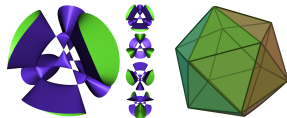


An Introduction to Mathieu Moonshine

Sam Fearn

Durham University

May 4, 2015





Moonshine, n.

- An illusive shadow

Dictionary of Archaic Words, J. O. Halliwell, London

Moonshine, n.

- An illusive shadow
- A dish composed partly of eggs

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- Cancellation of the Weyl anomaly for the Superstring gives a critical dimension $D = 10$.
- Phenomenologically interesting models are formed by compactifying on a Calabi-Yau manifold.
- The only compact Calabi-Yau two-folds are K3 and the torus T^4 .

We will try to write a partition function for the internal $c = 4(1 + \frac{1}{2}) = 6$ theory.

Outline

Gepner Models

- Compactifying the superstring on the tensor product of $\mathcal{N} = 2$ theories leads to a consistent compactified string theory¹

¹Doron Gepner. “Space-time supersymmetry in compactified string theory and superconformal models”. In: *Nuclear Physics B* 296.4 (1988), pp. 757–778.

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- Compactifying on a product of such minimal models whose central charges add to $c_{tot} = 6$ is equivalent to compactifying on a Calabi-Yau 2-fold²
- $\mathcal{N} = 2$ minimal models are exactly solvable QFTs.

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The $\mathcal{N} = 2$ (2D) SCA

The $\mathcal{N} = 2$ superconformal algebra contains the energy-momentum operator $T(z)$ of conformal dimension-2, two supercurrents $G^+(z), G^-(z)$ of dimension $\frac{3}{2}$, as well as an operator of dimension 1, $J(z)$. The modes of the fields satisfy

Definition

$$[L_m, L_n] = (m - n)L_{m+n} + \frac{c}{12}\delta_{m+n,0}m(m^2 - 1) \quad (1)$$

where d_ϕ is the conformal dimension of ϕ , i.e $d_G = \frac{3}{2}$ and $d_J = 1, d_Q$ and $m, n \in \mathbb{Z}, r, s \in \mathbb{Z}$ in the Ramond sector and $r, s \in \mathbb{Z} + \frac{1}{2}$ in the Neveu-Schwarz sector.

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Unitary representations of the $\mathcal{N} = 2$ algebra with $c < 3$ exist only for discrete values of the central charge

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These may be parameterised in the NS sector as

$$h_{l,m}^{NS,k} = \frac{l(l+2) - m^2}{4(k+2)} \quad (5)$$

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$$0 \leq l \leq k, \quad |m| \leq l, \quad l \equiv m \pmod{2} \quad (7)$$

Characters

Definition

The character of a representation is

$$\text{ch}(\tau, z) := \text{Tr} \left(q^{L_0 - \frac{c}{24}} y^{J_0} \right) \quad (8)$$

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The character in the NS sector at level k can be given by

$$\begin{aligned} \text{ch}_{l,m}^{NS(k)} &= q^{h_{l,m}^{NS(k)} - \frac{c_k}{24}} y^{Q_{l,m}^{NS(k)}} \prod_{n=1}^{\infty} \frac{(1 + yq^{n-\frac{1}{2}})(1 + y^{-1}q^{n-\frac{1}{2}})}{(1 - q^n)^2} \\ &\times \prod_{n=1}^{\infty} \frac{(1 - q^{(k+2)(n-1)+l+1})(1 - q^{(k+2)n-(l+1)})(1 - q^{(k+2)n})}{(1 + yq^{(k+2)n - \frac{1}{2}(l+m+1)})(1 + y^{-1}q^{(k+2)(n-1) + \frac{1}{2}(l+m+1)})(1 + y^{-1}q^{(k+2)n - \frac{1}{2}(l-m+1)})(1 + yq^{(k+2)(n-1) + \frac{1}{2}(l-m+1)})} \end{aligned}$$

