# Actively querying superset labels using indecision: the k-nn case 

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## Learning with superset labels

| Features |  |  | Labels |
| :---: | :---: | :---: | :---: |
| $[0.1,1.5]$ | $\ldots$ | 0.6 | $a$ |
| 0.3 | $\ldots$ | 0.2 | $\{a, b\}$ |
| 0.3 | $\ldots$ | $[0.2,0.5]$ | $\{a, b, c\}$ |

- Partial data can induce uncertainty in learning process.
- May happen in a number of situations :
- Expert labelling,
- Using easily accessible information to get label set (e.g., actor list to do facial recognition of TV series pictures),
- Data collection with sensors of various qualities,
- Data descriptions using different levels of details (coarsening)


## Learning from partial data

## Two view points

- adapting classical approaches to learn one optimal model. For instance, defining specific loss functions [T. Cour et al, 2011.]
$\rightarrow$ Necessary assumptions on the missingness process
- learning (IP) models to get set of optimal models from all completions of partial data. For example, the paper of [E. Hullermeier, 2014].
$\rightarrow$ No or few assumptions on the missingness process


## This work

$\diamond$ We adopt the second view regarding data completions
$\diamond$ We wonder about which data to query to make better predictions

## Some formalisation

- A training set $D=\left\{x_{i}, \mathbf{y}_{i}\right\}$ with
- $x_{i} \in R^{d}$ are precise values
- $\mathbf{y}_{i} \subseteq \mathscr{Y}=\left\{\lambda_{1}, \ldots, \lambda_{M}\right\}$ are partial, a.k.a. superset labels
- An evaluation set $T=\left\{t_{i}\right\}$ of input instances, $t_{i} \in R^{d}$
- Possibly a decision function $h: D \rightarrow \mathscr{Y}$ providing a precise prediction

Which labels $\mathbf{y}_{i}$ should we query to improve our model accuracy/decisiveness?

## K-nn classifier for partially labelled data

A simple (maximax) way to take decision despite partial labels $[E$. Hullermeier \& J. Beringer, 2006]

$$
\begin{equation*}
h(\mathbf{t})=\arg \max _{\lambda \in \Omega} \sum_{\mathbf{y}_{k} \in \mathbf{N}_{\mathbf{t}}} w_{k} \mathbb{1}_{\lambda \in \mathbf{y}_{k}} \tag{1}
\end{equation*}
$$

$$
X^{2} \uparrow \begin{array}{cc}
\mathbf{y}_{2}=\left\{\lambda_{3}\right\} & \cdot \mathbf{t}^{3} \quad \cdot \mathbf{y}_{5}=\left\{\lambda_{1}\right\} \\
\bullet \mathbf{t}^{1} & \mathbf{y}_{4}=\left\{\lambda_{1}, \lambda_{2}\right\} \\
\bullet \mathbf{y}_{3}=\left\{\lambda_{2}, \lambda_{3}\right\} & \cdot \mathbf{t}^{2} \\
\mathbf{y}_{1}=\left\{\lambda_{2}\right\} & \\
\end{array}
$$

$\diamond$ Which partial label is the more informative?

## First idea : the more decision it is involved in, the more informative it is

1. define a given number $K$ of neighbours
2. for each $x_{i}$ with partial label, count the number of items in $T$ of which it is a neighbour
3. query the item $\mathbf{y}_{i}$ involved in most decisions

## First idea : the more decision it is involved in, the more informative it is


$\diamond \mathbf{y}_{3}$ involves in decision of $\left\{\mathbf{t}^{1}\right\}$.

## First idea : the more decision it is involved in, the more informative it is

$$
\begin{aligned}
& X^{2} \\
& y_{2}=\left\{\lambda_{3}\right\} \\
& \cdot \mathbf{t}^{1} \\
& \text { - } \mathbf{y}_{3}=\left\{\lambda_{2}, \lambda_{3}\right\} \\
& \text { - } y_{6}=\left\{\lambda_{1}\right\} \\
& \mathbf{y}_{1}=\left\{\lambda_{2}\right\}
\end{aligned}
$$

$\diamond \mathbf{y}_{3}$ involves in decision of $\left\{\mathbf{t}^{1}\right\}$.
$\diamond \mathbf{y}_{4}$ involves in decisions of $\left\{\mathbf{t}^{2}\right\}$.

