Adiabatic Hydrodynamics and the Eightfold Way to Dissipation



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The hydrodynamic gradient expansion

• Hydrodynamics: low-energy, near-equilibrium eff. field theory for generic Gibbsian density matrix

microscopic theory $\downarrow L \gg \ell_{\rm mfn}$ $u^{\mu}(x), T(x), \mu(x) \quad (u^2 = -1)$ macroscopic fluid variables: background sources: $q_{\mu\nu}(x), A_{\mu}(x)$ Constitutive relations: Dynamics: $T^{\mu\nu} = T^{\mu\nu}_{(0)} + T^{\mu\nu}_{(1)} + \dots$ $\nabla_{\nu}T^{\mu\nu} \simeq F^{\mu\nu}J_{\nu}$ $J^{\alpha} = J^{\alpha}_{(0)} + J^{\alpha}_{(1)} + \dots$ $\nabla_{\alpha} J^{\alpha} \simeq 0$

• E.g. (charged) ideal fluid: $T^{\mu\nu}_{(0)} = \varepsilon \, u^{\mu} u^{\nu} + p \, P^{\mu\nu} , \qquad J^{\alpha}_{(0)} = q \, u^{\alpha}$

Felix Haehl (Durham University), 1/33

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The hydrodynamic gradient expansion

- "Current Algebra" approach:
 - \blacktriangleright Provide most general symmetry-allowed constitutive relations order by order in ∇_{μ}
 - Transport coefficients of any particular fluid are determined by microscopics
- On top of all this: Second Law constraint

$$\exists J_S^{\alpha} = s \, u^{\alpha} + J_{S,(1)}^{\alpha} + \dots \qquad \text{with} \qquad D_{\alpha} J_S^{\alpha} \gtrsim 0 \quad \text{(on-shell)}$$

- Gives quite non-trivial constraints on physically allowed constitutive relations, e.g.:
 - ★ Neutral 1st order: viscosities $\eta, \zeta \ge 0$
 - Neutral 2nd order: 5 relations among 15 a-priori independent transport coefficients
- Bhattacharyya '12 Son-Surowka '09 Jensen-Loganayagam-Yarom '13
- ★ Anomaly induced transport completely fixed

Outline

- ✓ Review of hydrodynamics
- $\rightarrow\,$ So what's the problem?
 - Adiabaticity and dissipation
 - Classification of adiabatic transport
 - An example: neutral fluid
 - The adiabatic master Lagrangian
 - Conclusion

So what's the problem?

- This phenomenological framework doesn't make very much sense from point of view of **Wilsonian field theory**
 - Instead of just currents: would like effective action
 - Would like to associate conservation laws with equations of motion of the effective action
 - ► J^µ_S is particularly strange from microscopic perspective: is not associated to any underlying symmetry principle
 - ▶ In Wilsonian picture, how does the constraint $D_{\mu}J_{S}^{\mu}\gtrsim 0$ arise from microscopic theory?

So what's the problem?

• Some progress: Non-dissipative effective actions $(D_{\mu}J_{S}^{\mu}=0)$

- Goldstone modes of spntaneously broken symmetries as fluid degrees of freedom
- This approach can't be the whole story:
 - ► Empirically only gives a subset of non-dissipative hydro -Rangamani 12
 - Dynamics in general involves dissipation
 - Hydro states are mixed. Wilsonian picture for mixed states should involve something like Schwinger-Keldysh doubling
 - * A lot about Schwinger-Keldysh is not well understood (arbitrariness of influence functionals, violation of microscopic KMS condition, ...)

Dubovsky-Hui-Nicolis-Son '11

Bhattacharya-Bhattacharyya

FH-Rangamani '13

Goals and phantasies

• Understand most general constitutive relations allowed by second law:

- Classify hydrodynamic transport in a physically useful way
- Suggest a **unifying framework** for adiabatic transport:
 - Hydrodynamics as proper effective field theory
 - New symmetry principle that explains the 2nd law constraint
- Use hydrodynamics as a tractable starting point to learn basic lessons about some important problems across physics:
 - Wilsonian picture for systems out of equilibrium
 - Wilsonian picture for noisy/dissipative systems
 - Via AdS/CFT: gravity with horizons

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Off-shell entropy production and adiabaticity

- Inequality constraint $D_{\mu}J_{S}^{\mu} \gtrsim 0$ is much more conveniently incorporated if we don't have to simplify it using equations of motion.
- Use Lagrange multipliers $\{\beta^{\mu}, \Lambda_{\beta}\}$ and consider off-shell statement:

$$\Delta \equiv \nabla_{\mu} J_{S}^{\mu} + \beta_{\mu} \left\{ \nabla_{\nu} T^{\mu\nu} - J_{\nu} \cdot F^{\mu\nu} - T_{H}^{\mu\perp} \right\} \\ + (\Lambda_{\beta} + \beta^{\lambda} A_{\lambda}) \cdot \left\{ D_{\nu} J^{\nu} - J_{H}^{\perp} \right\} \ge 0$$

- Natural Lagrange multipliers:
 - β^μ = ¹/_T u^μ (thermal vector along 'local thermal circle')
 (Λ_β + β^λA_λ) = ^μ/_T (chemical potential in thermal units)
- Task: solve for $\{J_S^{\mu}, T^{\mu\nu}, J^{\nu}\}$ as functionals of $\{\beta^{\mu}, \Lambda_{\beta}, g_{\mu\nu}, A_{\mu}\}$
- Marginal case $\Delta = 0$: 'adiabaticity equation'
 - Particularly rich structure! \Rightarrow study separately

