## Coproducts for Permutation Groups,

 Transformation Semigroups, Automata and Related CategoriesChrystopher L. Nehaniv joint work with Fariba Karimi

Woflson Royal Society Biocomputation Laboratory
Science and Technology Research Institute
University of Hertfordshire, U.K.

> EU FP7 Project BIOMICS
"Permutation Groups and Transformation Semigroups"
LMS EPSRC Symposium
Durham, U.K. - 21st July 2015

## Motivation: Gluing Faithful Permutation Groups and Transformation Semigroups

We show the existence and describe the structure of coproducts in the following categories with objects ( $X, S$ ), with $X$ a set and $S \subseteq X^{X}$ a set of functions on $X$ closed under composition, writing $x \cdot s$ for $s \in S$ applied to $x \in X$ :
permutation groups PermGrp (each $s \in S$ is a permutation of $X$ and $S$ is group) transformation monoids $T M$ (identity $i^{\prime} \in S$ )
transformation semigroups $T S$ partial transformation semigroups PTS. (Each $s$ partial function from $x$ to $x$ )

Also for the variants PermGrp ${ }_{*}, T M_{*}, T S_{*}, P T S_{*}$ of these categories with base-points $* \in X$ and base-point preserving maps.

All in all these categories actions are faithful: if elements $s_{1}, s_{2}$ of the group (resp., monoid, semigroup) act the same on all states, then they are equal.

Related to these we describe coproducts in various automata categories (deterministic partial; complete deterministic; nondeterministic partial;

A morphism $\psi$ of permutation groups $(X, S)$ to $\left(X^{\prime}, S^{\prime}\right)$ is a set map $\psi^{\text {state }}: X \rightarrow X^{\prime}$ and homomorphism $\psi^{\text {operators }}: S \rightarrow S^{\prime}$, with

$$
\begin{gathered}
\psi^{\text {state }}(x \cdot s)=\psi^{\text {state }}(x) \cdot \psi^{\text {operator }}(s) \forall x \in X, s \in S \\
\psi^{\text {operator }}\left(s_{1} s_{2}\right)=\psi^{\text {operator }}\left(s_{1}\right) \psi^{\text {operator }}\left(s_{2}\right) \forall s_{1}, s_{2} \in S
\end{gathered}
$$

It follows that inverses map to inverses, and identity of $S$ maps to identity element of $S^{\prime}$ (since idempotents map to idempotents).

A transformation semigroup morphism is defined the same way. For the transformation monoid category, one must require of morphisms, that the identity of $S$ map to that of $S^{\prime}$.

## Coproduct of Groups, Monoids, or Semigroups

In groups or monoids, the coproduct is the "free product".
$S * T=\left\{\left(a_{1}, \ldots, a_{k}\right): k \geq 0\right.$, with the $a_{i} \neq 1$ alternating membership in $S$ and $T\}$.
If $k=0$ this is the identity element of $S * T$.
Multiply: $\left(a_{1}, \ldots, a_{k}\right)\left(b_{1}, \ldots, b_{n}\right)=$
$\left\{\left(a_{1}, \ldots, a_{k}, b_{1}, \ldots, b_{n}\right) \quad\right.$ if $a_{k} \in S, b_{1} \in T$, or $a_{k} \in T, b_{1} \in S$ $\left\{\right.$ reduce $\left(a_{1}, \ldots, a_{k} b_{1}, \ldots, b_{n}\right)$ if $a_{k}, b_{1} \in S$ or $a_{k}, b_{1} \in T$
where reduce means removing any 1's that appear, and combine any new neighbors by multiplication if both are from same $S$ or $T$, and then iterating reduction to get a canonical form.

Coproduct of two groups in the monoid category is the same as their coproduct in the category of groups.

$$
S * T=T * S, \quad 1 * S=S
$$

## Coproduct of Semigroups

$S * T=\left\{\left(a_{1}, \ldots, a_{k}\right): k \geq 1\right.$, with the $a_{i}$ alternating membership in $S$ and $T\}$.
Multiply: $\left(a_{1}, \ldots, a_{k}\right)\left(b_{1}, \ldots, b_{n}\right)=$

$$
\begin{cases}\left(a_{1}, \ldots, a_{k}, b_{1}, \ldots, b_{n}\right) & \text { if } a_{k} \in S, b_{1} \in T, \text { or } a_{k} \in T, b_{1} \in S \\ \left(a_{1}, \ldots, a_{k} b_{1}, \ldots, b_{n}\right) & \text { if } a_{k}, b_{1} \in S \text { or } a_{k}, b_{1} \in T\end{cases}
$$

For semigroups, coproduct of two nonempty semigroups is always infinite, e.g., $1^{*} 1$ is infinite.
$S * T=T * S, \quad \emptyset * S=S$,
$S * T$ is not a monoid unless one factor is a monoid and the other is empty. Can make $S * T$ into a monoid by adjoining a new identity element $\lambda$ (empty sequence).

A coproduct $(X, S) \amalg(Y, T)$ of permutation groups $(X, S)$ and $(Y, T)$, if it exists is some $(Q, C)$ with two maps $i_{(X, S)}$ and $i_{(Y, T)}$ to ( $Q, C$ ) such that when $j$ 's are given to some permutation group $(Z, U)$ then these factor uniquely through $(Q, C)$ :


Observe: A coproduct is unique up to isomorphism (if it exists).

