

# The Energy-Momentum Tensor

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  - Passive transformations
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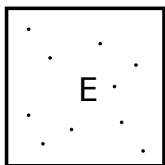


## What's in a name?

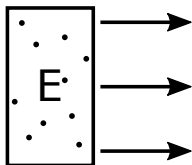
- Energy-momentum tensor
- Stress-energy tensor
- Stress-energy-momentum tensor
- Energy tensor
- SEM
- ...



## Why a tensor?



Rest frame

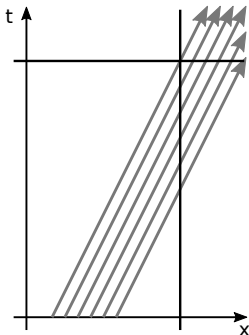


Moving frame

- A particle has energy and momentum.
- If a particle has energy (mass)  $E$  in its rest frame, then in a frame moving at relative velocity  $v$ , the energy is given by  $\gamma E$ , where  $\gamma = 1/\sqrt{1 - v^2}$ . Energy is a component of a four-vector — the four-momentum.
- Given a field or a fluid, we must consider the energy *density*.
- If we switch from the rest frame to a moving frame, the energy in a volume element increases by a factor of  $\gamma$ , while the volume decreases by the same factor. The energy density increases by a factor of  $\gamma^2$ .
- This suggests that the energy density is an element of a  $\begin{pmatrix} 2 \\ 0 \end{pmatrix}$  tensor.



## What do all the bits mean?



Well,

- $T^{00}$ : Energy density,
- $T^{0i}$ : Energy flux across a surface of constant  $x^i$ ,
- $T^{i0}$ : Momentum density in  $i$  direction,
- $T^{ij}$ : Flux of  $i$  momentum across a surface of constant  $x^j$ ,

or we can be more brief and simply say

- $T^{\alpha\beta}$ : Flux of  $\alpha$  momentum across a surface of constant  $x^\beta$ .

Note that, for this case at least, the energy-momentum tensor is symmetric — the energy flux across a surface of constant  $x^i$  is the momentum density in the  $i$  direction.<sup>1</sup>

<sup>1</sup>See B. Schutz, "A First Course in General Relativity", chapter 4, for a pedagogical introduction to the energy-momentum tensor, using 'dust' as an example.



## Noether current recap

- A continuous 'active' transformation that leaves the equations of motion unchanged leads to a conserved current.
- To leave the equations of motion unchanged we require

$$\Delta S = 0 \Leftrightarrow \mathcal{L} \rightarrow \mathcal{L}' = \mathcal{L} + \epsilon \partial_\mu F^\mu$$

for some  $F^\mu$ . If the field transforms as

$$\phi \rightarrow \phi' = \phi + \epsilon \Delta \phi,$$

then the Noether current is given by

$$j^\mu = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \Delta \phi - F^\mu.$$

- The Noether current is conserved, i.e.  $\partial_\mu j^\mu = 0$ .



## Energy-momentum as a Noether current — A typical presentation

Consider a translation invariant theory and consider the translation

$$x^\mu \rightarrow x^\mu - \epsilon a^\mu$$

as an active transformation. Then

$$\phi(x) \rightarrow \phi'(x) = \phi(x + \epsilon a) = \phi(x) + \epsilon a^\mu \partial_\mu \phi(x),$$

so that  $\Delta\phi = a^\mu \partial_\mu \phi(x)$ . Likewise,

$$\mathcal{L} \rightarrow \mathcal{L} + \epsilon a^\mu \partial_\mu \mathcal{L},$$

i.e.  $F^\mu = a^\mu \mathcal{L}$ . Hence, the Noether current is

$$j^\mu = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \Delta\phi - F^\mu = a^\nu \left( \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \partial_\nu \phi - \delta_\nu^\mu \mathcal{L} \right).$$

Since  $a^\mu$  is arbitrary (and constant), we get  $\partial_\mu T^\mu{}_\nu = 0$  where

