

# Asymptotically de Sitter Einstein-Weyl geometries in $2+1$ dimensions

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**Theme:** Holomorphic discs & problems in differential geometry

Work in progress with Claude LeBrun (& some with David Calderbank).

## Some motivation from integral geometry

### The Radon transform:

Given a function  $f(\lambda, \mu)$  on  $\mathcal{T}_{\mathbb{R}} = \mathbb{R}^2$ , we can integrate along the lines

$$L_{(x_0, x_1)} = \{(\lambda, \mu) \mid \mu = x_0 + \lambda x_1\}$$

to obtain

$$f(\lambda, \mu) \longrightarrow \hat{f}(x_0, x_1) = \int_{L(x_0, x_1)} f(\lambda, x_0 + \lambda x_1) d\lambda$$

this is an isomorphism (under suitable analytic assumptions).

**Question:** what if we replace lines by other curves?

**(0) Parabolas:** in  $\mathbb{R}^2$  are given by

$$C_{(x_0, x_1, x_2)} = \{(\lambda, \mu) \mid \mu = x_0 + \lambda x_1 + \lambda^2 x_2\}.$$

The space of parabolas is  $\mathcal{M} = \mathbb{R}^3$  and the transform

$$f(\lambda, \mu) \longrightarrow \hat{f}(x_0, x_1, x_2) = \int_{C_{(x_0, x_1, x_2)}} f(\lambda, x_0 + \lambda x_1 + \lambda^2 x_2) d\lambda \in C^\infty(\mathcal{M})$$

can no longer be an isomorphism, but:

the range can be characterised (under suitable analytic hypotheses) by

$$\left( \frac{\partial^2}{\partial x_0 \partial x_2} - \frac{\partial^2}{\partial x_1^2} \right) \hat{f} = 0$$

## (+) Circles:

Consider circles  $C_x$  in  $\mathcal{T}_{\mathbb{R}} = S^2$ . The space of oriented circles is

$$\mathcal{M}_{\text{dS}} = S^2 \times \mathbb{R} = \{\text{axis direction}, t = \cot \psi\}.$$

For  $f \in C^\infty(S^2)$ ,  $x \in \mathcal{M}_{\text{dS}}$ , define  $f \longrightarrow \hat{f}(x) = \int_{C_x} f \in C^\infty(\mathcal{M})$ .

This time we have  $\square_{g_{\text{dS}}} \hat{f} = 0$  where

$$g_{\text{dS}} = \frac{dt^2}{(1+t^2)} - (1+t^2)ds_{S^2}^2$$

is the 2 + 1-dimensional de-Sitter metric.

## (-) Hyperbolae:

Let  $\mathbb{R}^2 \subset \mathcal{T}_{\mathbb{R}} = \mathbb{RP}^1 \times \mathbb{RP}^1$ , the quadric of signature  $(2, 2)$  in  $\mathbb{RP}^3$ .

A hyperbola  $C_x \subset \mathcal{T}_{\mathbb{R}} \subset \mathbb{RP}^3$  is the intersection of a plane with  $\mathcal{T}_{\mathbb{R}}$ .

The space of oriented hyperbolae is  $\mathcal{M}_{\text{adS}} = S^1 \times \mathbb{R}^2$  (solid torus).

For  $f \in C^\infty(S^1 \times S^1)$ ,  $x \in \mathcal{M}_{\text{adS}}$ , define  $f \longrightarrow \hat{f}(x) = \int_{C_x} f$ .

Then  $\hat{f} \in C^\infty(\mathcal{M}_{\text{adS}})$  such that  $\square_{g_{\text{adS}}} \hat{f} = 0$  where

$$g_{\text{adS}} = (1 + r^2)d\theta^2 - \frac{dr^2}{(1 + r^2)} - r^2d\phi^2,$$

is the  $2 + 1$ -dimensional anti de-Sitter metric on  $\mathcal{M}_{\text{adS}}$ .

(Here  $\theta \in \mathbb{R}/2\pi\mathbb{Z}$ ,  $(r, \phi) =$  polar coords on  $\mathbb{R}^2$ —note periodicity of time).

## Questions:

- When does integration over a three-parameter family of curves  $C_x \subset \mathcal{I}_{\mathbb{R}}^2$ ,  $x \in \mathcal{M}^3$  give rise to solutions to a wave equation?
- What geometries arise?