Off-shell entropy production and adiabaticity

$$\begin{split} \Delta &\equiv \nabla_{\mu} J_{S}^{\mu} + \beta_{\mu} \left\{ \nabla_{\nu} T^{\mu\nu} - J_{\nu} \cdot F^{\mu\nu} - \mathbf{T}_{H}^{\mu\perp} \right\} \\ &+ (\Lambda_{\beta} + \beta^{\lambda} A_{\lambda}) \cdot \left\{ D_{\nu} J^{\nu} - \mathbf{J}_{H}^{\perp} \right\} \geq 0 \end{split}$$

• Can switch from microcanonical to grand-canonical ensemble and talk about free energy current \mathcal{G}^{σ} instead of J_S^{σ} :

$$-\frac{\mathcal{G}^{\sigma}}{T} \equiv J_{S}^{\sigma} - (J_{S}^{\sigma})_{canonical} = J_{S}^{\sigma} + \left[\boldsymbol{\beta}_{\nu}T^{\nu\sigma} + (\Lambda_{\boldsymbol{\beta}} + \boldsymbol{\beta}^{\nu}A_{\nu}) \cdot J^{\sigma}\right]$$

• Grand-canonical version:

$$-\left[\nabla_{\sigma}\left(\frac{\mathcal{G}^{\sigma}}{T}\right) - \frac{\mathcal{G}_{H}^{\perp}}{T}\right] = \frac{1}{2} T^{\mu\nu} \,\delta_{\mathcal{B}} g_{\mu\nu} + J^{\mu} \cdot \delta_{\mathcal{B}} A_{\mu} + \Delta$$

$$\delta_{\scriptscriptstyle B} g_{\mu\nu} \equiv \pounds_{\beta} g_{\mu\nu} = \nabla_{\mu} \beta_{\nu} + \nabla_{\nu} \beta_{\mu}$$

$$\delta_{\scriptscriptstyle B} A_{\mu} \equiv \pounds_{\beta} A_{\mu} + \partial_{\mu} \Lambda_{\beta} + [A_{\mu}, \Lambda_{\beta}]$$

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Classification of hydrodynamic transport



Classification of hydrodynamic transport



Anomaly induced transport (Class A)

$$-\left[\nabla_{\sigma}\left(\frac{\mathcal{G}^{\sigma}}{T}\right) - \frac{\mathcal{G}_{H}^{\perp}}{T}\right] = \frac{1}{2} T^{\mu\nu} \,\delta_{\mathfrak{B}} g_{\mu\nu} + J^{\mu} \cdot \delta_{\mathfrak{B}} A_{\mu} + \Delta$$



- First of all: let's get rid of anomalies $\mathcal{G}_{H}^{\perp} = -\left[u_{\nu}T_{H}^{\nu\perp} + \mu \cdot \mathbf{J}_{H}^{\perp}\right]$
 - Can always split off from a solution {G^σ, T^{µν}, J^ν} a particular solution {(G^σ)_A, (T^{µν})_A, (J^ν)_A} that takes care of anomalies with (Δ)_A = 0:

$$-\left[\nabla_{\sigma}\left(\frac{(\mathcal{G}^{\sigma})_{A}}{T}\right) - \frac{\mathcal{G}_{H}^{\perp}}{T}\right] = \frac{1}{2} (T^{\mu\nu})_{A} \,\delta_{\scriptscriptstyle \mathcal{B}} g_{\mu\nu} + (J^{\nu})_{A} \cdot \delta_{\scriptscriptstyle \mathcal{B}} A_{\mu}$$

$$\underbrace{Loganayagam '11}_{Loganayagam '11}$$

Jensen-Loganayagam-Yarom '13

Anomalous transport coefficients fixed in terms of anomaly polynomial
 ⇒ finite class



Dissipative transport (Class D)

$$\Delta \equiv -\nabla_{\sigma} \left(\frac{\mathcal{G}^{\sigma}}{T}\right) - \frac{1}{2} T^{\mu\nu} \,\delta_{\mathfrak{B}} g_{\mu\nu} - J^{\mu} \cdot \delta_{\mathfrak{B}} A_{\mu} \ge 0$$

- Now consider transport which does generically produce entropy $(\Delta > 0)$
- Such terms appear in three varieties:
 - Sign-definite terms (inequalities from 2nd law)
 - ightarrow These only show up at leading order!

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Bhattacharyya '11 '13 '14
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- Sign-indefinite terms which are dominated by sign-definite terms (no constraints from 2nd law)
- (3) Sign-indefinite terms which are dominant in derivative expansion (forbidden by 2nd law)
- Example: $T^{\mu\nu}_{(1)} = -\zeta \Theta P^{\mu\nu}$ $(\Theta \equiv \nabla_{\mu} u^{\mu})$ gives $\Delta = \zeta \frac{1}{T} \Theta^2 \Rightarrow \zeta \ge 0$ (type (1))
 - $\Rightarrow \text{At } \mathcal{O}(\partial^{k \geq 2}): \\ \text{any } T^{\mu\nu}_{(k)} = \gamma \left[\mathcal{O}(\partial^k) \right]^{\mu\nu} \text{ s.t. } \Delta = \gamma \Theta^2 \left[\mathcal{O}(\partial^{k-1}) \right] \\ \text{will be subdominant, hence unconstrained (type 2)}$



Hydrostatically forbidden terms (Class H_F)

$$\Delta \equiv -\nabla_{\sigma} \left(\frac{\mathcal{G}^{\sigma}}{T}\right) - \frac{1}{2} T^{\mu\nu} \,\delta_{\mathfrak{B}} g_{\mu\nu} - J^{\mu} \cdot \delta_{\mathfrak{B}} A_{\mu} \ge 0$$

