

# Many Moonshines: Monstrous, Mathieu and (M)Umbral

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'The energy produced by the breaking down of the atom is a very poor kind of thing. Anyone who expects a source of power from the transformation of these atoms is talking **moonshine**'

Ernest Rutherford (1937), The Wordsworth Book of Humorous Quotations

## Outline

## 1. Introduction

- 2. Monstrous Moonshine
- 3. The Elliptic Genus of K3
- 4.  $\mathcal{G}_{24}$  and  $M_{24}$
- 5. Mathieu Moonshine
- 6. Niemeier Lattices
- 7. Umbral Moonshine

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Therefore,

$$Z(\gamma au) = Z( au), \qquad \gamma \in SL_2(\mathbb{Z})$$

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The numbers of the left hand sides are coefficients of the j-invariant, a modular function (form of weight 0, where we allow meromorphicity) for  $SL(2,\mathbb{Z})$  with  $q \equiv e^{2\pi i \tau}$  expansion

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The numbers on the right are dimensions of irreducible representations of the Monster group  $\mathbb M$ , the largest finite sporadic group of order  $\approx 8\times 10^{53}.$ 

The previous equalities suggest the existence of a graded Monster module  ${\cal V}$ 

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Since the dimension of a representation  $\rho$  is given by the character of the identity,  $\chi_{\rho}(e) = Tr(\rho(e)) = dim(\rho)$ , we may write this as

$$j(\tau) - 744 = \chi_{V_{-1}}(e_{V_{-1}})q^{-1} + \chi_{V_1}(e_{V_1})q + \chi_{V_2}(e_{V_2})q^2 + \dots$$
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Written in this form it is natural to consider the McKay - Thompson series

$$T_{g}(\tau) = \chi_{V_{-1}}(g)q^{-1} + \sum_{i=1}^{\infty} \chi_{V_{i}}(g)q^{i}$$
(6)

Conway and Norton<sup>1</sup> conjectured that the McKay-Thompson series  $T_g(\tau)$  were the Hauptmoduls for other genus 0 groups.

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They showed that this module has automorphism group  $\mathbb{M}$  and graded dimension  $j(\tau) - 744$ . That is, the CFT has  $j(\tau) - 744$  as the partition function and has  $\mathbb{M}$  symmetry.

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Borcherds<sup>3</sup> showed that the graded characters of  $V^{\natural}$ ,  $T_g(\tau)$  are the Hauptmoduls identified by Conway and Norton.

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<sup>3</sup>Richard E Borcherds. "Monstrous moonshine and monstrous Lie superalgebras". In: *Inventiones mathematicae* 109.1 (1992), pp. 405–444.

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- There are many different Calabi-Yau 3-folds, but the only Calabi-Yau two folds are complex tori and K3 surfaces.
- Alvarez-Gaumé and Freedman<sup>4</sup> showed that a sigma model on a hyperkähler manifold has  $\mathcal{N} = 4$  symmetry (K3 is hyperkähler).

<sup>4</sup>Luis Alvarez-Gaume and Daniel Z Freedman. "Geometrical structure and ultraviolet finiteness in the supersymmetric  $\sigma$ -model". In: *Communications in Mathematical Physics* 80.3 (1981), pp. 443–451.

# The Partition Function and the Elliptic Genus

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We begin by considering the *partition function*:

Definition

The partition function for an  $\mathcal{N} = (4,4)$  theory is given by

$$Z(\tau, z; \bar{\tau}, \bar{z}) = \operatorname{Tr}_{\mathbf{H}} q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - \frac{c}{24}} y^{2J_0^3} \bar{y}^{2\bar{J}_0^3} \qquad q = e^{2\pi i \tau}, y = e^{2\pi i z}.$$
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For some purposes it is convenient to consider a related quantity known as the Elliptic Genus. This is moduli space independent.

$$\varepsilon_{\mathcal{M}}(\tau, z) := Z_{\tilde{R}}(\tau, z; \bar{\tau}, \bar{z} = 0)$$
(8)

