

# **Double Affine Hecke Algebras and Character Varieties of Knots**

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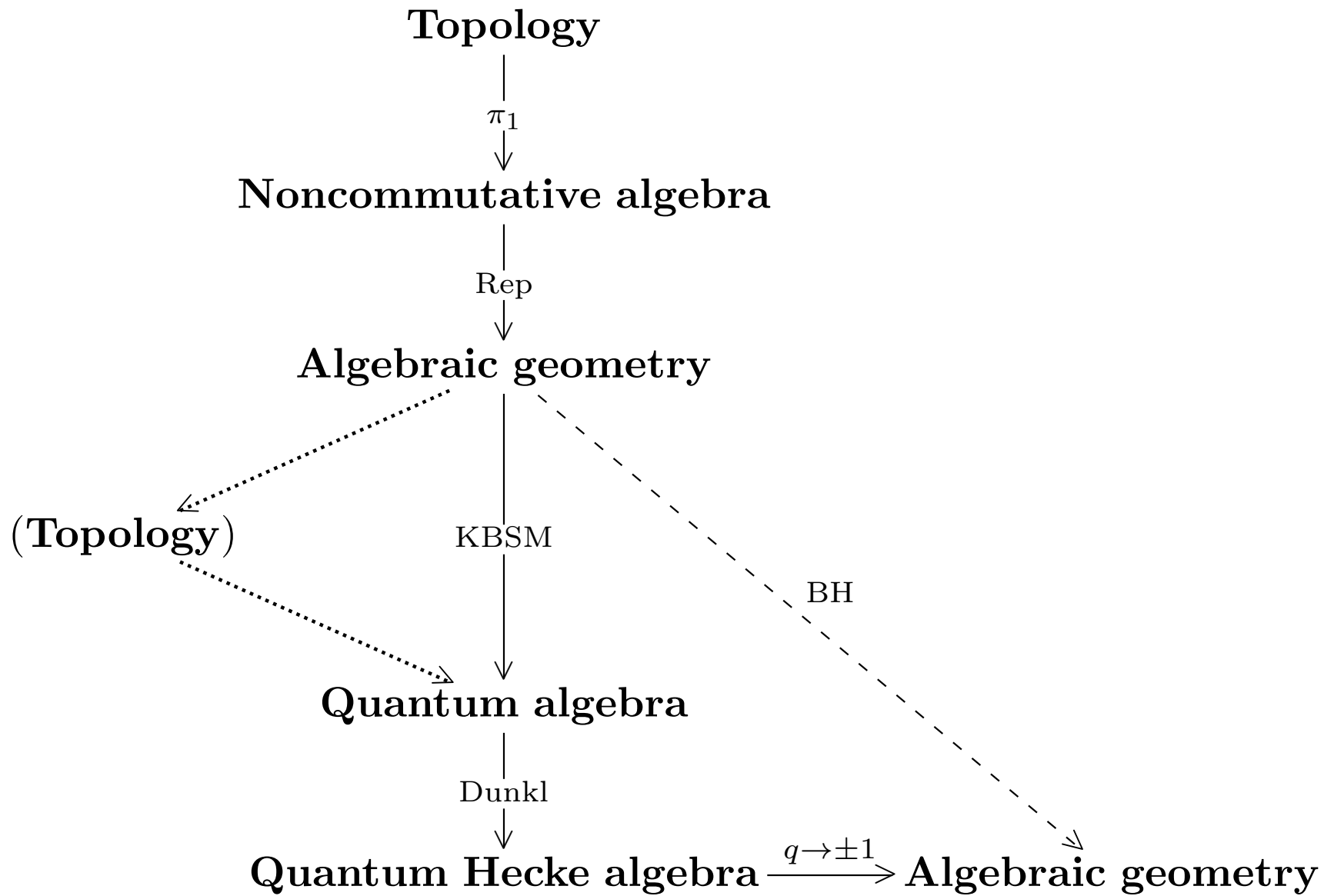
## References

1. Y.B., P. Samuelson, *Double affine Hecke algebras and generalized Jones polynomials*, *Compositio Math.* **152** (2016), 1333–1388.
2. Y.B., P. Samuelson, *Affine cubic surfaces and character varieties of knots*, preprint (to appear).

# Vista

Let  $K \subset S^3$  be a knot,  $G$  be a (complex reductive) algebraic group.

- We associate to  $K$  a module (representation)  $\mathcal{M}_{q,t}(K)$  over the double affine Hecke algebra  $\mathcal{H}_{q,t}(G)$  of type  $G$ .
- $\mathcal{M}_{q,t}(K)$  is a topological invariant that allows one to define a *multivariable* generalization (' $t$ -deformation') of the colored Jones polynomials  $J_K(n; q)$  (Witten-Reshetikhin-Turaev invariants).
- When  $q \rightarrow \pm 1$ , the module  $\mathcal{M}_{q,t}(K)$  still makes sense and defines an interesting algebro-geometric invariant of  $K$ . In the case  $G = \mathrm{SL}_2(\mathbb{C})$ ,  $\mathcal{M}_{-1,t}(K)$  determines a family of algebraic curves in classical cubic surfaces that arise in the theory of integrable systems (Painlevé VI).



