

## Home Assignment 5

**Exercise 1.** Prove the Hahn-Banach theorem in the case where the underlying space is a Hilbert space.

**Exercise 2.** Use Baire's category theorem to show that any Hamel basis for an infinite dimensional Banach space must be uncountable.

*Hint: Assume by contradiction that  $\mathcal{B} = \{x_n\}_{n \in \mathbb{N}}$  is a Hamel basis and consider the subspaces  $M_n = \text{span}\{x_1, \dots, x_n\}$ .*

**Exercise 3.** Prove the following statement: Let  $\mathcal{X}$  be a Banach space and let  $\mathcal{Y}$  be a normed space. If  $\{T_n\}_{n \in \mathbb{N}} \in B(\mathcal{X}, \mathcal{Y})$  satisfies that

$$\lim_{n \rightarrow \infty} T_n x \quad \text{exists for all } x \in \mathcal{X}$$

then the map  $T : \mathcal{X} \rightarrow \mathcal{Y}$  defined by

$$Tx = \lim_{n \in \mathbb{N}} T_n x$$

is a bounded linear map.

**Exercise 4.** Consider the vector subspace  $\ell_c(\mathbb{N}) \subset \ell_\infty(\mathbb{N})$  defined by

$$\ell_c(\mathbb{N}) = \{\{a_n\}_{n \in \mathbb{N}} \in \mathbb{C} \mid \exists n_0 \in \mathbb{N} \text{ such that } a_n = 0 \ \forall n \geq n_0\}$$

and define the operators  $T_n : \ell_c(\mathbb{N}) \rightarrow \ell_c(\mathbb{N})$  by

$$(T_n \mathbf{a})_k = \begin{cases} na_n & k = n \\ 0 & k \neq n \end{cases}.$$

Show that for any  $\mathbf{a} \in \ell_c(\mathbb{N})$  we have that  $\sup_{n \in \mathbb{N}} \|T_n \mathbf{a}\| < \infty$  but

$$\sup_{n \in \mathbb{N}} \|T_n\| = \infty.$$

Why doesn't this violate the Banach-Steinhaus theorem?

The goal of the next exercise is to prove the following theorem:

**Theorem (Biorthogonal sequence).** Let  $\mathcal{X}$  be a Banach space with Schauder basis  $\mathcal{B} = \{e_n\}_{n \in \mathbb{N}}$ . Then there exists a unique sequence  $\{f^{(n)}\}_{n \in \mathbb{N}} \in \mathcal{X}^*$  such that  $f^{(n)}(e_j) = \delta_{n,j}$ .

The proof will rely on the next theorem (which you can also do as an exercise, though a solution is not provided):

**Theorem (Sinai's theorem).** Let  $(\mathcal{X}, \|\cdot\|)$  be a Banach space and let  $\{e_n\}_{n \in \mathbb{N}}$  be a sequence in  $\mathcal{X}$  such that  $e_n \neq 0$  for every  $n \in \mathbb{N}$ . Define

$$\mathcal{Y} = \left\{ \boldsymbol{\alpha} = \{\alpha_n\}_{n \in \mathbb{N}} \in \mathbb{F} \mid \sum_{n \in \mathbb{N}} \alpha_n e_n \text{ converges in } \mathcal{X} \right\}$$

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and set

$$\|\alpha\|_{\mathcal{Y}} = \sup_{N \in \mathbb{N}} \left\| \sum_{n=1}^N \alpha_n e_n \right\|.$$

Then  $\mathcal{Y}$  is a Banach space.

**Exercise 5.** Let  $\mathcal{X}$  be a Banach space with Schauder basis  $\mathcal{B} = \{e_n\}_{n \in \mathbb{N}}$  and let  $\mathcal{Y}$  be the space defined Sinai's theorem.

(i) Show that the map  $T : \mathcal{Y} \rightarrow \mathcal{X}$  defined by

$$T\alpha = \sum_{n \in \mathbb{N}} \alpha_n e_n$$

is a bounded linear operator that is a bijection between  $\mathcal{Y}$  and  $\mathcal{X}$ .

(ii) Show that  $T^{-1}$  is bounded and conclude that there exists  $C > 0$  such that for any  $N \in \mathbb{N}$  and any  $x \in \mathcal{X}$

$$\left\| \sum_{n=1}^N \alpha_n(x) e_n \right\| \leq C \|x\|$$

where  $x = \sum_{n \in \mathbb{N}} \alpha_n(x) e_n$  is the unique expansion of  $x$  with respect to the basis  $\mathcal{B}$ .

(iii) Prove the Biorthogonal sequence theorem by defining

$$f_n(x) = f_n \left( \sum_{j \in \mathbb{N}} \alpha_j(x) e_j \right) = \alpha_n(x)$$

and proving that  $f_n$  is linear with  $\|f_n\| \leq \frac{2C}{\|e_n\|}$ .

**Exercise 6.** Let

$$D : C^1[0, 1] \subset C[0, 1] \rightarrow C[0, 1]$$

be the derivative operator, where  $C[0, 1]$  is imbued with the norm  $\|\cdot\|_{\infty}$  and  $C^1[0, 1]$  is the space of all continuously differentiable functions on  $[0, 1]$ . Show that  $D$  is a closed linear operator.