Obvious guesses about what the coproduct should be are mostly wrong....

Example: Let $[n]=\{1, \ldots, n\}$. What could ([3], $\left.S_{3}\right) \coprod\left([2], Z_{2}\right)$ be? What could ([3], $\left.Z_{3}\right) \coprod\left([2], Z_{2}\right)$ be?

Obvious guesses about what the coproduct should be are mostly wrong....

Example: What could $\left([3], Z_{3}\right) \coprod\left([2], Z_{2}\right)$ be?
Take disjoint union of state sets as new state set?
Group acting should be free product (coproduct) of $Z_{3} * Z_{2}$ or their direct product $Z_{3} \times Z_{2}$ ?

How to act on states from the other component with embedded copies of $Z_{3}$ and $Z_{2}$ ?

- Trivially? Undefined?? (Good idea for partial trans. semigroups...)

See black board: What if $(Z, U)$ is given by identifying one of the states of each factor?
NB: Images of $Z_{3}$ and $Z_{2}$ in $U$ under the $j$ 's do not commute!
So their preimages under the unique $\varphi$ cannot commute either.
So can't act trivially on the other component.
(So coproduct can't have group the direct product).
Also action must be faithful, so if $Z_{3} * Z_{2}$ acts, the state set $Q$ is infinite. BI MICS later in talk: Compare this to $\left([3], S_{3}\right) *\left([2], Z_{2}\right)$ in PermGrp ${ }_{*}, T S_{*}$

## Coproduct of Permutation Groups

## Theorem

In the category of permutation groups PermGrp, given permutation groups $(X, S)$ and $(Y, T)$, their coproduct is

$$
((X \sqcup Y) \otimes(S * T), S * T)
$$

where $S * T$ is the free product of groups and $(X \sqcup Y) \otimes(S * T)$ denotes $((X \sqcup Y) \times(S * T)) / \equiv$ under the equivlaence relation $\equiv$ generated by

$$
\begin{align*}
& (a, s w) \sim(a \cdot s, w), \quad \text { if } a \in X, s \in S, \\
& (a, t w) \sim(a \cdot t, w), \quad \text { if } a \in Y, t \in T, \tag{2}
\end{align*}
$$

where $i_{X}:(X, S) \rightarrow((X \sqcup Y) \otimes(S * T), S * T)$ maps $x \mapsto(x, 1)$, $s \mapsto s \in S * T$, and $i_{Y}:(Y, T) \rightarrow((X \sqcup Y) \otimes(S * T), S * T)$ maps $y \mapsto(y, 1), t \mapsto t \in S * T$.

## Outline of Proof for Coproduct of Permutation Groups

Rewrite elements of $(X \sqcup Y) \times(S * T)$ to equivalent elements in canonical form, $a \in X \sqcup Y, w \in S * T$ : Move letters $s$ and $t$ from $w$ to the left when action of the $s$ or $t$ is defined on a in the factor until impossible . Action $(a, w) \cdot w^{\prime}=\left(a, w w^{\prime}\right), a \in X \sqcup Y, w, w^{\prime} \in S * T$ is well-defined on equivalence classes.
Action is faithful, so we have a (faithful) permutation group $((X \sqcup Y) \otimes(S * T), S * T)$.
Existence of unique morphism to any $(Z, U)$ making diagram commute: let $\varphi: S * T \rightarrow U$ be the unique homomorphism (for the coproduct $S * T$ ).
For states: $\operatorname{Map}(a, w)$ to $j_{X}(a) \cdot \varphi(w)$ if $a \in X$ or to $j_{Y}(a) \cdot \varphi(w)$ for $a \in Y$.
This is well-defined on equivalence classes: if we apply an equivalence rule this gives same member of $Z$. E.g.,
$(x, s w) \mapsto j_{x}(x) \cdot \varphi(s w)=j x(x) \cdot \varphi(s) \varphi(w)=\left(j_{x}(x) \cdot \varphi(s)\right) \cdot \varphi(w)=$ $\left(j_{X}(x) \cdot j_{S}(s)\right) \cdot \varphi(w)=j_{X}(x \cdot s) \cdot \varphi(w)$, which is where ( $x, s w$ ) maps. The diagram commutes as required. Uniqueness of state-map follows easily since it is determined where $(x, 1)$ and $(y, 1)$ must go, and hence Bl MICS ${ }^{\text {where }}(x, w)=(x, 1) \cdot w$ and $(y, w)=(y, 1) \cdot w$ go .

## Theorems for Coproducts of Transformation Monoids \& Semigroups, and with basepoints and/or parital

- Coproducts for transformation monoids are constructed in exactly the same way.
- Coproducts of transformation semigroups are constructed the same way, semigroup acting is $S * T$, but for states $S * T$ in $(X \sqcup Y) \times(S * T)$ is augmented to $(S * T) \cup\{\lambda\}$, where $\lambda$ in 2nd coordinate serves the same role 1 did in the permutation group case. (Works for $|X|,|Y| \geq 1$.)
- With basepoints, one also obtains a canonical form for states, adding one more rule $\left(x_{0}, w\right) \sim\left(y_{0}, w\right)$, where $x_{0}$ is the basepoint of $X, y_{0}$ is the basepoint of $Y$, and $w \in S * T$. In the semigroup case, we allow $w=\lambda$, the empty word. Coproduct exists for $|X|,|Y|>1$.
- For partial transformation semigroups, coproduct is very different. States are just the disjoint union. Semigroup acting is just union of $S$ and $T$ which are undefined if they act on the state of the other component. Similarly for partial transformation semigroups with basepoint.