## 2. Knot groups and their character varieties

A *knot*  $K$  in  $S^3$  is (the ambient isotopy class of) a smooth embedding  $S^1 \hookrightarrow S^3$ . We'll deal with *oriented* knots (i.e., fix an orientation on  $S^1$ ).

Write  $S^3 \setminus K$  for the *complement* of (a small tubular neighborhood of)  $K$  in  $S^3$ . This is a compact 3-manifold with a torus boundary  $\partial(S^3 \setminus K) \cong T^2$ .

The most natural algebraic invariant of  $K$  is the *knot group*

$$\pi(K) := \pi_1(S^3 \setminus K, *)$$

This is a powerful and effective invariant, but *not* complete: there exist non-equivalent knots with isomorphic knot groups (Fox, 1952).

One can refine  $\pi(K)$  by considering it together with the *peripheral map*

$$\alpha : \pi_1[\partial(S^3 \setminus K)] \rightarrow \pi(K)$$

induced by the inclusion  $\partial(S^3 \setminus K) \hookrightarrow S^3 \setminus K$ . This map is injective (unless  $K$  is trivial), so  $\pi_1[\partial(S^3 \setminus K)]$  can be identified with  $\text{Im}(\alpha)$ .

For an oriented  $K$ , we can choose simple loops in  $S^3 \setminus K$ : the *meridian*  $m$  and the *longitude*  $l$ , unique up to (basepoint-free) isotopy, representing two generators of  $\pi_1[\partial(S^3 \setminus K)]$ . The triple  $(\pi(K), m, l)$  is called the *peripheral system* of  $K$ .

**Theorem** (Waldhausen). *Two knots  $K, K' \subset S^3$  are ambiently isotopic iff there is an isomorphism  $\phi : \pi(K) \rightarrow \pi(K')$  such that  $\phi(m) = m'$  and  $\phi(l) = l'$ .*

## Examples

1. Unknot:  $\pi(K) \cong \langle a \rangle$  ,  $m = a$  ,  $l = 1$

2. Trefoil knot:

$$\pi(K) \cong \langle a, b \mid aba = bab \rangle , \quad m = a , \quad l = baaba^{-4}$$

3. Torus knots:

$$\pi(K) \cong \langle a, b \mid a^p = b^q \rangle , \quad m = a^n b^{-k} , \quad l = b^q m^{-pq}$$

where  $n$  and  $k$  are integers satisfying  $-pk + qn = 1$ . (Note that  $m$  and  $l$  are independent of the choice of  $(n, k)$ .)

4. 'Figure 8' knot:

$$\pi(K) = \langle a, b \mid aba^{-1}ba = bab^{-1}ab \rangle , \quad m = a , \quad l = ba^{-1}b^{-1}a^2b^{-1}a^{-1}b$$



## Character varieties

The peripheral map  $\alpha$  is quite complicated: it is natural to ‘simplify’ it by replacing fundamental groups with their linear representations.

Fix a complex reductive algebraic group  $G$ . For any (discrete) group  $\pi$ , let

$$\mathrm{Rep}(\pi, G) := \text{space of all representations } \pi \rightarrow G$$

This is naturally an algebraic variety (more precisely, an affine scheme) called the *representation variety* of  $\pi$  in  $G$ .

The *character variety* of  $\pi$  is the algebro-geometric quotient

$$\mathrm{Char}(\pi, G) := \mathrm{Rep}(\pi, G) // \mathrm{Ad}(G)$$

with coordinate ring  $\mathcal{O}\mathrm{Char}(\pi, G) = \mathbb{C}[\mathrm{Rep}(\pi, G)]^G$ .

For a knot group, the peripheral map  $\alpha$  induces a morphism

$$\alpha^* : \text{Char}(\pi(K), G) \rightarrow \text{Char}(\pi_1[\partial(S^3 \setminus K)], G)$$

Identifying  $\pi_1[\partial(S^3 \setminus K)] \cong \mathbb{Z}^2$  via  $(m, l)$ , we can compute the target of  $\alpha^*$ .

Let  $\mathbb{T} \subset G$  be a maximal torus in  $G$ , and  $W$  the corresponding Weyl group.

Then

$$\mathbb{T} \times \mathbb{T} = \text{Rep}(\mathbb{Z}^2, \mathbb{T}) \hookrightarrow \text{Rep}(\mathbb{Z}^2, G) \twoheadrightarrow \text{Char}(\mathbb{Z}^2, G)$$

induces

$$(\mathbb{T} \times \mathbb{T})/W \rightarrow \text{Char}(\mathbb{Z}^2, G)$$

In general, this map is injective, and for ‘many’  $G$ , it is known to be an isomorphism of schemes (e.g., for  $G = \text{SL}_2(\mathbb{C})$  or any simply connected  $G$  of classical type, see [Sikora, 2014]). In this case,

$$\mathcal{O}\text{Char}(\mathbb{Z}^2, G) \cong \mathbb{C}[\mathbb{T} \times \mathbb{T}]^W$$

Many interesting algebro-geometric invariants of knots arise from  $\alpha^*$ : e.g. the Alexander polynomial  $\Delta_K(t)$ , and the so-called  $A$ -polynomial  $A_K(m, l)$ .

