

Geometric Structures on Manifolds II: Complete affine structures

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Geometry and Arithmetic of Lattices

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Complete affine 3-manifolds

- A *complete affine manifold* M^n is a quotient $M = \mathbb{R}^n/\Gamma$ where Γ is a discrete group of affine transformations acting properly and freely.
- Which kind of groups Γ can occur?
- Two types when $n = 3$:
 - Γ is solvable: M^3 is finitely covered by an iterated fibration of circles and cells.
 - Γ is free: M^3 is (conjecturally) an open solid handlebody with complete flat Lorentzian structure.
- First examples discovered by Margulis in early 1980's.
- Closely related to surfaces with hyperbolic structures and deformations which “stretch” or “shrink” the surface.

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Euclidean manifolds

- A *Euclidean manifold* is modeled on Euclidean space \mathbb{R}^n with coordinate changes *affine transformations*

$$p \xrightarrow{\gamma} L(\gamma)p + u(\gamma)$$

where the *linear part* $L(\gamma)$ is an orthogonal linear map.

- If M is compact, it's geodesically complete and isometric to \mathbb{R}^n/Γ where Γ finite extension of a subgroup of *translations* $\Lambda := \Gamma \cap \mathbb{R}^n \cong \mathbb{Z}^k$ (Bieberbach 1912);
- M finitely covered by flat torus \mathbb{R}^n/Λ (where $\Lambda \subset \mathbb{R}^n$ is a lattice).
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Consequences of Bieberbach theorems

- For Euclidean manifolds:
 - Only finitely many topological types in each dimension.
 - Only one *commensurability* class.
 - $\pi_1(M)$ is finitely generated.
 - $\pi_1(M)$ is finitely presented.
 - $\chi(M) = 0$.
- **None** of these properties hold in general for complete affine manifolds!

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Proper affine actions

- Suppose $M = \mathbb{R}^n/\Gamma$ is a complete affine manifold:
- For M to be a (Hausdorff) smooth manifold, Γ must act:
 - **Discretely:** ($\Gamma \subset \text{Homeo}(\mathbb{R}^n)$ discrete);
 - **Properly:** (No fixed points);
 - **Simplyly:** (Go to o in Γ , see go to o in every orbit Γx).

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 - More precisely, the map

$$\begin{aligned} \Gamma \times X &\longrightarrow X \times X \\ (\gamma, x) &\longmapsto (\gamma x, x) \end{aligned}$$

is a proper map (preimages of compacta are compact).

- Unlike Riemannian isometries, discreteness does **not** imply properness.

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Geodesic completeness

- An affine structure is a *flat torsionfree affine connection*.
- Even if M is compact, it may be *incomplete*.
 - Example: *Hopf manifold*
 - Quotient $V \setminus \{0\} / \langle A \rangle$, where $V \xrightarrow{A} V$ linear expansion.
 - Diffeomorphic to $S^{n-1} \times S^1$.
 - Geodesics aimed at the origin don't extend...
- *Geodesic completeness* \iff developing map bijective.
- Affine holonomy group $\Gamma \subset \text{Aff}(E)$ acts properly, discretely, freely on E .

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Margulis Spacetimes

- Most interesting examples: Margulis (~ 1980):
 - Γ is a free group acting isometrically on \mathbb{E}^{2+1}
 - $L(\Gamma) \subset O(2, 1)$ is isomorphic to Γ .
 - M^3 noncompact complete flat Lorentz 3-manifold.
 - Associated to every Margulis spacetime M^3 is a noncompact complete hyperbolic surface Σ^2 .
 - Closely related to the geometry of M^3 is a *deformation* of the hyperbolic structure on Σ^2 .

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Geometric 3-manifolds

- Unlike the 8 geometries of Thurston's Geometrization, affine structures are *not Riemannian*.