Modular Properties

The characters can be written in terms of functions with known modular transformations allowing the modular properties of the characters to be calculated

$$\text{ch}_{l,m}^{NS(k)}(\tau + 1, z) = \exp \left\{ 2\pi i \left(h_{l,m}^{NS(k)} - \frac{c_k}{24} - \frac{m}{2(k+2)} \right) \right\} \text{ch}_{l,m}^{NS(k)}(\tau, z) + \frac{1}{2} \quad (10)$$

$$\begin{aligned} \text{ch}_{l,m}^{NS(k)}\left(\frac{-1}{\tau}, \frac{z}{\tau}\right) &= \frac{1}{k+2} \exp \left\{ \frac{\pi i k z^2}{\tau(k+2)} \right\} \\ &\times \sum_{l'=0}^k \sum_{m'=-k+1}^{k+2} \sin \frac{\pi(l+1)(l'+1)}{k+2} \exp \left\{ \frac{\pi i m m'}{k+2} \right\} \text{ch}_{l',m'}^{NS(k)}(\tau, z) \end{aligned} \quad (11)$$

Spectral Flow

The $\mathcal{N} = 2$ SCA has a continuous automorphism given by³

$$\begin{aligned}L_n &\rightarrow L_n + \eta J_n + \frac{c}{6} \eta^2 \delta_{n,0} \\J_n &\rightarrow J_n + \frac{c}{3} \eta \delta_{n,0} \\G_r^\pm &\rightarrow G_{r \mp \eta}^\pm\end{aligned}\tag{12}$$

³A Schwimmer and N Seiberg. "Comments on the $\mathcal{N} = 2, 3, 4$ superconformal algebras in two dimensions". In: *Physics Letters B* 184.2 (1987), pp. 191–196.

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These flows correspond to the shifts $z \rightarrow z \mp \frac{\tau}{2}$ and $z \rightarrow z \mp \tau$ in our characters respectively, under which we find the following transformations

$$\text{ch}_{l,m}^{NS(k)}(\tau, z + \frac{\tau}{2}) = q^{-\frac{c_k}{24}} y^{-\frac{c_k}{12}} \text{ch}_{l,m}^{R(k)}(\tau, z)\tag{13}$$

$$\text{ch}_{l,m}^{(NS,R)(k)}(\tau, z + \tau) = q^{-\frac{c_k}{6}} y^{-\frac{c_k}{6}} \text{ch}_{l,m-2}^{(R,NS)(k)}(\tau, z)\tag{14}$$

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Outline

The 1^6 Model

In a Gepner model, we consider a product $\prod_i k_i^{m_i}$ such that

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Definition

An orbit is a combination of characters which has integral $U(1)$ charge and is invariant under two-fold spectral flow.

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These characters transform into each other under spectral flow

$$A \rightarrow q^{-\frac{1}{6}} y^{-\frac{1}{6}} B \quad (16)$$

$$B \rightarrow q^{-\frac{1}{6}} y^{-\frac{1}{6}} C \quad (17)$$

$$C \rightarrow q^{-\frac{1}{6}} y^{-\frac{1}{6}} A \quad (18)$$

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We use this to construct our first orbit NS_1

$$NS_1 := A^6 + B^6 + C^6 \rightarrow q^{-1} y^{-1} NS_1 \quad (19)$$

Orbits under modular transformations

Using our previous results about the modular transformations of the characters we can calculate the S transform of A

$$A \rightarrow \text{ch}_{0,0}^{NS}\left(-\frac{1}{\tau}, \frac{z}{\tau}\right) = \frac{1}{\sqrt{3}} e^{\pi i \frac{z^2}{3\tau}} (A + B + C) \quad (20)$$

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Similarly we can calculate

$$A^6 \rightarrow \frac{1}{27} e^{2\pi i \frac{z^2}{\tau}} (A + B + C)^6 \quad (21)$$

$$B^6 \rightarrow \frac{1}{27} e^{2\pi i \frac{z^2}{\tau}} (A - e^{\frac{1}{3}\pi i} B + e^{\frac{2}{3}\pi i} C)^6 \quad (22)$$

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Using this

$$NS_1 \xrightarrow{S} \frac{1}{27} e^{2\pi i \frac{z^2}{\tau}} \{ 3(A^6 + B^6 + C^6) + 90(A^4BC + AB^4C + ABC^4) + 60(A^3B^3 + A^3C^3 + B^3C^3) + 270A^2B^2C^2 \} \quad (24)$$

