|p(u)-p(v)\|$ between the points $p(u)$ and $p(v)$.


## Rigidity and Global Rigidity

Two frameworks $(G, p)$ and $(G, q)$ are:

## Rigidity and Global Rigidity

Two frameworks $(G, p)$ and $(G, q)$ are:

- equivalent if $\|p(u)-p(v)\|=\|q(u)-q(v)\|$ for all $u v \in E$;


## Rigidity and Global Rigidity

Two frameworks $(G, p)$ and $(G, q)$ are:

- equivalent if $\|p(u)-p(v)\|=\|q(u)-q(v)\|$ for all $u v \in E$;
- congruent if $\|p(u)-p(v)\|=\|q(u)-q(v)\|$ for all $u, v \in V$.


## Rigidity and Global Rigidity

Two frameworks $(G, p)$ and $(G, q)$ are:

- equivalent if $\|p(u)-p(v)\|=\|q(u)-q(v)\|$ for all $u v \in E$;
- congruent if $\|p(u)-p(v)\|=\|q(u)-q(v)\|$ for all $u, v \in V$.

A framework $(G, p)$ is:

- globally rigid if every framework which is equivalent to ( $G, p$ ) is congruent to ( $G, p$ );


## Rigidity and Global Rigidity

Two frameworks $(G, p)$ and $(G, q)$ are:

- equivalent if $\|p(u)-p(v)\|=\|q(u)-q(v)\|$ for all $u v \in E$;
- congruent if $\|p(u)-p(v)\|=\|q(u)-q(v)\|$ for all $u, v \in V$.

A framework $(G, p)$ is:

- globally rigid if every framework which is equivalent to ( $G, p$ ) is congruent to ( $G, p$ );
- rigid if there exists an $\epsilon>0$ such that every framework $(G, q)$ which is equivalent to ( $G, p$ ) and satisfies $\|p(v)-q(v)\|<\epsilon$ for all $v \in V$, is congruent to $(G, p)$. (This is equivalent to saying that every continuous motion of the vertices of $(G, p)$ which preserves the lengths of all edges of $(G, p)$, also preserves the distances between all pairs of vertices of $(G, p)$.)


## Example



Figure: A 2-dimensional example. The framework ( $G, p_{1}$ ) can be obtained from ( $G, p_{0}$ ) by a continuous motion which preserves all edge lengths, but changes the distance between $v_{1}$ and $v_{3}$. Thus ( $G, p_{0}$ ) is not rigid.

## Example



Figure : A rigid 2-dimensional framework which is not globally rigid. All edges in both frameworks have the same length, but the distance from $v_{1}$ to $v_{3}$ is different.

## Complexity

- It is NP-hard to determine whether a given $d$-dimensional framework ( $G, p$ ) is globally rigid for $d \geq 1$ (J. B. Saxe), or rigid for $d \geq 2$ (Abbot).


## Complexity

- It is NP-hard to determine whether a given $d$-dimensional framework $(G, p)$ is globally rigid for $d \geq 1$ (J. B. Saxe), or rigid for $d \geq 2$ (Abbot).
- These problems becomes more tractable if we restrict attention to 'generic' frameworks (those for which the set of coordinates of all points $p(v), v \in V$, is algebraically independent over $\mathbb{Q}$ ).

The rigidity matrix $R(G, p)$ of a framework $(G, p)$ is an $|E| \times d|V|$ matrix with rows indexed by $E$ and sequences of $d$ consecutive columns indexed by $V$.

The rigidity matrix $R(G, p)$ of a framework $(G, p)$ is an $|E| \times d|V|$ matrix with rows indexed by $E$ and sequences of $d$ consecutive columns indexed by $V$.

The entries in the row corresponding to an edge $e \in E$ and columns corresponding to a vertex $u \in V$ are given by the vector $p(u)-p(v)$ if $e=u v$ is incident to $u$ and is the zero vector if $e$ is not incident to $u$.

## The Rigidity Matrix

The rigidity matrix $R(G, p)$ of a framework $(G, p)$ is an $|E| \times d|V|$ matrix with rows indexed by $E$ and sequences of $d$ consecutive columns indexed by $V$.