## Theorems for Coproducts of Automata

- Theorem (Coproduct for Automata). For complete deterministic reachable automata with initial state, and with distinct inputs 'faithful' (give distinct maps on the state set), the states of the coproduct of automata $\mathcal{A}=\left(Q_{A}, X, i_{\mathcal{A}}, \delta_{X}: Q_{A} \times X \rightarrow Q_{A}\right)$ and $\mathcal{B}=\left(Q_{B}, Y, i_{\mathcal{B}}, \delta_{Y}: Q_{B} \times B \rightarrow Q_{B}\right)$ are the states of the coproduct of the pointed transformation semigroups of the transformation semigroups of its factors, taking the initial states as basepoints.
That is, the coproduct is the complete deterministic reachable automaton,

$$
\mathcal{A} \sqcup \mathcal{B}=\left(\left(Q_{A} \sqcup Q_{B}\right) \otimes(S(\mathcal{A}) * S(\mathcal{B}))^{\lambda}, X \sqcup Y, i, \delta\right)
$$

with intitial state $i=i_{X} \otimes \lambda=i_{Y} \otimes \lambda, \delta(a \otimes w, z)=a \otimes w z$ for all $a \in Q_{A} \sqcup Q_{B}, w \in(S(\mathcal{A}) * S(\mathcal{B})) \cup\{\lambda\}, z \in X \sqcup Y$.

- For partial automata, just put the automata next to each other, identifying their initial states, use disjoint union of input alphabets.
- For nondeterministic partial automata, the states are as for partial transformation semigroups, initial states identified, and input


## (Slides on Details)

## BI@MICS

## Coproduct of Transformation Semigroups

Let $(X, S)$ and $(Y, T)$ be in $T S$ with $X, Y \neq \emptyset$. Then, the coproduct state $Q$ is given by,

$$
\begin{equation*}
\left((X \sqcup Y) \otimes(S * T)^{\lambda}, S * T\right):=\left((X \sqcup Y) \times(S * T)^{\lambda}\right) / \equiv \tag{3}
\end{equation*}
$$

where $\equiv$ is the symmetric reflexive, transitive closure of $\sim$, where $\sim$ is defined by,

$$
\begin{array}{ll}
(a, s w) \sim(a \cdot s, w), & \text { if } a \in X, s \in S,  \tag{4}\\
(a, t w) \sim(a \cdot t, w), & \text { if } a \in Y, t \in T .
\end{array}
$$

- Write $a \otimes w$ for the equivalence class of $(a, w)$.
- Each element of $Q$ can be written in a canonical form $[a, v]$ where $v=\lambda$ or a shortest member of $S * T$ in canonical form, and a is either
$a \in X$ and $v$ does not start with a member of $S$, or,
$a \in Y$ and $v$ does not start with a member of $T$.


## Coproduct of Transformation Semigroups

- Then $u \in S * T$ acts on $Q$ as determined by

$$
\begin{equation*}
(a \otimes w) \cdot u=a \otimes w u \tag{5}
\end{equation*}
$$

- The action is well-defined. Indeed, if $(x, s w) \sim(x \cdot s, w)$, then, $(x, s w) \cdot u=(x, s w u) \sim(x \cdot s, w u)=(x \cdot s, w) \cdot u$.
- $S * T$ acts faithfully on $Q$. To show this, we exhaustively consider different cases where $u \neq u^{\prime}$ can happen and find a state in $Q$ where they disagree:
(1) If $u=s w, w=\lambda$ or starts with $t$ and $u^{\prime}=s^{\prime} w^{\prime}$ where $w^{\prime}=\lambda$ or starts with $t^{\prime}$ and $s \neq s^{\prime}$, then since $(X, S)$ is faithful, $\exists x \in X, x \cdot s \neq x \cdot s^{\prime}$. Thus,

$$
[x, \lambda] \cdot u=[x \cdot s, w] \neq\left[x \cdot s^{\prime}, w^{\prime}\right]=[x, \lambda] \cdot u^{\prime}
$$

## Coproduct of Transformation Semigroups

(2) If $u=s w$ and $u^{\prime}=s w^{\prime}$. Then, $u \neq u^{\prime} \Rightarrow w \neq w^{\prime}$. Thus,

$$
[x, \lambda] \cdot u=[x \cdot s, w] \neq\left[x \cdot s, w^{\prime}\right]=[x, \lambda] \cdot u^{\prime}
$$

(3) If $u=t w$ and $u^{\prime}=t^{\prime} w^{\prime}$, then it is similar to cases 1 and 2 .
(4) If $u=s w$ and $u^{\prime}=t w^{\prime}$ and $\exists x \in X, x \cdot s \neq x$, then

$$
[x, \lambda] \cdot u=[x \cdot s, w] \neq\left[x, t w^{\prime}\right]=[x, \lambda] \cdot u^{\prime} .
$$