- *Non-compact manifolds.*

- *Useful tools (distances, angle, metric tensor, curvature, volume) not available.*

- *Available tools: parallelism, geodesics.*

- *Fundamentally, the structure is a (mathematically complex)*

- *deformation of the connection on \mathbb{R}^3 (a notion of parallelism).*

- *Even 1-dim. manifolds are not Riemannian spaces.*

Geometric 3-manifolds

- Unlike the 8 geometries of Thurston's Geometrization, affine structures are *not Riemannian*.
 - No obvious metrics.
 - Usual tools (distance, angle, metric convexity, completeness, volume) **NOT** available.
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 - Equivalently this structure is a geodesically complete torsionfree affine connection on M (a notion of parallelism).
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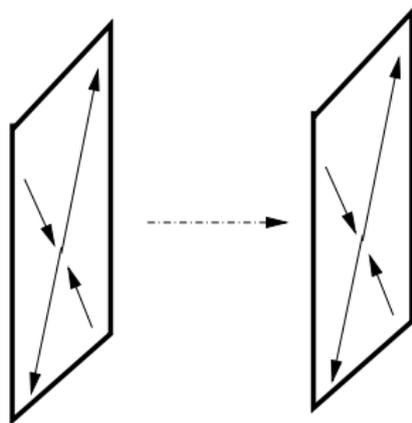
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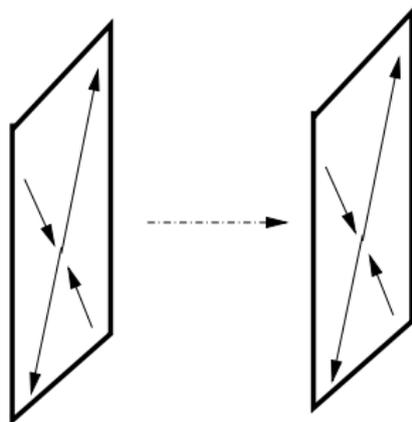
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- Most elements $\gamma \in \Gamma$ are *boosts*, affine deformations of hyperbolic elements of $O(2, 1) \subset GL(3, \mathbb{R})$. A fundamental domain is the *slab* bounded by two parallel planes.



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A boost identifying two parallel planes

Closed geodesics and holonomy

- Each such element leaves invariant a unique (spacelike) line, whose image in $E^{2,1}/\Gamma$ is a *closed geodesic*. Like surfaces, most loops are freely homotopic to (unique) closed geodesics.

$$\gamma = \begin{bmatrix} e^{\ell(\gamma)} & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{-\ell(\gamma)} \end{bmatrix} \begin{bmatrix} 0 \\ \alpha(\gamma) \\ 0 \end{bmatrix}$$

- $\ell(\gamma) \in \mathbb{R}^+$: *geodesic length* of γ in Σ^2 .
 - $\alpha(\gamma) \in \mathbb{R}$: (signed) *Lorentzian length* of γ in M^3 .
- The unique γ -invariant geodesic C_γ inherits a natural orientation and metric.
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Structure theory: Auslander Conjecture

- If $G = \pi_1(M)$ is virtually solvable (necessarily virtually polycyclic), then $G \hookrightarrow \text{Aff}(\mathbb{R}^n)$ extends to $H \subset \text{Aff}(\mathbb{R}^n)$, with $\pi_0(H)$ finite and H^0 acting simply transitively on \mathbb{R}^n .
- “Bieberbach-type” theorem: M finitely covered by *complete affine solvmanifold* $H/(G \cap H^0)$.
- **Auslander “Conjecture”**: $\pi_1(M)$ virtually polycyclic.
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Milnor's Question (1977)

Can a nonabelian free group act properly, freely and discretely by affine transformations on \mathbb{R}^n ?

- Equivalently (Tits 1971): *“Are there discrete groups other than virtually polycyclic groups which act properly, affinely?”*

- *Yes* for \mathbb{R}^2 (discretely covered by standard S^1 groups)
- *Yes* for \mathbb{R}^3 (discretely covered by S^1 groups)
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Lorentzian and Hyperbolic Geometry

- $\mathbb{R}^{2,1}$ is the 3-dimensional real vector space with inner product:

$$\begin{bmatrix} x_1 \\ y_1 \\ z_1 \end{bmatrix} \cdot \begin{bmatrix} x_2 \\ y_2 \\ z_2 \end{bmatrix} := x_1x_2 + y_1y_2 - z_1z_2$$

and Minkowski space $E^{2,1}$ is the corresponding *affine space*, a simply connected geodesically complete Lorentzian manifold.