## First idea : the more decision it is involved in, the more informative it is

$$
X^{2} \uparrow \quad \begin{aligned}
& \mathbf{y}_{2}=\left\{\lambda_{3}\right\} \\
& \bullet \mathbf{t}^{1} \quad \mathbf{y}_{4}=\left\{\lambda_{1}, \lambda_{2}\right\} \\
& \bullet \mathbf{y}_{3}=\left\{\lambda_{2}, \lambda_{3}\right\} \\
& \mathbf{y}_{1}=\left\{\lambda_{2}\right\}
\end{aligned} \quad \begin{aligned}
& \mathbf{y}_{5}=\left\{\lambda_{1}\right\} \\
& \mathbf{t}^{2} \\
& \mathbf{y}_{6}=\left\{\lambda_{1}\right\}
\end{aligned}
$$

$\diamond \mathbf{y}_{3}$ involves in decision of $\left\{\mathbf{t}^{1}\right\}$.
$\diamond \mathbf{y}_{4}$ involves in decisions of $\left\{\mathbf{t}^{2}, \mathbf{t}^{3}\right\}$.

## First idea : the more decision it is involved in, the more informative it is

$$
\begin{aligned}
& X^{2} \\
& y_{2}=\left\{\lambda_{3}\right\} \\
& q \mathbf{t}^{1}
\end{aligned}
$$

$$
\begin{aligned}
& \mathbf{y}_{1}=\left\{\lambda_{2}\right\}
\end{aligned}
$$

$\diamond \mathbf{y}_{3}$ involves in decision of $\left\{\mathbf{t}^{1}\right\}$.
$\diamond \mathbf{y}_{4}$ involves in decisions of $\left\{\mathbf{t}^{2}, \mathbf{t}^{3}\right\}$.
$\triangleright$ This strategy chooses $y_{4}$ as the optimal query.

First idea : The more decision it is involved, the more informative it is
$\diamond$ It is simple, but is it a good idea?
$\triangleright$ What do we gain if we query $y_{4}$ ?

$$
\begin{aligned}
& X^{2} \\
& \mathbf{y}_{2}=\left\{\lambda_{3}\right\} \\
& q^{t^{1}} \\
& \mathbf{y}_{4}=\left\{\lambda_{1}\right\} \longrightarrow \quad \mathbf{t}^{2}=\left\{\lambda_{1}\right\} \\
& \text { - } y_{3}=\left\{\lambda_{2}, \lambda_{3}\right\} \\
& \text { - } \mathbf{y}_{6}=\left\{\lambda_{1}\right\} \\
& \mathbf{y}_{1}=\left\{\lambda_{2}\right\} \\
& \xrightarrow{X^{1}}
\end{aligned}
$$

- If $\mathbf{y}_{4}=\lambda_{1}$, then decisions are $\lambda_{1}$.

$$
\begin{aligned}
& X^{2} \\
& \mathbf{y}_{2}=\left\{\lambda_{3}\right\} \\
& \int_{-y_{3}=\left\{\lambda_{2}, \lambda_{3}\right\}}^{y_{4}} \\
& \mathbf{y}_{4}=\left\{\lambda_{2}\right\} \longrightarrow \begin{array}{l}
\mathbf{t}^{3}=\left\{\lambda_{1}\right\} \\
\\
\mathbf{y}_{5}=\left\{\lambda_{1}\right\} \\
\left.\mathbf{t}_{1}\right\}
\end{array} \\
& \mathbf{y}_{1}=\left\{\lambda_{2}\right\} \\
& \text { - } \mathbf{y}_{6}=\left\{\lambda_{1}\right\}
\end{aligned}
$$

- If $\mathbf{y}_{4}=\lambda_{1}$, then decisions are $\lambda_{1}$.
- If $\mathbf{y}_{4}=\lambda_{2}$, then decisions are $\lambda_{1}$.

$$
\begin{aligned}
& X^{2} \\
& \mathbf{y}_{2}=\left\{\lambda_{3}\right\} \\
& \int_{y_{3}}^{\mathbf{t}^{1}=\left\{\lambda_{2}, \lambda_{3}\right\}} \\
& \mathbf{y}_{4}=\left\{\lambda_{2}\right\} \longrightarrow \begin{array}{l}
\mathbf{t}^{3}=\left\{\lambda_{1}\right\} \\
\cdot \mathbf{y}_{5}=\left\{\lambda_{1}\right\} \\
\mathbf{t}^{2}=\left\{\lambda_{1}\right\}
\end{array} \\
& \text { - } \mathbf{y}_{6}=\left\{\lambda_{1}\right\} \\
& \mathbf{y}_{1}=\left\{\lambda_{2}\right\} \\
& \xrightarrow{X^{1}}
\end{aligned}
$$

- If $\mathbf{y}_{4}=\lambda_{1}$, then decisions are $\lambda_{1}$.
- If $\mathbf{y}_{4}=\lambda_{2}$, then decisions are $\lambda_{1}$.
$\triangleright$ Querying $y_{4}$ does not change predictions.