• Type (3): sign-indefinite terms at dominant order in ∂

- Need to be zero for consistency with 2nd law!
- Example: Ideal fluid

$$T_{(0)}^{\mu\nu} = \varepsilon \, u^{\mu} u^{\nu} + p \, P^{\mu\nu} \,, \qquad J_{S,(0)}^{\mu} = s \, u^{\mu}$$

$$\Rightarrow \quad \Delta \simeq \underbrace{(Ts - \varepsilon - p)}_{=0 \ (!)} \quad \underbrace{\frac{\Theta}{T}}_{=0 \ (!)} + \underbrace{\left(T\frac{ds}{dT} - \frac{d\varepsilon}{dT}\right)}_{=0 \ (!)} \quad \underbrace{(u\nabla)T}_{T}$$

- A-priori: 3 parameters
- But second law enforces: 2 relations
- This is **Class** H_F: combinations forbidden by 2nd law (or equivalently by existence of equilibrium)



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Hydrostatics (Class H)

- Hydrostatic transport: time-independent equilibrium configurations
 - ► ∃ timelike Killing vector and gauge transformation 𝒴 = {K^μ, Λ_K}:

$$\delta_{\scriptscriptstyle \mathcal{K}} g_{\mu\nu} = \delta_{\scriptscriptstyle \mathcal{K}} A_\mu = 0$$

• Spacetime manifold \mathcal{M} : Euclidean fibre bundle $\Sigma_{\mathcal{M}} \times S^1$



• Transport captured by Euclidean path integral/partition function:

$$W_{\text{Hydrostatic}} = -[\text{total free energy}] = -\left[\int_{\Sigma_{\mathcal{M}}} \left(\frac{\mathcal{G}^{\sigma}}{T}\right) d^{d-1}S_{\sigma}\right]_{\text{Hydrostatic}}$$

- Decompose: $\mathcal{G}^{\sigma} = \mathcal{S} \mathcal{B}^{\sigma} + \mathcal{V}^{\sigma}$
- This splits Class H into two subclasses: $H = H_S \cup H_V$
- ▶ Variation w.r.t. $\{g_{\mu\nu}, A_{\mu}\}$ gives all hydrostatic $\{T^{\mu\nu}, J^{\mu}\}$

Lagrangian solutions (Class L)

• Consider effective actions with obvious symmetries:

$$S = \int \sqrt{-g} \, \mathcal{L}[\boldsymbol{\beta}^{\mu}, \Lambda_{\boldsymbol{\beta}}, g_{\mu\nu}, A_{\mu}]$$

• Basic variation defines hydrodynamic currents:

$$\delta S = \int \sqrt{-g} \left[\frac{1}{2} T^{\mu\nu} \,\delta g_{\mu\nu} + J^{\mu} \cdot \delta A_{\mu} + T \,\mathfrak{h}_{\sigma} \,\delta \boldsymbol{\beta}^{\sigma} + T \,\mathfrak{n} \cdot (\delta \Lambda_{\boldsymbol{\beta}} + A_{\sigma} \,\delta \boldsymbol{\beta}^{\sigma}) + \nabla_{\mu} (\boldsymbol{\delta} \boldsymbol{\Theta}_{\mathsf{PS}})^{\mu} \right]$$

- Demand invariance under diffeos & flavour $\mathfrak{X} = \{\xi^{\mu}, \Lambda\}$: $\delta_{\mathfrak{X}}S = 0$ (!)
 - This gives Bianchi identities:

$$\begin{split} \nabla_{\nu} T^{\mu\nu} &= J_{\nu} \cdot F^{\mu\nu} + \frac{g^{\mu\nu}}{\sqrt{-g}} \delta_{\mathcal{B}} \left(\sqrt{-g} \ T \ \mathfrak{h}_{\nu} \right) + g^{\mu\nu} T \ \mathfrak{n} \cdot \delta_{\mathcal{B}} A_{\nu} \\ D_{\sigma} J^{\sigma} &= \frac{1}{\sqrt{-g}} \delta_{\mathcal{B}} \left(\sqrt{-g} \ T \ \mathfrak{n} \right) \end{split}$$

► Together with G^σ ≡ -L u^σ + T(𝔅_BΘ_{PS})^σ one can show that these imply adiabaticity equation!





Lagrangian solutions (Class L)

- $\bullet\,$ So far: have effectively treated $\{\beta^\mu,\Lambda_\beta\}$ as non-dynamical
- To get hydrodynamic equations, consider constrained variational principle "6":
 - Vary $\{\beta^{\mu}, \Lambda_{\beta}\}$ along Lie orbits while holding $\{g_{\mu\nu}, A_{\mu}\}$ fixed:

$$\label{eq:delta_states} \delta: \quad \delta \pmb{\beta}^\mu = \delta_{\chi} \pmb{\beta}^\mu \,, \qquad \delta \Lambda_{\pmb{\beta}} = \delta_{\chi} \Lambda_{\pmb{\beta}} \,, \qquad \delta g_{\mu\nu} = \delta A_\mu = 0 \,.$$

These variations give equations of motion:

$$\begin{split} \frac{g^{\mu\nu}}{\sqrt{-g}} \delta_{\mathcal{B}} \left(\sqrt{-g} \ T \ \mathfrak{h}_{\nu} \right) + g^{\mu\nu} \ T \ \mathfrak{n} \cdot \delta_{\mathcal{B}} \ A_{\nu} \simeq 0 \\ \frac{1}{\sqrt{-g}} \delta_{\mathcal{B}} \left(\sqrt{-g} \ T \ \mathfrak{n} \right) \simeq 0 \end{split}$$