(3) If $u=s w$ and $u^{\prime}=t w^{\prime}$ and $\forall x \in X, x \cdot s=x$ but $w \neq t w^{\prime}$, then

$$
[x, \lambda] \cdot u=[x \cdot s, w]=[x, w] \neq\left[x, t w^{\prime}\right]=[x, \lambda] \cdot u^{\prime}
$$

(0) If $u=s t w^{\prime}$ and $u^{\prime}=t w^{\prime}$ and $\forall x \in X, x \cdot s=x$, then

$$
[y, \lambda] \cdot u=\left[y, s t w^{\prime}\right] \neq\left[y \cdot t, w^{\prime}\right]=[y, \lambda] \cdot u^{\prime}
$$

This establishes faithfulness for all non-trivial cases $(X \neq \emptyset$ and $Y \neq \emptyset)$.

Then the coproduct is given by the natural inclusions $(X, S)$ and $(Y, T)$ in $(Q, S * T)$ :


## BI MICS

## Coproduct of Transformation Semigroups

- $i_{(X, S)}$ and $i_{(Y, T)}$ are defined by $x \mapsto[x, \lambda]$ and $y \mapsto[y, \lambda]$, respectively, on states, and by $s \mapsto s \in S * T$ and $t \mapsto t \in S * T$, respectively, on semigroup elements $s \in S, t \in T$.
- $i_{(X, S)}$ and $i_{(Y, T)}$ are injective. Indeed $\left[x_{1}, \lambda\right]=\left[x_{2}, \lambda\right]$ implies $x_{1}=x_{2}$ since both are in canonical form.
- Considering the semigroup component only first, since $S * T$ is the coproduct of semigroups $S$ and $T$, i.e. their free product, we take $\varphi^{\text {Operator }}: S * T \rightarrow U$ to be the unique semigroup homomorphism making the semigroup part of the diagram commute.
- The state morphism $\varphi^{\text {State }}: Q \rightarrow Z$ is defined by,

$$
[a, w] \mapsto \begin{cases}j_{(X, S)}(a), & \text { if } a \in X, w=\lambda, \\ j_{(Y, T)}(a), & \text { if } a \in Y, w=\lambda, \\ j_{(X, S)}(a) \varphi^{\text {Operator }}(w), & \text { if } a \in X, w \neq \lambda, \\ j_{(Y, T)}(a) \varphi^{\text {Operator }}(w), & \text { if } a \in Y, w \neq \lambda\end{cases}
$$

Well-defined: If $(a, w) \sim\left(a^{\prime}, w^{\prime}\right)$ then $\varphi^{\text {State }}$ maps them to same $z \in Z$.

## Coproduct of Transformation Semigroups

- $\varphi$ is a morphism.

$$
\begin{aligned}
\varphi([a, w] \cdot u) & =\varphi([a, w u]) \\
& =j(a) \varphi(w u) \\
& =j(a) \varphi(w) \varphi(u) \\
& =\varphi([a, w]) \cdot \varphi(u) .
\end{aligned}
$$

- The diagram commutes since the semigroup part commutes and $\forall x \in X, x \mapsto[x, \lambda] \mapsto j_{(x, S)}(x)$ and $\forall y \in Y, y \mapsto[y, \lambda] \mapsto j_{(Y, T)}(y)$,
- $\varphi$ is unique. Indeed, if there is another morphism $\varphi_{2}$ that commutes the diagram, then,

$$
\begin{aligned}
\varphi_{2}([a, w]) & =\varphi_{2}([a, \lambda] \cdot w) \\
& =\varphi_{2}([a, \lambda]) \cdot \varphi_{2}(w) \\
& =\varphi_{2}(i(a)) \cdot \varphi_{2}(w) \\
& =j(a) \cdot \varphi(w)=\varphi([a, w]) .
\end{aligned}
$$

- For permutation groups and transformation monoids, the arguments are the same except we interpret $\lambda$ to denote the identity of $M, N$ and $M * N$, or $G, H, G * H$, respectively.


## Theorem

Let $(X, M)$ and $(Y, N)$ be in the category of transformations monoids TM. Then their coproduct is $(X \sqcup Y) \otimes M * N, M * N):=$ $((X \sqcup Y) \times(M * N)) / \equiv, M * N)$, where $M * N$ is the free product of monoids and $\equiv$ is the symmetric, reflexive, transitive closure of $\sim$ defined by,

$$
\begin{align*}
& (a, s w) \sim(a \cdot s, w), \quad \text { if } a \in X, s \in M,  \tag{7}\\
& (a, t w) \sim(a \cdot t, w), \quad \text { if } a \in Y, t \in N .
\end{align*}
$$

## Theorem

In the category of permutation groups PermGrp, given permutation groups $(X, G)$ and $(Y, H)$, their coproduct is
$((X \sqcup Y) \otimes G * H, G * H):=((X \sqcup Y) \times(G * H)) / \equiv, G * H)$, where
$G * H$ is the free product of groups and $\equiv$ is defined as above.

