


**Exercise 1.** Show that  $\mathcal{B} = \{1, x, \dots\}$  is not a Schauder basis for  $C[a, b]$ .

with  $\|\cdot\|_\infty$  

Solution: If  $\mathcal{B}$  is Schauder then for any  $f \in C[a, b]$  we can find a sequence of scalars  $\{\alpha_n(f)\}_{n \in \mathbb{N}}$  s.t.

$$\sum_{n=0}^N \alpha_n(f) x^n$$

converges to  $f$  uniformly on  $[a, b]$ .

This implies that

$$f(x) = \sum_{n=0}^{\infty} \alpha_n(f) x^n$$

i.e.  $f$  can be represented by a power series on  $[a, b]$ . This implies that

$f$  is analytic in  $(a, b)$

But not every  $f \in C[a, b]$  is diff. on  $(a, b)$ , let alone analytic. Contradiction.

**Exercise 2.** Show that if  $\mathcal{H}$  is an inner product space that has an uncountable orthonormal set then it can't be separable.

Solution: Let  $B = \{e_\alpha\}_{\alpha \in I}$  be the uncountable orthonormal set in  $\mathcal{H}$ .

$\forall \alpha \neq \beta$

$$\|e_\alpha - e_\beta\|^2 = \|e_\alpha\|^2 + \|e_\beta\|^2 = 2$$

Pythagoras

$\Rightarrow \|e_\alpha - e_\beta\| = \sqrt{2}$  — uniform distance between any two elements of  $B$

since  $B$  is uncountable, a theorem from class guarantees that  $\mathcal{H}$  is not separable.

**Exercise 3.** Consider the Schauder basis  $\mathcal{B} = \{e_n\}_{n \in \mathbb{N}}$  for  $\ell_p(\mathbb{N})$ ,  $p \in [1, \infty)$ , defined by

$$(e_n)_k = \begin{cases} 1 & k = n \\ 0 & k \neq n \end{cases}$$

Define the vectors

$$x_n = \begin{cases} e_1 & n = 1 \\ e_n - e_{n-1} & n \geq 2 \end{cases}$$

Show that  $\tilde{\mathcal{B}} = \{x_n\}_{n \in \mathbb{N}}$  is a Schauder basis for  $\ell_1(\mathbb{N})$ .

Heuristic:

$$\begin{aligned} x_1 &= e_1 \\ x_2 &= e_2 - e_1 \\ x_3 &= e_3 - e_2 \\ &\vdots \end{aligned}$$

$$\begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ \vdots \\ \vdots \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & \dots & \dots \\ -1 & 1 & 0 & 0 & \dots & \dots \\ 0 & -1 & 1 & 0 & \dots & \dots \\ & & & \ddots & & \\ & & & & \ddots & \end{pmatrix} \begin{pmatrix} e_1 \\ e_2 \\ \vdots \\ \vdots \\ \vdots \end{pmatrix}$$

Solution:  $\tilde{\mathcal{B}} = \{x_n\}_{n \in \mathbb{N}}$ . We claim

that  $\tilde{\mathcal{B}}$  is independent. It is

sufficient to show that  $x_1, \dots, x_k$

are independent for any  $k \in \mathbb{N}$ .

Assume  $\sum_{i=1}^k \alpha_i x_i = 0$

$$\Rightarrow \alpha_1 x_1 + \sum_{i=2}^k \alpha_i x_i = 0$$

$$\hookrightarrow \alpha_1 e_1 + \sum_{i=2}^k \alpha_i (e_i - e_{i-1}) = 0$$

$$\underbrace{\sum_{i=2}^k \alpha_i e_i - \sum_{j=1}^{k-1} \alpha_{j+1} e_j}_{\text{}} = 0$$

$$\Rightarrow \sum_{i=1}^k \alpha_i e_i - \sum_{i=1}^{k-1} \alpha_{i+1} e_i = 0$$

$$\hookrightarrow \sum_{i=1}^{k-1} (\alpha_i - \alpha_{i+1}) e_i + \alpha_k e_k = 0$$

$\{e_1, \dots, e_k\}$  are independent  $\rightarrow$

$$\alpha_k = 0, \quad \alpha_i = \alpha_{i+1} \quad \forall i=1, \dots, k-1$$

$\Rightarrow \alpha_1 = \dots = \alpha_k = 0$  what we wanted.

