

# The Non-Abelian Self-Dual String and a 6d Superconformal Field Theory

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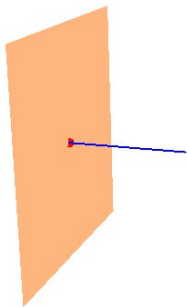
Based on:

- CS & L Schmidt, [arXiv:1705.02353](https://arxiv.org/abs/1705.02353)
- CS & L Schmidt, [arXiv:1712.06623](https://arxiv.org/abs/1712.06623)

# Motivation: Dynamics of multiple M5-branes

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To understand M-theory, an effective description of M5-branes would be very useful.

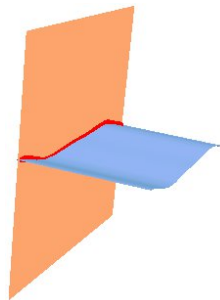


## D-branes

- D-branes **interact** via strings.
- Effective description: theory of **endpoints**
- Parallel transport of these: **Gauge theory**
- Study string theory **via gauge theory**

## M5-branes

- M5-branes **interact** via M2-branes.
- Eff. description: theory of **self-dual strings**
- Parallel transport: **Higher gauge theory**
- Long sought  $(2,0)$ -theory a **HGT?**



## Outline

- **The (2,0)-Theory**: What we know and what we want
- **Higher Gauge Theory**: Lightning review
- Guidance from **BPS self-dual strings**
- **The 6d superconformal field theory**
- **Open problems**

## The (2,0)-Theory: What we know and want

- 6d **superconformal** field theory, appears in type IIB on K3
- Also: **parallel M5-branes**      **Witten, Strominger 1995/1996**
  - **self-dual strings**: boundaries of M2- between M5-branes
  - become **massless**, if M5-branes approach each other
  - description of **stacks of parallel M5-branes**
- Field content:  $\mathcal{N} = (2, 0)$  **tensor multiplet**      **Nahm 1978**
  - a **self-dual 3-form field strength**
  - five (Goldstone) **scalars**
  - **fermionic partners**
- Observables: **Wilson surfaces**, i.e. parallel transport of strings
- Belief: **No Lagrangian description**
- Analogue of  $\mathcal{N} = 4$  **super Yang-Mills**
- Huge interest in string theory: **AGT**, **AdS<sub>7</sub>-CFT<sub>6</sub>**, **S-duality**, ...
- Mathematics: **Geom. Langlands**, **Khovanov Homology**, ...

- A **successful M5-brane model** should have the following properties:
- Contain an **interacting**, self-dual 2-form gauge potential
  - Based on a **sound mathematical foundation**: **higher bundles**
  - **Field content** of the  $(2,0)$ -theory,  $\mathcal{N} = (1,0)$  supersymmetric
  - **Gauge structure** natural, match some **expectations** (ADE, ...)
  - Non-trivial coupling, **interacting field theory**
  - Restriction to **free  $\mathcal{N} = (2,0)$  tensor multiplet** possible
  - contains the **non-abelian self-dual string soliton** as BPS state
  - **Reduction to 4d SYM theory with ADE gauge algebras**
  - and to **3d Chern–Simons-matter models** with discrete coupling
  - match expected **moduli space** of  $(2,0)$ -theory

- Non-abelian parallel transport of strings problematic?  
Non-abelian gerbes exist  
Remains: find relevant/interesting example
- No continuous coupling constant  $\rightarrow$  no classical Lagrangian  
Same as for M2-branes  
Discrete coupling constant from geometry
- Reduction to 5d Yang–Mills theory seems impossible.  
Reduction to 4d Yang–Mills theory seems to work
- Action for self-dual 3-forms problematic  
PST formalism can be used  
Quantization (?) How useful (?)

## Higher Gauge Theory: Lightning review

Guideline: Category Theory

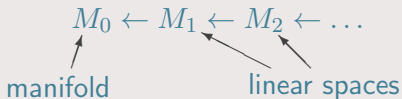
“Category theory is the subject where  
you can leave the definitions as exercises.”