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$$\varepsilon_{K3} = 8 \left[ \frac{\theta_2(\tau, z)^2}{\theta_2(\tau)^2} + \frac{\theta_3(\tau, z)^2}{\theta_3(\tau)^2} + \frac{\theta_4(\tau, z)^2}{\theta_4(\tau)^2} \right]$$
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 $\varepsilon$  is a topological invariant and can be related to other invariants,

$$\varepsilon_{\mathbf{K}3}(\tau, \mathbf{z} = \mathbf{0}) = \chi(\mathbf{K}3) = \mathbf{24} \tag{11}$$

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# The Elliptic Genus in $\mathcal{N}=4$ Characters

Alvarez-Gaumé and Freedman<sup>7</sup> showed that a sigma model on a hyperkähler manifold has  $\mathcal{N} = 4$  symmetry.

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## The Elliptic Genus in $\mathcal{N}=4$ Characters

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In terms of  $\mathcal{N}=4$  characters we can expand the elliptic genus as

$$\varepsilon_{\mathcal{K}3}(\tau,z) = 24 \operatorname{ch}_{I=0}^{\tilde{\mathcal{R}}}(\tau,z) + \Sigma(\tau) q^{\frac{1}{8}} \widehat{\operatorname{ch}}_{I=1/2}^{\tilde{\mathcal{R}}}(\tau,z)$$
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where

$$\Sigma(\tau) = q^{-\frac{1}{8}} (-2 + \sum_{n=1}^{\infty} A_n q^n)$$
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 $\Sigma$  can be expanded as

$$\Sigma(\tau) = q^{-rac{1}{8}}(-2+90q+462q^2+1540q^3+4554q^4+\ldots)$$
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# A sporadic group

#### Theorem

The Classification of Finite Simple Groups.

This theorem states that all finite simple groups fall into one of the following families:

- Cyclic groups of order n for n prime.
- 2 Alternating groups of degree at least 5.
- Simple Lie type groups.
- The 26 sporadic simple groups.

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 $M_{24}$  is one of the sporadic finite simple groups. It is a subgroup of the Monster group \$M,\$ as shown below.



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The Mathematical Game of Mogul

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The Golay code was used to transmit photos back from the Voyager spacecraft.



# $M_{24}$

We can define  $\mathsf{M}_{24}$  in many different ways, however one that suits us is the following.

Definition  $M_{24} := Aut(\mathcal{G}_{24}) \tag{15}$ That is,  $M_{24} = \{ \tau \in S_{24} | \tau(c) \in \mathcal{G}_{24} \ \forall c \in \mathcal{G}_{24} \}$ 

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We can define  $\mathsf{M}_{24}$  in many different ways, however one that suits us is the following.

Definition

$$M_{24} := Aut(\mathcal{G}_{24})$$

(15)

That is,  $M_{24} = \{ \tau \in S_{24} | \ \tau(c) \in \mathcal{G}_{24} \quad \forall c \in \mathcal{G}_{24} \}$ 

 $M_{24}$  has order  $2^{10} \cdot 3^3 \cdot 5 \cdot 7 \cdot 11 \cdot 23 = 244823040$ 

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### $M_{24}$ Representation Theory