We have correspondence space  $\mathcal{F}_{\mathbb{R}} = \{(x, (\lambda, \mu)) \in \mathcal{M} \times \mathcal{I}_{\mathbb{R}} \mid z \in C_x\}$  with double fibration

$$\begin{array}{ccc} & \mathcal{F}_{\mathbb{R}} & \\ p \swarrow & & \searrow q \\ \mathcal{M} & & \mathcal{I}_{\mathbb{R}} \end{array}$$

The curves through  $(\lambda, \mu) \in \mathcal{I}_{\mathbb{R}}$  form 2-surface  $\Sigma_{(\lambda, \mu)} = p(q^{-1}(\lambda, \mu)) \subset \mathcal{M}$ .

by considering  $\delta$ -functions on  $\mathcal{I}_{\mathbb{R}}$ , the  $\Sigma_{(\lambda, \mu)}$  must be characteristic and  $\exists$  a compatible connection for which they are totally geodesic.

**Theorem 1. [Cartan 1941]** *Let  $\mathcal{M}$  arise as above such that the characteristics are compatible with a Lorentzian metric, then  $\mathcal{M}$  is an Einstein-Weyl space.*

**Definition 1.** *An Einstein-Weyl space in  $2 + 1$  dimensions is a three manifold  $\mathcal{M}$  equipped with*

- *a conformal class of Lorentzian metrics  $[g]$ ,*
- *a torsion-free affine connection  $\nabla : \Gamma(T\mathcal{M}) \rightarrow \Omega^1 \otimes T\mathcal{M}$*

*such that  $\nabla[g] = 0$  and  $Sym_0 Ricci(\nabla) = 0$ .*

- Cartan shows that the equations determine evolution from initial data of four free functions of 2 variables.

- If  $\exists g \in [g]$  such that  $\nabla g = 0$ , then the metric is flat, dS or adS.
- Einstein-Weyl equations  $\Leftrightarrow$  integrability of the 2-planes  $\Sigma_{(\lambda, \mu)}$  and hence  $\Leftrightarrow \exists \mathcal{I}_{\mathbb{R}}$  (Lax pair description).  
 $\leadsto$  the equations are an ‘integrable system’.
- The geometry is the most general 3-dimensional geometry on which the Bogomolny equations  $F_A = *D_A\Phi$  on a connection  $D_A$  on a bundle  $E \rightarrow \mathcal{M}$  plus Higgs field  $\Phi \in \text{End}(E)$  are an integrable system.  
 $\leadsto$  notion of an integrable background geometry.
- This geometry is the non-linear part of the generic symmetry reduction from anti-self-dual conformal structures in four dimensions (and hence hyper-complex or hyper-kähler spaces, scalar-flat Kähler manifolds, but all in split signature).



## Symmetry Reductions include:

1.  $SU(\infty)$  Toda equations:

$$\frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial x^2} = \frac{\partial^2 e^u}{\partial t^2}.$$

when there is a geodesic shear free and twist-free congruence of time-like geodesics.

2. The dispersionless KP equations

$$u_{tx} - (uu_x)_x = u_{yy}.$$

when there is a constant weighted (null) vector field.

3. The spinor vortex equations for a metric  $g$  and spinor  $\psi$  in 2-dimensions

$$D\psi = \frac{3}{2}\psi, \quad R = |\psi|^2 - 1.$$

This is the generic symmetry reduction.

## Families of curves and holomorphic discs

A 3-parameter family of curves in 2-dim  $\leftrightarrow$  a free function of four variables:

$$\mu = f(\lambda, x_0, x_1, x_2),$$

whereas Einstein-Weyl spaces depend on just functions of 2 variables.

**Question:** Can we characterise families of curves for Einstein-Weyl spaces?

**Complex analysis:** In the de Sitter case,  $\mathcal{I}_{\mathbb{R}} = S^2$ ; we must understand this as the antiholomorphic diagonal inside  $\mathcal{I} = \mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$ .

**Holomorphic discs:** Oriented circles in  $\mathcal{I}_{\mathbb{R}}$  can be characterized as those oriented closed curves in  $\mathcal{I}_{\mathbb{R}}$  that bound holomorphic discs  $D \subset \mathcal{I}$  with  $\partial D \subset \mathcal{I}_{\mathbb{R}}$  in appropriate topological class.

Thus,  $\mathcal{M}_{dS} =$  moduli space of holomorphic discs  $D \subset \mathcal{I}$  with  $\partial D \subset \mathcal{I}_{\mathbb{R}}$ .