Together with Bianchi identities, get hydro equations:

$$\nabla_{\nu} T^{\mu\nu} \simeq J_{\nu} \cdot F^{\mu\nu}$$
$$D_{\sigma} J^{\sigma} \simeq 0$$





Lagrangian solutions (Class L)

- Alternative picture for constrained variational principle:
 - Physical fields are pullbacks of a reference configuration:

$$g_{\mu\nu} = \frac{\partial \varphi^a}{\partial x^{\mu}} \frac{\partial \varphi^b}{\partial x^{\nu}} g_{ab}[\varphi(x)], \qquad \beta^{\mu} = \frac{\partial x^{\mu}}{\partial \varphi^a} \beta^a[\varphi(x)]$$

(and similarly $\{A_{\mu}, \Lambda_{\beta}\} \xrightarrow{\{\varphi^a, c\}} \{A_a, \Lambda_{\beta}\}$)

- Dynamics now encoded in $\{\varphi^a, c\}$
- Can get hydrodynamic conservation equations by varying pullback fields {φ^a, c}, while holding the reference configuration fixed
- Aside: non-dissipative effective actions are a special case of this
 - $\triangleright \text{ Pullback fields } \{\varphi^a,c\} \text{ correspond to Goldstones } \{\phi^a,\mathsf{c}\} \text{ of broken symmetries (after Legendre transform and gauge fixing)}$





What do we have so far?



- Class A: anomalies can be dealt with once and forever
- Class D: can genuinely produce entropy $(\Delta \ge 0)$
- Class H_F : Constitutive relations inconsistent with existence of equilibrium

 $\underline{\qquad}\qquad \mathcal{G}^{\sigma} = \mathcal{S}\beta^{\sigma} + \mathcal{V}^{\sigma} \underline{\qquad}$

- Class $H = H_S \cup H_V$: Hydrostatic response to free energy density and flux
- Class L: Wilsonian action giving currents consistent with second law
 - But Lagrangians being scalars, we only get: Class $L = H_S \cup \overline{H}_S$
- Some more situations that we're missing so far:
 - Free energy current \mathcal{G}^{σ} could be **zero** or **topological**
 - Non-hydrostatic free energy flux vectors

Berry-curvature type solutions (Class B)

$$\Delta \equiv -\nabla_{\sigma} \left(\frac{\mathcal{G}^{\sigma}}{T}\right) - \frac{1}{2} T^{\mu\nu} \,\delta_{\scriptscriptstyle \mathcal{B}} g_{\mu\nu} - J^{\mu} \cdot \delta_{\scriptscriptstyle \mathcal{B}} A_{\mu} = 0$$

• Consider the following currents:

$$\begin{split} (T^{\mu\nu})_{\mathsf{B}} &\sim \left(\mathcal{N}^{(\mu\nu)(\alpha\beta)} - \mathcal{N}^{(\alpha\beta)(\mu\nu)}\right) \, \delta_{\mathfrak{B}} \, g_{\alpha\beta} \\ (J^{\alpha})_{\mathsf{B}} &\sim \mathcal{S}^{[\alpha\beta]} \cdot \delta_{\mathfrak{B}} \, A_{\beta} \\ (\mathcal{G}^{\sigma})_{\mathsf{B}} &= 0 \end{split} \qquad (\text{and cross terms } \mathcal{X}) \end{split}$$

- Trivially solve adiabaticity equation
- Manifestly non-hydrostatic (δ_B = 0 in hydrostatics)
- Seemingly not captured by Lagrangians (Class L)
- Easy task at any order in ∇: find all tensor structures {N, X, S} built out of {β^μ, Λ_β, g_{μν}, A_μ}
- Examples in d = 2 + 1: Hall conductivity, Hall viscosity



Transverse non-hydrostatic free energy (Class $\overline{\mathrm{H}}_V$)



- Remember splitting: $\mathcal{G}^{\sigma} = \mathcal{S} \, \beta^{\sigma} + \mathcal{V}^{\sigma}$ with $\beta_{\sigma} \mathcal{V}^{\sigma} = 0$
- \bullet Consider solutions to adiabaticity equation with non-trivial and non-hydrostatic \mathcal{V}^σ
 - Transport genuinely due to free energy flux
- These are in general parameterized as

Easy task at any order in ∇: find all tensor structures {𝔅_N, 𝔅_X, 𝔅_S} built out of {β^μ, Λ_β, g_{μν}, A_μ}

Conserved entropy current (Class C)



• Another trivial solution to adiabaticity equation: exactly conserved entropy current

 $(J^{\mu}_{S})_{C} = \mathsf{J}^{\mu} \quad \text{with} \quad D_{\mu}\mathsf{J}^{\mu} \equiv 0\,, \qquad (T^{\mu\nu})_{C} = 0\,, \qquad (J^{\mu})_{C} = 0$

- If cohomologically non-trivial: describes **topological states** in the fluid (no energy/charge transport)
 - ► Example: Euler current J_{Euler}^{σ} in d = 2 + 1 Golkar-Roberts-Son 14 $D_{\sigma}J_{Euler}^{\sigma} \equiv 0$, $\int_{\Sigma_{\mathcal{M}}} \sqrt{-\gamma} \left(J_{Euler}^{\sigma} u_{\sigma}\right) \propto \chi(\Sigma_{\mathcal{M}})$

Summary of eight classes of transport



Theorem: The eightfold way of hydrodynamic transport

These eight classes describe all of hydrodynamic transport consistent with the second law: every second law-compatible transport coefficient falls into one of these classes.

