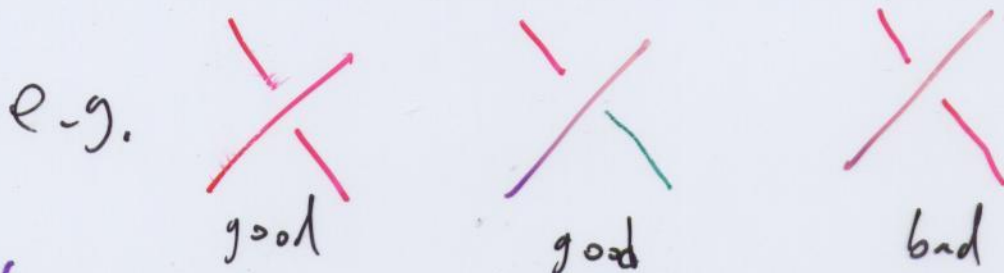


3-Colouring of links

Given any link diagram, colour all arcs
red, blue, green, so that at each
crossing, either 1 or 3 colours are used.



Fact The number of 3-colourings is a link-invariant.

e.g. trefoil



3x3 different
3-colourings

e.g. trivial knot



3 different
3-colourings

$\therefore$ trefoil $\neq$ trivial

Fact

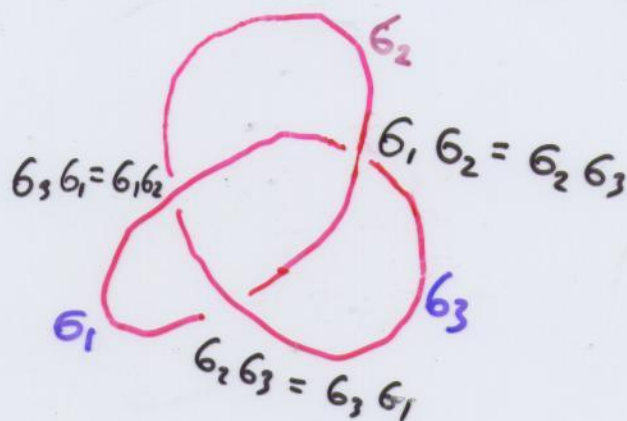
Counting 3-colourings is essentially counting group homomorphisms

$$\pi_1(\mathbb{R}^3 - \text{link}) \rightarrow \text{Sym}_3$$

Reason

Wirtinger presentation of $\pi_1(\mathbb{R}^3 - \text{link})$

e.g.



$$\begin{aligned} \pi_1(\mathbb{R}^3 - \text{trefoil}) &= \langle g_1, g_2, g_3 \mid g_3 g_1 = g_1 g_2, g_2 g_3 = g_3 g_1, g_1 g_2 = g_2 g_3 \rangle \\ &= \langle g_1, g_2 \mid g_1 g_2 g_1 = g_2 g_1 g_2 \rangle \\ &= B_3 \end{aligned}$$

braid group
on 3 strands

red = (12) $\in \text{Sym}_3$

green = (23) $\in \text{Sym}_3$

blue = (31) $\in \text{Sym}_3$

Definition A modular form f of weight k and multiplier μ for $SL_2(\mathbb{Z})$ is a holomorphic function $f: \mathbb{H} \rightarrow \mathbb{C}$ ($\mathbb{H} = \{\tau \in \mathbb{C} \mid \text{Im} \tau > 0\}$)

$k \in \mathbb{Q}$, $\mu: SL_2(\mathbb{Z}) \rightarrow \mathbb{C}^\times$,
"f holomorphic at cusps $\tau \in \mathbb{Q} \cup \infty$ ",

and

$$\forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) \quad f\left(\frac{a\tau+b}{c\tau+d}\right) = \mu\begin{pmatrix} a & b \\ c & d \end{pmatrix} (c\tau+d)^k f(\tau)$$

Example

"Eisenstein series"

$$E_k(\tau) = \sum_{\substack{m,n \in \mathbb{Z} \\ (m,n) \neq (0,0)}} (m\tau+n)^{-k}$$

for any even $k \geq 4$ is a modular form of weight k and multiplier $\mu \equiv 1$.

Whenever $k \in \mathbb{Z}$, μ is a representation of $SL_2(\mathbb{Z})$.

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When $k \notin \mathbb{Z}$, μ is only a projective representation of $SL_2(\mathbb{Z})$.

