

Part-1

## Zeta Functions on Finite type schemes.

 $X$  - a finite type scheme /  $\mathbb{Z}$ . $|X|$  - closed points of  $X$ .Definition: (Arithmetic Zeta)~~The arithmetic~~ A formal product 
$$\zeta_X(s) = \prod_{x \in |X|} (1 - (\#k(x))^{-s})^{-1}$$
 $(k(x)$  is the residue fi. at  $x$ ).To see it is well-def'd, ~~we~~ we need to show $\#k(x)$  is finite. Actually  $k(x)$  can only be a num. fi. or a finite field by basic alg. geom. sett'g. So only need to show $\#k(x)$  is not a num. fi.To show  $k(x)$  is not a num. fi., basic alg. geom. argument shows we only need to show $K$  is not finite-type over  $\mathbb{Q}_K$ .I.e.  $K \not\cong \mathbb{Q}_K[t_1, \dots, t_n]/I$ .

Two methods to see this:

alg.  
geom  
method

① "Flat finite-type morphisms between var. schs are open."  
So the im. of  $\text{Spec } K$  in  $\text{Spec } \mathcal{O}_K$  is open,  
impossible. [EGA IV.2.9.6].

num.  
theoretic  
method

② "Unique prime id. factorization of frac. id.s"  
A little more tedious but not difficult indeed.

### Example

When  $X = \text{Spec } \mathcal{O}_K$ ,  $\zeta_X(s)$  is the Dedekind ~~zeta~~ <sup>zeta</sup> funct.  
Particularly, when  $\mathcal{O}_K = \mathbb{Z}$ , we recover the Riemann zeta.

$$\zeta_X(s) = \prod_{\mathfrak{p}} \left( 1 - (\#\mathcal{O}_K/\mathfrak{p})^{-s} \right)^{-1}$$

$$\zeta_{\mathbb{Z}}(s) = \prod_{\mathfrak{p}} \left( 1 - (\#\mathbb{Z}/\mathfrak{p})^{-s} \right)^{-1}$$

### Example

When  $X/\mathbb{F}_q$  is a var. over a finite fi.  $\mathbb{F}_q$ .

$$\zeta_X(s) = \prod_{x \in |X|} \left( 1 - (q^{\deg(x)})^{-s} \right)^{-1}$$

$$= \prod_{x \in |X|} \left( 1 - t^{\deg(x)} \right)^{-1} = Z(X, t)$$

$$(t = q^{-s})$$

### Theorem

$$Z(X, t) = \exp \left( \sum_{r=1}^{\infty} N_r \frac{t^r}{r} \right), \quad N_r = \# X(\overline{\mathbb{F}}_{q^r})$$

(as a formal power series /  $\mathbb{Q}$ )

Coro

$$N_n = \left( \left( \log z(X, t) \right)^{(n)} \Big|_{t=0} \right) / (n-1)!$$

So, if we have a well-understand of  $z(X, t)$ .

We got a well method for approaching of  $N_r$ , the distribution of the rational points of  $X$ .

Ideas on proof of the theorem:

- ① Take "log" on both side, ② do Taylor expansion,
- ③ do differentials, ④ do ~~some~~ combinatorial tricks.

See Beilinson's lecture notes (by Boyarchenko) on a description of Frobenius-orbit character of  $\zeta$ .

Part-2 | Weil Conjectures (1948) ~~is solved~~

① Rationality:

$$Z(X, t) = \frac{P_1(t) \cdot P_3(t) \cdot \dots \cdot P_{2d-1}(t)}{P_0(t) \cdot P_2(t) \cdot \dots \cdot P_{2d}(t)}$$

is a rat. func. /  $\mathbb{Q}$ .

$d = \dim X$ ,  $X$  a smooth proj. geom. var. var.

Here  $P_i$  is of certain form expected.

And the degree of  $P_i$  is denoted  $\beta_i$  - Betti num.