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• Most general 2nd order (neutral, Weyl-invariant) stress tensor:

$$T_{(2)}^{\mu\nu} = (\lambda_1 - \kappa) \, \sigma^{<\mu\alpha} \sigma_{\alpha}{}^{\nu>} + (\lambda_2 + 2\tau - 2\kappa) \, \sigma^{<\mu\alpha} \omega_{\alpha}{}^{\nu>} + \tau \, \left(u^{\alpha} \mathcal{D}^{W}_{\alpha} \sigma^{\mu\nu} - 2\sigma^{<\mu\alpha} \omega_{\alpha}{}^{\nu>} \right) + \lambda_3 \, \omega^{<\mu\alpha} \omega_{\alpha}{}^{\nu>} + \kappa \left(C^{\mu\alpha\nu\beta} u_{\alpha} u_{\beta} + \sigma^{<\mu\alpha} \sigma_{\alpha}{}^{\nu>} + 2\sigma^{<\mu\alpha} \omega_{\alpha}{}^{\nu>} \right)$$

• Most general 2nd order (neutral, Weyl-invariant) stress tensor:

$$T_{(2)}^{\mu\nu} = (\lambda_1 - \kappa) \, \sigma^{<\mu\alpha} \sigma_{\alpha}^{\nu>} + (\lambda_2 + 2\tau - 2\kappa) \, \sigma^{<\mu\alpha} \omega_{\alpha}^{\nu>} + \tau \, \left(u^{\alpha} \mathcal{D}_{\alpha}^{\mathcal{W}} \sigma^{\mu\nu} - 2\sigma^{<\mu\alpha} \omega_{\alpha}^{\nu>} \right) \qquad \rightarrow \text{Class } \overline{\mathrm{H}}_S + \lambda_3 \, \omega^{<\mu\alpha} \omega_{\alpha}^{\nu>} \qquad \rightarrow \text{Class } \mathrm{H}_S$$

$$+ \kappa \left(C^{\mu\alpha\nu\beta} u_{\alpha} u_{\beta} + \sigma^{<\mu\alpha} \sigma_{\alpha}^{\nu>} + 2\sigma^{<\mu\alpha} \omega_{\alpha}^{\nu>} \right) \quad \rightarrow \mathsf{Class} \ \mathrm{H}_S$$

au, λ_3 , κ

Are all derivable from a Lagrangian (Class L)

$$\mathcal{L}_{2}^{\mathcal{W}} = \frac{1}{4} \left[-\frac{2\kappa}{(d-2)} (^{\mathcal{W}}R) + 2(\kappa - \tau) \sigma^{2} + (\lambda_{3} - \kappa) \omega^{2} \right]$$

Note: λ_3 and κ are hydrostatic, τ is genuinely hydrodynamic

• Most general 2nd order (neutral, Weyl-invariant) stress tensor:

$$\begin{split} T^{\mu\nu}_{(2)} &= (\lambda_1 - \kappa) \, \sigma^{<\mu\alpha} \sigma_{\alpha}{}^{\nu>} & \to \text{Class D} \\ &+ (\lambda_2 + 2\tau - 2\kappa) \, \sigma^{<\mu\alpha} \omega_{\alpha}{}^{\nu>} \\ &+ \tau \, \left(u^{\alpha} \mathcal{D}^{\mathcal{W}}_{\alpha} \sigma^{\mu\nu} - 2\sigma^{<\mu\alpha} \omega_{\alpha}{}^{\nu>} \right) & \to \text{Class } \overline{\mathrm{H}}_S \\ &+ \lambda_3 \, \omega^{<\mu\alpha} \omega_{\alpha}{}^{\nu>} & \to \text{Class H}_S \end{split}$$

$$+ \kappa \left(C^{\mu\alpha\nu\beta} u_{\alpha} u_{\beta} + \sigma^{<\mu\alpha} \sigma_{\alpha}{}^{\nu>} + 2\sigma^{<\mu\alpha} \omega_{\alpha}{}^{\nu>} \right) \quad \rightarrow \mathsf{Class} \ \mathrm{H}_S$$

$$\begin{split} & (\lambda_1 - \kappa) \\ & \text{Leads to } \Delta \simeq -(\lambda_1 - \kappa) \frac{1}{T} \sigma^{\mu}{}_{\nu} \sigma^{\nu}{}_{\rho} \sigma^{\rho}{}_{\mu} \\ \Rightarrow & \text{Class D but unconstrained (subleading compared to } \Delta \sim \sigma^2) \end{split}$$

• Most general 2nd order (neutral, Weyl-invariant) stress tensor:

$$T^{\mu\nu}_{(2)} = (\lambda_1 - \kappa) \,\sigma^{<\mu\alpha} \sigma_{\alpha}^{\nu>} \qquad \rightarrow \text{Class D}$$

$$+ (\lambda_2 + 2\tau - 2\kappa) \,\sigma^{<\mu\alpha} \omega_{\alpha}{}^{\nu>} \qquad \rightarrow \mathsf{Class} \mathsf{ B}$$

$$+ \tau \left(u^{\alpha} \mathcal{D}^{\mathcal{W}}_{\alpha} \sigma^{\mu\nu} - 2\sigma^{<\mu\alpha} \omega_{\alpha}{}^{\nu>} \right) \qquad \rightarrow \mathsf{Class} \ \overline{\mathrm{H}}_{S}$$

$$+ \lambda_3 \, \omega^{<\mu\alpha} \omega_{\alpha}^{\nu>}$$
 \rightarrow Class H_S

$$+ \kappa \left(C^{\mu\alpha\nu\beta} u_{\alpha} u_{\beta} + \sigma^{<\mu\alpha} \sigma_{\alpha}^{\nu>} + 2\sigma^{<\mu\alpha} \omega_{\alpha}^{\nu>} \right) \quad \rightarrow \mathsf{Class} \ \mathrm{H}_S$$