## Coproduct of Pointed Transformation Semigroups

Let $(X, S)$ and $(Y, T)$ be pointed transformation semigroups with $|X|,|Y|>1$. Then, the coproduct is given by the natural inclusions $(X, S)$ and $(Y, T)$ in $(Q, S * T)$ where the coproduct state set $Q$ is given by,

$$
\begin{equation*}
(X \sqcup Y) \otimes(S * T)^{\lambda}:=\left((X \sqcup Y) \times(S * T)^{\lambda}\right) / \equiv \tag{8}
\end{equation*}
$$

where $\equiv$ is the transitive closure of $\sim$, where $\sim$ is defined by,

$$
\begin{array}{lr}
\left(x_{0}, w\right) \sim\left(y_{0}, w\right), & \text { if } x_{0}=* x, y_{0}=*_{Y}, \\
(a, s w) \sim(a \cdot s, w), & \text { if } a \in X, s \in S,  \tag{9}\\
(a, t w) \sim(a \cdot t, w), & \text { if } a \in Y, t \in T .
\end{array}
$$

The base point of $Q$ is the equivalence class $x_{0} \otimes \lambda=y_{0} \otimes \lambda$. Then, $u \in S * T$ acts on $Q$ as determined by

$$
\begin{equation*}
(a \otimes w) \cdot u=a \otimes w u \tag{10}
\end{equation*}
$$

## Coproduct of Pointed Transformation Semigroups

- Then $u \in S * T$ acts on $Q$ as determined by

$$
\begin{equation*}
(a \otimes w) \cdot u=a \otimes w u \tag{11}
\end{equation*}
$$

- The action is well-defined. Indeed,

$$
\begin{aligned}
\left(x_{0}, w\right) \sim\left(y_{0}, w\right) & \Rightarrow\left(x_{0}, w\right) \cdot u=\left(x_{0}, w u\right) \sim\left(y_{0}, w u\right)=\left(y_{0}, w\right) \cdot u \\
(x, s w) \sim(x \cdot s, w) & \Rightarrow(x, s w) \cdot u=(x, s w u) \sim(x \cdot s, w u)=(x \cdot s, w) \cdot u \\
(y, t w) \sim(y, \cdot t, w) & \Rightarrow(y, t w) \cdot u=(y, t w u) \sim(y \cdot t, w u)=(y, \cdot t, w) \cdot u
\end{aligned}
$$

- If factors are reachable, each $a \otimes w \in Q$ is reachable from basepoint $x_{0} \otimes \lambda=y_{0} \otimes \lambda$ : If $a \in X$, then $a \in X$ is reachable from basepoint $x_{0} \in X$ by some $s \in S$, so

$$
\left(x_{0} \otimes \lambda\right) \cdot s w=x_{0} \otimes s w=\left(x_{0} \cdot s\right) \otimes w=a \otimes w .
$$

Similarly, if $a \in Y$, which reachable from basepoint $y_{0} \in Y$ by some $t \in T$.

## Coproduct of Pointed Transformation Semigroups

- Each element of $Q$ can be written as in a canonical form $a \otimes w_{1} w_{2} \cdots w_{k}$ where $w_{1} w_{2} \cdots w_{k}=\lambda$ or a shortest member of $S * T$ in canonical form, and $a$ is either

$$
\begin{aligned}
& a \in X \backslash\left\{x_{0}\right\} \text { and } w_{1} \in T, \text { or, } \\
& a \in Y \backslash\left\{y_{0}\right\} \text { and } w_{1} \in S .
\end{aligned}
$$

- To show this is true, first we define a reduction system by the following rewriting rules:

$$
\begin{aligned}
(x, s w) & \mapsto(x \cdot s, w), \\
(y t, w) & \mapsto(y \cdot t, w), \\
\left(x_{0}, t w\right) & \mapsto\left(y_{0}, t w\right), \\
\left(y_{0}, s w\right) & \mapsto\left(x_{0}, s w\right),
\end{aligned}
$$

where $s w$ and $t w$ are in the $S * T$ canonical form.

## Coproduct of Pointed Transformation Semigroups

- According to the rewriting rules and the fact that $s w$ and $t w$ are in the canonical form of $S * T$, then each $(a, w)$ can be reduced to a unique normal form $\left(a^{\prime}, w^{\prime}\right)$ where non of the rewriting rules can be applied anymore. We denote the normal form of $(a, w)$ by red $(a, w)$
- Then it can be seen that $a \otimes u=a^{\prime} \otimes u^{\prime}$ implies $\operatorname{red}(a, u)=$ $\operatorname{red}\left(a^{\prime}, u^{\prime}\right)$.
- Suppose $a \otimes u=a^{\prime} \otimes u^{\prime}$, then there exists $a_{i} \in X \sqcup Y$ and $u_{i} \in S * T$ such that $(a, u) \sim\left(a_{1}, u_{1}\right) \sim \cdots \sim\left(a_{k}, u_{k}\right) \sim\left(a^{\prime}, u^{\prime}\right)$.
- It is sufficient to show that the neighbouring members $\left(a_{i}, u_{i}\right) \sim$ ( $a_{i+1}, u_{i+1}$ ) in an equivalence chain are reduced to the same canonical form.
- So it follows that equivalent ( $\mathrm{a}, \mathrm{u}$ )'s have the same canonical form. (And conversely same canonical form implies equivalence.)
- we identify $\left(x_{0}, \lambda\right)$ and $\left(y_{0}, \lambda\right)$ as the new base-point $*$.


