

The diameter of  
permutation  
groups

H. A. Helfgott and  
Á. Seress

Introduction

Alternating groups

Proof ideas

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# Cayley graphs

## Definition

$G = \langle S \rangle$  is a group. The **Cayley graph**  $\Gamma(G, S)$  has vertex set  $G$  with  $g, h$  connected if and only if  $gs = h$  or  $hs = g$  for some  $s \in S$ .

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By definition,  $\Gamma(G, S)$  is **undirected**.

## Definition

The **diameter** of  $\Gamma(G, S)$  is

$$\text{diam } \Gamma(G, S) = \max_{g \in G} \min_k g = s_1 \cdots s_k, \quad s_i \in S \cup S^{-1}.$$

(Same as graph theoretic diameter.)

# How large can the diameter be?

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The diameter also can be very big:

$$G = \langle x \rangle \cong Z_n, \quad \text{diam } \Gamma(G, \{x\}) = \lfloor n/2 \rfloor$$

More generally,  $G$  with large abelian factor group may have Cayley graphs with diameter proportional to  $|G|$ .  
An easy argument shows that  $\text{diam } \Gamma(G, S) \geq \log_{2|S|} |G|$ .

# Rubik's cube

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$$S = \{(1, 3, 8, 6)(2, 5, 7, 4)(9, 33, 25, 17)(10, 34, 26, 18) \\ (11, 35, 27, 19), (9, 11, 16, 14)(10, 13, 15, 12)(1, 17, 41, 40) \\ (4, 20, 44, 37)(6, 22, 46, 35), (17, 19, 24, 22)(18, 21, 23, 20) \\ (6, 25, 43, 16)(7, 28, 42, 13)(8, 30, 41, 11), (25, 27, 32, 30) \\ (26, 29, 31, 28)(3, 38, 43, 19)(5, 36, 45, 21)(8, 33, 48, 24), \\ (33, 35, 40, 38)(34, 37, 39, 36)(3, 9, 46, 32)(2, 12, 47, 29) \\ (1, 14, 48, 27), (41, 43, 48, 46)(42, 45, 47, 44)(14, 22, 30, 38) \\ (15, 23, 31, 39)(16, 24, 32, 40)\}$$

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and, after some further generalizations by Dinai,  
Gill-Helfgott, . . .
- Lie-type groups of bounded rank (Pyber, E. Szabó  
2011) and (Breuillard, Green, Tao 2011)

What about alternating groups?

# Alternating groups: why are they a difficult case?

## Attempt # 1: Techniques for Lie-type groups

Diameter results for Lie-type groups are proven by product theorems:

### Theorem

*There exists a polynomial  $c(x)$  such that if  $G$  is simple, Lie-type of rank  $r$ ,  $G = \langle A \rangle$  then  $A^3 = G$  or*

$$|A^3| \geq |A|^{1+1/c(r)}.$$

*In particular, for **bounded**  $r$ , we have  $|A^3| \geq |A|^{1+\varepsilon}$  for some **constant**  $\varepsilon$ .*

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*In particular, for **bounded**  $r$ , we have  $|A^3| \geq |A|^{1+\varepsilon}$  for some **constant**  $\varepsilon$ .*

Given  $G = \langle S \rangle$ ,  $O(\log \log |G|)$  applications of the theorem give all elements of  $G$ .

Tripling length  $O(\log \log |G|)$  times gives diameter  $3^{O(\log \log |G|)} = (\log |G|)^c$ .

Product theorems are false in  $\text{Alt}_n$ .

## Example

$G = \text{Alt}_n$ ,  $H \cong A_m \leq G$ ,  $g = (1, 2, \dots, n)$  ( $n$  odd).

$S = H \cup \{g\}$  generates  $G$ ,  $|S^3| \leq 9(m+1)(m+2)|S|$ .

For example, if  $m \approx \sqrt{n}$  then growth is too small.



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Escape: guarantee that you can leave an exceptional set (a variety  $V$  of codimension  $> 0$ ).