② Functional Equat.:

$$Z(X, \frac{1}{q^s t}) = (-q^{\frac{d}{2}} t)^{\chi} \cdot Z(X, t).$$

$$\chi = \sum_{i=0}^{2d} (-1)^i \beta_i \quad \text{— Euler-Poincaré characteristic.}$$

③ Betti num.:

If  $X$  lifts to a smooth proj. var.  $Y$  / num. fi. then we can arrange the  $\beta_i$  to be the  $i$ th Betti num. of  $Y_{\mathbb{C}}$  as a complex manifold.

④ Riemann hypothesis analogue:

One can arrange the factors so that

$$P_i(t) \in \mathbb{Z}[t] \quad \& \quad |\alpha_{ij}| = q^{\frac{i}{2}}$$

for  $0 \leq i \leq 2d$ . And this arrangement is compatible with the Betti number.

$$(\alpha_{ij} \text{ appeared in } P_i(t) = \prod_j (1 - \alpha_{ij} t))$$

Note that in case  $X$  is a curve, by ~~var-change~~ variable-change  $t = q^{-s}$ , the above actually says all zeros ~~poles~~ of  $Z(X, q^{-s})$  lie on the vertical line  $\Re s = \frac{1}{2}$ . So we call it a Riemann hypothesis analogue.

Application:

If  $Z(X, t)$  is rat., then by the linear recurs. relat. of ~~rat.~~ rat. func., if finitly of  $\{N_r\}$  are known then the whole  $\{N_r\}$  will be known.

# Part-3: Rationality & Weil cohomology

Weil observed a "nice" cohomology theory should be helpful.

Nice:

- ① Poincaré duality:  $H^i(X) \times H^j(X) \xrightarrow{\text{ed-}} H^{i+j}(X) \cong K$ .
- ② Künneth formula:  $H^*(X) \otimes_k H^*(Y) \cong H^*(X \times Y)$
- ③ Cycle gr. maps:  $d_X^r: C^r(X) \rightarrow H^{2r}(X)$ .

i: Some additional axioms: e.g. vanish for  $i > 2d$ .

ii:  $H^*(-)$ : a contravariant functor from cat. of  $k$ -var.s to some subcat. of  $k$ -vec. sp.s.

## Example:

De Rham cohomology, singular cohom  
(these are Weil cohom. /  $\mathbb{C}$ , or field of char=0)

The cohomology theory enters into our ~~situation~~ situation  
(over a finite field!) is called ~~the~~  $\ell$ -adic cohomology.

Everything begins with the observation that a ~~left~~ Lefschetz-type fixed pt. thm should be helpful.

~~Thanks to the axioms we expect~~  
~~for the Weil cohomology.~~ We have  
such a theorem as a formal consequence  
from the Weil axioms (nontrivially!)

Theorem (Lefschetz fixed pt. for Frobenius)

$$N_r = \sum_{i=0}^{2d} (-1)^i \text{Tr} (F_{r,q}^r / H^i(x))$$

Why it is called a fixed pt. char.?

Note that  $N_r = \# X(\overline{F}_{q^r}) = \# X(\overline{F}_q)^{F_{r,q}^r}$

(Prob.:  $F_{r,q}^r : a \mapsto a^{q^r} / \overline{F}_q$ )

Let's prove the rationality!

~~Let~~  $\alpha_{i,j} \in \overline{K}$  ( $j=1, \dots, \beta_i$ ). ( $\beta_i$  can be zero!)

the eigenvalues of  $F_{r,q}^r / H^i(x)$

Then by linear alg.:

$$\text{Tr} (F_{r,q}^r / H^i(x)) = \sum_{j=1}^{\beta_i} \alpha_{i,j}^r$$

Proof (of rat.):

$$Z(X,t) = \exp \left( \sum_{r=1}^{\infty} N_r \frac{t^r}{r} \right) = \exp \left( \sum_{r=1}^{\infty} \sum_{i=0}^{2d} (-1)^i \text{Tr} \left( \frac{F_{r,q}^r}{H^i} \right) \frac{t^r}{r} \right)$$

$$= \exp \left( \sum_{i=0}^{2d} (-1)^i \left( \sum_{j=1}^{\beta_i} \sum_{r=1}^{\infty} \alpha_{i,j}^r \frac{t^r}{r} \right) \right)$$