$K_{g}$	1A	2A	2B	3A	3B	4A	4B	4C	5A	6A	6B	7A	7B	8A	10A	11A	12A	12B	14A	14B	15A	15B	21A	21B	23A	23B
1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
$\rho_1$	23	7	-1	5	-1	-1	3	-1	3	1	-1	2	2	1	-1	1	-1	-1	0	0	0	0	-1	-1	0	0
P2	45	-3	5	0	3	-3	1	1	0	0	-1	$\alpha_{+}^{-}$	$\alpha_{-}^{-}$	-1	0	1	0	1	$\alpha^+$	$\alpha^{+}_{\perp}$	0	0	$\alpha_{+}^{-}$	$\alpha_{-}^{-}$	-1	-1
P2	45	-3	5	0	3	-3	1	1	0	0	-1	$\alpha^{-}$	$\alpha_{\perp}^{-}$	-1	0	1	0	1	$\alpha^+_{\perp}$	$\alpha^+$	0	0	$\alpha_{-}$	$\alpha_{\perp}^{-}$	-1	-1
P3	231	7	-9	-3	0	-1	-1	3	1	1	0	0	0	-1	1	0	-1	0	0	0	$\beta_{\perp}^{-}$	$\beta_{-}^{-}$	0	0	1	1
$\overline{\rho_3}$	231	7	-9	-3	0	-1	-1	3	1	1	0	0	0	-1	1	0	-1	0	0	0	$\beta_{-}^{+}$	$\beta_{+}^{-}$	0	0	1	1
ρ4	252	28	12	9	0	4	4	0	2	1	0	0	0	0	2	-1	1	0	0	0	-1	-1	0	0	-1	-1
$\rho_5$	253	13	-11	10	1	-3	1	1	3	-2	1	1	1	-1	-1	0	0	1	-1	-1	0	0	1	1	0	0
$\rho_6$	483	35	3	6	0	3	3	3	-2	2	0	0	0	-1	-2	-1	0	0	0	0	1	1	0	0	0	0
PT	770	-14	10	5	-7	2	-2	-2	0	1	1	0	0	0	0	0	-1	1	0	0	0	0	0	0	$\gamma_{+}^{-}$	$\gamma_{-}^{-}$
$\overline{\rho_7}$	770	-14	10	5	-7	2	-2	-2	0	1	1	0	0	0	0	0	-1	1	0	0	0	0	0	0	$\gamma_{-}^{-}$	$\gamma_{+}^{-}$
<i>ρ</i> 8	990	-18	-10	0	3	6	2	-2	0	0	-1	$\alpha_{+}^{-}$	$\alpha_{-}^{-}$	0	0	0	0	1	$\alpha_{+}^{-}$	$\alpha_{-}^{-}$	0	0	$\alpha_{+}^{-}$	$\alpha_{-}^{-}$	1	1
P8	990	-18	-10	0	3	6	2	-2	0	0	-1	$\alpha^{\perp}$	$\alpha_{\perp}^{-}$	0	0	0	0	1	$\alpha_{-}^{+}$	$\alpha_{\perp}^{-}$	0	0	$\alpha^{\perp}$	$\alpha_{\perp}^{-}$	1	1
P9	1035	27	35	0	6	3	-1	3	0	0	2	-1	-1	1	0	1	0	0	-1	-1	0	0	-1	-1	0	0
P10	1035	-21	-5	0	-3	3	3	-1	0	0	1	$2\alpha_{\perp}^{-}$	$2\alpha_{-}^{-}$	-1	0	1	0	-1	0	0	0	0	$\alpha^+$	$\alpha^+_{\perp}$	0	0
$\overline{\rho_{10}}$	1035	-21	-5	0	-3	3	3	-1	0	0	1	$2\alpha$	$2\alpha_{\perp}^{-}$	-1	0	1	0	-1	0	0	0	0	$\alpha^+_{\perp}$	$\alpha^+$	0	0
P11	1265	49	-15	5	8	-7	1	-3	0	1	0	-2	-2	1	0	0	-1	0	0	0	0	0	1	1	0	0
P12	1771	-21	11	16	7	3	-5	-1	1	0	-1	0	0	-1	1	0	0	-1	0	0	1	1	0	0	0	0
ρ <sub>13</sub>	2024	8	24	-1	8	8	0	0	-1	-1	0	1	1	0	-1	0	-1	0	1	1	-1	-1	1	1	0	0
ρ14 (	2277	21	-19	0	6	-3	1	-3	-3	0	2	2	2	-1	1	0	0	0	0	0	0	0	-1	-1	0	0
ρ <sub>15</sub>	3312	48	16	0	-6	0	0	0	-3	0	-2	1	1	0	1	1	0	0	-1	-1	0	0	1	1	0	0
$\rho_{16}$	3520	64	0	10	-8	0	0	0	0	-2	0	-1	-1	0	0	0	0	0	1	1	0	0	-1	-1	1	1
$\rho_{17}$	5313	49	9	-15	0	1	-3	-3	3	1	0	0	0	-1	-1	0	1	0	0	0	0	0	0	0	0	0
$\rho_{18}$	5544	-56	24	9	0	-8	0	0	-1	1	0	0	0	0	-1	0	1	0	0	0	-1	-1	0	0	1	1
$\rho_{19}$	5796	-28	36	-9	0	-4	4	0	1	-1	0	0	0	0	1	-1	-1	0	0	0	1	1	0	0	0	0
P20	10395	-21	-45	0	0	3	-1	3	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	-1	-1