Orbits Continued

We have now found a total of four orbits

$$NS_1 := A^6 + B^6 + C^6 \quad NS_2 := A^3 B^3 A^3 C^3 + B^3 C^3 \quad (25)$$

$$NS_3 := A^2 B^2 C^2 \quad NS_4 := A^4 BC + AB^4 C + ABC^4 \quad (26)$$

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We can calculate the matrix $S_{i,j}$ of the S transforms of the orbits

$$NS_i(\tau, z) = S_{i,j} NS_j\left(-\frac{1}{\tau}, \frac{z}{\tau}\right) e^{-2\pi i \frac{z^2}{\tau}} \quad (27)$$

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For the 1^6 model we find

$$S = \frac{1}{27} \begin{pmatrix} 3 & 60 & 270 & 90 \\ 3 & -21 & 27 & 9 \\ 1 & 2 & 9 & -6 \\ 3 & 6 & -54 & 9 \end{pmatrix} \quad (28)$$

We note that this matrix satisfies $S^2 = 1$.

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without summation. We can now write an S -invariant combination as

$$\begin{aligned} \sum_i D_i \overline{NS}_i NS_i &\xrightarrow{S} \sum_{i,j,k} D_i S_{i,j} S_{i,k} \overline{NS}_j NS_k \\ &= \sum_{i,j,k} D_j S_{j,i} S_{i,k} \overline{NS}_j NS_k \\ &= \sum_{j,k} D_j \delta_{j,k} \overline{NS}_j NS_k \\ &= \sum_j \overline{NS}_j NS_j \end{aligned} \quad (31)$$

Symmetry Enhancement and $\mathcal{N} = 4$

Alvarez-Gaumé and Freedman⁴ showed that a sigma model on a hyperkähler manifold has $\mathcal{N} = 4$ symmetry.

⁴Luis Alvarez-Gaume and Daniel Z Freedman. “Geometrical structure and ultraviolet finiteness in the supersymmetric σ -model”. In: *Communications in Mathematical Physics* 80.3 (1981), pp. 443–451.

⁵Tohru Eguchi et al. “Superconformal algebras and string compactification on manifolds with $SU(n)$ holonomy”. In: *Nuclear Physics B* 315.1 (1989), pp. 193–221.

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- Unitarity bounds $h \geq \frac{k}{4}$ (R), $h \geq l$ (NS), $c = 6k, 0 \leq l \leq k$
- Massless representation when the bound is saturated.

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The Partition Function and the Elliptic Genus

We can find orbits in the other sectors by using the spectral flow and can write down a modular invariant combination of orbits

$$Z = \sum_i D_i (NS_i \overline{NS}_i + \widetilde{NS}_i \widetilde{\overline{NS}}_i + R_i \overline{R}_i + \widetilde{R}_i \widetilde{\overline{R}}_i) \quad (32)$$

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Definition

The Elliptic Genus of an $\mathcal{N} = (4, 4)$ conformal field theory corresponding to a sigma model on a target space \mathcal{M} is defined as

$$\varepsilon_{\mathcal{M}}(\tau, z) := \text{Tr}_{\mathcal{H}^R} \left((-1)^F q^{L_0 - \frac{c}{24}} \overline{q}^{\overline{L}_0 - \frac{\overline{c}}{24}} y^{2J_0^3} \right) \quad (33)$$

More Elliptic Genus

The elliptic genus is simply the partition function in the \tilde{R} sector

$$Z_{\tilde{R}}(\tau, z; \bar{\tau}, \bar{z}) := \text{Tr}_{\mathcal{H}^R} \left((-1)^F q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - \frac{\bar{c}}{24}} y^{2J_0^3} \bar{y}^{2\bar{J}_0^3} \right) \quad (34)$$

with the right-movers projected out

$$\varepsilon_{\mathcal{M}}(\tau, z) = Z_{\tilde{R}}(\tau, z; \bar{\tau}, \bar{z} = 0) \quad (35)$$

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with the right-movers projected out

$$\varepsilon_{\mathcal{M}}(\tau, z) = Z_{\tilde{R}}(\tau, z; \bar{\tau}, \bar{z} = 0) \quad (35)$$