**Theorem 2.** *Let  $(\mathcal{M}^3, [g], \nabla)$  be an Einstein-Weyl space with  $\mathcal{M} = S^2 \times \mathbb{R}$  that is asymptotically de Sitter and is oriented and time oriented compatibly with the asymptotic structure.*

*Let  $\mathcal{I}_{\mathbb{R}} = \{\text{totally geodesic null 2-planes in } \mathcal{M}\}$ .*

*Then  $\mathcal{I}_{\mathbb{R}}$  is  $S^2$  and admits a canonical embedding  $\mathcal{I}_{\mathbb{R}} \hookrightarrow \mathcal{I} = \mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$ .*

*$\mathcal{M}$  can be reconstructed as the moduli space of embedded holomorphic discs  $D_x$  in  $\mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$  such that  $\partial D_x \subset \mathcal{I}_{\mathbb{R}}$ .*

**Theorem 3.** *There is a 1:1 correspondence between oriented and time oriented asymptotically anti de Sitter Einstein-Weyl spaces on  $S^2 \times \mathbb{R}$  and (small) deformations of the embedding of the anti-holomorphic diagonal in  $\mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$ .*

**Definition 2.**  $(\mathcal{M}, [g], \nabla)$  is asymptotically de Sitter if

- $\exists$  conformal compactification  $(\tilde{\mathcal{M}}, \tilde{g}, \tilde{\nabla})$  with  $\tilde{\mathcal{M}} = S^2 \times [-\frac{\pi}{2}, \frac{\pi}{2}]$  and  $\mathcal{M} \simeq S^2 \times (-\frac{\pi}{2}, \frac{\pi}{2}) \subset \tilde{\mathcal{M}}$  (cf. Penrose),
- $\tilde{g}$  smooth on  $\tilde{\mathcal{M}}$  and  $\tilde{g} \in [g]$  on  $\mathcal{M}$ .
- $\tilde{\nabla} = \nabla$  on  $\mathcal{M}$ , and  $\tilde{\nabla} \tilde{g} = \nu \tilde{g}$  where  $\nu$  has a simple pole in  $\tau$  at  $\pm \frac{\pi}{2}$ , where  $\tau$  is coordinate on  $[-\frac{\pi}{2}, \frac{\pi}{2}]$  factor (cf.  $t = \tan \tau$  in de Sitter case).

## Proof of theorem:

- Let  $\mathcal{F}_{\mathbb{R}} \subset PT^* \mathcal{M} \rightarrow \mathcal{M}$  be the  $S^1$ -bundle of real null co-vectors; let  $\mathcal{F} \rightarrow \mathcal{M}$  be the  $\mathbb{C}P^1$  bundle of complex null co-vectors.
- $\mathcal{F}$  is divided into two parts  $\mathcal{F}^{\pm}$  by  $\mathcal{F}_{\mathbb{R}}$ ; e.g., choose  $\mathcal{F}^+$  to be those null vectors that induce a spatial complex structure agreeing with the spatial orientation.
- Define a 3-dim complex distribution  $\mathcal{D}$  on  $\mathcal{F}^+$  by

$$\mathcal{D}_{(n,x)} = \{\ker n \cap Hor_{\nabla}, \partial/\partial\bar{n}\}$$

where  $x \in \mathcal{M}$ ,  $n \in \mathcal{F}^+|_x$ ,  $\partial/\partial\bar{n}$  is the d-bar operator in the direction of the  $\mathbb{C}P^1$  fibres of  $\mathcal{F}$ .

- The Einstein-Weyl equations  $\Leftrightarrow \mathcal{D}$  is Frobenius integrable.
- $\mathcal{D}$  has dim 3, and  $\mathcal{D} \cap \bar{\mathcal{D}}$  has dim 2 on  $\mathcal{F}_{\mathbb{R}}$  but 1 on  $\mathcal{F} - \mathcal{F}_{\mathbb{R}}$ .
- Define  $\mathcal{I} = \mathcal{F}^+ / \mathcal{D} \cap \bar{\mathcal{D}}$ ;  $\mathcal{D}$  descends to endow  $\mathcal{I}$  with an integrable complex structure.
- With given assumptions,  $\mathcal{I}$  is topologically  $S^2 \times S^2$ . By checking asymptotics, it can be seen to be  $\mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$  as a complex manifold.
- $\mathcal{I}_{\mathbb{R}} = \mathcal{F}_{\mathbb{R}} / \mathcal{D} \cap \bar{\mathcal{D}}$  is a 2-dim totally real submanifold of  $\mathcal{I}$ .
- Each  $x \in \mathcal{M} \leftrightarrow$  a holomorphic disc  $D_x = \mathcal{F}^+|_x$  with  $\partial D_x = \mathcal{F}_{\mathbb{R}}|_x$ . This projects to a holomorphic disc  $D_x \subset \mathcal{I}$  with  $\partial D_x \subset \mathcal{I}_{\mathbb{R}}$ .

**Proof** that  $(\mathcal{M}^3, [g], \nabla)$  can be reconstructed from the embedding  $\mathcal{I}_{\mathbb{R}} \hookrightarrow \mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$ :

The task of finding a holomorphic disc  $D \subset \mathcal{I}$  with  $\partial D \subset \mathcal{I}_{\mathbb{R}}$  is an elliptic boundary value problem with Fredholm linearization.