We showed that the elliptic genus of K3 can be written as

$$\varepsilon_{K3}(\tau, z) = 24 \operatorname{ch}_{l=0}^{\tilde{R}}(\tau, z) + \operatorname{ch}_{l=1/2}^{\tilde{R}}(\tau, z) \\ \cdot (-2 + 90q + 462q^2 + 1540q^3 + 4554q^4 + \ldots)$$
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The *twining elliptic genera* for  $g \in M_{24}$  have been studied (eg.<sup>8</sup>)

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and Gannon<sup>9</sup> proved that all<sup>\*</sup>  $H_n$  are indeed representations of M<sub>24</sub>.

<sup>8</sup>Gaberdiel, Hohenegger, and Volpato, "Mathieu twining characters for K3".
 <sup>9</sup>Terry Gannon. "Much ado about Mathieu". In: *arXiv preprint arXiv:1211.5531* 2012).

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- The Gram matrix, A is given by  $M \cdot M^{\top}$ .
- The determinant of the Gram matrix is known as the *determinant* of  $L_n$ ,  $det(L_n) = det(A)$ .

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$$\mu = \xi (M^{-1})^{\top} = \xi (M^{-1})^{\top} M^{-1} M$$
  
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hence we also have  $L_n^* \subseteq \det(L)^{-1}L_n$ . When  $\det(L) = 1$  then L is unimodular.



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The only 16 dimensional Type II lattices are  $E_8 \times E_8$  and the weight lattice of Spin(32)/ $\mathbb{Z}_2$ . Related to gauge groups for Heterotic string theory.

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It can be shown<sup>10</sup> that an even, unimodular lattice either has no roots (Leech) or its roots are given by the union of irreducible, simply-laced root systems of the same Coxeter number. Verified by Mass Formula.

<sup>10</sup> John Horton Conway and Neil James Alexander Sloane. *Sphere packings, lattices and groups.* Vol. 290. Springer Science and Business Media, 2013.

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The Niemeier lattices can be constructed <sup>10</sup> by *gluing* the root lattices using *glue vectors* whose components are given by elements of  $L^*/L$ . We consider  $(A_1^{24})^+$  and  $(A_2^{12})^+$ .

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 $(A_1^{24})^+$  is constructed using the Extended binary Golay code,  $\mathcal{G}_{24}$ as glue. The minimum weight of  $\mathcal{G}_{24}$  ensures this doesn't add roots and maintains evenness.  $(A_2^{12})^+$  is constructed using the Extended ternary Golay code,  $\mathcal{G}_{12}$ .  $\mathcal{G}_{12}$  is a [12, 6, 6]<sub>3</sub> code. Therefore using this as glue doesn't add roots and maintains evenness.

<sup>&</sup>lt;sup>10</sup>Conway and Sloane, *Sphere packings, lattices and groups*.

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#### 7. Umbral Moonshine

Cheng, Duncan and Harvey<sup>11</sup> described a particular way to associate to each Niemeier lattice a (vector-valued) mock modular form.