- The Lorentz metric tensor is $dx^2 + dy^2 - dz^2$.
- $\text{Isom}(E^{2,1})$ is the semidirect product of $\mathbb{R}^{2,1}$ (the vector group of translations) with the orthogonal group $O(2,1)$.
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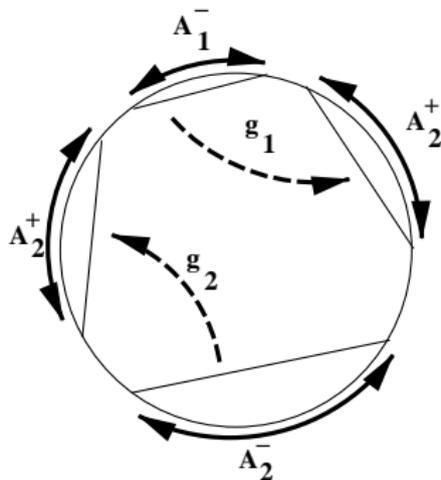
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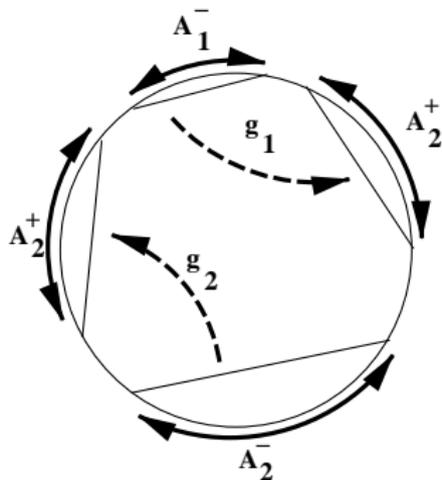
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A Schottky group



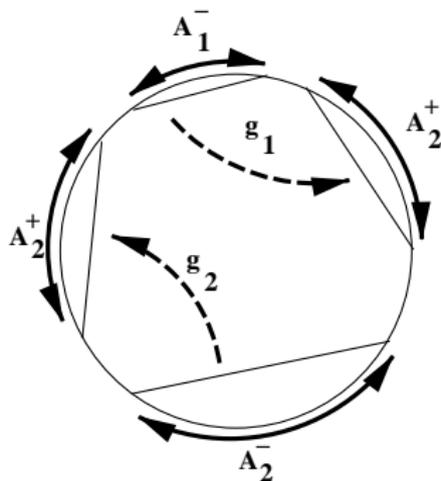
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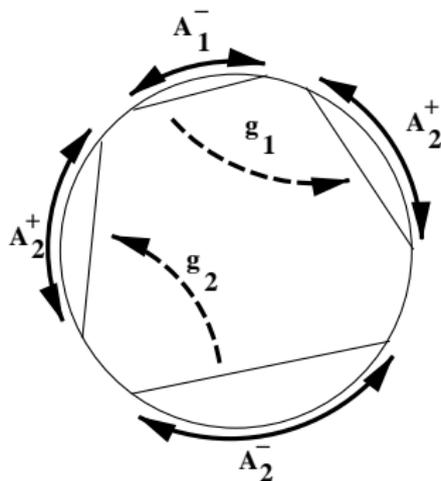
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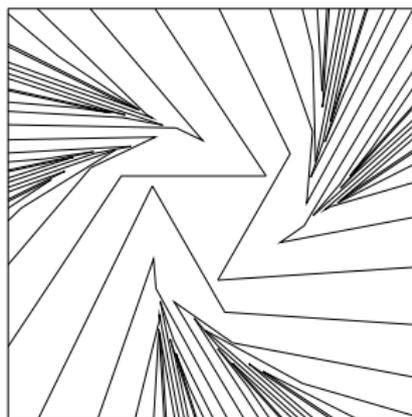
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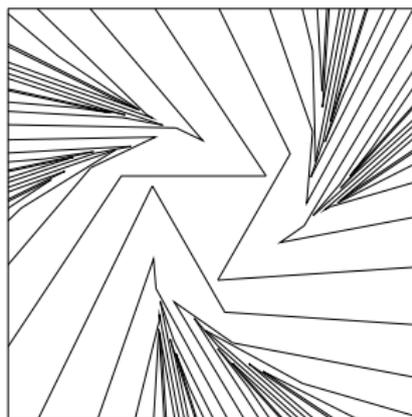
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Flat Lorentz manifolds

Suppose that $\Gamma \subset \text{Aff}(\mathbb{R}^3)$ acts properly and is *not solvable*.