## Coproduct of Pointed Transformation Semigroups

(1) Case one: suppose that $\left(a_{i}, u_{i}\right)=\left(x_{0}, w\right)$ and $\left(a_{i+1}, u_{i+1}\right)=\left(y_{0}, w\right)$.

- If $w=\lambda$ then $\operatorname{red}\left(x_{0}, \lambda\right)=\operatorname{red}\left(y_{0}, \lambda\right)=*$.
- Otherwise, if $w=t w^{\prime}$ then $\left(x_{0}, w\right)=\left(x_{0}, t w^{\prime}\right) \mapsto\left(y_{0}, t w^{\prime}\right)=\left(y_{0}, w\right)$ i.e. $\operatorname{red}(p, w)=\operatorname{red}\left(y_{0}, w\right)$.
- If $w=s w^{\prime}$, then $\operatorname{red}\left(y_{0}, w\right)=\operatorname{red}\left(y_{0}, \operatorname{sw}\right)=\operatorname{red}\left(x_{0}, \operatorname{sw} w^{\prime}\right)=\operatorname{red}\left(x_{0}, w\right)$.
(2) Case two: suppose $(x, s w) \sim(x \cdot s, w)$ where $x \in X$.
- If $w=\lambda$, then $(x, s w)=(x, s) \mapsto(x \cdot s, \lambda)$ i.e., $\operatorname{red}(x, s)=\operatorname{red}(x \cdot s, \lambda)$.
- If $w$ is nonempty and the canonical form of it in $S * T$ denoted by $\operatorname{Can}(w)=t w^{\prime}$, then $(x, s w)=\left(x, s t w^{\prime}\right) \mapsto\left(x \cdot s, t w^{\prime}\right)=(x \cdot s, w)$, i.e $\operatorname{red}(x, s w)=\operatorname{red}(x \cdot s, w)$.
- Otherwise, if $\operatorname{Can}(w)=s^{\prime} w^{\prime \prime}$, then $(x, s w)=\left(x, s s^{\prime} w^{\prime \prime}\right) \mapsto\left(x \cdot s s^{\prime}, w^{\prime \prime}\right)$. On the other hand, $(x \cdot s, w)=\left(x \cdot s, s^{\prime} w^{\prime \prime}\right) \mapsto\left(x \cdot s s^{\prime}, w^{\prime \prime}\right)$ therefore, $\operatorname{red}(x \cdot s, w)=(x, s w)$.
(3) Case three: suppose $(y, t w) \sim(y \cdot t, w)$ where $y \in Y$, which is similar to case two.

Then the coproduct is given by the natural inclusions $(X, S)$ and $(Y, T)$ in $(Q, S * T)$ :


## BI@MICS

## Coproduct of Pointed Transformation Semigroups

- $i_{(X, S)}$ and $i_{(Y, T)}$ are defined by $x \mapsto[x, \lambda]$ and $y \mapsto[y, \lambda]$, respectively, on states, and by $s \mapsto s \in S * T$ and $t \mapsto t \in S * T$, respectively, on semigroup elements $s \in S, t \in T$.
- The state morphism $\varphi^{\text {State }}: Q \rightarrow Z$ is defined by,

$$
[a, w] \mapsto \begin{cases}*_{Z} \cdot \varphi^{\text {Operator }}(w) & \text { if } a \in\left\{x_{0}, y_{0}\right\} \\ j_{(X, S)}(a) \cdot \varphi^{\text {Operator }}(w), & \text { if } a \in X \\ j_{(Y, T)}(a) \cdot \varphi^{\text {Operator }}(w), & \text { if } a \in Y\end{cases}
$$

It is well-defined since it is constant on equivalence classes. Proof: $\varphi^{\text {State }}$ maps $\sim$ related pairs to the same point.

## Coproduct of Pointed Transformation Monoids

In the category of pointed transformations monoids $T M_{*}$ let $(X, M)$ and $(Y, N)$ be two pointed transformation monoids. Then their coproduct is $((X \times(M * N)) \sqcup(Y \times(M * N))) / \equiv, M * N)$, where $M * N$ is the free product of monoids and $\equiv$ is the symmetric, reflexive, transitive closure of $\sim$ defined by,

$$
\begin{array}{lr}
\left(x_{0}, w\right) \sim\left(y_{0}, w\right), & \text { if } x_{0}=* x, y_{0}=* Y, \\
(a, s w) \sim(a \cdot s, w), & \text { if } a \in X, s \in S,  \tag{13}\\
(a, t w) \sim(a \cdot t, w), & \text { if } a \in Y, t \in T .
\end{array}
$$

## Coproduct of Pointed Permutation Groups

In the category of pointed permutation groups PermGrp ${ }_{*}$, given pointed permutation groups $(X, G)$ and $(Y, H)$ their coproduct is $((X \times(G * H)) \sqcup(Y \times(G * H))) / \equiv, G * H)$, where $G * H$ is the free product of groups and $\equiv$ is defined as above.