<sup>&</sup>lt;sup>11</sup>Miranda CN Cheng, John FR Duncan, and Jeffrey A Harvey. "Umbral moonshine". In: *arXiv preprint arXiv:1204.2779* (2012); Miranda CN Cheng, John FR Duncan, and Jeffrey A Harvey. "Umbral moonshine and the Niemeier lattices". In: *arXiv preprint arXiv:1307.5793* (2013).

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This construction, in the simplest cases of  $A_1^{24}$  and  $A_2^{12}$  may be summarised as follows:

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- Form a weight 1, index *m* Jacobi form as

$$\psi(\tau, z) = \mu_{1,0}(\tau, z)\phi(\tau, z) \tag{18}$$

where  $\mu_{1,0}$  is a meromorphic Jacobi form of weight 1, index 1.

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• We define the *Polar part* of  $\psi$  as

$$\psi^{P}(\tau, z) = \chi \mu_{m,0} \tag{19}$$

where  $\chi = \phi(\tau, 0)$  is a weight 0 modular form and hence is constant.

<sup>11</sup>Cheng, Duncan, and Harvey, "Umbral moonshine"; Cheng, Duncan, and Harvey, "Umbral moonshine and the Niemeier lattices".

### Umbral Forms Continued

• Now form the finite part of  $\psi$  as

$$\psi^{\mathsf{F}}(\tau, z) = \psi(\tau, z) - \psi^{\mathsf{P}}(\tau, z)$$
(20)

 $\psi^{\rm F}$  is known as a mock Jacobi form of weight 1 and index m.

#### Umbral Forms Continued

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 $\psi^{F}$  is known as a *mock Jacobi form* of weight 1 and index *m*.

• It can be shown<sup>12</sup> that such a form has a theta expansion given by

$$\psi^{F}(\tau, z) = \sum_{r=1}^{m-1} h_{r}(\tau)\hat{\theta}_{m,r}(\tau, z)$$
(21)

the theta coefficients  $h_r$  are the components of a vector-valued mock modular form of weight  $\frac{1}{2}$ .

<sup>12</sup>Atish Dabholkar, Sameer Murthy, and Don Zagier. "Quantum black holes, wall crossing, and mock modular forms". In: *arXiv preprint arXiv:1208.4074* (2012).

# The first few coefficients

The link to the Niemeier lattices  $A_1^{24}$  and  $A_2^{12}$ , comes by taking  $\phi$  to be an *extremal* Jacobi form of index the Coxeter number of the Niemeier root system.

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The spaces of extremal Jacobi forms of index 2, and index 3, are both known to be of dimension 1. We define

$$f_i(\tau, z) := \theta_i(\tau, z) / \theta_i(\tau, 0)$$
(22)

$$\phi_1^2 = 8(f_2^2 + f_3^2 + f_4^2), \tag{23}$$

$$\phi_1^3 = 4(f_2^2 f_3^2 + f_3^2 f_4^2 + f_4^2 f_2^2).$$
(24)

We find the Umbral Forms  $(H_r)$ 

$$H_1^2 = 2q^{-1/8}(-1 + 45q + 231q^2 + 770q^3 + \ldots)$$
<sup>(25)</sup>

$$H_1^3 = 2q^{-1/12}(-1 + 16q + 55q^2 + 144q^3 + \ldots)$$
(26)

$$H_2^3 = 2q^{2/3}(10 + 44q + 110q^2 + 280q^3 + \ldots)$$
 (27)

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In the cases  $A_1^{24}$  and  $A_2^{12}$  we find