Example $\eta(\tau) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n)$

"Dedekind eta"

$(q = e^{2\pi i \tau})$

is a modular form for $SL_2(\mathbb{Z})$ of weight $k = \frac{1}{2}$ and multiplier

"Dedekind sum"

$$\mu \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \exp \left[\pi i \left(\frac{a+d}{12c} - \frac{1}{c} - \sum_{j=1}^{c-1} \frac{j}{c} \left(\frac{dj}{c} - \left\lfloor \frac{dj}{c} \right\rfloor - \frac{1}{2} \right) \right) \right]$$

$\forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z})$ with $c > 0$.

"homogeneous space"

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Modern philosophy

Realise $\mathbb{H} = SL_2(\mathbb{R}) / SO_2(\mathbb{R})$

In particular,

for any $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{R})$,

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = y^{-1/2} \begin{pmatrix} y & x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

where $x+iy = \frac{a+ib}{c+id}$, $e^{i\theta} = \frac{d-ic}{|d-ic|}$.

Dumb idea

Lift f from $\mathbb{H}$ to $SL_2(\mathbb{R})$:

$$\phi_f \begin{pmatrix} a & b \\ c & d \end{pmatrix} = f \left(\frac{a+ib}{c+id} \right)$$

Result:

ϕ_f is **invariant** with respect to $SO_2(\mathbb{R})$

ϕ_f is **covariant** with respect to $SL_2(\mathbb{Z})$

Good idea

Lift f with twist:

$$\phi_f \begin{pmatrix} a & b \\ c & d \end{pmatrix} = (c+id)^{-k} f \left(\frac{a+ib}{c+id} \right)$$

Result: ϕ_f is **covariant** with respect to $SO_2(\mathbb{R})$

ϕ_f is **invariant** with respect to $SL_2(\mathbb{Z})$

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The Point Everything is much more conceptual,
arbitrariness removed.

e.g. weight K = Dynkin label of $SO_2(\mathbb{R})$ -rep.

e.g. " $SL_2(\mathbb{Z})$ acts on $\mathbb{H}$ by $\frac{a\tau+b}{c\tau+d}$ "
becomes

" $SL_2(\mathbb{Z})$ acts on $SL_2(\mathbb{R})$ by matrix mult."

A modular form is thus related to a
unitary rep of $SL_2(\mathbb{R})$ on
 $L^2(SL_2(\mathbb{Z}) \backslash SL_2(\mathbb{R}))$;

ϕ_f is highest-weight vector for $SO_2(\mathbb{R})$.

Generalisation to other Lie groups is clear.

Anything similar has such a realisation!

Jacobi forms, Siegel forms, ...

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Tempting to speculate:

Any relation to Quantum field theory?

- Wigner:
- particle in $3+1$ dimensions corresponds to a unitary rep of $\widetilde{SO}_{3,1}(\mathbb{R}) \cong SL_2(\mathbb{C})$.
 - particle in $2+1$ dimensions corresponds to unitary rep of $\widetilde{SO}_{2,1}(\mathbb{R}) \cong SL_2(\mathbb{R})$.

Lax-Phillips Scattering:

- poles of scattering matrix corresponds to zeros of Riemann zeta
- get new proof of Selberg trace formula for SL_2

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Moral: Think of a modular form
as living on $X = SL_2(\mathbb{Z}) \backslash SL_2(\mathbb{R})$.

Topologically, $SL_2(\mathbb{R})$ is interior of solid torus.

Topologically, X is $\mathbb{R}^3 - \text{trefoil}!$

Question: Can we see the trefoil in e.g. RCFT?

Now, Universal cover $\tilde{Y} \rightarrow Y$
is more fundamental than Y .

If Y isn't simply-connected, then
monodromy = "global anomaly" is
given by $\pi_1(Y) = \text{distance of } Y \text{ from } \tilde{Y}$.

Example: Spinors are projective reps of $SO_{3,1}$,
 $\pi_1 = \mathbb{Z}$ but true reps of $SL_2(\mathbb{C})$.

Example: Cauchy integral formula = residue $\times$ winding number
 $\pi_1 = \mathbb{Z}$

Recall:

$$\pi_1(X) = B_3$$

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$$\tilde{X} = \widetilde{SL_2(\mathbb{R})} \xrightarrow{\mathbb{Z}} SL_2(\mathbb{R}) \xrightarrow{SL_2(\mathbb{Z})} SL_2(\mathbb{Z}) \backslash SL_2(\mathbb{R})$$

B_3

B_3 is central extension of $SL_2(\mathbb{Z})$ by $\mathbb{Z}$:

$$G_1 \mapsto \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad G_2 \mapsto \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}$$

"reduced Burau rep at $w = -1$ "

$$\mathbb{P}SL_2(\mathbb{Z}) = B_3 / \langle \mathbb{Z} \rangle, \quad SL_2(\mathbb{Z}) = B_3 / \langle \mathbb{Z}^2 \rangle$$

$(\mathbb{Z} = (G_1 G_2)^3 \text{ generates centre})$

So: Most fundamental "symmetry" of a modular form is B_3 , not $SL_2(\mathbb{Z})$.