Let  $G = \mathrm{SL}_2(\mathbb{C})$ . Then  $\mathrm{Char}(\mathbb{Z}^2, G) \cong (\mathbb{C}^* \times \mathbb{C}^*)/\mathbb{Z}_2$ , and

$$\begin{array}{ccc} & & \mathbb{C}^* \times \mathbb{C}^* \\ & & \downarrow p \\ \mathrm{Char}(\pi(K), \mathrm{SL}_2) & \xrightarrow{\alpha^*} & (\mathbb{C}^* \times \mathbb{C}^*)/\mathbb{Z}_2 \end{array}$$

Let  $X_K \subseteq \overline{\mathrm{im}(\alpha^*)}$  denote the union of 1-dimensional components in the (Zariski) closure of the image of  $\alpha^*$ . It is known that  $X_K \neq \emptyset$  (Thurston), and  $X_K$  (or rather its inverse image under  $p$ ) is called the  $A$ -curve of  $K$ .

The  $A$ -polynomial  $A_K(m, l)$  is a polynomial in  $\mathbb{C}[m^{\pm 1}, l^{\pm 1}]$  defining  $p^{-1}(X_K) \subset \mathbb{C}^* \times \mathbb{C}^*$  (Copper, Culler, Gillet, Long, Shalen, 1994).

### 3. Topological quantization of character varieties

Does  $\alpha^*$  determine ‘quantum’ invariants of knots, e.g. Jones polynomials? The answer is ‘yes’, but one needs to deform or ‘quantize’ the map  $\alpha^*$ .

#### The KBSM construction

A *framed link* in an oriented 3-manifold  $M$  is  $\bigsqcup_i (S^1 \times [0, 1])_i \hookrightarrow M$ .

Let  $\mathcal{L}(M)$  be the  $\mathbb{C}$ -vector space spanned by the (ambient isotopy classes of) framed (unoriented) links in  $M$  (including  $\emptyset$ ). For  $q \in \mathbb{C}^*$ , let  $\mathcal{L}'_q(M)$  be the smallest subspace of  $\mathcal{L}(M)$  containing all ‘skein expressions’:

$$\begin{array}{c} \times \\ - \\ q \\ \asymp \\ - \\ q^{-1} \end{array} \Big) ( \quad , \quad L \sqcup \bigcirc + (q^2 + q^{-2}) L$$

(The links “ $\times$ ”, “ $\asymp$ ”, and “ $\Big)$ ” are identical outside of a small 3-ball  $B$  embedded in  $M$  and inside  $B$  they appear as in the above skein expressions.)

**Definition** (Przytycki). The *Kauffman bracket skein module* of  $M$  is

$$\mathcal{M}_q(M) := \mathfrak{L}(M) / \mathfrak{L}'_q(M)$$

In general,  $\mathcal{M}_q(M)$  is just a vector space (with a distinguished element  $\emptyset \in \mathfrak{L}(M)$ ). However, if  $M$  has extra structure, then  $\mathcal{M}_q(M)$  has also extra structure.

**Properties:**

1. If  $F$  is a surface, then  $\mathcal{A}_q(F) := \mathcal{M}_q(F \times [0, 1])$  is an associative algebra with multiplication given by ‘stacking links.’ We call  $\mathcal{A}_q(F)$  the *skein algebra* of  $F$ .
2. If  $M$  is a manifold with boundary, then  $\mathcal{M}_q(M)$  is a module over  $\mathcal{A}_q(\partial M)$ . The action is given by ‘pushing links from the boundary into the manifold.’

3. An oriented embedding  $M \hookrightarrow N$  of 3-manifolds induces a linear map  $\mathcal{M}_q(M) \rightarrow \mathcal{M}_q(N)$ . Hence  $\mathcal{M}_q(-)$  is a functor on the category of oriented 3-manifolds, with morphisms being oriented embeddings.
4. If  $q = \pm 1$ , then  $\mathcal{M}_q(M)$  is a *commutative algebra* (for any  $M$ ). The multiplication is given by ‘disjoint union of links.’ (This makes sense because when  $q = \pm 1$ , the skein relations allow strands to ‘pass through’ each other.)

**Remark.**  $\mathcal{M}_q(S^3 \setminus K)$  is different from other knot invariants in a fundamental way. Many knot invariants are defined combinatorially, in the sense that they assign certain data to each crossing in a diagram of  $K$  and then combine these data to produce an invariant that does not depend on the choice of diagram. In contrast, the module  $\mathcal{M}_q(S^3 \setminus K)$  depends on the global topology of  $S^3 \setminus K$ .

## Relation to character varieties

An (unbased) loop  $\gamma : S^1 \rightarrow M$  determines a conjugacy class in  $\pi_1(M)$ . Since the trace of a matrix is invariant on conjugacy classes, we can define a *trace function*  $\text{Tr}(\gamma) \in \mathcal{O}\text{Char}(\pi_1(M), G)$  for any matrix group  $G$ .