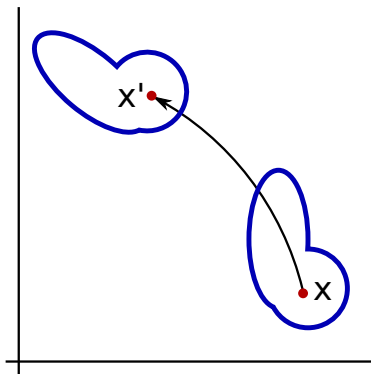
$$T^\mu{}_\nu = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \partial_\nu \phi - \delta_\nu^\mu \mathcal{L}.$$

## Active transformations: Misconceptions

- It is, perhaps, unhelpful to think of active and passive transformations as being opposites.
- It isn't really the 'activeness' of the transformation that is really important here.
- The kind of active transformation we want *does not change* the coordinates. *Only* the field is transformed. We translate (or rotate) the contents of the universe, but leave everything else — the coordinate system and the 'theory' — alone.



## Active transformations: Scalar field



- An active transformation is one “in which the field is truly shifted” (Tong).
- But it is *only* the field that is changed.
- We want the value of the new field at  $x'$  to be equal to that for the old field at  $x$ , i.e.

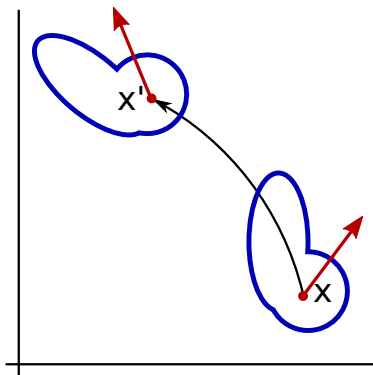
$$\phi'(x') = \phi(x).$$

- If  $x' = Rx$  then we need  $\phi'(Rx) = \phi(x)$  and hence

$$\phi'(x) = \phi(R^{-1}x).$$



## Active transformations: Vector field



- A rotation of a vector field results in a rotation of each individual vector, as well as the change of location.
- We get

$$\phi'(x') = R\phi(x).$$

and hence

$$\phi'(x) = R\phi(R^{-1}x).$$

- Of course, other fields might undergo different transformations, i.e.

$$\phi'(x) = L_R\phi(R^{-1}x),$$

where the  $L_R$  are elements of a representation of the group of rotations.

## Energy-momentum as a Noether current, revisited

Consider a transformation of the field,  $\phi \rightarrow \phi'$ , such that

$$\phi'(x') = \phi(x),$$

where  $x'^{\mu} = x^{\mu} - \epsilon a^{\mu}$  corresponds to a translation of the field. Then

$$\phi'(x) = \phi(x + \epsilon a) = \phi(x) + \epsilon a^{\mu} \partial_{\mu} \phi(x)$$

and  $\Delta\phi = a^{\mu} \partial_{\mu} \phi(x)$ .

## Energy-momentum as a Noether current, revisited

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$$\phi'(x) = \phi(x + \epsilon a) = \phi(x) + \epsilon a^\mu \partial_\mu \phi(x)$$

and  $\Delta\phi = a^\mu \partial_\mu \phi(x)$ .

We do not change the form of the Lagrangian,  $\mathcal{L}(\phi, \partial_\mu \phi)$ , but its value at position  $x$  will be different for the new field:

$$\begin{aligned} \mathcal{L}(\phi'(x), \partial_\mu \phi'(x)) &= \mathcal{L}(\phi(x + \epsilon a), \partial_\mu \phi(x + \epsilon a)) \\ &= \mathcal{L}(\phi(x), \partial_\mu \phi(x)) + \epsilon a^\mu \partial_\mu \mathcal{L}(\phi(x), \partial_\mu \phi(x)). \end{aligned}$$

Hence  $F^\mu = a^\mu \mathcal{L}$ . As a result, the Noether current is

$$j^\mu = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \Delta\phi - F^\mu = a^\nu \left( \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \partial_\nu \phi - \delta_\nu^\mu \mathcal{L} \right).$$