$$G^{A_1^{24}} = M_{24} \tag{29}$$

$$G^{A_2^{12}} = 2.M_{12} \tag{30}$$

[g]	FS	1A	2A	4A	2B	2C	3A	6A	3B	6B	4B	4C	5A	10A	12A	6C	6D	8A	8B	8C	8D	20A	20B	11A	22A	11B	22B
$[q^2]$		1A	1A	2A	1A	1A	3A	3A	3B	3B	2B	2B	5A	5A	6B	3A	3A	4B	4B	4C	4C	10A	10A	11B	11B	11A	11A
$[g^3]$		1A	2A	4A	2B	2C	1A	2A	1A	2A	4B	4C	5A	10A	4A	2B	2C	8A	8B	8C	8D	20A	20B	11A	22A	11B	22B
$[g^5]$		1A	2A	4A	2B	2C	3A	6A	3B	6B	4B	4C	1A	2A	12A	6C	6D	8B	8A	8D	8C	4A	4A	11A	22A	11B	22B
$[g^{11}]$		1A	2A	4A	2B	2C	3A	6A	3B	6B	4B	4C	5A	10A	12A	6C	6D	8A	8B	8C	8D	20B	20A	1A	2A	1A	2A
X1	+		) 1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
X2	+	11	11	$^{-1}$	3	3	2	2	-1	$^{-1}$	$^{-1}$	3	1	1	-1	0	0	$^{-1}$	-1	1	1	$^{-1}$	$^{-1}$	0	0	0	0
$\chi_3$	+	11	11	-1	3	3	2	2	$^{-1}$	-1	3	-1	1	1	$^{-1}$	0	0	1	1	-1	-1	$^{-1}$	$^{-1}$	0	0	0	0
X4	0	(16)	) 16	4	0	0	-2	-2	1	1	0	0	1	1	1	0	0	0	0	0	0	$^{-1}$	$^{-1}$	$b_{11}$	$b_{11}$	$\overline{b_{11}}$	$\overline{b_{11}}$
$\chi_5$	0	16	16	4	0	0	-2	-2	1	1	0	0	1	1	1	0	0	0	0	0	0	$^{-1}$	$^{-1}$	b11	b11	b11	b11
$\chi_6$	+	45	45	5	-3	-3	0	0	3	3	1	1	0	0	$^{-1}$	0	0	$^{-1}$	-1	$^{-1}$	$^{-1}$	0	0	1	1	1	1
$\chi_7$	+	54	54	6	6	6	0	0	0	0	2	2	$^{-1}$	$^{-1}$	0	0	0	0	0	0	0	1	1	$^{-1}$	$^{-1}$	$^{-1}$	$^{-1}$
$\chi_8$	+	55	55 (	-5	7	7	1	1	1	1	-1	-1	0	0	1	1	1	-1	-1	-1	$^{-1}$	0	0	0	0	0	0
$\chi_9$	+	55	55	-5	$^{-1}$	-1	1	1	1	1	3	-1	0	0	1	-1	$^{-1}$	-1	-1	1	1	0	0	0	0	0	0
$\chi_{10}$	+	55	55	-5	$^{-1}$	$^{-1}$	1	1	1	1	$^{-1}$	3	0	0	1	$^{-1}$	$^{-1}$	1	1	-1	$^{-1}$	0	0	0	0	0	0
X11	+	66	66	6	2	2	3	3	0	0	-2	-2	1	1	0	$^{-1}$	-1	0	0	0	0	1	1	0	0	0	0
$\chi_{12}$	+	99	99	-1	3	3	0	0	3	3	-1	-1	-1	-1	$^{-1}$	0	0	1	1	1	1	$^{-1}$	$^{-1}$	0	0	0	0
X13	+	120	120	0	-8	-8	3	3	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	-1	-1	$^{-1}$	-1
$\chi_{14}$	+ (	144	144	4	0	0	0	0	-3	-3	0	0	-1	$^{-1}$	1	0	0	0	0	0	0	$^{-1}$	$^{-1}$	1	1	1	1
X15	+	176	176	-4	0	0	-4	-4	-1	-1	0	0	1	1	$^{-1}$	0	0	0	0	0	0	1	1	0	0	0	0
$\chi_{16}$	0	(10)	-10	0	-2	2	1	-1	-2	2	0	0	0	0	0	1	-1	$a_2$	$\overline{a_2}$	$a_2$	$\overline{a_2}$	0	0	-1	1	-1	1
X17	0	10	-10	0	-2	2	1	-1	-2	2	0	0	0	0	0	1	-1	$\overline{a_2}$	a2	<b>a</b> <sub>2</sub>	a2	0	0	-1	1	-1	1
X18	+	12	-12	0	4	-4	3	-3	0	0	0	0	2	-2	0	1	-1	0	0	0	0	0	0	1	-1	1	-1
X19	-	32	-32	0	0	0	-4	4	2	-2	0	0	2	-2	0	0	0	0	0	0	0	0	0	-1	1	-1	1
$\chi_{20}$	0	44	-44	0	4	-4	-1	1	2	-2	0	0	-1	1	0	1	-1	0	0	0	0	<i>a</i> <sub>5</sub>	$a_5$	0	0	0	0
X21	0	110	-44	0	4	-4	-1	1	2	-2	0	0	-1	1	0	1	-1	U	0	0	0	a5	a5	0	0	0	0
$\chi_{22}$	0		110	0	-0	0	2	-2	2	-2	0	0	0	0	0	0	0	$a_2$	$a_2$	$a_2$	$a_2$	0	0	0	0	0	0
X23	°	100-	-110	0	-0	0	2	-2	2	-2	0	0	0	0	0	0	0	a2	a2	a2	a2	0	0	0	0	0	0
X24	+	120	160	0	8	-8	3	-3	0	0	0	0	0	0	0	-1	1	0	0	0	0	0	0	-1	1	-1	1
X25	0	160	160	0	0	0	-2	2	-2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	-011	011 L	-011	011 L
X26	0	100 -	-100	0	0	0	-2	2	-2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	-011	011	-011	011