**Theorem** (Bullock, Przytycki-Sikora). *For  $G = \text{SL}_2(\mathbb{C})$ , the assignment  $\gamma \mapsto -\text{Tr}(\gamma)$  extends to an algebra isomorphism*

$$\mathcal{M}_{q=-1}(M) \xrightarrow{\sim} \mathcal{O}\text{Char}(\pi_1(M), G)$$

**Remark.** The key observation here is that for  $q = -1$ , the skein relation becomes the Hamilton-Cayley identity for matrices in  $\text{SL}_2(\mathbb{C})$ :

$$\text{Tr}(A) \text{Tr}(B) = \text{Tr}(AB) + \text{Tr}(AB^{-1})$$

## The skein algebra of the torus

For  $q \in \mathbb{C}^*$ , define the quantum Weyl algebra

$$A_q := \mathbb{C}\langle X^{\pm 1}, Y^{\pm 1} \rangle / (XY - q^2 YX)$$

Note that  $\mathbb{Z}_2$  acts by automorphisms on  $A_q$  by  $(X, Y) \mapsto (X^{-1}, Y^{-1})$ .

**Theorem** (Frohman-Gelca). *There is a natural isomorphism of algebras*

$$\mathcal{A}_q(T^2) \xrightarrow{\sim} A_q^{\mathbb{Z}_2}$$

The above isomorphism can be written quite explicitly. Under this isomorphism, the simple curves on  $T^2$  representing the meridian  $m$  and the longitude  $l$  correspond to the elements:

$$m \mapsto X + X^{-1}, \quad l \mapsto Y + Y^{-1}$$



## Topological pairing and Jones polynomials

The above results suggest that  $\mathcal{M}_q(S^3 \setminus K)$  should be viewed as a ‘quantization’ of the  $SL_2$ -character variety of the knot group  $\pi(K)$ . If  $q \neq \pm 1$ ,  $\mathcal{M}_q(S^3 \setminus K)$  is *not* an algebra but a (left) module over  $\mathcal{A}_q(T^2)$ . This should be thought of as a ‘quantization’ of the peripheral map  $\alpha^*$ .

It turns out that  $\mathcal{M}_q(S^3 \setminus K)$  determines the  $\mathfrak{sl}_2$ -colored Jones polynomials  $J_K(n, q) \in \mathbb{C}[q, q^{-1}]$ , originally defined by Witten, Reshetikhin-Turaev.

The key fact is that  $\mathcal{M}_q(S^3 \setminus K)$  comes with a natural pairing:

$$\langle -, - \rangle_K : \mathcal{M}_q(D^2 \times S^1) \otimes_{\mathcal{A}_q(T^2)} \mathcal{M}_q(S^3 \setminus K) \rightarrow \mathbb{C}$$

induced by gluing a solid torus  $D^2 \times S^1$  to the complement  $S^3 \setminus K$  along the common boundary  $T^2 = S^1 \times S^1$ :

$$(D^2 \times S^1) \amalg_{T^2} (S^3 \setminus K) \xrightarrow{\sim} S^3$$

Recall the isomorphism  $\mathcal{A}_q(T^2) \cong A_q^{\mathbb{Z}_2}$ , mapping

$$l \mapsto L := Y + Y^{-1}$$

**Theorem** (Kirby-Melvin). *For any knot  $K \subset S^3$ ,*

$$J_K(n; q) = (-1)^{n-1} \langle \emptyset, S_{n-1}(L) \cdot \emptyset \rangle_K ,$$

where  $S_n$  are the Chebyshev polynomials of the second kind.

**Remark.** Note that  $L$  is an (undeformed) *Macdonald operator*. Moreover, in the simplest case when  $K$  is the unknot, the topological pairing *coincides* with (undeformed) symmetric Dunkl-Cherednik pairing: in particular,

$$\langle \emptyset, S_{n-1}(L) \cdot \emptyset \rangle_K = \langle \emptyset \cdot S_{n-1}(x), \emptyset \rangle_K$$

This is not a coincidence!

## 4. Double Affine Hecke Algebras

The peripheral morphism of  $G$ -character varieties can be written in dual terms as a map of commutative algebras

$$\alpha_* : \mathcal{O}\text{Char}(\mathbb{Z}^2, G) \rightarrow \mathcal{O}\text{Char}(\pi(K), G)$$

Recall, for a simply connected (classical)  $G$ , we have a natural isomorphism

$$\mathcal{O}\text{Char}(\mathbb{Z}^2, G) \cong \mathbb{C}[(\mathbb{T} \times \mathbb{T})/W] = \mathbb{C}[\mathbb{T} \times \mathbb{T}]^W$$

Thus, for any knot  $K \subset S^3$ ,

$$\alpha_* : \mathbb{C}[\mathbb{T} \times \mathbb{T}]^W \rightarrow \mathcal{O}\text{Char}(\pi(K), G)$$

Now, the invariant ring  $\mathbb{C}[\mathbb{T} \times \mathbb{T}]^W$  has very interesting (noncommutative) deformations, which have been studied extensively in recent years.

These deformations are related to the so-called *double affine Hecke algebras* usually abbreviated as DAHA (Cherednik, 1995).

Consider the canonical (non-unital) algebra homomorphism

$$\mathbb{C}[\mathbb{T} \times \mathbb{T}]^W \hookrightarrow \mathbb{C}[\mathbb{T} \times \mathbb{T}] \rtimes W, \quad a \mapsto e \cdot a \cdot e$$

where  $e := 1/|W| \sum_{w \in W} w$  is the symmetrizing idempotent of  $W$ .