Since  $a^\mu$  is arbitrary (and constant), we get  $\partial_\mu T^\mu{}_\nu = 0$  where

$$T^\mu{}_\nu = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \partial_\nu \phi - \delta_\nu^\mu \mathcal{L}.$$



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Hence  $F^{\mu} = a^{\mu} \mathcal{L}$ . As a result, the Noether current is

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Since  $a^{\mu}$  is arbitrary (and constant), we get  $\partial_{\mu} T^{\mu}_{\nu} = 0$  where

$$T^{\mu}_{\nu} = \frac{\partial \mathcal{L}}{\partial(\partial_{\mu} \phi)} \partial_{\nu} \phi - \delta_{\nu}^{\mu} \mathcal{L}.$$



## A non-translation invariant Lagrangian

Consider the Lagrangian

$$\mathcal{L}(\phi(x), \partial_\mu \phi(x), x^\mu) = x^\mu x_\mu \phi^*(x) \phi(x).$$

If we perform the same active transformation, i.e. translate the field *only*, then the value of the Lagrangian at point  $x$  becomes

$$\begin{aligned} \mathcal{L}(\phi'(x), \partial_\mu \phi'(x), x^\mu) &= x^\mu x_\mu \phi^*(x + \epsilon a) \phi(x + \epsilon a) \\ &\neq \mathcal{L}(\phi(x), \partial_\mu \phi(x)) + \epsilon a^\mu \partial_\mu \mathcal{L}(\phi(x), \partial_\mu \phi(x)). \end{aligned}$$

So, we now know where the derivation of the energy-momentum tensor fails for non-translation invariant theories.



## An example: Electromagnetism

For electromagnetism<sup>2</sup>, without sources, the action is

$$S = \int d^4x \left( -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} \right),$$

where  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$ . Now

$$\frac{\partial F_{\mu\nu}}{\partial(\partial_\rho A_\sigma)} = \delta_\mu^\rho \delta_\nu^\sigma - \delta_\nu^\rho \delta_\mu^\sigma, \quad \frac{\partial F^{\mu\nu}}{\partial(\partial_\rho A_\sigma)} = \eta^{\rho\mu} \eta^{\sigma\nu} - \eta^{\rho\nu} \eta^{\sigma\mu},$$

so

$$\begin{aligned} \frac{\partial \mathcal{L}}{\partial(\partial_\rho A_\sigma)} &= -\frac{1}{4} (\delta_\mu^\rho \delta_\nu^\sigma - \delta_\nu^\rho \delta_\mu^\sigma) F^{\mu\nu} - \frac{1}{4} F_{\mu\nu} (\eta^{\rho\mu} \eta^{\sigma\nu} - \eta^{\rho\nu} \eta^{\sigma\mu}) \\ &= -\frac{1}{4} F^{\rho\sigma} + \frac{1}{4} F^{\sigma\rho} - \frac{1}{4} F^{\rho\sigma} + \frac{1}{4} F^{\sigma\rho} = F^{\sigma\rho}. \end{aligned}$$

The energy-momentum tensor is therefore

$$T^\mu{}_\nu = \frac{\partial \mathcal{L}}{\partial(\partial_\mu A_\rho)} \partial_\nu A_\rho - \delta_\nu^\mu \mathcal{L} = F^{\rho\mu} \partial_\nu A_\rho + \frac{1}{4} F_{\rho\sigma} F^{\rho\sigma} \delta_\nu^\mu.$$



## A symmetric tensor?

- For fluids or dust, the energy-momentum tensor defined in terms of momentum flux is symmetric.
- We would like a symmetric tensor for many reasons:
  - General relativity *requires* a symmetric tensor,
  - Symmetric tensors are simpler to manipulate,
  - etc.

- But

$$T^{\mu\nu} = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \partial^\nu \phi - \eta^{\mu\nu} \mathcal{L}$$

is not obviously symmetric.