It is also independent of $\bar{\tau}$ since, decomposing \mathcal{H}^R into left and right movers $\mathcal{H}^R = \bigoplus_{(j, j^*) \in \mathcal{J}} \mathcal{H}_j^R \otimes \mathcal{H}_{j^*}^R$

$$\begin{aligned} \varepsilon_{\mathcal{M}}(\tau, z) &= \sum_{(j, j^*) \in \mathcal{J}} \text{Tr}_{\mathcal{H}_j^R} \left((-1)^{F_L} q^{L_0 - \frac{c}{24}} y^{2J_0^3} \right) \times \text{Tr}_{\mathcal{H}_{j^*}^R} \left((-1)^{F_L} \bar{q}^{\bar{L}_0 - \frac{\bar{c}}{24}} \right) \\ &= \sum_{(j, j^*) \in \mathcal{J}} ch_j^R(\tau, z + \frac{1}{2}) \times I_{j^*} \end{aligned} \quad (36)$$

where I_{j^*} is just the Witten Index of the representation j^* .

The Elliptic Genus of 1^6

$$\varepsilon(\tau, z) = Z_{\tilde{R}}(\tau, z; \bar{\tau}, \bar{z} = 0)$$

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$$\begin{aligned}\varepsilon(\tau, z) &= Z_{\tilde{R}}(\tau, z; \bar{\tau}, \bar{z} = 0) \\ &= \sum_{i=1}^{d+d'} D_i \tilde{R}_i(\tau, z) \tilde{R}_i(\bar{\tau}, \bar{z} = 0)\end{aligned}\tag{37}$$

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Note the second factor is the Witten Index. Using details of the representation theory of $\mathcal{N} = 4^6$

$$\begin{aligned}\varepsilon(\tau, z) &= -2\tilde{R}_1(\tau, z) + \sum_{i=2}^d D_i \tilde{R}_i(\tau, z) \\ &= -2\text{ch}_0^{\tilde{R}}(l = \frac{1}{2}; \tau, z) + \sum_{i=2}^d D_i a_i \text{ch}_0^{\tilde{R}}(l = 0; \tau, z) \\ &\quad + \left(-2F_1(\tau) + \sum_{i=2}^d D_i a_i F_i(\tau) \right) \hat{\text{ch}}^{\tilde{R}}(h = \frac{1}{4}; \tau, z)\end{aligned}\tag{38}$$

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Note the second factor is the Witten Index. Using details of the representation theory of $\mathcal{N} = 4^6$

$$\begin{aligned}\varepsilon(\tau, z) &= 20 \text{ch}_0^{\tilde{R}}(l = 0; \tau, z) - 2 \text{ch}_0^{\tilde{R}}(l = \frac{1}{2}; \tau, z) \\ &\quad + 2 \left(45q + 231q^2 + 770q^3 + 2277q^4 + \dots \right) \hat{\text{ch}}^{\tilde{R}}(h = \frac{1}{4}; \tau, z)\end{aligned}\tag{38}$$

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And Now For Something Completely Different



Outline

A sporadic group

Theorem

The Classification of Finite Simple Groups.

This theorem states that all finite simple groups fall into one of the following families:

- 1 Cyclic groups of order n for n prime.
- 2 Alternating groups of degree at least 5.
- 3 Simple Lie type groups.
- 4 The 26 sporadic simple groups.

A sporadic group

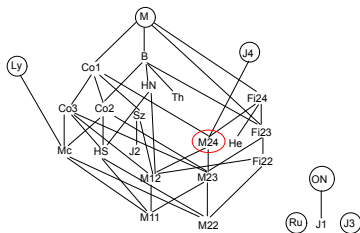
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M_{24} is one of the sporadic finite simple groups. It is a subgroup of the well known Monster group M , as shown below.



The Golay Code

Linear codes are linear subspaces of vector spaces over finite fields.

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There is a unique $[24, 12, 8]$ code up to equivalency, \mathcal{G}_{24} . This code is known as the Extended Binary Golay Code.