- (Fried-G 1983): Let $\Gamma \xrightarrow{L} \text{GL}(3, \mathbb{R})$ be the *linear part*.
 - $L(\Gamma)$ (conjugate to) a *discrete* subgroup of $\text{O}(2, 1)$;
 - L injective.
- Homotopy equivalence

$$M^3 := E^{2,1}/\Gamma \longrightarrow S := H^2/L(\Gamma)$$

where S complete hyperbolic surface.

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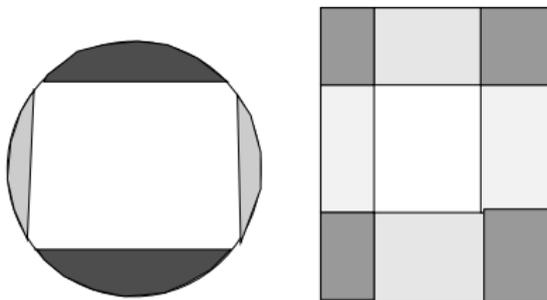
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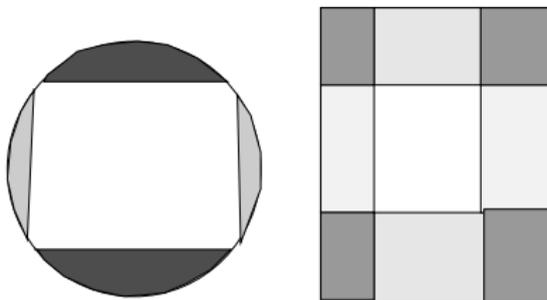
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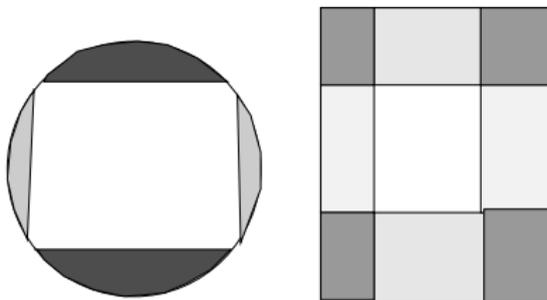
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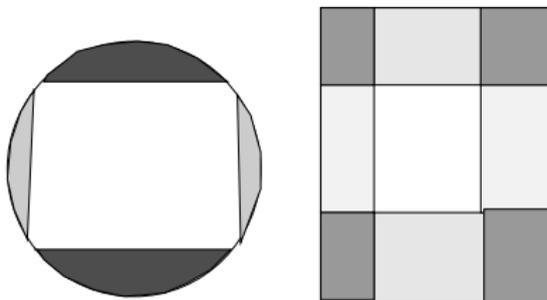
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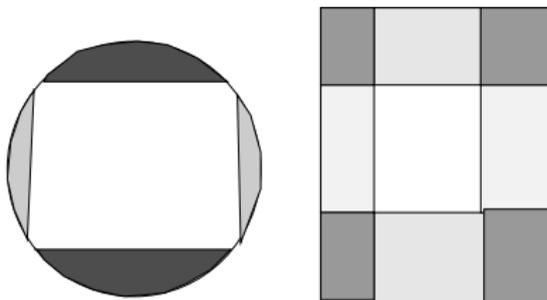
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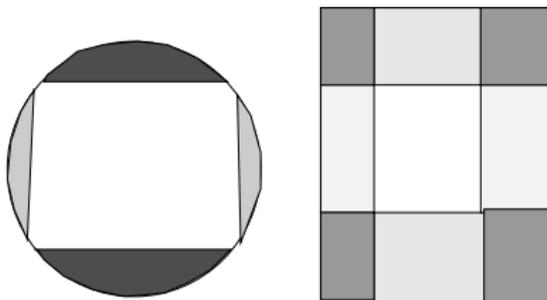
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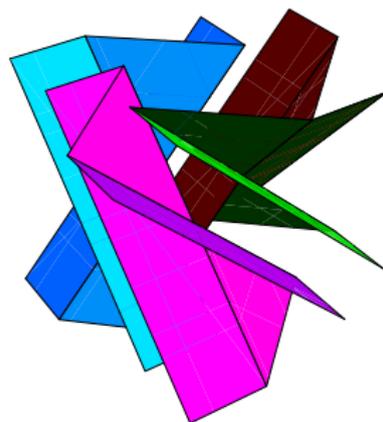
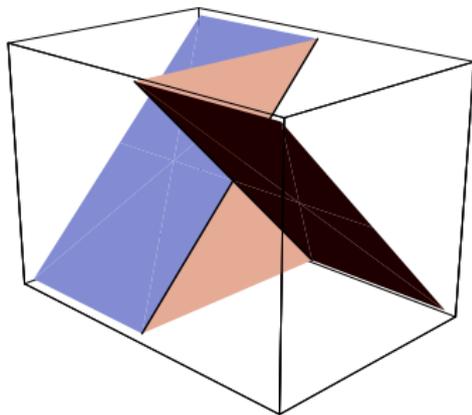
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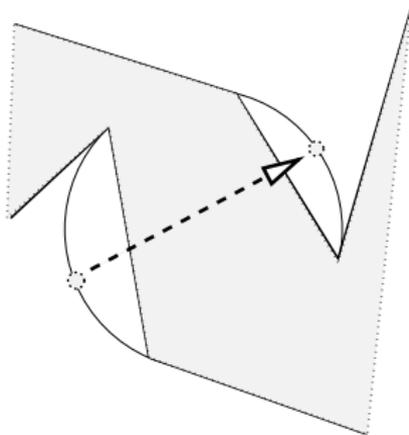
- In H^2 , the half-spaces A_i^\pm are disjoint;
- Their complement is a fundamental domain.
- In affine space, half-spaces disjoint \Rightarrow parallel!
- Complements of slabs always intersect,
- **Unsuitable for building Schottky groups!**