- The energy-momentum tensor for electromagnetism,

$$T^{\mu\nu} = F^{\rho\mu} \partial^\nu A_\rho + \frac{1}{4} F_{\rho\sigma} F^{\rho\sigma} \eta^{\mu\nu}$$

certainly doesn't look symmetric.



## Creating a symmetric energy-momentum tensor — the Belinfante tensor

- If  $K^{\lambda\mu\nu}$  is antisymmetric in the first two indices, then adding  $\partial_\lambda K^{\lambda\mu\nu}$  to the energy-momentum tensor does not change its conservation properties, since if

$$\tilde{T}^{\mu\nu} = T^{\mu\nu} + \partial_\lambda K^{\lambda\mu\nu},$$

then

$$\partial_\mu \tilde{T}^{\mu\nu} = \cancel{\partial_\mu T^{\mu\nu}} + \cancel{\partial_\mu \partial_\lambda K^{\lambda\mu\nu}} = 0.$$

- For electromagnetism<sup>3</sup>, choose  $K^{\lambda\mu\nu} = F^{\mu\lambda} A^\nu$ . After a few calculations, we get

$$\tilde{T}^{\mu\nu} = T^{\mu\nu} + \partial_\lambda K^{\lambda\mu\nu} = \frac{1}{4} F_{\rho\sigma} F^{\rho\sigma} \eta^{\mu\nu} + F^{\lambda\mu} F^\nu{}_\lambda + A^\nu \partial_\lambda F^{\mu\lambda}.$$

- But we can use the equations of motion,  $\partial_\lambda F^{\mu\lambda}$ , to eliminate the last term to leave

$$\frac{1}{4} F_{\rho\sigma} F^{\rho\sigma} \eta^{\mu\nu} + F^{\lambda\mu} F^\nu{}_\lambda,$$

which is manifestly symmetric.

<sup>3</sup>See Wikipedia (!) for the general case.

## Symmetric 'on shell'

- We used the equations of motion to show that the new energy-momentum tensor is symmetric.
- In other words, we have shown that we can convert the canonical energy-momentum tensor to an alternative form that is equal, *classically* to an identically symmetric energy-momentum tensor.
- Classically, this distinction is of no importance.
- Quantum mechanically, the two energy-momentum tensors are different and result in different Ward identities.
- Apparently, though, the change in the Ward identities is of no physical significance.<sup>4</sup>

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<sup>4</sup>See Di Francesco et al., "Conformal Field Theory", section 2.5.



## Passive transformations

- A passive transformation is one “in which the mapping  $x \rightarrow x'$  is viewed simply as a coordinate transformation”<sup>5</sup>.
- Wikipedia (!) suggests that, given a rotation matrix  $R$ , a passive transformation consists of rotating the basis vectors of our coordinate system using  $R$ . Hence with initial basis vectors  $\mathbf{e}_\mu$  we obtain new basis vectors  $\mathbf{e}'_\mu = R\mathbf{e}_\mu$ . In the usual way, we find coordinates transform as

$$x'^\mu = (R^{-1})^\mu_\nu x^\nu,$$

or simply  $x \rightarrow x' = R^{-1}x$ . Hence, in a sense, we obtain the opposite transformation to that in the active case. But...

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<sup>5</sup>Di Francesco et al.

## Opposites?

- As physicists, we tend to consider coordinate transformations without thinking a great deal about basis vectors. Our starting point is usually something like  $x \rightarrow x' = Rx$ . If the same coordinate transformation is also used to generate the active transformation, then the field transforms *the same way* in both cases.
- An active transformation *transforms the fields only*. Any explicit dependence the Lagrangian has on  $x$  is unchanged. However, if we perform a mere change of coordinates, then the explicit dependence of the Lagrangian on  $x'$  will be different.