John Baez



## N-manifolds, NQ-manifold

- $\mathbb{N}_0$ -graded manifold with coordinates of degree  $0, 1, 2, \dots$



- **NQ-manifold**: vector field  $Q$  of degree 1,  $Q^2 = 0$
- **Physicists**: think ghost numbers, BRST charge, SFT
- Functions on  $(M, Q)$  form **differential graded algebra**

## Examples:

- **Tangent algebroid**  $T[1]M$ ,  $\mathcal{C}^\infty(T[1]M) \cong \Omega^\bullet(M)$ ,  $Q = d$
- **Lie algebra**  $\mathfrak{g}[1]$ , coordinates  $\xi^\alpha$  of degree 1:

$$Q = -\frac{1}{2} f_{\beta\gamma}^\alpha \xi^\beta \xi^\gamma \frac{\partial}{\partial \xi^\alpha} \quad , \quad \text{Jacobi identity} \Leftrightarrow Q^2 = 0$$

- **Lie 2-algebra**  $\mathfrak{g}[1] \oplus \mathfrak{h}[2]$ , **Lie 3-algebra**  $\mathfrak{g}[1] \oplus \mathfrak{h}[2] \oplus \mathfrak{l}[3]$ , ...

- Idea: **Cartan**, More: **Strobl et al.**, **Sati**, **Schreiber**, **Stasheff**
- Local gauge theory: **differential forms** and **Lie algebras**
- Unify both in **differential graded algebras** from **Weil algebra**:

$$W(\mathfrak{g}) := \mathcal{C}^\infty(T[1]\mathfrak{g}[1]) = \mathcal{C}^\infty(\mathfrak{g}[1] \oplus \mathfrak{g}[2]) , \quad \sigma : \mathfrak{g}^*[1] \xrightarrow{\cong} \mathfrak{g}^*[2]$$

$$Q|_{\mathcal{C}^\infty(\mathfrak{g}[1])} = Q_{\text{CE}} + \sigma , \quad Q_{\text{CE}}\sigma = -\sigma Q_{\text{CE}}$$

- **Potentials/curvatures/Bianchi identities** from **dga-morphisms**

$$(A, F) : W(\mathfrak{g}) \longrightarrow W(M) = \Omega^\bullet(M)$$

$$\xi^\alpha \longmapsto A^\alpha$$

$$(\sigma\xi^\alpha) = Q\xi^\alpha + \frac{1}{2}f_{\beta\gamma}^\alpha \xi^\beta \xi^\gamma \longmapsto F^\alpha = (dA + \frac{1}{2}[A, A])^\alpha$$

$$Q(\sigma\xi^\alpha) = -f_{\beta\gamma}^\alpha (\sigma\xi^\beta) \xi^\gamma \longmapsto (\nabla F)^\alpha = 0$$

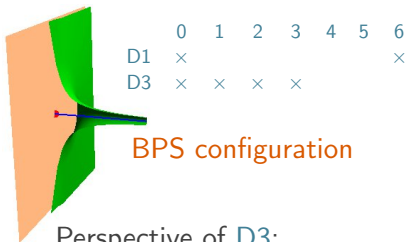
- **Gauge transformations**: **homotopies** between dga-morphisms
- **Topological invariants**: **invariant polynomials** on  $\mathfrak{g}$  in  $W(\mathfrak{g})$

⇒ **General notion of gauge theory** from pairs of dgas

Which higher Lie algebra to take?

Guidance from **BPS self-dual strings**

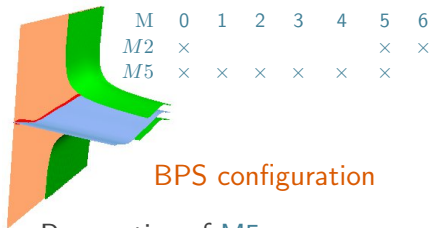
Self-dual strings are the M-theory lift of **monopoles**.



Perspective of D3:

Bogomolny monopole eqn.

$$F = \nabla^2 = *\nabla\Phi \text{ on } \mathbb{R}^3$$



Perspective of M5:

Abelian Self-dual string eqn.

$$H := dB = *d\Phi \text{ on } \mathbb{R}^4$$

## Monopoles:

- Dirac monopole: Principal  $U(1)$ -bundle over  $\mathbb{R}^3 \setminus \{0\}$  or  $S^2$   
Hopf fibration:  $U(1) \hookrightarrow S^3 \rightarrow S^2$
- 't Hooft-Polyakov monopole: Principal  $SU(2)$ -bundle over  $\mathbb{R}^3$
- Non-abelianization and extension to  $\mathbb{R}^3$ :
  - want to preserve topology of Dirac monopole
  - want bundle over  $\mathbb{R}^3$ , which is necessarily trivial
  - $\rightarrow$  gauge group: total space  $S^3 \cong SU(2)$  of abelian bundle