We see that the coefficients of the forms  $H_1^2$ ,  $H_1^3$  and  $H_2^3$  are given by dimensions of representations of the Umbral groups  $M_{24}$  and  $2.M_{12}$  respectively.

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A similar procedure can be used to find vector-valued mock modular forms associated to each of the 23 Niemeier lattices, each of which is found to have coefficients encoding dimensions of representations of the 23 Umbral groups as defined above.

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Umbral Moonshine conjectures that there exists a graded module  $K^X$  associated to each Niemeier lattice  $L^X$  such that the characters associated to elements  $g \in G^X$  give the umbral forms  $H_g^{X13}$ .

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- The Elliptic Genus of K3, which revealed Mathieu Moonshine when written in terms of  $\mathcal{N} = 4$  characters, described the right-moving ground states of the theory.

Umbral moonshine can also be seen in terms of the elliptic genus of K3: Recall that we split the elliptic genus into massless and massive characters of  $\mathcal{N} = 4$ . We can instead split the elliptic genus into a part corresponding to some surface singularities of the K3 and the remaining 'Moonshine' part which encodes the moonshine form<sup>14</sup>.

<sup>&</sup>lt;sup>14</sup>Miranda CN Cheng and Sarah Harrison. "Umbral Moonshine and K3 Surfaces". In: *arXiv preprint arXiv:1406.0619* (2014).

# Hidden Physics

Kachru et al.<sup>15</sup> consider 3d gravity theories by for instance compactifying the Type II string on  $K3xT^3$ . The moduli space of such theories can be thought of as the space of 32-dimensional even unimodular lattices of signature (8,24). In a neighbourhood of some particular points in this moduli space the theory has Umbral symmetry.



<sup>15</sup>Shamit Kachru, Natalie M Paquette, and Roberto Volpato. "3D String Theory and Umbral Moonshine". In: *arXiv preprint arXiv:1603.07330* (2016).


# Questions?

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- Monstrous Moonshine involved modular functions (in fact Hauptmodul) but Mathieu Moonshine (and Umbral Moonshine) involves mock-modular forms.
- Monstrous moonshine can be explained in terms of a string propagating on an orbifold of the 'Leech Torus'  $\mathbb{R}^{24}/\Lambda$  where the j-invariant describes the partition functions for the theory. In Mathieu Moonshine we don't consider the full partition function but the elliptic genus which only counts half BPS states (right moving ground states).