## Variation with respect to the metric

Just when you think you might be getting the hang of the energy-momentum tensor, someone will say that it is defined as something like

$$T_{ab} = -\frac{\alpha_M}{8\pi} \frac{1}{\sqrt{-g}} \frac{\delta S_M}{\delta g^{ab}}$$

or

$$T_{\mu\nu} = -\frac{2}{\sqrt{-g}} \frac{\delta \mathcal{L}_{\text{matter}}}{\delta g^{\mu\nu}}$$

or

$$T_{\alpha\beta} = -\frac{4\pi}{\sqrt{-g}} \frac{\partial S}{\partial g^{\alpha\beta}}.$$

They might also describe this as the 'gravitational' or 'relativists' definition of energy-momentum. But where on earth did these come from?

## An intermediate, new definition<sup>6</sup>


- “Promote the constant parameter  $\epsilon$  that appears in the symmetry to a function of the spacetime coordinates”.
- The change in the action should then be of the form

$$\delta S = \int d^4x J^\alpha \partial_\alpha \epsilon,$$

or in the case of a translation

$$\delta S = \int d^4x J^{\alpha\beta} \partial_\alpha \epsilon_\beta.$$

- Terms in  $\epsilon$  (undifferentiated) should cancel — these are the terms that occur when  $\epsilon$  is a constant and we have assumed that the theory is symmetric under such transformations.
- But, on-shell, equations of motion are found by assuming that  $\delta S$  is zero for *any* infinitesimal variation of the fields.
- Integrate by parts to get  $\partial_\alpha J^\alpha = 0$ , or  $\partial_\alpha J^{\alpha\beta} = 0$ .

<sup>6</sup>Here we attempt to follow David Tong's Part III lecture notes for String Theory. 





## A dynamical background metric

- Now consider the same theory, but coupled to a dynamical background metric, and view  $x' = x + \epsilon$  as a “diffeomorphism”.
- The idea is that any sensible theory should not depend on the choice of coordinates.
- We will need to use methods from general relativity, since the metric (or its dependence on the coordinates) will change.
- We must introduce, temporarily at least, the usual  $\sqrt{-g}$  into the measure, and replace partial derivatives with covariant ones.
- The *assumption* is that the various terms of  $\delta S_{\text{diff}}$  should come from two different sources — the change in the field and the change in the metric.

## A dynamical background metric

- So

$$0 = \delta S_{\text{diff}} = \int d^4x J^{\alpha\beta} \partial_\alpha \epsilon_\beta + \int d^4x \frac{\delta S}{\delta g_{\alpha\beta}} \delta g_{\alpha\beta}.$$

- Evaluating  $\delta g_{\mu\nu}$ :

$$\begin{aligned} g'_{\mu\nu} &= \frac{\partial x^\alpha}{\partial x'^\mu} \frac{\partial x^\beta}{\partial x'^\nu} g_{\alpha\beta} \\ &= (\delta_\mu^\alpha - \partial_\mu \epsilon^\alpha) (\delta_\nu^\beta - \partial_\nu \epsilon^\beta) g_{\alpha\beta} \\ &= g_{\mu\nu} - \partial_\mu \epsilon_\nu - \partial_\nu \epsilon_\mu, \end{aligned}$$

i.e.  $\delta g_{\mu\nu} = -(\partial_\mu \epsilon_\nu + \partial_\nu \epsilon_\mu)$ .

- Hence we get

$$\int d^4x J^{\alpha\beta} \partial_\alpha \epsilon_\beta = 2 \int d^4x \frac{\delta S}{\delta g_{\alpha\beta}} \partial_\alpha \epsilon_\beta.$$

This means that  $J^{\alpha\beta}$  and  $2 \frac{\delta S}{\delta g_{\alpha\beta}}$  must have equal divergences.

- Since we know  $J^{\alpha\beta}$  is conserved, we can define

$$T^{\alpha\beta} = \frac{\delta S}{\delta g_{\alpha\beta}}$$

and know that this is also conserved.



## Electromagnetism revisited

- Returning to the electromagnetism example, we adapt the action to curved spacetime.

$$S = \int d^4x \sqrt{-g} \left( -\frac{1}{4} g^{\mu\rho} g^{\nu\sigma} F_{\mu\nu} F_{\rho\sigma} \right),$$

where  $F_{\mu\nu} = \nabla_\mu A_\nu - \nabla_\nu A_\mu$ .