This homomorphism is injective and its image equals  $e(\mathbb{C}[\mathbb{T} \times \mathbb{T}] \rtimes W)e$ , which is called the *spherical subalgebra*  $\mathcal{A}(W)$  of  $\mathbb{C}[\mathbb{T} \times \mathbb{T}] \rtimes W$ . Thus

$$\mathbb{C}[\mathbb{T} \times \mathbb{T}]^W \cong e(\mathbb{C}[\mathbb{T} \times \mathbb{T}] \rtimes W)e =: \mathcal{A}(W)$$

The DAHA of type  $W$  is a two-parameter family  $\mathcal{H}_{q,t}(W)$  of deformations of  $\mathbb{C}[\mathbb{T} \times \mathbb{T}] \rtimes W$ , depending on  $q \in \mathbb{C}^*$  and  $t \in (\mathbb{C}^*)^r$ , where  $r$  is the number of conjugacy classes of reflections in  $W$ .

The symmetrizer  $e \in W$  ‘deforms’ to a distinguished idempotent  $e_{q,t}$  in  $\mathcal{H}_{q,t}(W)$ , called the Bernstein-Zelevinsky idempotent, and the subalgebra  $\mathcal{A}(W)$  of  $\mathbb{C}[\mathbb{T} \times \mathbb{T}] \rtimes W$  ‘deforms’ to the subalgebra of  $\mathcal{H}_{q,t}$ :

$$\mathcal{A}_{q,t}(W) := e_{q,t} \mathcal{H}_{q,t}(W) e_{q,t}$$

called the *spherical* DAHA of type  $W$ . In particular, when  $q = t = 1$ , there is a natural algebra isomorphism  $\mathcal{A}_{1,1}(W) \cong \mathbb{C}[\mathbb{T} \times \mathbb{T}]^W$ .

**Remark.** The above construction gives a flat family of deformations of  $\mathcal{A}(W)$ , that is actually *universal* (i.e., ‘maximal possible’ from the deformation theory point of view). It is remarkable that these deformations can be realized algebraically in terms of generators and relations.

## The double affine Hecke algebra of rank one

The rank one DAHA  $\mathcal{H}_{q,t}(\mathbb{Z}_2)$  (of type  $C^\vee C_1$ ) has the following presentation (Sahi, 1999; Noumi-Stokman, 2004):

$$\mathcal{H}_{q,t}(\mathbb{Z}_2) = \mathbb{C}\langle T_1, T_2, T_3, T_4 \rangle$$

with  $T_1, T_2, T_3, T_4$  satisfying the relations

$$(T_1 - t_1)(T_1 + t_1^{-1}) = 0$$

$$(T_2 - t_2)(T_2 + t_2^{-1}) = 0$$

$$(T_3 - t_3)(T_3 + t_3^{-1}) = 0$$

$$(T_4 - t_4)(T_4 + t_4^{-1}) = 0$$

$$T_4 T_3 T_2 T_1 = q$$

## Remarks

1.  $\mathcal{H}_{q,t}(\mathbb{Z}_2)$  was originally introduced to study the Askey-Wilson orthogonal polynomials, and the Hecke parameters  $(t_1, t_2, t_3, t_4)$  are algebraically related to the Askey-Wilson coefficients  $(a, b, c, d)$ .
2.  $\mathcal{H}_{q,t}(\mathbb{Z}_2)$  can be viewed topologically as a (flat) deformation of the *orbifold* fundamental group algebra  $\mathbb{C}\pi_1^{\text{orb}}(\Sigma, *)$  of the orbifold Riemann surface  $\Sigma = \mathbb{C}/\Gamma$ , where  $\Gamma := (\mathbb{Z} \oplus i\mathbb{Z}) \rtimes \mathbb{Z}_2$  acts by translations-reflections.
3. For  $t_1 = t_2 = t_4 = 1$  and  $t_3 = t$ ,  $\mathcal{H}_{q,t}(\mathbb{Z}_2)$  specializes to Cherednik's DAHA  $\mathbf{H}_{q,t}$  of type  $A_1$ .

## Spherical DAHA

Choose a B.-Z. idempotent in  $\mathcal{H}_{q,t}(\mathbb{Z}_2)$ , say  $e := (T_3 + t_3)/(t_3 + t_3^{-1})$ , and consider the corresponding spherical DAHA

$$\mathcal{A}_{q,t}(\mathbb{Z}_2) := e \mathcal{H}_{q,t}(\mathbb{Z}_2) e$$

**Theorem** (Oblomkov). Let  $A_q$  be the quantum Weyl algebra.

1.  $\mathcal{H}_{q,t}(\mathbb{Z}_2)$  is a universal deformation of  $A_q \rtimes \mathbb{Z}_2$
2.  $\mathcal{A}_{q,t}(\mathbb{Z}_2)$  is a universal deformation of  $A_q^{\mathbb{Z}_2}$

**Lemma.** If  $q$  is not a root of unity (or  $q = \pm 1$  and  $t$  generic), the projection functor  $M \mapsto e M$  is an equivalence of categories

$$\mathcal{H}_{q,t}(\mathbb{Z}_2)\text{-Mod} \xrightarrow{\sim} \mathcal{A}_{q,t}(\mathbb{Z}_2)\text{-Mod}$$