(Taylor exp.!)  $= \exp \left( \sum_{i=0}^{2d} (-1)^i \left( \log \prod_{j=1}^{\beta_i} (1 - \alpha_{i,j} t)^{-1} \right) \right)$

$$= \frac{P_1(t) \cdot P_2(t) \cdots P_{2d-1}(t)}{P_0(t) \cdot P_2(t) \cdots P_{2d}(t)}$$

$$P_0(t) \cdot P_2(t) \cdots P_{2d}(t)$$

(Have we proved char.?)

(Continued):

A supplement lemma

If  $f(t) \in K(t) \cap \mathbb{Q}[[t]]$ , then  $f(t) \in \mathbb{Q}(t)$ .

Proof: See [Bourbaki, Algebra] ~~chap. 4~~  $\odot$

Part - 4 Étale topology & étale cohomology.

~~# Reason for Zariski top not given good enough: ellipse & hyperbola (How about étale?)~~

I <sup>want</sup> ~~don't want to~~ give the precise def.s, which may be too technical.

- ① Étale morphisms. — morphisms that induce iso.s at tangent spaces.
- ② Étale top. (Grothendieck top.) — A ~~space~~ system of families of étale morphisms with certain properties. (mimic the open covers)  
(on some cat.  $C$ )
- ③ Étale site — "  $C$  " + "the étale topology"  
(on some cat.  $C$ )
- ④ Étale sheaves — contravariant functors from the site to  $\mathcal{A}b$  with unique gluing property.
- ⑤ ~~When~~ When  $X$  is scheme. Let  $C$  be cat. of étale mor.s of ~~let  $C$  be  $X$ -schemes~~. Denote by  $Sh(X_{\text{ét}})$  the cat. of étale sheaves. It is a typical topos.

## Definition (étale cohomology).

The derived functors of taking global sections.

$$R(X, -): \text{Sh}(X_{\text{ét}}) \rightarrow \text{Ab}$$

Denote them by  $H^r(X_{\text{ét}}, -)$ .

## Definition ( $l$ -adic cohomology) ( $l \neq 0$ in $\mathbb{F}_q$ )

$$H^r(X_{\text{ét}}, \mathbb{Q}_l) := \left( \varprojlim_n H^r(X_{\text{ét}}, \mathbb{Z}/l^n) \right) \otimes_{\mathbb{Z}_l} \mathbb{Q}_l.$$

|| This is the expected Weil cohomology that worked in our situation. ~~It works also~~

Turn to relate between Galois cohomology

① Finite Galois exts.  $k \hookrightarrow k'$  are étale.

②  $\mathcal{F} \mapsto \varprojlim_{k'} R\Gamma(\text{Spec } k', \mathcal{F}) \cong: \mathcal{M}_{\mathcal{F}}$  is an equivalence functor between  $\text{Sh}(X_{\text{ét}})$  and  $\text{Mod}(G)$  (disc  $G$ -mod) where  $X = \text{Spec}(k)$ ,  $G = \text{Gal}(\overline{k}/k)$

## Theorem

$$H^r(X_{\text{ét}}, \mathcal{F}) \cong H^r(G, \mathcal{M}_{\mathcal{F}}).$$

|| So étale cohomology generalizes the Galois cohomology, which is the case of a point!

|| étale is defined for any loc. use. sch. not only varieties.

|| See Milne for some form of generalizations of arithmetic duality, Galois has the advantage being more elementary, étale cohom is more heuristic and more machinery, geom.