Rather obvious in hindsight: μ is projective rep of $SL_2(\mathbb{Z})$, so centrally extend. It will be true rep of B_3 .

($k \in \frac{1}{2}\mathbb{Z}$: metaplectic group suffices)

Example:

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Dedekind eta $\eta(\tau)$.

Action on τ by B_3 done via Burau.

$SL_2(\mathbb{Z})$: $\mu \begin{pmatrix} a & b \\ c & d \end{pmatrix} =$ Dedekind sum

B_3 : $\mu(\beta) = \exp \left[\frac{2\pi i}{24} \deg \beta \right]$

Example: $\theta_1(\tau, z) = \sum_{n=-\infty}^{\infty} e^{\pi i \tau (n+\frac{1}{2})^2 + 2\pi i (z+\frac{1}{2})(n+\frac{1}{2})}$

$\theta_2(\tau, z) = \sum_{n=-\infty}^{\infty} e^{\pi i \tau (n+\frac{1}{2})^2 + 2\pi i z (n+\frac{1}{2})}$

$\theta_3(\tau, z) = \sum_{n=-\infty}^{\infty} e^{\pi i \tau n^2 + 2\pi i z n}$

$\theta_4(\tau, z) = \sum_{n=-\infty}^{\infty} e^{\pi i \tau n^2 + 2\pi i (z+\frac{1}{2})n}$

vector-valued
Jacobi
form
for
 $k = \frac{1}{2}$

μ is 4-dim projective rep of $SL_2(\mathbb{Z})$.

$= \exp \left[\frac{2\pi i}{8} \deg \beta \right] \otimes$ true rep of $SL_2(\mathbb{Z})$.

Moral #1: Especially when there are
conceptual obscurities, lift
"modular form" from H to $SL_2(\mathbb{R})$.

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Example String amplitudes in plane-wave
background with RR flux (Green, Gaberdiel,
Takayanagi, ...)

$$\chi^{(m, \frac{1}{2})}(\frac{-1}{\tau}) = \chi^{(m)}(\tau)$$

Example (-point functions) on torus in string theory
= characters of VOAs
(maybe helpful in Norton's generalised Moonshine,
or understanding better the modular behaviour
of non rational CFTs (logarithms?))

* Moral #2 * Especially when weight k is 12
not an integer, its B_3 not $SL_2(\mathbb{Z})$
which acts.

Hint: Whenever you see a "Maslov index"
in context of modularity, then the
math is urging you to do this.

Example Turaev's book in modular tensor
categories.

Example Monstrous Moonshine
Best guess for what is special
about Monster is 6-transposition
property

Relation of it to modularity
involves B_3 action on $M \times M$.

Easy Guess The missing idea in
Moonshine is B_3

Example

Conformal field theory

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1-pt function on torus:

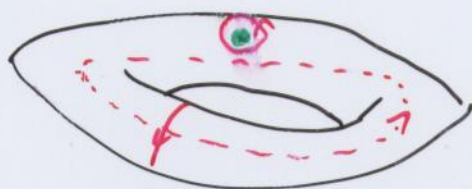
$$\chi_M(\tau, \nu, z) = e^{-2\pi i \tau \frac{c}{24}} \text{Tr}_M \gamma(\nu, z) \\ = z^{h_M} \text{Tr}_M \theta(\nu) e^{2\pi i \tau (L_0 - \frac{c}{24})}$$

$$G_1: \chi_M(\tau, \nu, z) = \chi_M(\tau+1, \nu, z) z^{\frac{h_M}{12}} \\ G_2: \chi_M(\tau, \nu, z) = \chi_M\left(\frac{\tau}{\tau-1}, \frac{\nu}{\tau-1}, z\right) z^{\frac{h_M}{12}}$$

In CFT we really have **enhanced surfaces**,
e.g. torus with marked point and choice of
local coordinate at marked point.
Needed for sewing surfaces together.
Virasoro acts on **enhanced moduli space**.

B_3 is **enhanced mapping class group** $\tilde{\Gamma}_{g,n}$

generated by
Dehn twists.



WARNING: This B_3 action unrelated to
 B_n monodromy of e.g. quantum groups

Example

$N = 4$ SUSY

Yang-Mills instantons

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Correlation function calculations can involve modular forms (Nick Mores, ...).

$SL_2(\mathbb{Z})$ -action here is S-duality.

Guess:

S-duality, at least in these special cases, can be naturally extended to B_3 from $SL_2(\mathbb{Z})$.
(fractional instantons?)