 $(\lambda_2 + 2\tau - 2\kappa)$

Is of the form of a Class B constitutive relation

$$(T^{\mu\nu})_{\mathsf{B}} \equiv -\frac{1}{4} \left(\mathcal{N}^{(\mu\nu)(\alpha\beta)} - \mathcal{N}^{(\alpha\beta)(\mu\nu)} \right) \, \delta_{\mathcal{B}} g_{\alpha\beta} \\ (\mathcal{G}^{\sigma})_{\mathsf{B}} = 0$$

because of orthogonality: $\sigma^{<\mu\alpha}\omega_{\alpha}{}^{\nu>}\delta_{\scriptscriptstyle B}g_{\mu\nu}=0$

- $\bullet\,$ Out of 5 transport coefficients, 3 come from a Lagrangian: τ , λ_3 and $\kappa\,$
- Within Class L, the other 2 combinations are zero:

 $(\lambda_1 - \kappa) = 0$ and $(\lambda_2 + 2\tau - 2\kappa) = 0$

These relations have been observed in holography

Haack-Yarom '08

► First relation ensures no entropy production at subleading order (this is not required by second law!)
→ "Principle of minimum dissipation" in holography?

FH-Loganayagam-Rangamani '14

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A new symmetry for hydrodynamics

- So far only a subset of our 8 classes were described by Lagrangians
- This was to be expected: non-equilibrium effective field theory should involve **Schwinger-Keldysh doubling**

Just doubling everything gives too much freedom (violate second law by writing effective Lagrangians for forbidden Class H_F)

Important problem for understanding EFT for mixed states

• Introduce new $U(1)_{\mathsf{T}}$ gauge symmetry to keep this under control

Proposed field content:

- ▶ Hydrodynamic fields:
- Background sources:
- SK-like partner sources:
- \triangleright $U(1)_{\mathsf{T}}$ photon and holonomy field:
- Action of U(1)_T is twisted: longitudinal diffeo on all fields plus inhomogeneous thermal "Goldstone-like" shift on partner sources

 $\{\boldsymbol{\beta}^{\mu}, \Lambda_{\boldsymbol{\beta}}\}$

 $\{g_{\mu\nu}, A_{\mu}\}$

 $\{\tilde{g}_{\mu\nu}, A_{\mu}\}$

 $\{\mathsf{A}^{(\mathsf{T})}_{\mu}, \Lambda^{(\mathsf{T})}_{\boldsymbol{\beta}}\}$

The eightfold master Lagrangian (Class L_{τ})

• Any constitutive relations $\{T^{\mu\nu}, J^{\mu}, \mathcal{G}^{\sigma}\}$ which satisfy adiabaticity equation can be obtained from a diffeo/flavour/ $U(1)_{\mathsf{T}}$ invariant Lagrangian:

$$\mathcal{L}_{\mathrm{T}} = \frac{1}{2} \, T^{\mu\nu} \tilde{g}_{\mu\nu} + J^{\mu} \cdot \tilde{A}_{\mu} - \frac{\mathcal{G}^{\sigma}}{T} \, \mathsf{A}^{(\mathrm{T})}{}_{\sigma}$$

- Bianchi identity for $U(1)_{\mathsf{T}}$ invariance reduces to adiabaticity equation
- Equations of motion are:
 - * For diffeo invariance: $D_{\nu}T^{\mu\nu} \simeq F^{\mu\nu} \cdot J_{\nu}$
 - ★ For flavour gauge invariance: $D_{\mu}J^{\mu} \simeq 0$
 - ***** For $U(1)_{\mathsf{T}}$ invariance: $D_{\mu}J_{S}^{\mu} \simeq 0$
- \bullet Conversely: any diffeo/flavour/ $U(1)_{\rm T}$ invariant Lagrangian gives adiabatic constitutive relations

Heuristic picture for $U(1)_{\mathsf{T}}$ symmetry

- Field content and symmetries are such that we get precisely the 7 adiabatic classes and nothing more (Class H_F)
- Conserved entropy current is now associated to a symmetry
- General picture:
 - Non-equilibrium dynamics captured by effective action after Schwinger-Keldysh doubling
 - ► Influence functionals are constrained by requirement of U(1)_T invariance
 - ► U(1)_T invariance is the macroscopic manifestation of KMS condition and ensures consistency with the second law

FH-Loganayagam-Rangamani [w.i.p.]

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- \checkmark So what's the problem?
- $\checkmark\,$ Adiabaticity and dissipation
- $\checkmark\,$ Classification of adiabatic transport ($\Delta=0)$
- ✓ An example: neutral fluid
- \checkmark The adiabatic master Lagrangian
- \rightarrow Conclusion

Summary

- 8 classes of constitutive relations consistent with the second law describe all of hydrodynamic transport at all orders
- The classification is not just about mathematical structure, but **seems to be cognizant of physical properties of fluids:**
 - Various fluid systems seem to know about this classification
 - A lot about holographic fluids seems to be described in Class L
 - Conjecture: long-wavelength near-horizon AdS dynamics can be usefully characterized using the eightfold way
- Computations become simpler in this framework (eightfold way often wants to pick "nice" basis)
- We suggest a new $U(1)_T$ symmetry principle that unifies the 7 adiabatic classes and explains the entropy current constraint

Many questions...