The entries in the row corresponding to an edge $e \in E$ and columns corresponding to a vertex $u \in V$ are given by the vector $p(u)-p(v)$ if $e=u v$ is incident to $u$ and is the zero vector if $e$ is not incident to $u$.

The rigidity matrix is the Jacobean matrix of the rigidity map $f_{G}: \mathbb{R}^{d n} \rightarrow \mathbb{R}^{m}$ defined by

$$
f_{G}(p)=\left(\ell_{p}\left(e_{1}\right), \ell_{p}\left(e_{2}\right), \ldots, \ell_{p}\left(e_{m}\right)\right)
$$

where $\ell_{p}\left(e_{i}\right)$ is the squared length of edge $e_{i}$ in $(G, p)$.

## Rigidity matrix: Example



$$
\left(\begin{array}{cccc}
p\left(v_{1}\right)-p\left(v_{2}\right) & p\left(v_{2}\right)-p\left(v_{1}\right) & \mathbf{0} & \mathbf{0} \\
\mathbf{0} & p\left(v_{2}\right)-p\left(v_{3}\right) & p\left(v_{3}\right)-p\left(v_{2}\right) & \mathbf{0} \\
\mathbf{0} & \mathbf{0} & p\left(v_{3}\right)-p\left(v_{4}\right) & p\left(v_{4}\right)-p\left(v_{3}\right) \\
p\left(v_{1}\right)-p\left(v_{4}\right) & \mathbf{0} & \mathbf{0} & p\left(v_{4}\right)-p\left(v_{1}\right) \\
\mathbf{0} & p\left(v_{2}\right)-p\left(v_{4}\right) & \mathbf{0} & p\left(v_{4}\right)-p\left(v_{2}\right)
\end{array}\right)
$$

## The Rigidity Matrix: Theorem

Theorem [Asimow and Roth, 1979]
Let $(G, p)$ be a $d$-dimensional framework with $n \geq d+1$ vertices. Then:

- rank $R(G, p) \leq n d-\binom{d+1}{2}$.
- If rank $R(G, p)=n d-\binom{d+1}{2}$ then $(G, p)$ is rigid.
- When $(G, p)$ is generic, $(G, p)$ is rigid if and only if

$$
\operatorname{rank} R(G, p)=n d-\binom{d+1}{2}
$$

## The Rigidity Matrix: Theorem

## Theorem [Asimow and Roth, 1979]

Let $(G, p)$ be a $d$-dimensional framework with $n \geq d+1$ vertices. Then:

- rank $R(G, p) \leq n d-\binom{d+1}{2}$.
- If rank $R(G, p)=n d-\binom{d+1}{2}$ then $(G, p)$ is rigid.
- When $(G, p)$ is generic, $(G, p)$ is rigid if and only if

$$
\operatorname{rank} R(G, p)=n d-\binom{d+1}{2}
$$

It follows that the rigidity of a generic framework $(G, p)$ depends only on the graph $G$.

## Independent graphs

- A graph $G$ is independent in $\mathbb{R}^{d}$ if the rows of $R(G, p)$ are linearly independent for any generic ( $G, p$ ).


## Independent graphs

- A graph $G$ is independent in $\mathbb{R}^{d}$ if the rows of $R(G, p)$ are linearly independent for any generic ( $G, p$ ).
- If we can determine when $G$ is independent in $\mathbb{R}^{d}$ then we can decide if $G$ is rigid.


## Independent graphs

- A graph $G$ is independent in $\mathbb{R}^{d}$ if the rows of $R(G, p)$ are linearly independent for any generic ( $G, p$ ).
- If we can determine when $G$ is independent in $\mathbb{R}^{d}$ then we can decide if $G$ is rigid.
- A necessary condition for independence in $\mathbb{R}^{d}$ is that

$$
i(X) \leq d|X|-\binom{d+1}{2}
$$

for all $X \subseteq V$ with $|X| \geq d+1$ (where $i(X)$ denotes the number of edges of $G$ joining vertices in $X$.)

## Independent graphs

- A graph $G$ is independent in $\mathbb{R}^{d}$ if the rows of $R(G, p)$ are linearly independent for any generic ( $G, p$ ).
- If we can determine when $G$ is independent in $\mathbb{R}^{d}$ then we can decide if $G$ is rigid.
- A necessary condition for independence in $\mathbb{R}^{d}$ is that

$$
i(X) \leq d|X|-\binom{d+1}{2}
$$

for all $X \subseteq V$ with $|X| \geq d+1$ (where $i(X)$ denotes the number of edges of $G$ joining vertices in $X$.)