Dimensional estimates = estimates of type

$$|A^k \cap V| \sim |A|^{\frac{\dim(V)}{\dim(G)}}.$$

## Attempt # 2: construction of a 3-cycle

Any  $g \in \text{Alt}_n$  is the product of at most  $(n/2)$  3-cycles:

$$(1, 2, 3, 4, 5, 6, 7) = (1, 2, 3)(1, 4, 5)(1, 6, 7)$$

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It is enough to construct one 3-cycle (then conjugate to all others).

Construction in stages, cutting down to smaller and smaller support.

Support of  $g \in \text{Sym}(\Omega)$ :  $\text{supp}(g) = \{\alpha \in \Omega \mid \alpha^g \neq \alpha\}$ .

# One generator has small support

## Theorem (Babai, Beals, Seress 2004)

$G = \langle S \rangle \cong \text{Alt}_n$  and  $|\text{supp}(a)| < (\frac{1}{3} - \varepsilon)n$  for some  $a \in S$ .  
Then  $\text{diam } \Gamma(G, S) = O(n^{7+o(1)})$ .

Recent improvement:

## Theorem (Bamberg, Gill, Hayes, Helfgott, Seress, Spiga 2012)

$G = \langle S \rangle \cong \text{Alt}_n$  and  $|\text{supp}(a)| < 0.63n$  for some  $a \in S$ .  
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Then  $\text{diam } \Gamma(G, S) = O(n^c)$ .  
The proof gives  $c = 78$  (with some further work,  
 $c = 66 + o(1)$ ).

# How to construct one element with moderate support?

Up to recently, only one result with no conditions on the generating set.

## Theorem (Babai, Seress 1988)

*Given  $\text{Alt}_n = \langle S \rangle$ , there exists a word of length  $\exp(\sqrt{n \log n}(1 + o(1)))$  on  $S$ , defining  $h \in \text{Alt}_n$  with  $|\text{supp}(h)| \leq n/4$ . As a consequence,*

$$\text{diam}(\text{Alt}_n) \leq \exp(\sqrt{n \log n}(1 + o(1))).$$

# A quasipolynomial bound

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## Corollary

$G \leq \text{Sym}_n$  *transitive*  
 $\Rightarrow \text{diam}(G) \leq \exp(O(\log^4 n \log \log n)).$

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## Corollary

$$G \leq \text{Sym}_n \text{ transitive} \\ \Rightarrow \text{diam}(G) \leq \exp(O(\log^4 n \log \log n)).$$

The corollary follows with help from

## Theorem (Babai, Seress 1992)

$$G \leq \text{Sym}_n \text{ transitive} \\ \Rightarrow \text{diam}(G) \leq \exp(O(\log^3 n)) \cdot \text{diam}(A_k) \text{ where } A_k \text{ is the} \\ \text{largest alternating composition factor of } G.$$

## The main idea of (Babai, Seress 1988)

Given  $\text{Alt}(\Omega) \cong \text{Alt}_n = \langle S \rangle$ , construct  $h \in \text{Alt}_n$  with  $|\text{supp}(h)| \leq n/4$  as a short word on  $S$ .

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$p_1 = 2, p_2 = 3, \dots, p_k$  primes:  $\prod_{i=1}^k p_i > n^4$

Construct  $g \in G$  containing cycles of length

$p_1, p_1, p_2, \dots, p_k$ . (In general: can always construct (as a word of length  $\leq n^r$ ) a  $g$  containing a given pattern of length  $r$ .)

For  $\alpha \in \Omega$ , let  $\ell_\alpha :=$ length of  $g$ -cycle containing  $\alpha$ .

For  $1 \leq i \leq k$ , let  $\Omega_i := \{\alpha \in \Omega : p_i \mid \ell_\alpha\}$ .

### Claim

There exists  $i \leq k$  with  $|\Omega_i| \leq n/4$ .

Prove claim by double-counting.