## Theorem

Let $(X, S)$ and $(Y, T)$ be pointed transformation semigroups $(|X|,|Y|>1)$. Then, their coproduct is given by,

$$
\begin{equation*}
\left((X \sqcup Y) \times(S * T)^{\lambda} / \equiv, S * T\right) \tag{14}
\end{equation*}
$$

where $\equiv$ is the transitive closure of $\sim$, where $\sim$ is defined by,

$$
\begin{array}{lr}
\left(x_{0}, w\right) \sim\left(y_{0}, w\right), & \text { if } x_{0} \text { base point of } X, y_{0} \text { base point of } Y, \\
(a, s w) \sim(a \cdot s, w), & \text { if } a \in X, s \in S, \\
(a, t w) \sim(a \cdot t, w), & \text { if } a \in Y, t \in T .
\end{array}
$$

- Caveat: If $|X|,|Y| \leq 1$, then the state set has at most one element, so $S * T$ is infinite and can't be faithful if both $S$ and $T$ are non-empty!


## Theorem

In the category of pointed transformations monoids $T M_{*}$ let $(X, M)$ and $(Y, N)$ be pointed transformation monoids $(X, Y \neq \emptyset)$. Then their coproduct is
$((X \sqcup Y) \times(M * N)) / \equiv, M * N)$, where $M * N$ is the free product of monoids and $\equiv$ is the transitive closure of $\sim$ defined by,

$$
\begin{array}{rr}
\left(x_{0}, w\right) \sim\left(y_{0}, w\right), & \text { if } x_{0} \text { base pt of } X, y_{0} \text { base } p t \text { of } Y, \\
(a, s w) \sim(a \cdot s, w), & \text { if } a \in X, s \in S,  \tag{16}\\
(a, t w) \sim(a \cdot t, w), & \text { if } a \in Y, t \in T .
\end{array}
$$

It is reachable if both its factors are.

## Theorem

In the category of pointed permutation groups PermGrp*, given pointed permutation groups $(X, G)$ and $(Y, H)$ coproduct is $((X \sqcup Y) \times(G * H)) / \equiv, G * H)$, where $G * H$ is the free product of groups and $\equiv$ is defined as above. It is reachable if both $(X, G)$ $B 1$ and (Y,H) are.

## Category of Partial Transformation Semigroups (PTS)

- Objects: A partial transformation semigroup $(X, S)$ consists of a set $X$ and $S$ is a semigroup consisting of partial transformations, rather than fully defined functions on $X$.
- A morphism of partial transformation semigroups $\varphi:(X, S) \rightarrow$ $(Y, T)$ consists of two fully-defined functions $\varphi^{\text {State }}: X \rightarrow Y$ and $\varphi^{\text {Operator }}: S \backslash\{\emptyset\} \rightarrow T \backslash\{\emptyset\}$, satisfying two conditions: A relaxed state mapping condition

$$
\begin{equation*}
\varphi^{\text {State }}(x \cdot s) \subseteq \varphi^{\text {State }}(x) \cdot \varphi^{\text {Operator }}(s), \forall x \in X, s \in S \tag{17}
\end{equation*}
$$

and a relaxed homomorphism condition

$$
\begin{equation*}
\varphi^{\text {Operator }}\left(s s^{\prime}\right) \subseteq \varphi^{\text {Operator }}(s) \varphi^{\text {Operator }}\left(s^{\prime}\right), \forall s, s^{\prime} \in S \tag{18}
\end{equation*}
$$

- In fact, morphisms are defined just as for transformation semigroups, except $\varphi(x) \cdot \varphi(s)=\varphi(x \cdot s)$ is only required to hold when $x \cdot s$ is defined.


## $\varphi^{\text {State }}(x \cdot s) \subseteq \varphi^{\text {State }}(x) \cdot \varphi^{\text {Operator }}(s), \forall x \in X, s \in S$

- Following Eilenberg, we write $x \cdot s=\emptyset$ when $x \cdot s$ is not defined, and agree to write $\varphi^{\text {State }}(\emptyset)=\emptyset$.
- Also following Eilenberg, we identify an element $x$ with the singleton set $\{x\}$. Note that $s s^{\prime}$ need not be defined anywhere even if $s$ and $s^{\prime}$ are partial transformations.
- We agree that the completely undefined transformation need not be mapped by the semigroup component of a morphism $\varphi$ : Writing $\emptyset$ for this nowhere defined transformation, we agree to write $\varphi^{\text {Operator }}(\emptyset)=\emptyset$.
- Note $\varphi^{\text {Operator }}$ is not assumed to be a semigroup homomorphism, but it will be a homomorphism whenever $(X, S)$ is a (fully-defined) transformation semigroup, and not strictly partial.
- More generally, $\varphi^{\text {Operator }}$ will be a semigroup homomorphism as long as $S$ does not contain the empty transformation $\emptyset$.
- The composition $\psi \circ \varphi$ of morphisms

$$
\begin{equation*}
(X, S) \xrightarrow{\left(\varphi^{\text {State }, ~} \varphi^{\text {Operator }}\right)}(Y, T) \xrightarrow{\left(\psi^{\text {State }}, \psi^{\text {Opeator }}\right)}(Z, U) \tag{19}
\end{equation*}
$$

is their componentwise composition as functions

$$
\begin{equation*}
(X, S)^{\left(\psi^{\text {State }} \circ \varphi^{\text {State }}, \psi^{\text {Operator } \left.\circ \varphi^{\text {Operator }}\right)}(Z, U) . . . . . .\right.} \tag{20}
\end{equation*}
$$

## Theorem

With the mentioned definitions of objects and morphisms, partial transformation semigroups comprise a category PTS.