Drumm's Schottky groups

The classical construction of Schottky groups fails using affine half-spaces and slabs. Drumm's geometric construction uses *crooked planes*, PL hypersurfaces adapted to the Lorentz geometry which bound fundamental polyhedra for Schottky groups.

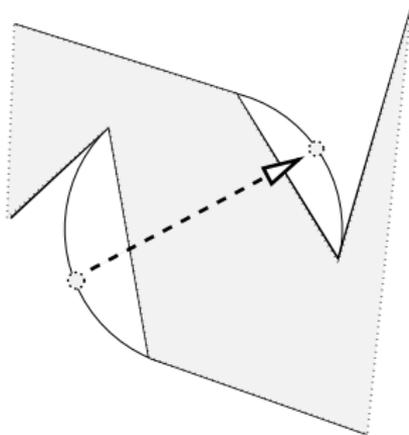


Crooked polyhedron for a boost



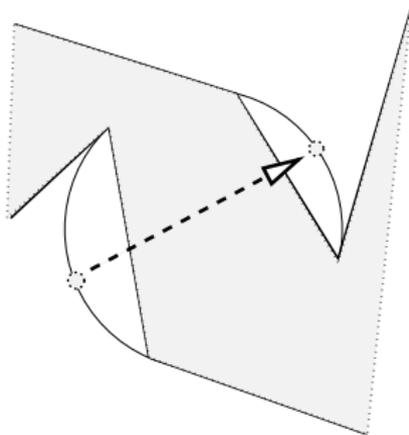
- Start with a *hyperbolic slab* in H^2 .
- Extend into light cone in $E^{2,1}$;
- Extend outside light cone in $E^{2,1}$;
- Action proper except at the origin and two null half-planes.