After claim is proven: take  $h := g^{\text{order}(g)/p_i}$ . Then  $\text{supp}(h) \subseteq \Omega_i$  and so  $|\text{supp}(h)| \leq n/4$ . Landau:

$$\text{order}(g) = e^{\sqrt{n \log n(1+o(1))}}.$$

# Ideas of (Helfgott, Seress 2011): from subgroups to subsets

In common with groups of Lie type:

Some group-theoretical statements are robust – they work for all sets rather than just for subgroups.

Important basic example: orbit-stabilizer theorem for sets.

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### Lemma (Orbit-stabilizer, generalized to sets)

*Let  $G$  be a group acting on a set  $X$ . Let  $x \in X$ , and let  $A \subset G$  be non-empty. Then*

$$|(A^{-1}A) \cap \text{Stab}(x)| \geq \frac{|A|}{|Ax|}.$$

*Moreover,*

$$|A \cap \text{Stab}(x)| \leq \frac{|AA|}{|Ax|}.$$

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Classical case:  $A$  a subgroup.



## Which actions?

Action of a group  $G$  on itself by conjugation

Action of a group  $G$  on  $G/H$  (by multiplication)

Action of a **setwise stabilizer**  $\text{Sym}(n)_\Sigma$  on a pointwise stabilizer  $\text{Sym}(n)_\Sigma$ , by **conjugation**.

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Consider also (in other ways) the **natural actions**:

$\text{SL}_n(K)$  acts on  $K^n$

$\text{Sym}(n)$  acts on  $X = \{1, 2, \dots, n\}$   
(and  $X = \{1, 2, \dots, n\}^k$ , etc.)

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The first action is useful because it is geometric.

The second action is useful because  $X$  is small.

# From subgroups to subsets, II

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Results with **algorithmic** proofs: Bochert (1889) showed that  $\text{Alt}_n$  has no large primitive subgroups; the same proof gives that, for  $A \subset \text{Alt}_n$  large with  $\langle A \rangle$  primitive,  $A^{n^4} = \text{Alt}_n$ . Also, e.g., Schreier.

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(In Breuillard-Green-Tao, for groups of Lie type: adapt Larsen-Pink; a classification of subgroups becomes a classification of “**approximate subgroups**”, i.e., subsets  $A \subset \text{Alt}_n$  such that  $|AAA| \leq |A|^{1+\delta}$ .)



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# The splitting lemma

Example: Babai's splitting lemma.

## Lemma (Babai)

*Let  $H < \text{Sym}(n)$  be 2-transitive.*

*Let  $\Sigma \subset [n] = \{1, 2, \dots, n\}$ . Assume that there are at least  $\rho n^2$  ordered pairs in  $[n] \times [n]$  such that there is no  $g \in H_{([\Sigma])}$  with  $\alpha^g = \beta$ .*

*Then  $|H| \leq n^{O(|\Sigma|(\log n)/\rho)}$ .*

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## Lemma (Babai-H-S)

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Then  $|H| \leq n^{O(|\Sigma|(\log n)/\rho)}$ .

Useful: it guarantees the existence of **long stabilizer chains**

$$A \supset A_{\alpha_1} \supset A_{(\alpha_1, \alpha_2)} \supset A_{(\alpha_1, \alpha_2, \dots)} \supset \dots \supset A_{(\alpha_1, \alpha_2, \dots, \alpha_r)},$$

where  $r \gg (\log |A|)/(\log n)^2$  and  $|A_{\alpha_1, \dots, \alpha_{j-1}}| \geq 0.9n$  for every  $j \leq r$ .

# Outline of proof of main theorem

Given: long stabilizer chain for  $A \subset \text{Sym}_n$  with  
 $\Sigma = \{\alpha_1, \alpha_2, \dots, \alpha_r\}$ .

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Apply **splitting** lemma to prolong  $\alpha_1, \alpha_2, \dots, \alpha_r$ ; done.

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Then  $\langle A'' \rangle$  decomposes into permutation groups on  $n' \leq 0.9n$  elements; by induction, the diameter is small. By (Babai, Seress 1988), there is an element  $g$  of small support – use that as an **existence** statement; can reach  $g$  by small diameter. Done.