- Much of this story should have a counterpart in gravity
 - ► Most obvious hints come from Class L. Can *L* be derived from holography?
- Investigate "Minimum dissipation conjecture": Holographic fluids optimize entropy production (at all orders).
- Extend the formalism to write effective actions for dissipative fluids
- $\bullet \ U(1)_{\rm T}$ hints at profound consequences for the structure of non-equilibrium QFT in general
 - Understand microscopic origin (KMS) and consequences of $U(1)_{\mathsf{T}}$

Further Details

Anomaly-induced transport (Class A)

• Inflow mechanism: anomalous constitutive relations can be derived from Class L extended to d+1 dimensions $(\partial \mathcal{M}_{d+1} = \mathcal{M})$:

$$\begin{split} S_{anom} = \int_{\mathcal{M}_{d+1}} V_{\mathcal{P}}[\boldsymbol{A},\boldsymbol{\Gamma},\hat{\boldsymbol{A}},\hat{\boldsymbol{\Gamma}}] \,, \qquad V_{\mathcal{P}} \equiv I_{CS}[\boldsymbol{A},\boldsymbol{\Gamma}] - I_{CS}[\hat{\boldsymbol{A}},\hat{\boldsymbol{\Gamma}}] - \mathsf{d}\boldsymbol{B}_{d}[\boldsymbol{A},\boldsymbol{\Gamma},\hat{\boldsymbol{A}},\hat{\boldsymbol{\Gamma}}] \\ & \text{with} \quad \hat{\boldsymbol{A}} = \boldsymbol{A} + \mu \boldsymbol{u} \quad \text{and} \quad \hat{\boldsymbol{\Gamma}}^{m}{}_{n} = \boldsymbol{\Gamma}^{m}{}_{n} + \boldsymbol{\Omega}^{m}{}_{n}\boldsymbol{u} \end{split}$$

$$(T^{\alpha\beta})_{\mathsf{A}} = \frac{2}{\sqrt{-g}} \frac{\delta S_{anom}}{\delta g_{\alpha\beta}} \bigg|_{boundary} \qquad (J^{\alpha})_{\mathsf{A}} = \frac{1}{\sqrt{-g}} \frac{\delta S_{anom}}{\delta A_{\alpha}} \bigg|_{boundary}$$

- These currents solve adiabaticity equation with $(J_S^{\alpha})_A = -\frac{1}{2} \beta_{\sigma} \hat{\Sigma}_H^{\perp [\alpha \sigma]}$
- Currents make sense, but e.o.m. are not anomalous hydrodynamics
- To get correct anomalous dynamics, perform **Schwinger-Keldysh** doubling with suggestive influence functional:

$$S_{anom}^{\mathsf{SK}} = \int_{\mathcal{M}_{d+1}} \boldsymbol{V}_{\boldsymbol{\mathcal{P}}}[\boldsymbol{A}_{\mathsf{R}}, \boldsymbol{\Gamma}_{\mathsf{R}}, \boldsymbol{\hat{A}}_{\mathsf{R}}, \boldsymbol{\hat{\Gamma}}_{\mathsf{R}}] - \boldsymbol{V}_{\boldsymbol{\mathcal{P}}}[\boldsymbol{A}_{\mathsf{L}}, \boldsymbol{\Gamma}_{\mathsf{L}}, \boldsymbol{\hat{A}}_{\mathsf{L}}, \boldsymbol{\hat{\Gamma}}_{\mathsf{L}}] + \boldsymbol{V}_{\boldsymbol{\mathcal{P}}}[\boldsymbol{\hat{A}}_{\mathsf{R}}, \boldsymbol{\hat{\Gamma}}_{\mathsf{R}}, \boldsymbol{\hat{A}}_{\mathsf{L}}, \boldsymbol{\hat{\Gamma}}_{\mathsf{L}}]$$

FH-Loganayagam-Rangamani '13

Felix Haehl (Durham University), 34/33

Dissipative transport (Class D)

• Most general dissipative constitutive relations are of the following form:

$$\begin{pmatrix} T^{\mu\nu} \\ J^{\alpha} \end{pmatrix}_{\mathsf{D}} = - \begin{pmatrix} \Upsilon^{\dagger}_{\boldsymbol{\eta}_{g}} & \Upsilon^{\dagger}_{\boldsymbol{\sigma}_{g}} \\ \Upsilon^{\dagger}_{\boldsymbol{\eta}_{A}} & \Upsilon^{\dagger}_{\boldsymbol{\sigma}_{A}} \end{pmatrix} \begin{pmatrix} \boldsymbol{\eta} & 0 \\ 0 & \boldsymbol{\sigma} \end{pmatrix} \begin{pmatrix} \Upsilon_{\boldsymbol{\eta}_{g}} & \Upsilon_{\boldsymbol{\eta}_{A}} \\ \Upsilon^{-}_{\boldsymbol{\sigma}_{g}} & \Upsilon_{\boldsymbol{\sigma}_{A}} \end{pmatrix} \begin{pmatrix} \frac{1}{2} \delta_{\scriptscriptstyle \mathbb{B}} g \\ \delta_{\scriptscriptstyle \mathbb{B}} A \end{pmatrix}$$