**Theorem** (Koornwinder). The algebra  $\mathcal{A}_{q,t}(\mathbb{Z}_2)$  is generated by

$$x := (T_4T_3 + (T_4T_3)^{-1}) e$$

$$y := (T_3T_2 + (T_3T_2)^{-1}) e$$

$$z := (T_3T_1 + (T_3T_1)^{-1}) e$$

subject to the relations

$$[x, y]_q = (q^2 - q^{-2})z - (q - q^{-1})\gamma$$

$$[y, z]_q = (q^2 - q^{-2})x - (q - q^{-1})\alpha$$

$$[z, x]_q = (q^2 - q^{-2})y - (q - q^{-1})\beta$$

$$\Omega = (\bar{t}_1)^2 + (\bar{t}_2)^2 + (\overline{qt_3})^2 + (\bar{t}_4)^2 - \bar{t}_1\bar{t}_2(\overline{qt_3})\bar{t}_4 + (q + q^{-1})^2$$

where  $\bar{t}_i := t_i - t_i^{-1}$  ( $i = 1, 2, 3, 4$ ) and

$$\alpha := \bar{t}_1\bar{t}_2 + (\overline{qt_3})\bar{t}_4, \quad \beta := \bar{t}_2\bar{t}_4 + (\overline{qt_3})\bar{t}_1, \quad \gamma := \bar{t}_1\bar{t}_4 + (\overline{qt_3})\bar{t}_2$$

## Remarks.

1. Note that the element

$$\Omega := -qyzx + q^2x^2 + q^2y^2 + q^{-2}z^2 - q\alpha x - q\beta y - q^{-1}\gamma z$$

is central in  $\mathcal{A}_{q,t}(\mathbb{Z}_2)$  for all  $q, t$ .

2. For  $q = \pm 1$ , the algebra  $\mathcal{A}_{\pm 1,t}(\mathbb{Z}_2)$  is commutative, and it is isomorphic to the coordinate ring of an affine cubic in  $\mathbb{C}^3$ :

$$xyz + x^2 + y^2 + z^2 + Ax + Dy + Cz + D = 0$$

which, for generic  $t$ 's, is actually smooth.

## Dunkl embedding

The most useful and important property of  $\mathcal{H}_{q,t}$  is the existence of an *injective* algebra homomorphism

$$\Theta_{q,t} : \mathcal{H}_{q,t} \hookrightarrow D_q := \mathbb{C}(X)[Y^{\pm 1}] \rtimes \mathbb{Z}_2$$

whose image is the subalgebra of  $D_q$  generated by  $X, X^{-1}$  and the following operators (Sahi, 1999; Noumi-Stokman, 2004):

$$T_{\text{DC}} := t_1 s Y + \frac{q \bar{t}_1 X + \bar{t}_2}{q X - q^{-1} X^{-1}} (1 - s Y), \quad T_{\text{DL}} := t_3 s + \frac{\bar{t}_3 X^{-1} + \bar{t}_4}{X^{-1} - X} (1 - s),$$

called the Dunkl-Cherednik and Demazure-Lusztig operators, respectively. Explicitly (in our notation),  $\Theta_{q,t}$  is given by

$$T_1 \mapsto q T_{\text{DC}}^{-1} X, \quad T_2 \mapsto T_{\text{DC}}, \quad T_3 \mapsto T_{\text{DL}}, \quad T_4 \mapsto X^{-1} T_{\text{DL}}^{-1}$$

## Another presentation

The Dunkle embedding shows that the algebra  $\mathcal{H}_{q,t}$  is also generated by the elements

$$X^{\pm 1}, \quad Y := T_{\text{DL}} T_{\text{DC}}, \quad T := T_{\text{DL}}$$

For this set of generators, the relations are (Naoumi-Stokman):

$$\begin{aligned} XT &= T^{-1}X^{-1} - \bar{t}_4 \\ T^{-1}Y &= Y^{-1}T + \bar{t}_1 \\ T^2 &= 1 + \bar{t}_3T \\ TXY &= q^2T^{-1}YX - q^2\bar{t}_1X - q\bar{t}_2 - \bar{t}_4Y \end{aligned}$$

This presentation shows that  $\mathcal{H}_{q,1,1,1,1} = A_q \rtimes \mathbb{Z}_2$  (as subalgebras of  $D_q$ ).

## 5. The Main Conjecture and Results

Let  $K \subset S^3$  be a knot, and let  $\mathcal{M} := \mathcal{O}\text{Char}(\pi(K), G)$ . We regard  $\mathcal{M}$  as an  $\mathcal{A}_{1,1}(W)$ -module via the peripheral map

$$\alpha_* : \mathcal{A}_{1,1}(W) \rightarrow \mathcal{O}\text{Char}(\pi(K), G)$$

### Questions.

1. Is there a *canonical* deformation of  $\mathcal{M}$  to a module  $\mathcal{M}_{q,t}$  over  $\mathcal{A}_{q,t}$ ?
2. What kind of invariants of  $K$  can be extracted from  $\mathcal{M}_{q,t}(K)$ ?

In the case  $G = \text{SL}_2$ , we have already seen that the KBSM construction produces a natural deformation of  $\mathcal{M}(K)$  to a module over  $\mathcal{A}_{q,1}(\mathbb{Z}_2) = A_q^{\mathbb{Z}_2}$ : namely, the skein module  $\mathcal{M}_q(S^3 \setminus K)$ . But this module depends *only* on  $q$ .

Our main goal is to introduce the *Hecke parameters*  $t$  into this story.