- This necessary condition is sufficient to imply independence when $d=1$ and when $d=2$ (Laman 1970). It is not sufficient when $d \geq 3$.

A stress for a framework $(G, p)$ is a map $w: E \rightarrow \mathbb{R}^{m}$ such that, for all $v \in V$,

$$
\sum_{u v \in E} w_{e}(p(u)-p(v))=\mathbf{0}
$$

A stress for a framework $(G, p)$ is a map $w: E \rightarrow \mathbb{R}^{m}$ such that, for all $v \in V$,

$$
\sum_{u v \in E} w_{e}(p(u)-p(v))=\mathbf{0} .
$$

The associated stress matrix $S(G, p, w)$ is the $n \times n$ matrix with rows and columns indexed by $V$ in which the entry corresponding to an edge $u v \in E$ is $w_{e}$, all other off-diagonal entries are zero, and the diagonal entries are chosen to give zero row and column sums.

## The Stress Matrix: Theorem

## Theorem [Connelly (2005); Gortler, Healy and Thurston (2010)]

Let $(G, p)$ be a generic $d$-dimensional framework with $n \geq d+1$ vertices. Then

- rank $S(G, p, w) \leq n-d-1$ for all stresses $w$ for $(G, p)$.
- $(G, p)$ is globally rigid if and only if $(G, p)$ has a stress $w$ such that rank $S(G, p, w)=n-d-1$.


## Theorem [Connelly (2005); Gortler, Healy and Thurston (2010)]

Let $(G, p)$ be a generic $d$-dimensional framework with $n \geq d+1$ vertices. Then

- rank $S(G, p, w) \leq n-d-1$ for all stresses $w$ for $(G, p)$.
- $(G, p)$ is globally rigid if and only if $(G, p)$ has a stress $w$ such that rank $S(G, p, w)=n-d-1$.

This implies that the global rigidity of a generic framework $(G, p)$ depends only on the graph $G$.

## Global rigidity

## Theorem [Hendrickson (1992)]

If $G$ is globally rigid in $\mathbb{R}^{d}$ and $n \geq d+1$ then $G$ is $d+1$-connected and redundantly rigid i.e. $G-e$ is rigid for all $e \in E$.

## Global rigidity

## Theorem [Hendrickson (1992)]

If $G$ is globally rigid in $\mathbb{R}^{d}$ and $n \geq d+1$ then $G$ is $d+1$-connected and redundantly rigid i.e. $G-e$ is rigid for all $e \in E$.

These necessary conditions for global rigidity are sufficient when $d=1$ and when $d=2$ (Connelly, 2005; Jackson and Jordán, 2005). They are not sufficient for $d \geq 3$.

## Point-Line Frameworks

Let $G=(P \cup L, E)$ be a graph with two types of vertices representing points and lines in $\mathbb{R}^{2}$. A point-line framework is defined by a map $p: P \cup L \rightarrow \mathbb{R}^{2}$, where $p(v)$ gives the coordinates of $v$ for $v \in P$ and $p(I)$ gives the cartesian equation for $I$ when $I \in L$.

Let $G=(P \cup L, E)$ be a graph with two types of vertices representing points and lines in $\mathbb{R}^{2}$. A point-line framework is defined by a map $p: P \cup L \rightarrow \mathbb{R}^{2}$, where $p(v)$ gives the coordinates of $v$ for $v \in P$ and $p(I)$ gives the cartesian equation for $I$ when $I \in L$.

Edges of $G$ from $P$ to $P \cup L$ represent distance constraints, edges from $L$ to $L$ represent angle constraints.

## Point-Line Frameworks

Let $G=(P \cup L, E)$ be a graph with two types of vertices representing points and lines in $\mathbb{R}^{2}$. A point-line framework is defined by a map $p: P \cup L \rightarrow \mathbb{R}^{2}$, where $p(v)$ gives the coordinates of $v$ for $v \in P$ and $p(I)$ gives the cartesian equation for $I$ when $I \in L$.