Υ are derivative operators, {η, σ} are "intertwining" tensor fields
Corresponding entropy production:

$$\begin{split} \Delta &= \left[\frac{1}{2}\Upsilon_{\boldsymbol{\eta}_{g}}\delta_{\scriptscriptstyle B}g + \Upsilon_{\boldsymbol{\eta}_{A}}\delta_{\scriptscriptstyle B}A\right]\boldsymbol{\eta}\left[\frac{1}{2}\Upsilon_{\boldsymbol{\eta}_{g}}\delta_{\scriptscriptstyle B}g + \Upsilon_{\boldsymbol{\eta}_{A}}\delta_{\scriptscriptstyle B}A\right] \\ &+ \left[\frac{1}{2}\Upsilon_{\boldsymbol{\sigma}_{g}}\delta_{\scriptscriptstyle B}g + \Upsilon_{\boldsymbol{\sigma}_{A}}\delta_{\scriptscriptstyle B}A\right]\boldsymbol{\sigma}\left[\frac{1}{2}\Upsilon_{\boldsymbol{\sigma}_{g}}\delta_{\scriptscriptstyle B}g + \Upsilon_{\boldsymbol{\sigma}_{A}}\delta_{\scriptscriptstyle B}A\right] \end{split}$$

▶ This is positive definite (i.e. allowed by second law) if $\{\eta, \sigma\}$ have eigenvalues ≥ 0

Relation between Class B and Class D

• Consider the following constitutive relations:

$$\begin{split} (T^{\mu\nu})_{\mathsf{B},\mathsf{D}} &\equiv -\frac{1}{2} \, \mathcal{N}^{(\alpha\beta)(\mu\nu)} \, \delta_{\mathfrak{B}} \, g_{\alpha\beta} \\ (J^{\alpha})_{\mathsf{B},\mathsf{D}} &\equiv -\mathcal{S}^{\alpha\beta} \cdot \delta_{\mathfrak{B}} \, A_{\beta} \\ (\mathcal{G}^{\sigma})_{\mathsf{B},\mathsf{D}} &\equiv 0 \,, \end{split}$$

Recall: this parameterizes (part of) Class B for:

 $\mathcal{N}^{(\alpha\beta)(\mu\nu)} = -\mathcal{N}^{(\mu\nu)(\alpha\beta)} \,, \qquad \mathcal{S}^{\alpha\beta} = -\mathcal{S}^{\beta\alpha}$

- ► The same gives (a subset of) **Class D** for: $\mathcal{N}^{(\alpha\beta)(\mu\nu)} = \mathcal{N}^{(\mu\nu)(\alpha\beta)}, \qquad \mathcal{S}^{\alpha\beta} = \mathcal{S}^{\beta\alpha}$ (& both positive definite)
- This can be seen from the corresponding entropy production being a quadratic form:

$$\Delta = \frac{1}{4} \mathcal{N}^{(\alpha\beta)(\mu\nu)} \left(\delta_{\scriptscriptstyle B} g_{\alpha\beta} \right) \left(\delta_{\scriptscriptstyle B} g_{\mu\nu} \right) + \mathcal{S}^{\alpha\beta} \cdot \left(\delta_{\scriptscriptstyle B} A_{\alpha} \right) \left(\delta_{\scriptscriptstyle B} A_{\beta} \right)$$

Non-dissipative effective actions (Class ND)

• Fundamental fields (uncharged fluid): fluid element labels / Goldstone modes ϕ^I (I = 1, ..., d - 1)

Dubovsky-Hui-Nicolis-Son '11



• Reparametrization symmetry:

$$\begin{split} \phi^I &\mapsto \xi^I(\phi) \\ \det(\partial \xi^I / \partial \phi^J) = 1 \end{split}$$

- Entropy current: $J_{S}^{\sigma} \propto \varepsilon^{\sigma \alpha_{1} \cdots \alpha_{d-1}} \varepsilon_{I_{1} \cdots I_{d-1}} \prod_{j=1}^{d-1} \partial_{\alpha_{j}} \phi^{I_{j}}$ $= s u^{\sigma}$
- The ϕ^I are nothing else than our pullback fields $\mathcal{M} \to \mathbb{M}$ after Legendre transformation to entropic description $(T \to T(s))$
- The symmetries (volume preserving diffeomorphisms) are obtained by fixing a particular gauge for the redundancy of the theory on ${\rm M}$

Euler current d = 2n + 1 dimensions (Class C)

• The exactly conserved Euler current in d = 2n + 1 dimensions:

$$\begin{split} \mathsf{J}^{\sigma}_{\mathsf{Euler}} &\equiv -\frac{1}{2^n} \; c_{\mathsf{Euler}} \; \varepsilon^{\sigma \alpha_1 \alpha_2 \ldots \alpha_{2n-1} \alpha_{2n}} \; u_{\mu} \; \varepsilon^{\mu \nu_1 \nu_2 \ldots \nu_{2n-1} \nu_{2n}} \\ & \times \left(\frac{1}{2} R_{\nu_1 \nu_2 \alpha_1 \alpha_2} - \nabla_{\alpha_1} u_{\nu_1} \nabla_{\alpha_2} u_{\nu_2} \right) \\ & \vdots \\ & \times \left(\frac{1}{2} R_{\nu_{2n-1} \nu_{2n} \alpha_{2n-1} \alpha_{2n}} - \nabla_{\alpha_{2n-1}} u_{\nu_{2n-1}} \nabla_{\alpha_{2n}} u_{\nu_{2n}} \right) \\ \nabla_{\sigma} \mathsf{J}^{\sigma}_{\mathsf{Euler}} &= 0 \end{split}$$

▶ E.g. in d = 3 with $\mathcal{M}_3 = \mathbb{R} \times \Sigma_{\mathcal{M}}$: measures topology of $\Sigma_{\mathcal{M}}$ via

$$\int_{\Sigma_{\mathcal{M}}} \sqrt{-\gamma} \, \left(\mathsf{J}^{\sigma}_{\mathsf{Euler}} \, u_{\sigma} \right) \; \propto \; \chi \left(\Sigma_{\mathcal{M}} \right)$$