First, using the Frohman-Gelca isomorphism  $\mathcal{A}_q(T^2) \cong A_q^{\mathbb{Z}_2}$ , we define the *nonsymmetric skein module* of  $K$  by

$$\tilde{\mathcal{M}}_q(K) := A_q \otimes_{A_q^{\mathbb{Z}_2}} \mathcal{M}_q(S^3 \setminus K)$$

This is a module over  $\mathcal{H}_{q,1}(\mathbb{Z}_2) = A_q \rtimes \mathbb{Z}_2$ , which (for  $q$  not a root of unity) contains *exactly* the same information as the  $A_q^{\mathbb{Z}_2}$ -module  $\mathcal{M}_q(S^3 \setminus K)$ .

Next, we localize the module  $\tilde{\mathcal{M}}_q(K)$  by inverting the ‘meridians’, i.e. nonzero polynomials in  $X$ :

$$\tilde{\mathcal{M}}_q^{\text{loc}}(K) := D_q \otimes_{(A_q \rtimes \mathbb{Z}_2)} \tilde{\mathcal{M}}_q(K)$$

This is a  $D_q$ -module that comes together with a natural (localization) map

$$\tilde{\mathcal{M}}_q(K) \rightarrow \tilde{\mathcal{M}}_q^{\text{loc}}(K)$$

Now, recall the Dunkl embedding  $\Theta_{q,t} : \mathcal{H}_{q,t} \hookrightarrow D_q$  that exists for all  $q, t$ .

By restriction,  $\Theta_{q,t}$  gives the localized nonsymmetric module  $\tilde{\mathcal{M}}_q^{\text{loc}}(K)$  the natural structure of a module over  $\mathcal{H}_{q,t}$  for *any* value of  $t$ .

**Conjecture 1.** *For all knots  $K$ , the localization map  $\tilde{\mathcal{M}}_q(K) \rightarrow \tilde{\mathcal{M}}_q^{\text{loc}}(K)$  is injective, and its image is preserved under the above action of  $\mathcal{H}_{q,t}(\mathbb{Z}_2)$  on  $\tilde{\mathcal{M}}_q^{\text{loc}}(K)$  for  $t = (t_1, t_2, 1, 1)$ .*

Conjecture 1 says that the vector space  $\tilde{\mathcal{M}}_q(K)$  carries a *canonical* module structure over the algebra  $\mathcal{H}_{q,t_1,t_2}(\mathbb{Z}_2)$  for *all*  $(t_1, t_2) \in (\mathbb{C}^*)^2$ . We denote this module by  $\tilde{\mathcal{M}}_{q,t_1,t_2}(K)$ .

It is natural to ask whether Conjecture 1 can be extended to the full DAHA  $\mathcal{H}_{q,t_1,t_2,t_3,t_4}$  depending on all five parameters. The simplest example shows that this is not possible: if  $t_3 \neq 1$  or  $t_4 \neq 1$ , the operator  $T_{\text{DL}}$  does not preserve the skein module of the unknot  $K_0$ .

We believe, however, that the skein module of  $K_0$  is the *only* obstruction to a canonical extension of the action of  $\mathcal{H}_{q,t_1,t_2}$  on  $\tilde{\mathcal{M}}_q(K)$  to all four Hecke parameters.

**Conjecture 2.** *For any knot  $K$ , the skein module  $\mathcal{M}_q(K)$  contains a copy of  $\mathcal{M}_q(K_0)$  as a submodule. Let  $\bar{\mathcal{M}}_q(K) := \tilde{\mathcal{M}}_q(K)/\tilde{\mathcal{M}}_q(K_0)$ . Then the action of  $\mathcal{H}_{q,t}$  on  $\bar{\mathcal{M}}_q^{\text{loc}}(K)$  preserves the subspace  $\bar{\mathcal{M}}_q(K) \subset \bar{\mathcal{M}}_q^{\text{loc}}(K)$  for all values  $t = (t_1, t_2, t_3, t_4)$ .*

We now discuss the evidence for these conjectures and implications.



## Results.

Conjectures 1 and 2 have been verified directly in the following cases:

- (i)  $K$  is the unknot,
- (ii)  $K$  is any  $(2p + 1)$ -torus knot
- (iii)  $K$  is the “Figure 8” knot.

Conjecture 1 implies some new algebraic properties of the classical Jones polynomials  $J_K(n, q) \in \mathbb{C}[q, q^{-1}]$ .

For example, from Conjecture 1 one can easily deduce that the following rational function must be a Laurent polynomial in  $q$  for all  $n, j \in \mathbb{Z}$ :

$$F_K(j; n; q) := \frac{(q^2 - 1) [J_K(n + j, q) + J_K(n - 1 - j, q)]}{q^{4n-2} - 1}$$

**Theorem.** The rational function  $F_K(j; n; q) \in \mathbb{C}(q)$  is a Laurent polynomial for all knots  $K \subset S^3$  (independently of Conjecture 1).

We proved the above theorem and a few other similar results, using Habiro's cyclotomic expansion of the Jones polynomial  $J_K(n, q)$ .

Next, Conjecture 1 makes sense for  $q = -1$ , and in fact, it is very interesting. We have a lot of evidence that it holds in this case.