Edges of $G$ from $P$ to $P \cup L$ represent distance constraints, edges from $L$ to $L$ represent angle constraints.

## Problem [John Owen]

Determine when a generic point-line framework is rigid.

## Point-Line Frameworks

Let $G=(P \cup L, E)$ be a graph with two types of vertices representing points and lines in $\mathbb{R}^{2}$. A point-line framework is defined by a map $p: P \cup L \rightarrow \mathbb{R}^{2}$, where $p(v)$ gives the coordinates of $v$ for $v \in P$ and $p(I)$ gives the cartesian equation for $I$ when $I \in L$.

Edges of $G$ from $P$ to $P \cup L$ represent distance constraints, edges from $L$ to $L$ represent angle constraints.

## Problem [John Owen]

Determine when a generic point-line framework is rigid.

Two necessary conditions for generic independence are that:
$i(X) \leq 2|X|-3$ for all $X \subseteq P \cup L$ with $|X| \geq 2$;
$i(X) \leq|X|-1$ for all $X \subseteq L$ with $|X| \geq 1$.
These conditions are not sufficient.

## Scaler-Product Rigidity

Two $d$-dimensional frameworks $(G, p)$ and $(G, q)$ are:

- equivalent if $p(u) \cdot p(v)=q(u) \cdot q(v)$ for all $u v \in E$;
- congruent if $p(u) \cdot p(v)=q(u) \cdot q(v)$ for all $u, v \in V$.

Rigidity and global rigidity are defined analogously.

## Scaler-Product Rigidity

Two $d$-dimensional frameworks $(G, p)$ and $(G, q)$ are:

- equivalent if $p(u) \cdot p(v)=q(u) \cdot q(v)$ for all $u v \in E$;
- congruent if $p(u) \cdot p(v)=q(u) \cdot q(v)$ for all $u, v \in V$.

Rigidity and global rigidity are defined analogously.
Two necessary conditions for generic independence of $G$ are that:

- $i(X) \leq d|X|-\binom{d}{2}$ for all $X \subseteq V$ with $|X| \geq d$;
- $|E(H)| \leq d|V(H)|-d^{2}$ for all bipartite subgraphs $H \subseteq G$ with at least $d$ vertices on each side of their bipartition.


## Scaler-Product Rigidity

Two $d$-dimensional frameworks $(G, p)$ and $(G, q)$ are:

- equivalent if $p(u) \cdot p(v)=q(u) \cdot q(v)$ for all $u v \in E$;
- congruent if $p(u) \cdot p(v)=q(u) \cdot q(v)$ for all $u, v \in V$.

Rigidity and global rigidity are defined analogously.
Two necessary conditions for generic independence of $G$ are that:

- $i(X) \leq d|X|-\binom{d}{2}$ for all $X \subseteq V$ with $|X| \geq d$;
- $|E(H)| \leq d|V(H)|-d^{2}$ for all bipartite subgraphs $H \subseteq G$ with at least $d$ vertices on each side of their bipartition.
Singer and Cucirangu (2010) show that these conditions are sufficient to characterise independence (and hence rigidity) when $d=1$. They also show that $G$ is generically globally rigid when $d=1$ if and only if $G$ is connected and not bipartite.


## Scaler-Product Rigidity

Two $d$-dimensional frameworks $(G, p)$ and $(G, q)$ are:

- equivalent if $p(u) \cdot p(v)=q(u) \cdot q(v)$ for all $u v \in E$;
- congruent if $p(u) \cdot p(v)=q(u) \cdot q(v)$ for all $u, v \in V$.

Rigidity and global rigidity are defined analogously.
Two necessary conditions for generic independence of $G$ are that:

- $i(X) \leq d|X|-\binom{d}{2}$ for all $X \subseteq V$ with $|X| \geq d$;
- $|E(H)| \leq d|V(H)|-d^{2}$ for all bipartite subgraphs $H \subseteq G$ with at least $d$ vertices on each side of their bipartition.
Singer and Cucirangu (2010) show that these conditions are sufficient to characterise independence (and hence rigidity) when $d=1$. They also show that $G$ is generically globally rigid when $d=1$ if and only if $G$ is connected and not bipartite.
The necessary conditions for generic independence are not sufficient when $d \geq 2$.