## Self-Dual String:

- Abelian: fundamental abelian gerbe  $\mathcal{G}_F$  over  $S^3$
- Non-abelian: principal 2-bundle over  $\mathbb{R}^4$
- Analogy to above: Use total 2-space of  $\mathcal{G}_F$  as gauge 2-group
- Indeed:  $\mathcal{G}_F$  carries 2-group structure: string 2-group model

- String 2-group  $\mathcal{G}_F$  and M-theory: many reasons, long story...
- $\mathcal{G}_F$  is analogue of  $\text{Spin}(3) \cong \text{SU}(2)$  from many perspectives
- Lie differentiate (e.g. Demessie, CS (2016))

- Result:

String Lie 2-algebra  $\mathfrak{string}(3) = (\mathfrak{su}(2) \xleftarrow{\mu_1=0} \mathbb{R}[1])$  with

$$\mu_2(x_1, x_2) = [x_1, x_2], \quad \mu_3(x_1, x_2, x_3) = (x_1, [x_2, x_3])$$

where  $x_{1,2,3} \in \mathfrak{su}(2)$

- Equivalently: (quasi-isomorphic):

$$P_0\mathfrak{su}(2) \leftrightarrow \hat{\Omega}\mathfrak{su}(2)$$

- Can be defined for any ADE Lie algebra  $\mathfrak{g} \rightarrow \mathfrak{string}(\mathfrak{g})$

## 1. Kinematical data

- Readily from **dga-morphisms**  $W(\mathfrak{string}(3)) \rightarrow \Omega^\bullet(\mathbb{R}^4)$
- Problem: **fake curvatures need to vanish, many issues**
- Solution: **twist** Weil algebra **Sati, Schreiber, Stasheff (2009)**
- Get: **string structures**

$$A \in \Omega^1(\mathbb{R}^4) \otimes \mathfrak{g}, \quad B \in \Omega^2(\mathbb{R}^4) \otimes \mathfrak{u}(1),$$

$$F = dA + \frac{1}{2}[A, A], \quad H = dB + \frac{1}{2}(A, dA) + \frac{1}{3!}(A, [A, A]),$$

$$\nabla F = 0, \quad dH = -(F, F)$$

- Add by hand: Higgs field  $\phi \in \Omega^0(\mathbb{R}^4) \otimes \mathfrak{u}(1)$

## 2. Dynamical principle

Schmidt, CS (2017)

- Obvious:  $H = *\mathrm{d}\phi$ , implying  $dH = (F, F) = *\square\phi$
- Motivates:  $F = \pm * F$
- Full picture:

$$\mathfrak{g} = \mathfrak{su}(2) \oplus \mathfrak{su}(2), \text{ instanton} + \text{anti-instanton } c_2(F) = 0$$

EOM matches story known from (1,0)-theories, so what?

- Higher analogue of  $SU(2) \cong Spin(3)$  is **String(3)**
- **String structures** allow for gauge invariant field equations
- Examples of truly **non-abelian** and non-trivial **higher bundles**
- Agnostic about **quasi-isomorphs.**: also for  $P_0\mathfrak{su}(2) \leftrightarrow \hat{\Omega}\mathfrak{su}(2)$



# The 6d superconformal field theory

Try to avoid hard SUSY computations and find in the literature:

6d (1,0)-model derived from tensor hierarchies  
Samtleben, Sezgin, Wimmer (2011)

Open problems with this model:

- Issue 1: Choice of gauge structure unclear
- Issue 2: cubic interactions
- Issue 3: scalar fields with wrong sign kinetic term
- Issue 4: Self-duality of 3-form imposed by hand
- Issue 5: Unclear, how to fulfill “wishlist”

Previous observation:

- Gauge structure is Lie 3-algebra with “extra structure.”  
Palmer, CS (2013), Samtleben et al. (2014)

New:

Schmidt, CS (2017)

- **Idea**: use  $\mathbf{string}(\mathfrak{g})$  as gauge structure in this model
- Issue: need suitable notion of **inner product** for action
- **Inner product/cyclic**  $L_\infty$ -algebras  $\Leftrightarrow$  **symplectic NQ-manifold**
- Consequence: Extend  $\mathbf{string}(\mathfrak{g})$  from

$$(\mathfrak{g} \longleftarrow \mathbb{R} \xleftarrow{\text{id}} \mathbb{R}) \cong \mathfrak{g}$$

to symplectic graded vector space  $T^*[2]\mathbf{string}(\mathfrak{g})$ :

$$\begin{array}{ccc}
 \mathbb{R}^* \xleftarrow{\mu_1=\text{id}} \mathbb{R}^*[1] & & \mathfrak{g}^*[2] \xleftarrow{\mu_1=\text{id}} \mathfrak{g}^*[3] \\
 \oplus & & \oplus \\
 \mathfrak{g} & & \mathbb{R}[1] \xleftarrow{\mu_1=\text{id}} \mathbb{R}[2]
 \end{array}$$