**Theorem.** When  $q = -1$ , Conjecture 1 follows from (and essentially equivalent to) a known conjecture about the algebraic structure of the peripheral system  $(\pi(K), m, l)$ , due to G. Brumfiel and H. Hilden (1990).

We have verified the BH conjecture for many classes of knots, including all 2-bridge knots, all torus knots, infinite families of pretzel knots, . . .

More interestingly, we have

**Theorem.** If Conjecture 1 holds for two knots  $K$  and  $K'$ , then it holds for their connect sum  $K \# K'$ .

Thus, it suffices to prove Conjecture 1 for prime knots.

## The multi-variable Jones polynomials

Recall the topological pairing for the skein module

$$\langle -, - \rangle_K : \mathcal{M}_q(D^2 \times S^1) \otimes \mathcal{M}_q(S^3 \setminus K) \rightarrow \mathbb{C}$$

and the Kirby-Melvin formula for the Jones polynomial

$$J_K(n; q) = \langle \emptyset, S_{n-1}(L) \cdot \emptyset \rangle_K$$

Now, if we deform the module structure on  $\mathcal{M}_q(S^3 \setminus K)$  we can replace the undeformed Macdonald operator  $L = Y + Y^{-1}$  by the  $t$ -deformed one

$$L_{t_1, t_1} := Y_{t_1, t_2} + Y_{t_1, t_2}^{-1}$$

which is usually called the *Askey-Wilson operator*. Here,  $Y_{t_1, t_2} := s T_{\text{DC}}$  is the Dunkl-Cherednik operator which acts on the skein module  $\mathcal{M}_q(S^3 \setminus K)$  as prescribed in Conjecture 1.

**Defintion.** If Conjecture 1 holds for  $K$ , we define its three-variable colored Jones polynomial by

$$J_K(n; q, t_1, t_2) := \langle \emptyset, S_{n-1}(L_{t_1, t_1}) \cdot \emptyset \rangle_K$$

By the Kirby-Melvin formula, we then have

$$J_K(n; q) = J_K(n; q, t_1 = 1, t_2 = 1)$$

For the unknot, we can actually compute a closed formula for  $J_K(n; q, t_1, t_2)$ .

**Theorem.** If  $K$  is the unknot, then

$$J_K(n; q, t_1, t_2) = \frac{(t_1^{-1}q^2)^n - (t_1^{-1}q^2)^{-n}}{t_1^{-1}q^2 - (t_1^{-1}q^2)^{-1}}$$

For nontrivial knots, finding such explicit formulas seems to be a hopeless task. Still, one can deduce for  $J_K(n; q, t_1, t_2)$  some nice properties. For example, we can prove

**Theorem.** Let  $\bar{K}$  be the mirror image of  $K$ , and suppose Conjecture 1 holds for  $K$ . Then

$$J_K(n; q, t_1, t_2) = J_{\bar{K}}(n; q^{-1}, t_1^{-1}, t_2^{-1})$$

## Examples

1. For the unknot, the module  $\mathcal{M}_{q,t_1,t_2}(K)$  is isomorphic to the sign-polynomial representation of the DAHA  $\mathcal{H}_{q,t_1,t_2}$ , i.e.

$$\mathcal{M}_{q,t_1,t_2}(K) \cong \mathbb{C}[X^{\pm 1}] \varnothing$$

with  $s \cdot \varnothing = -\varnothing$ . The topological pairing coincides the Dunkl-Cherednik pairing on sign-polynomial representation.

2. For the trefoil, the deformed skein module  $\mathcal{M}_{q,t_1,t_2}(K)$  is a free module of rank two over  $\mathbb{C}[X^{\pm 1}]$

$$\mathcal{M}_{q,t_1,t_2}(K) \cong \mathbb{C}[X^{\pm 1}] u \oplus \mathbb{C}[X^{\pm 1}] v$$

where  $v = \varnothing$  is the empty link and  $u$  is a generator of the unknot submodule.

The action of the generators  $T = s$  and  $Y$  of  $\mathcal{H}_{q,t_1,t_2}$  is given explicitly by

$$\begin{aligned}
s \cdot u &= -u, & s \cdot v &= v, & Y \cdot u &= -t_1 u \\
Y \cdot v &= [t_1(q^2 X^{-1} - q^6 X^{-5}) - (q^2 \bar{t}_1 X^{-2} + q \bar{t}_2 X^{-1})(q^4 X^{-3} + q^2 X^{-1})]u \\
&\quad + [t_1 q^6 X^{-6} - (q^2 \bar{t}_1 X^{-2} + q \bar{t}_2 X^{-1})(q^4 X^{-4} + q^2 X^{-2} + 1)]v
\end{aligned}$$

**Remark.** Note that  $\mathcal{M}_{q,t_1,t_2}(K)$  admits a decomposition into a *nonsplit* exact sequence

$$0 \rightarrow V^- \rightarrow \mathcal{M} \rightarrow \tau^{-6}(V^+) \rightarrow 0$$

where  $\tau^N(V^+)$  is a twist of the trivial representation  $V^+ \cong \mathbb{C}[X^{\pm 1}]u$  and  $V^- \cong \mathbb{C}[X^{\pm 1}]v$  is the sign representation. If  $q$  is not a root of unity, then  $V^-$  is the unique nontrivial submodule of  $\mathcal{M}$ .