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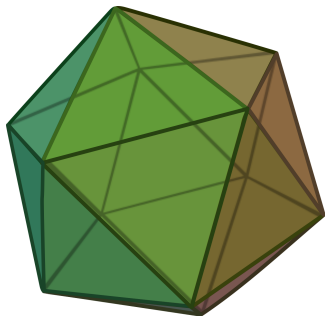
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Lexicographic Code

$$c_0 = (0, 0)$$

$$c_1 = (0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 1, 1, 1)$$

$$c_2 = (0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 0, 0, 0, 0, 1, 1, 1, 1)$$

$$c_3 = (0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 0, 0, 1, 1, 0, 0, 1, 1, 0, 0, 1, 1)$$

\vdots

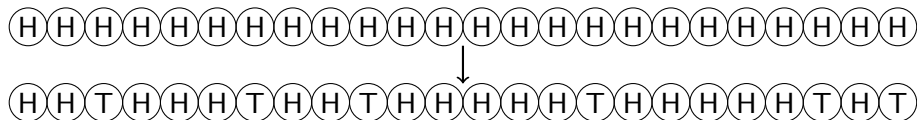
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The Mathematical Game of Mogul



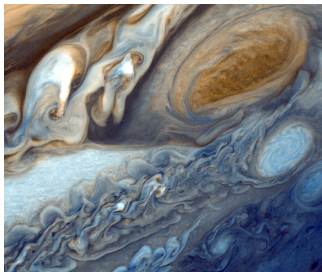
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The Golay code was used to transmit photos back from the Voyager spacecraft.



M_{24}

We can define M_{24} in many different ways, however one that suits us is the following.

Definition

$$M_{24} := \text{Aut}(\mathcal{G}_{24}) \quad (39)$$

That is, $M_{24} = \{\tau \in S_{24} \mid \tau(c) \in \mathcal{G}_{24} \quad \forall c \in \mathcal{G}_{24}\}$

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M_{24} has order $2^{10} \cdot 3^3 \cdot 5 \cdot 7 \cdot 11 \cdot 23 = 244823040$

M_{24} Representation Theory

K_g	1A	2A	2B	3A	3B	4A	4B	4C	5A	6A	6B	7A	7B	8A	10A	11A	12A	12B	14A	14B	15A	15B	21A	21B	23A	23B
1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
ρ_1	23	7	-1	5	-1	-1	3	-1	3	1	-1	2	2	1	-1	1	-1	-1	0	0	0	0	-1	-1	0	0
ρ_2	45	-3	5	0	3	-3	1	1	0	0	-1	α_+^-	α_-^+	-1	0	1	0	1	α_+^+	α_-^+	0	0	α_-^-	α_+^-	-1	-1
$\bar{\rho}_2$	45	-3	5	0	3	-3	1	1	0	0	-1	α_-^-	α_+^-	-1	0	1	0	1	α_+^-	α_-^+	0	0	α_+^-	α_-^+	-1	-1
ρ_3	231	7	-9	-3	0	-1	-1	3	1	1	0	0	0	-1	1	0	-1	0	0	0	β_+^-	β_-^-	0	0	1	1
$\bar{\rho}_3$	231	7	-9	-3	0	-1	-1	3	1	1	0	0	0	-1	1	0	-1	0	0	0	β_-^-	β_+^-	0	0	1	1
ρ_4	252	28	12	9	0	4	4	0	2	1	0	0	0	0	2	-1	1	0	0	0	-1	-1	0	0	-1	-1
ρ_5	253	13	-11	10	1	-3	1	1	3	-2	1	1	1	-1	-1	0	0	1	-1	-1	0	0	1	1	0	0
ρ_6	483	35	3	6	0	3	3	3	-2	2	0	0	0	-1	-2	-1	0	0	0	0	1	1	0	0	0	0
ρ_7	770	-14	10	5	-7	2	-2	-2	0	1	1	0	0	0	0	0	-1	1	0	0	0	0	0	0	γ_+^-	γ_-^-
$\bar{\rho}_7$	770	-14	10	5	-7	2	-2	-2	0	1	1	0	0	0	0	-1	1	0	0	0	0	0	0	0	γ_-^-	γ_+^-
ρ_8	990	-18	-10	0	3	6	2	-2	0	0	-1	α_+^-	α_-^-	0	0	0	0	1	α_+^-	α_-^-	0	0	α_+^-	α_-^-	1	1
$\bar{\rho}_8$	990	-18	-10	0	3	6	2	-2	0	0	-1	α_-^-	α_+^-	0	0	0	0	1	α_-^-	α_+^-	0	0	α_-^-	α_+^-	1	1
ρ_9	1035	27	35	0	6	3	-1	3	0	0	2	-1	-1	1	0	1	0	0	-1	-1	0	0	-1	-1	0	0
ρ_{10}	1035	-21	-5	0	-3	3	3	-1	0	0	1	$2\alpha_+^-$	$2\alpha_-^-$	-1	0	1	0	-1	0	0	0	0	α_+^-	α_+^-	0	0
$\bar{\rho}_{10}$	1035	-21	-5	0	-3	3	3	-1	0	0	1	$2\alpha_-^-$	$2\alpha_+^-$	-1	0	1	0	-1	0	0	0	0	α_+^-	α_+^-	0	0
ρ_{11}	1265	49	-15	5	8	-7	1	-3	0	1	0	-2	-2	1	0	0	-1	0	0	0	0	0	1	1	0	0
ρ_{12}	1771	-21	11	16	7	3	-5	-1	1	0	-1	0	0	-1	1	0	0	-1	0	0	1	1	0	0	0	0
ρ_{13}	2024	8	24	-1	8	8	0	0	-1	-1	0	1	1	0	-1	0	-1	0	1	1	-1	-1	1	1	0	0
ρ_{14}	2277	21	-19	0	6	-3	1	-3	-3	0	2	2	2	-1	1	0	0	0	0	0	0	0	-1	-1	0	0
ρ_{15}	3312	48	16	0	-6	0	0	0	-3	0	-2	1	1	0	1	1	0	0	-1	-1	0	0	1	1	0	0
ρ_{16}	3520	64	0	10	-8	0	0	0	0	-2	0	-1	-1	0	0	0	0	0	1	1	0	0	-1	-1	1	1
ρ_{17}	5313	49	9	-15	0	1	-3	-3	3	1	0	0	0	-1	-1	0	1	0	0	0	0	0	0	0	0	0
ρ_{18}	5544	-56	24	9	0	-8	0	0	-1	1	0	0	0	0	-1	0	1	0	0	0	-1	-1	0	0	1	1
ρ_{19}	5796	-28	36	-9	0	-4	4	0	1	-1	0	0	0	0	1	-1	-1	0	0	0	1	1	0	0	0	0
ρ_{20}	10395	-21	-45	0	0	3	-1	3	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	-1	-1