- This carries **natural inner product**
- Has necessary **extra structure** for (1,0)-model

Field content:

- **(1,0) tensor multiplet**  $(\phi, \chi^i, B)$ , values in  $\mathbb{R}^2$ ,  $\phi = \phi_s + \phi_r, \dots$
- **(1,0) vector multiplet**  $(A, \lambda^i, Y^{ij})$ , values in  $\mathfrak{g} \oplus \mathbb{R}$
- **C-field**, values in  $\mathbb{R} \oplus \mathfrak{g}^*$

Action (schematically):

$$\begin{aligned}
 S = \int_{\mathbb{R}^{1,5}} & \left( \mathcal{H}_r \wedge * \mathcal{H}_s + d\phi_r \wedge * d\phi_s - * \bar{\chi}_r \not{\partial} \chi_s + \mathcal{H}_s \wedge * (\bar{\lambda}, \gamma_{(3)} \lambda) + *(Y, \bar{\lambda}) \chi_s \right. \\
 & + \phi_s ((\mathcal{F}, * \mathcal{F}) - *(Y, Y) + *(\bar{\lambda}, \nabla \lambda)) + (\bar{\lambda}, \mathcal{F}) \wedge * \gamma_{(2)} \chi_s \\
 & \left. + \mu_1(C) \wedge \mathcal{H}_s + B_s \wedge (\mathcal{F}, \mathcal{F}) + B_s \wedge ([A, A], [A, A]) \right)
 \end{aligned}$$

This solves problems 1 and 2:

- **Choice of gauge structure** for ADE-(2,0)-theories **clear(er)**.
- **No cubic interaction term** for scalar fields

Adding **Pasti-Sorokin-Tonin-type action**:

- Recall: **PST action** has self-duality of  $H$  as equation of motion
- Bosonic part of (1,0)-theory was PST completed  
Bandos, Sorokin, Samtleben (2013)
- Full PST action announced, **never appeared** (not possible?)
- With string structure, **construction possible and simplifies**

Adding **matter fields**:

- Add **hypermultiplet** to get fields of (2,0)-tensor multiplet
- General construction and couplings discussed  
Samtleben, Sezgin, Wimmer (2012)
- Can make **concrete choices** with twisted string structures

⇒ A (1,0)-theory in 6d satisfying many of the “wishlist” items.

- ✓ Contain an **interacting**, self-dual 2-form gauge potential
- ✓ Based on a **sound mathematical foundation**: higher bundles
- ✓ **Field content** of the  $(2,0)$ -theory,  $\mathcal{N} = (1,0)$  supersymmetric
- ✓ **Gauge structure** natural, match some **expectations** (ADE, ...)
- ✓ Non-trivial coupling, **interacting field theory**
- ✓ Restriction to **free  $\mathcal{N} = (2,0)$  tensor multiplet** possible
- ✓ contains the **non-abelian self-dual string soliton** as BPS state
- **Reduction to 4d SYM theory with ADE gauge algebras**
- and to **3d Chern–Simons-matter models** with discrete coupling
- ? match expected **moduli space** of  $\mathcal{N} = (2,0)$ -theory

Crucial consistency check: **Reduction to D-branes/SYM theory**

$$\begin{aligned}
 S = \int_{\mathbb{R}^{1,5}} & \left( \langle \mathcal{H}, *\mathcal{H} \rangle + \langle d\phi, *d\phi \rangle - *\langle \bar{\chi}, \not{D}\chi \rangle + \mathcal{H}_s \wedge *(\bar{\lambda}, \gamma_{(3)}\lambda) + *(Y, \bar{\lambda})\chi_s \right. \\
 & + \phi_s((\mathcal{F}, *\mathcal{F}) - *(Y, Y) + *(\bar{\lambda}, \nabla\lambda)) + (\bar{\lambda}, \mathcal{F}) \wedge *\gamma_{(2)}\chi_s \\
 & \left. + \mu_1(C) \wedge \mathcal{H}_s + B_s \wedge (\mathcal{F}, \mathcal{F}) + B_s \wedge ([A, A], [A, A]) \right)
 \end{aligned}$$