Crooked polyhedron for a boost



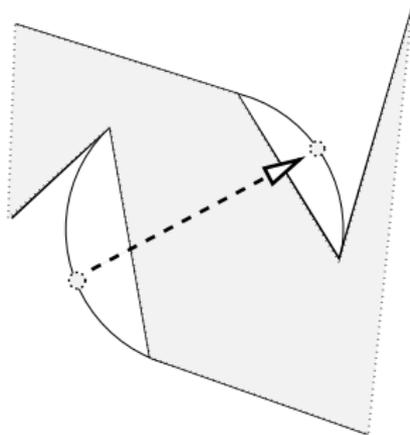
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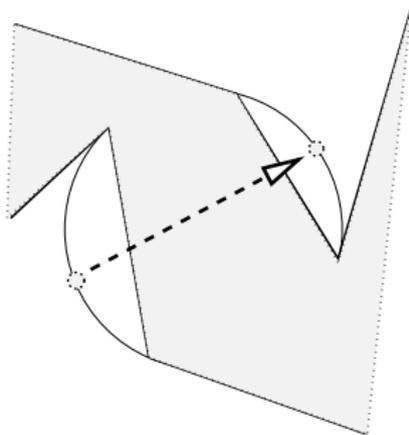
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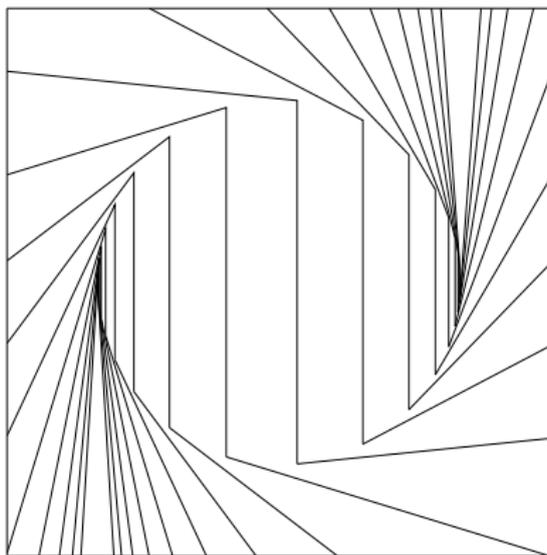
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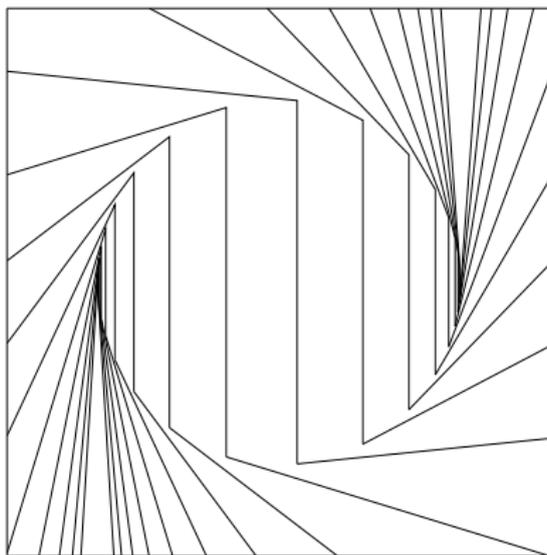
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Images of crooked planes under a linear cyclic group



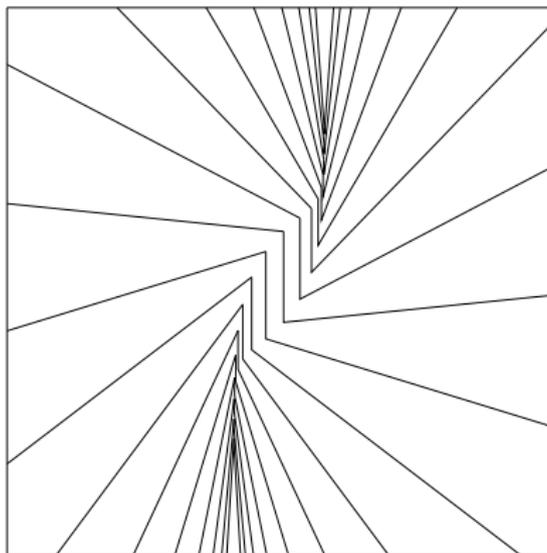
The resulting tessellation for a linear boost.

Images of crooked planes under a linear cyclic group



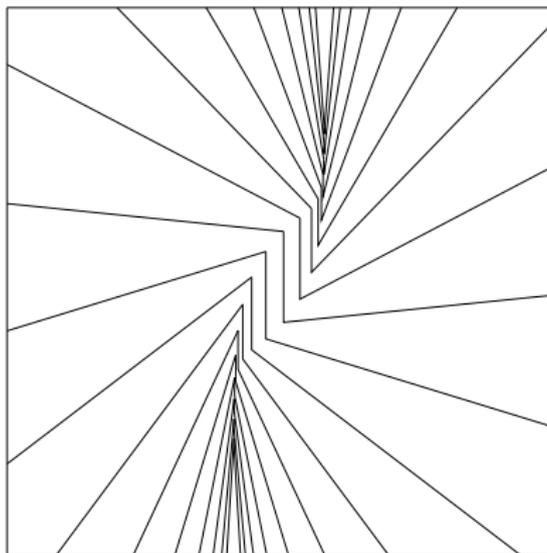
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Images of crooked planes under an affine deformation