Outline

Mathieu Moonshine

When considering the 1^6 model we calculated the elliptic genus as

$$\begin{aligned}\varepsilon(\tau, z) = & 20\text{ch}_0^{\tilde{R}}(l = 0; \tau, z) - 2\text{ch}_0^{\tilde{R}}(l = \frac{1}{2}; \tau, z) \\ & + 2 \left(45q + 231q^2 + 770q^3 + 2277q^4 + \dots \right) \hat{\text{ch}}^{\tilde{R}}(h = \frac{1}{4}; \tau, z)\end{aligned}$$

⁷Terry Gannon. "Much ado about Mathieu". In: *arXiv preprint arXiv:1211.5531* (2012).

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Gannon⁷ introduced the *twining elliptic genera* for $g \in M_{24}$

$$\phi_g(\tau, z) = \text{ch}_{H_{00}}(g)\text{ch}_{\frac{1}{4}, 0}^R + \sum_{n=0}^{\infty} \text{ch}_{H_n}(g)\text{ch}_{n+\frac{1}{4}, \frac{1}{2}}^R(\tau, z) \quad (40)$$

and proved that all H_n are indeed representations of M_{24} .

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Final Comments on the Elliptic Genus

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The elliptic genus contains information about other topological invariants, specifically

$$\varepsilon_X(\tau, z = 0) = \chi(X) \tag{41}$$

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The (geometric) elliptic genus is a ring homomorphism from the cobordism ring of smooth oriented compact manifolds into a ring of modular functions.

If X is a Calabi-Yau D -fold, then $\varepsilon_X(\tau, z)$ is a weak Jacobi form of weight 0 and index $\frac{D}{2}$.

The elliptic genus contains information about other topological invariants, specifically

$$\varepsilon_X(\tau, z = 0) = \chi(X) \tag{41}$$

When a CFT has a sigma-model construction the two notions of elliptic genus agree.



Questions?