## Corollary

The nonempty transformation semigroups $T S$ comprise a full subcategory of PTS.

## Theorem (Coproducts of Partial Transformation Semigroups)

Let $\left(X_{i}, S_{i}\right)$ be partial transformation semigroups for each $i$ in some index set $I$. (NB: in particular, some or all of the ( $X_{i}, S_{i}$ ) may be fully defined!). Then

$$
\begin{equation*}
\coprod\left(X_{i}, S_{i}\right)=\left(\bigsqcup X_{i}, \bigvee S_{i}\right) \tag{21}
\end{equation*}
$$

is their coproduct, where $\bigsqcup X_{i}$ is the disjoint union of sets and $\bigvee S_{i}$ is the semigroup generated by partial transformations $s$ on $\bigsqcup X_{i}$ such that $s$ agrees with some $s_{i} \in S_{i}$ for some $i \in I$ on $X_{i}$, and is undefined on the complement of $X_{i}$. That is, the action of elements is

$$
x \cdot s= \begin{cases}x \cdot s & \text { if } x \in X_{i}, s \in S_{i}  \tag{22}\\ \text { undefined } & \text { otherwise }\end{cases}
$$

and multiplication of semigroup elements is

$$
s s^{\prime}= \begin{cases}s s^{\prime} \in S_{i} & s, s \in S_{i}  \tag{23}\\ \text { undefined } & \text { otherwise }\end{cases}
$$

## Consequences for Computer Science / Automata

For partial automata, coproduct is easy:
The coproduct partial automata (state-transition systems without initial state) in the category of partial automaton is obtained by putting them side by side and taking the disjoint union of their input alphabets as the new input alphabet.

The coproduct of partial automata with state state in the category of paritial automata with start state is obtained by putting them side by side but identifying their start states and taking the disjoint union of their input alphabets as the new input alphabet.
(Remark: The same idea works for nondeterministic partial. The categories of partial nondeterministic automata and labelled directed multigraphs (of a certain kind) are isomorphic categories, and its easy to see what coproducts are in the latter [Karimi \& Nehaniv 2014].)

## Consequences for Computer Science / Deterministic Automata

For deterministic complete reachable automata (i.e., not partial) coproduct:

The coproduct of deterministic reachable automata $\mathcal{A}=(X, A, \delta: X \times$ $A \rightarrow X)$ and $\mathcal{B}=\left(Y, B, \delta^{\prime}: Y \times B \rightarrow Y\right)$ has states

$$
\left(X \times(S(\mathcal{A}) * S(\mathcal{B}))^{\lambda} \sqcup Y \times(S(\mathcal{A}) * S(\mathcal{B}))^{\lambda}\right) / \equiv
$$

with input alphabet $A \sqcup B$, where $S(\mathcal{A})$ denotes the transition semigroup of $\mathcal{A}$ as in the coproduct for transformation semgroups (using either equivalence relation with basepoint idenitcation if we work in automata with initial state.

Transitions are exactly as in transformation semigroup coproduct action: apply just letters (generators), and reduce to canonical form of states!

This takes us out of the finite realm, since in the deterministic world, the coproduct must account for all possibilities of how transitions can occur $B 1$ and inputs from the two automata are shuffled!

## Coproduct for PTS

Proof for Partial Transformation Semigroups.
For all $i \in I$, one has inclusion partial transformation semigroup morphisms $\iota_{i}:\left(X_{i}, S_{i}\right) \rightarrow\left(\bigsqcup X_{i}, \bigvee S_{i}\right)$ such that if $j_{i}:\left(X_{i}, S_{i}\right) \rightarrow$ $(Q, T)$ are morphisms for some fixed partial transformation semigroup $(Q, T)$, then there is a unique morphism $\varphi:\left(\bigsqcup X_{i}, \bigvee S_{i}\right) \rightarrow$ $(Q, T)$ given by defining for $x \in \bigsqcup_{i \in I} X_{i}$, where $x=x_{i}$, that $\varphi\left(x_{i}\right)=j_{i}\left(x_{i}\right)$ and for $s \in \bigvee S_{i} \backslash\{\emptyset\}$, with $s$ agreeing on $X_{i}$ with $s_{i} \in S_{i}$, that $\varphi(s)=j_{i}\left(s_{i}\right)$. Any other member of $\bigvee S_{i}$ must be the empty transformation (which is not in the domain of $\left.\varphi^{\text {Operator }}\right)$. Clearly, $\varphi$ is a morphism. Then we have $j_{i}=\varphi \circ \iota_{i}$ holds for all $i$. Moreover, $\varphi$ is unique since the equation says $\varphi\left(x_{i}\right)=\varphi\left(\iota_{i}\left(x_{i}\right)\right)=j_{i}\left(x_{i}\right)$, and, similarly for the nonempty semigroup elements in $\bigvee S_{i}$, uniquely determining $\varphi$.
Proof for $P T S_{*}$ is similar.