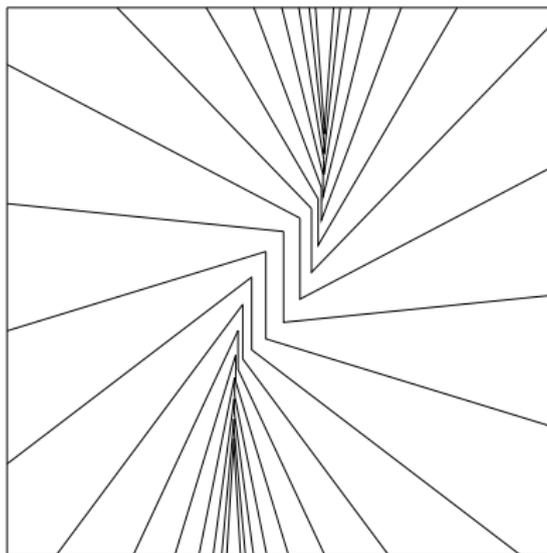
- Adding translations frees up the action
- — which is now proper on *all* of $E^{2,1}$.

Images of crooked planes under an affine deformation



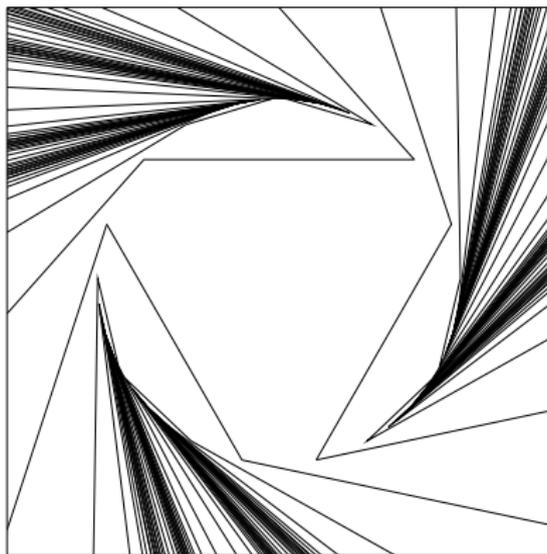
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Images of crooked planes under an affine deformation



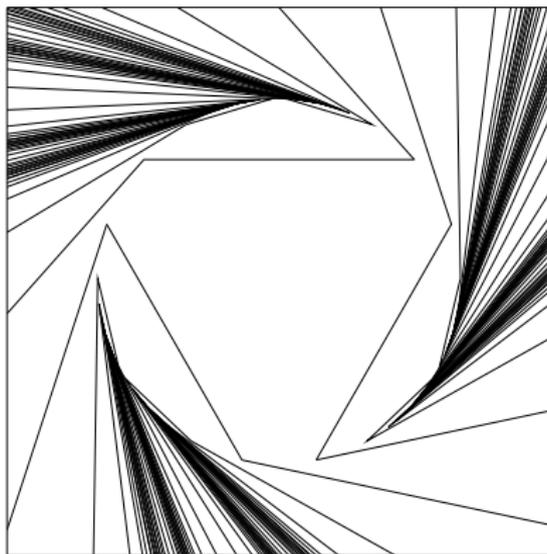
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Linear action of Schottky group



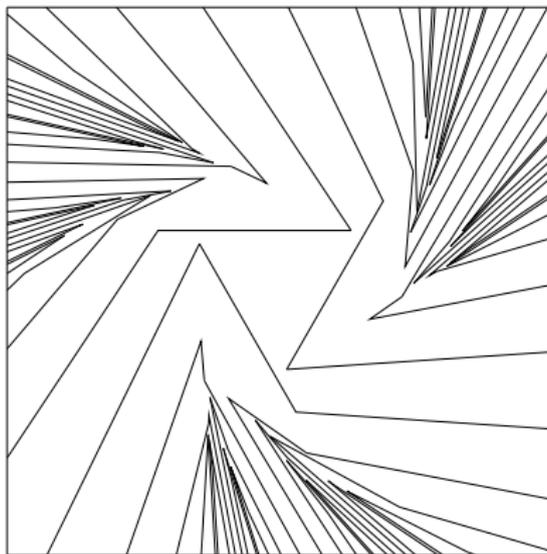
Crooked polyhedra tile \mathbb{H}^2 for subgroup of $O(2,1)$.

