Functional Analysis and Applications Michaelmas 2023 Department of Mathematical Sciences, Durham University

## **Home Assignment 1**

**Exercise 1**. Prove the following statement: Let  $(\mathcal{X}, d)$  be a metric space where  $\mathcal{X}$  is a vector space over  $\mathbb{R}$  or  $\mathbb{C}$ . Then the metric *d* is induced by a norm if and only if

- (i) d(x, y) = d(x + z, y + z) for any  $x, y, z \in \mathcal{X}$ .
- (ii)  $d(\alpha x, \alpha y) = |\alpha| d(x, y)$  for any  $x, y \in \mathcal{X}$  and scalar  $\alpha$ .

In that case the norm which induces the metric is given by

 $\|x\| = d(x,0).$ 

**Exercise 2.** Prove the following statement: Let (X, d) be a metric space and let  $\{x_n\}_{n \in \mathbb{N}} \subset X$  be Cauchy. Then  $\{x_n\}_{n \in \mathbb{N}}$  is bounded

**Exercise 3.** Prove the following statement: Let (X, d) be a metric space and let *A* be a set in *A*. Show that *A* is dense in *X* if and only if for any  $x \in X$  there exists a sequence of elements from *A*,  $\{x_n\}_{n \in \mathbb{N}}$ , that converges to *x*.

**Exercise 4.** Prove the following statement: Let (X, d) be a metric space. Show that X is separable if and only if there exists a sequence  $\{x_n\}_{n \in \mathbb{N}}$  such that every  $x \in X$  is a limit of a subsequence of  $\{x_n\}_{n \in \mathbb{N}}$  that converges to x.

*Hint: Be careful here! Remember that a subsequence of a sequence must has increasing indexes.* 

**Exercise 5.** Show that if *A* is dense in a metric space (X, d) and if  $A \subset B$ , then *B* is dense in *X*.

**Exercise 6.** Let  $(\mathcal{X}, \|\cdot\|)$  be a normed space and let  $\mathcal{M}$  be a subspace of  $\mathcal{X}$ . Show that  $\overline{\mathcal{M}}$  is a subspace. Furthermore, show that for any set  $M \subseteq \mathcal{X}$  we have that  $\overline{\text{span}M}$  is the smallest closed subspace containing M, i.e.  $\overline{\text{span}M}$  is a closed subspace and if  $\mathcal{N}$  is a closed subspace of  $\mathcal{X}$  that contains M then

$$\overline{\operatorname{span} M} \subseteq \mathcal{N}.$$

**Exercise 7.** Show that the norm in  $(\mathbb{F}^n, \|\cdot\|_p)$  is not induced by an inner product when  $p \neq 2$ .

**Exercise 8.** Show that the norm in  $(L^p(E), \|\cdot\|_p)$  is not induced by an inner product when  $p \neq 2$ .

**Exercise 9**. Consider the space  $\ell_p(\mathbb{N})$  defined in class.

(i) Show that  $\ell_p(\mathbb{N})$  is closed under the addition and scalar multiplication, i.e. if  $\boldsymbol{a}, \boldsymbol{b} \subset \ell_p(\mathbb{N})$  and  $\alpha$  is a scalar then  $\boldsymbol{a} + \boldsymbol{b}$  and  $\alpha \boldsymbol{a}$  are in

 $\ell_p(\mathbb{N}).$ 

Hint: You may use without proof the inequality

$$(|x| + |y|)^p \le 2^{p-1} (|x|^p + |y|^p)$$

which holds for any  $x, y \in \mathbb{C}$  and  $p \in [1, \infty)$ .

(ii) Show that  $\|\cdot\|_{p}$  is a norm on  $\ell_{p}(\mathbb{N})$ .

*Hint: You may without proof use the following discrete Minkowski inequality:* 

$$\left(\sum_{n\in\mathbb{N}}|a_n+b_n|^p\right)^{\frac{1}{p}} \le \left(\sum_{n\in\mathbb{N}}|a_n|^p\right)^{\frac{1}{p}} + \left(\sum_{n\in\mathbb{N}}|b_n|^p\right)^{\frac{1}{p}}$$

where  $1 \le p < \infty$  and q is its Hölder conjugate.

Let  $\{a_n\}_{n \in \mathbb{N}} \subset \ell_p(\mathbb{N})$  be a Cauchy sequence in  $\ell_p(\mathbb{N})^{-1}$ .

(iii) Show that for any  $j \in \mathbb{N}$  we have that  $\{a_{n,j}\}_{n \in \mathbb{N}}$ , where  $a_{n,j} = (\mathbf{a}_n)_j$ , is a Cauchy sequence in  $\mathbb{F}$  and conclude that it converges to some element  $a_j \in \mathbb{F}$ .

*Hint:* Show that for any  $\mathbf{a}, \mathbf{b} \in \ell_p$ , with  $1 \le p \le \infty$ , we have that for any  $j \in \mathbb{N}$ 

$$\left|a_{j}-b_{j}\right|\leq \left\|\boldsymbol{a}-\boldsymbol{b}\right\|.$$

(iv) Denoting by  $\mathbf{a} = \{a_j\}_{j \in \mathbb{N}}$ . with  $a_j$  found in the previous sub-question show that  $\mathbf{a} \in \ell_p(\mathbb{N})$ . Moreover, show that for any  $N \in \mathbb{N}$ 

$$\sum_{j=1}^{N} \left| a_j - a_{n,j} \right|^p \leq \liminf_{m \to \infty} \left\| a_m - a_n \right\|_p^p$$

when  $1 \le p < \infty$  and

$$\sup_{j\leq N} |a_j - a_{n,j}| \leq \liminf_{m\in\mathbb{N}} ||\boldsymbol{a}_m - \boldsymbol{a}_n||_{\infty}.$$

Conclude that  $(\ell_p(\mathbb{N}), \|\cdot\|_p)$  is Banach space.

**Exercise 10**. Consider the space  $(C[a, b], \|\cdot\|_{\infty})$  defined in class.

- (i) Show that *C*[*a*, *b*] is a vector space under pointwise addition and pointwise scalar multiplication.
- (ii) Show that the function  $\|\cdot\|_{\infty} : C[a, b] \to \mathbb{R}_+$  defined by

$$\|f\|_{\infty} = \max_{x \in [a,b]} |f(x)|$$

is a norm on *C* [*a*, *b*].

(iii) Show that C[a, b] is complete under the norm induced by  $\|\cdot\|_{\infty}$ , i.e. C[a, b] is a Banach space.

<sup>&</sup>lt;sup>1</sup>We have a sequence of sequences here, be mindful of the index!

(iv) Show that the norm of the space *C*[*a*, *b*] is not induced by an inner product.