## Second idea : The more uncertainty the label introduces, the more informative it is

- Assume $\mathbf{y}_{1}, \ldots, \mathbf{y}_{K}$ are neighbours
- Set $\mathbf{L}_{\mathbf{t}}=\left\{\left(l_{1}^{t}, \ldots, I_{K}^{t}\right)| |_{k}^{t} \in \mathbf{y}_{k}^{t}\right\}$ is the selection of partial labels
- Set of possible predicted labels

$$
\mathbf{P L}_{\mathbf{t}}=\left\{\lambda \in \Omega \mid \exists \mathbf{I}^{t} \in \mathbf{L}_{\mathbf{t}} \text { s.t } \lambda \in \widehat{\lambda}_{\mathbf{I}}\right\}
$$

- Set of necessary predicted labels

$$
\mathbf{N L}_{\mathbf{t}}=\left\{\lambda \in \Omega \mid \forall \mathbf{I}^{t} \in \mathbf{L}_{\mathbf{t}}, \lambda \in \widehat{\lambda}_{\mathbf{t}^{t}}\right\}
$$

An instance $t$ is said to be ambiguous if $\mathrm{PL}_{\mathbf{t}} \neq \mathbf{N L}_{\mathbf{t}}$

## Second idea : The more uncertainty the label introduces, the more informative it is

$$
\begin{aligned}
& X^{2} \\
& \stackrel{\mathbf{t}^{3}}{-} \cdot \mathbf{y}_{5}=\left\{\lambda_{1}\right\} \\
& \mathbf{y}_{4}=\left\{\lambda_{1}, \lambda_{2}\right\} \text { • } \\
& \cdot t^{2} \\
& \text { - } \mathbf{y}_{6}=\left\{\lambda_{1}\right\} \\
& \mathbf{y}_{1}=\left\{\lambda_{2}\right\}
\end{aligned}
$$

$X^{1}$
$\diamond$ Assuming a weighted 3-nn, $\mathrm{PL}_{\mathbf{t}^{1}}=\left\{\lambda_{2}, \lambda_{3}\right\}, \mathbf{N L}_{\mathbf{t}^{1}}=\{ \}$

## Second idea : The more uncertainty the label introduces, the more informative it is

- A data $x_{i}$ can reduce ambiguity on $t$ if after knowing $\mathbf{y}_{i}, \mathbf{N L}_{\mathbf{t}}$ or $P L_{t}$ can potentially change
- For a given $K$ and $x_{i}$, count the number of items in $\mathbf{T}$ for which it can reduce ambiguity
- Query the $\mathbf{y}_{i}$ with greatest potential ambiguity reductions

- If $\mathbf{y}_{3}=\lambda_{2}$, then decision is $\lambda_{2}$.

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- If $\mathbf{y}_{3}=\lambda_{2}$, then decision is $\lambda_{2}$.
- If $\mathbf{y}_{3}=\lambda_{3}$, then decision is $\lambda_{3}$.
$\diamond$ Querying $\mathbf{y}_{3}$ can reduce ambiguity, while $\mathbf{y}_{4}$ does not.
$\triangleright$ This strategy choose $y_{3}$ as the optimal query.


## Computational considerations

## Link with computational social choice

- Computing PL, NL : equivalent to possible/necessary winner in plurality voting with partial voter preferences
- Querying a label $\mathbf{y}_{i}$ : eliciting precise preferences of a voter


## Computational issues

- Computing NL : can be done in linear time
- Computing PL in unweighted case : can be done in cubic time (reducible to maximum flow problem)
- Computing PL in weighted case : seems NP-hard (reducible to 3-dimensional matching), but dynamical programming maybe possible, otherwise approximate

Experimental results illustrated on one data set


$$
\rightarrow \text { Random } \rightarrow 1^{\text {st }} \text { strat. } \rightarrow 2^{\text {rd }} \text { exact } \bullet 2^{\text {rd }} \text { appro. }
$$

## Other related questions

- Each query provides information about the "imprecisiation" process $\rightarrow$ can we use it to improve our results
- In practice, optimal model may be identifiable from partial information $\rightarrow$ under which conditions?
- Can the idea be efficiently extended to other simple settings (learning NCC, Classification trees) or more complex ones (learning preferences, dynamical models)