- A bunch of calculations then gives us

$$\frac{\delta A}{\delta g_{\alpha\beta}} = -\frac{1}{8} \eta^{\alpha\beta} F^{\mu\nu} F_{\mu\nu} - \frac{1}{2} F^{\alpha\sigma} F_\sigma^\beta.$$

- Di Francesco et al. suggest a normalization factor of -2, giving us

$$T^{\alpha\beta} = \frac{1}{4} \eta^{\alpha\beta} F^{\mu\nu} F_{\mu\nu} + F^{\alpha\sigma} F_\sigma^\beta,$$

which is precisely what we obtained for the Belinfante tensor.



## Issues

- Remember, we assumed that  $\delta S_{\text{diff}}$  consisted of two parts — one from varying the fields and the other from varying the metric. If these are the only contributions, then the above analysis is correct. But are they?
- Consider electromagnetism again. Calculating  $\delta S_{\text{diff}}$ , we get

$$\begin{aligned}
 \delta S_{\text{diff}} = & \int d^4x \delta\sqrt{-g} \left( -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} \right) \\
 & + \int d^4x \sqrt{-g} \left( -\frac{1}{4} \delta g^{\mu\rho} g^{\nu\sigma} F^{\mu\nu} F_{\rho\sigma} \right) \\
 & + \int d^4x \sqrt{-g} \left( -\frac{1}{4} g^{\mu\rho} \delta g^{\nu\sigma} F^{\mu\nu} F_{\rho\sigma} \right) \\
 & + \int d^4x \sqrt{-g} \left( -\frac{1}{4} g^{\mu\rho} g^{\nu\sigma} \delta F^{\mu\nu} F_{\rho\sigma} \right) \\
 & + \int d^4x \sqrt{-g} \left( -\frac{1}{4} g^{\mu\rho} g^{\nu\sigma} F^{\mu\nu} \delta F_{\rho\sigma} \right).
 \end{aligned}$$



## Issues

- At first sight, this looks fine — the first three lines come from varying the metric, while the last two appear to come from varying the field.
- However, the field we vary to find the equation of motion is  $A_\mu$ , not  $F_{\mu\nu}$ .
- Moreover, as  $F_{\mu\nu}$  is defined in terms of derivatives of  $A_\mu$ , we must consider the possibility that we get contributions from the change, under the coordinate transformation, of the *derivative*.
- Under the active transformation, the derivative operator does not change. Looking at just  $\nabla_\mu A_\nu$ , we get

$$\nabla_\mu A'_\nu = \partial_\mu A_\nu - \partial_\mu \epsilon^\rho \partial_\rho A_\nu - \epsilon^\rho \partial_\mu \partial_\rho A_\nu - \partial_\mu A_\rho \partial_\nu \epsilon^\rho - A_\rho \partial_\mu \partial_\nu \epsilon^\rho.$$

- Under the passive transformation, the derivative operator (or its dependence on the coordinates) changes. We get

$$\nabla'_\mu A'_\nu = \partial_\mu A_\nu - \partial_\mu \epsilon^\rho \partial_\rho A_\nu - \epsilon^\rho \partial_\mu \partial_\rho A_\nu - \partial_\mu A_\rho \partial_\nu \epsilon^\rho.$$

- It looks like we might get a third contribution to  $\delta S_{\text{diff}}$ . But, in electromagnetism we get a stroke of luck. The derivatives of  $A_\mu$  are combined antisymmetrically to obtain  $F_{\mu\nu}$ , which results in this third contribution cancelling. (Or is there some deeper reason for this 'luck'?)

## Conclusions

- Active and passive transformations are often presented in a less than clear manner, yet an understanding of precisely what sort of transformation is taking place is often necessary.
- Rather than being one object, there are multiple definitions of the energy-momentum tensor.
- These different definitions lead to different tensors — for instance, the canonical energy-momentum tensor need not be symmetric.
- A ‘relocalization procedure’ can be used to convert between forms (though I haven’t described the details.)