The moduli space of such discs in the appropriate topological class is necessarily 3-dim, and gives  $\mathcal{M}$ .

Each point  $z \in \mathcal{I}_{\mathbb{R}}$  corresponds to a two-surface  $\Sigma_z$  in  $\mathcal{M}$  where, for  $x \in \mathcal{M}$ ,  $x \in \Sigma_z \Leftrightarrow z \in \partial D_x$ .

$\exists!$  Einstein-Weyl structure on  $\mathcal{M}$  for which these two-surfaces are totally geodesic null surfaces.  $\square$

**Remark:** Note that points at infinity correspond to the limiting case where  $D$  is a  $\mathbb{C}\mathbb{P}^1$  and intersects  $\mathcal{I}_{\mathbb{R}}$  in a point.



**Proof:** that, arbitrary small deformations of  $\mathcal{I}_{\mathbb{R}}$  correspond to asymptotically de Sitter Einstein-Weyl spaces.

Such elliptic boundary value problems are, via the implicit function theorem, stable under small deformations.

Thus, the reconstruction can be performed when  $\mathcal{I}_{\mathbb{R}} \hookrightarrow \mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$  is any small deformation of the standard embedding of the anti-holomorphic diagonal  $S^2$  in  $\mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$ , i.e.,  $\exists$  a 3-parameter family  $\mathcal{M}^3$  of holomorphic discs,  $D_x \hookrightarrow \mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$  with  $\partial D_x \subset \mathcal{I}_{\mathbb{R}}$ .

The existence of an Einstein-Weyl structure on  $\mathcal{M}$  for which the  $\Sigma_z$  are totally geodesic null surfaces is no longer trivial, but follows by standard arguments.  $\square$

## Other cases & reductions

Asymptotically anti-de Sitter case:  $\mathcal{I}_{\mathbb{R}} \simeq S^1 \times S^1$  is a small deformation of  $\mathbb{RP}^1 \times \mathbb{RP}^1 \subset \mathbb{CP}^1 \times \mathbb{CP}^1$ .

Asymptotically flat case:  $\mathcal{I} \simeq$  Hirzebruch surface  $\mathbb{P}(\mathcal{O} \oplus \mathcal{O}(2))$ ,  $\mathcal{I}_{\mathbb{R}} \simeq S^1 \times S^1$ .

**Theorem 4.** *Solutions reduce to  $SU(\infty)$  Toda if  $\mathcal{I}_{\mathbb{R}}$  is Lagrangian for the symplectic structure  $\Im\Omega$ ,  $\Omega$  is a meromorphic 2-form with two double poles. With one quadruple pole on each conic, the reduction is DKP.*

**Theorem 5.** *A lorentzian spinor vortex geometry arises when  $\exists p : \mathcal{I} \rightarrow \mathbb{CP}^1$  such that  $\mathcal{I}_{\mathbb{R}} \simeq p^{-1}(\text{an } S^1 \text{ in } \mathbb{CP}^1)$ . The holomorphic discs are  $p^{-1}$  of Riemann maps  $D \rightarrow \mathbb{CP}^1$  with  $\partial D$  on subintervals of the  $S^1$ . The Lax pair operators are the Loewner differential equations for the Riemann maps.*

## Further twistor constructions based on holomorphic discs

LeBrun & M, math.DG/0211021, J. Diff. Geom. **61**, 2002:

$$\left\{ \begin{array}{l} \text{Zoll projective structures} \\ \text{on } S^2 \end{array} \right\} \xleftrightarrow{1:1} \left\{ \begin{array}{l} \text{Deformations of embedding } \mathbb{R}P^2 \subset \\ \mathbb{C}P^2 \end{array} \right\}$$

LeBrun & M, math.DG/0504582, Duke:

$$\left\{ \begin{array}{l} \text{Self-dual conformal} \\ \text{structures on } S^2 \times S^2 \end{array} \right\} \xleftrightarrow{1:1} \left\{ \begin{array}{l} \text{Deformations of embedding } \mathbb{R}P^3 \subset \\ \mathbb{C}P^3 \end{array} \right\}$$

M, math-ph/0505039, Crelle:

$$\left\{ \begin{array}{l} \text{global self-dual } U(n) \text{ Yang-Mills fields} \\ \text{in split signature on } S^2 \times S^2 \\ \text{(= 2 copies of } \mathbb{R}^{2,2}\text{),} \end{array} \right\} \xleftrightarrow{1:1} \left\{ \begin{array}{l} \text{Hol. Vector bundle} \\ E \rightarrow \mathbb{C}P^3 \text{ \& hermitian metric} \\ H \text{ on } E|_{\mathbb{R}P^3} \end{array} \right\}.$$