Linear action of Schottky group



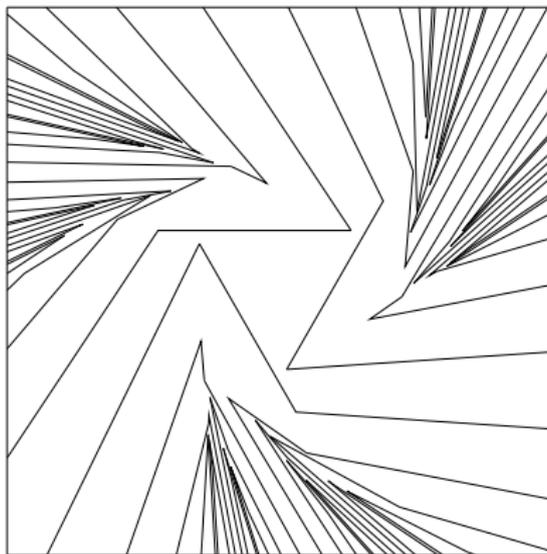
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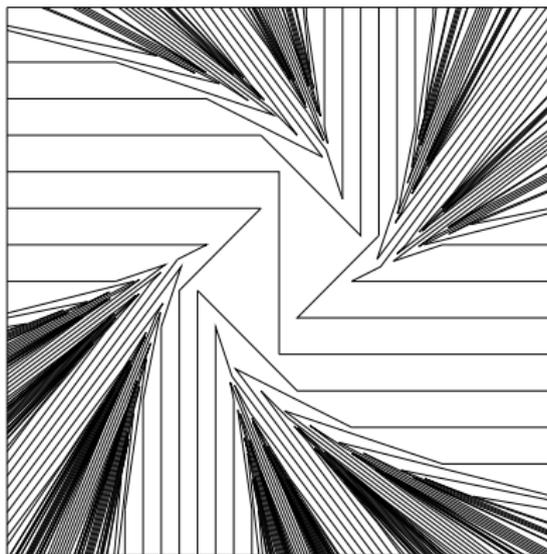


Carefully chosen affine deformation acts properly on $E^{2,1}$.

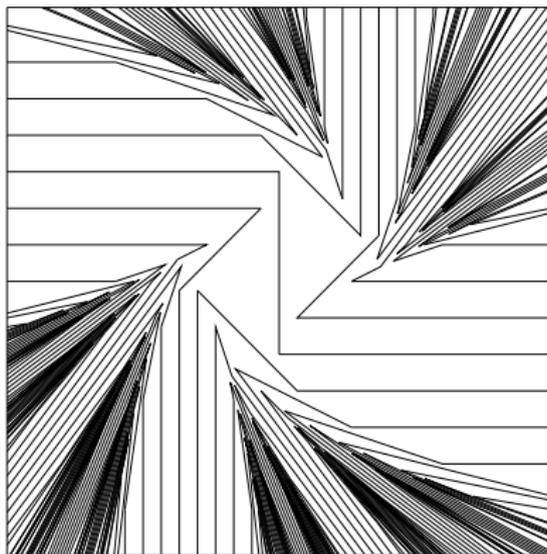
Affine action of Schottky group



Carefully chosen affine deformation acts properly on $E^{2,1}$.

Affine action of level 2 congruence subgroup of $GL(2, \mathbb{Z})$ 

Proper affine deformations exist even for *lattices* (Drumm).

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Classification of Margulis spacetimes

- Mess's theorem (S noncompact) is the only obstruction for the existence of a proper affine deformation:
 - (Drumm 1990) S *noncompact* complete hyperbolic surface with finitely generated $\pi_1(S)$ admits *proper* affine deformation. M^3 is a solid handlebody.
- **Theorem:** (Charette-Drumm-G-Labourie-Margulis) The deformation space of complete affine structures on a solid handlebody Σ of genus 2 consists of four components, one for each topological type of surface S with $\pi_1(S) \cong \mathbb{Z} \star \mathbb{Z}$. The component corresponding to S is a bundle of open convex cones over the Fricke space $\mathfrak{F}(S)$.

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