- Start from **ADE-String Lie 3-algebra**
- Anticipate 4d gauge couplings:

$$\tau = \tau_1 + i\tau_2 = \frac{\theta}{2\pi} + \frac{i}{g_{\text{YM}}^2},$$

- **VEVs** from compactification on  $T^2$  along  $x^9$  and  $x^{10}$

$$\langle \phi_s \rangle = -\frac{1}{32\pi^2} \frac{\tau_2}{R_9 R_{10}} \quad \text{and} \quad \langle B_s \rangle = \frac{1}{16\pi^2} \frac{\tau_1}{R_9 R_{10}}$$

- **Strong coupling expansion** around VEVs (cf. M2  $\rightarrow$  D2)
- $\Rightarrow$  **4d  $\mathcal{N} = 4$  SYM** with ADE-gauge group and  $\theta$ -term

Additional consistency check: **Reduction to M2-brane models**

- Replace  $\mathbb{R}^{1,5}$  by  $\mathbb{R}^{1,2} \times S^3$ .
- Assumptions:
  - String Lie 3-algebra of  $\mathfrak{su}(n) \times \mathfrak{su}(n)$
  - $A$  trivial on  $S^3$ , non-trivial on  $\mathbb{R}^{1,2}$
  - $B$  trivial on  $\mathbb{R}^{1,2}$
  - $B$  encodes **abelian gerbe** with DD class  $k$  on  $S^3$ .
- Recall:  $\mathcal{H} = dB + cs(A)$
- Then we get the **integer Chern–Simons coupling**:

$$\mathcal{H} \wedge *\mathcal{H} \rightarrow k \text{vol}_{S^3} cs(A)$$

$$\int_{\mathbb{R}^{1,5}} \mathcal{H} \wedge *\mathcal{H} \rightarrow k \int_{\mathbb{R}^{1,2}} cs(A)$$

- Altogether: **Chern–Simons matter theory** of ABJM type.
- Note: This theory has  $\mathcal{N} = 4$ , different potential from ABJM.



## Open Problems

Our model is not the desired  $(2,0)$ -theory!

Try to improve this.

Mathematical issue: Model **not** agnostic about **quasi-isomorphism**:

$$\mathfrak{su}(2) \leftarrow \mathbb{R} \cong P_0 \mathfrak{su}(2) \leftarrow \hat{\Omega} \mathfrak{su}(2)$$

Conclusion: the model of Samtleben et al. is **too rigid**:

$$(X_r)_s^t = f_{rs}^t + d_{rs}^t = f_{[rs]}^t + d_{(rs)}^t$$

Need to **generalize the model**, redo SUSY computations  
(work in progress)

A free Yang–Mills multiplet **contradicts**  $\mathcal{N} = (2, 0)$  supersymmetry.

Recall: M2-brane model also has additional gauge potential, but:

$$F = [X, * \nabla X] + \bar{\Psi} \Gamma^{(2)} \Psi$$

Need: Similar equation for  $F$  in our  $(1, 0)$ -model

Splitting stacks of  $Dp$ -branes into smaller stacks: **Branching**

$$U(N) \rightarrow U(n_1) \times U(n_2)$$

Similar branching **unclear** for string Lie 2-algebra

Need extension:

$$\mathfrak{su}(2) \leftarrow \mathbb{R} \longrightarrow \mathfrak{su}(n) \leftarrow V$$

more freedom than in Lie 2-algebra case due to twist etc.

**Ideas?** (work in progress)

Two problems:

- ① Scalar field with **wrong sign** kinetic term
- ② PST mechanism requires  $\phi_s > 0$

First issue may be solved in more **general model**.

Potential **quantization** of PST action unclear.

Quantization of **higher Chern–Simons theory**: **work in progress**.

## Summary:

- Higher gauge theory classically underlies M-theory
- Higher analogue of  $SU(2)$  is  $String(3)$
- There is non-abelian self-dual string
- There is classical action with many of desired features
- However: Clear differences to  $(2,0)$ -theory

## Open problems:

- ▷ Compute more general model
- ▷ Construct more general string structures (branching)
- ▷ Study remaining open problems, e.g. quantization
- ▷ Explore model and implications further:  
categorified integrability, fuzzy  $S^3$ , etc. (future)

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