We would like to find a partial sum approximation for any  $\vec{a} \in \mathbb{R}^k(N)$

using  $\tilde{B}$ . Let's look at

$$\int_N = \sum_{n=1}^N \alpha_n x_n \quad \xrightarrow[N \rightarrow \infty]{?} \vec{a}$$

$$x_1 = e_1 \quad x_2 = e_2 - e_1$$

$$e_2 = x_2 + e_1 = x_2 + x_1$$

$$x_3 = e_3 - e_2 = e_3 - x_2 - x_1$$

$$e_3 = x_1 + x_2 + x_3$$

⋮

$$e_n = \sum_{i=1}^n x_i$$

We know that

$$S_N(\vec{a}) = \sum_{n=1}^N a_n e_n \xrightarrow{N \rightarrow \infty} \vec{a}$$

$(\vec{a} = (a_1, a_2, \dots))$

$$S_N(\vec{a}) = \sum_{n=1}^N a_n \left( \sum_{i=1}^n x_i \right)$$

$$= \sum_{i=1}^N \left( \sum_{n=i}^N a_n \right) x_i$$

For large  $N$   $\sum_{n=i}^N a_n$  behaves like  $\sum_{n=i}^{\infty} a_n$  which converges as  $\vec{a} \in \ell_1(\mathbb{N})$

We consider

$$S_N = \sum_{n=1}^N \left( \sum_{j=n}^{\infty} a_j \right) x_n$$

Claim  $S_N \xrightarrow{N \rightarrow \infty} \vec{a}$

$$S_N = \sum_{n=1}^N \left( \sum_{j=n}^N a_j + \sum_{j=N+1}^{\infty} a_j \right) x_n$$

$$= \underbrace{\sum_{n=1}^N \left( \sum_{j=n}^N a_j \right)}_{S_N(\vec{a})} x_n + \left( \sum_{j=N+1}^{\infty} a_j \right) \underbrace{\left( \sum_{n=1}^N x_n \right)}_{e_N}$$

$$\begin{aligned} \|S_N - S_N(\vec{a})\|_1 &= \left| \sum_{j=N+1}^{\infty} a_j \right| \|e_N\|_1 \\ &= \left| \sum_{j=N+1}^{\infty} a_j \right| \xrightarrow{N \rightarrow \infty} 0 \end{aligned}$$

since  $\vec{a} \in \ell_1(\mathbb{R})$

Since  $S_N(\vec{a}) \rightarrow \vec{a}$  the above implies

that  $S_N \rightarrow \vec{a}$ .

To conclude that  $\mathcal{B}$  is Schauder

we need to prove that if

$$\hat{S}_N = \sum_{j=1}^N \alpha_j x_j$$

converges to  $\vec{a}$  then  $\alpha_j = \sum_{n=j}^{\infty} a_n$

$$\hat{S}_N = \sum_{j=1}^N \alpha_j x_j = \sum_{j=1}^{N-1} (\alpha_j - \alpha_{j+1}) e_j + \alpha_N e_N$$

Recall that for any  $k \in \mathbb{N}$

$$|a_k - b_k| \leq \| \vec{a} - \vec{b} \|_1$$

$$\Rightarrow \forall j = 1, \dots, N-1$$

$$|\alpha_j - (\alpha_j - \alpha_{j+1})| \leq \| \vec{a} - \hat{S}_N \|_1$$

and

$$|a_N - \alpha_N| \leq \|\bar{a} - \hat{S}_N\|_1$$

We assume  $\|\bar{a} - \hat{S}_N\|_1 \xrightarrow{N \rightarrow \infty} 0$

$\Rightarrow \forall j \in \mathbb{N}$  fixed

$$0 \leq |a_j - (a_j - a_{j+1})| \leq \|\bar{a} - \hat{S}_N\|_1 \xrightarrow{N \rightarrow \infty} 0$$

i.e.  $a_j = a_j - a_{j+1} \quad \forall j \in \mathbb{N}$

s.v.

$$a_j = a_j - a_{j+1}$$

$$a_{j+1} = a_{j+1} - a_{j+2}$$

$\vdots$

$$a_N = a_N - a_{N+1}$$

$$a_j - a_{N+1} = \sum_{n=j}^N a_n$$

If  $a_{N+1} \xrightarrow{N \rightarrow \infty} 0$  we get

$$a_j = \sum_{n=j}^{\infty} a_n \quad \text{and we're done.}$$

We showed

$$0 \leq |a_N - \alpha_N| \leq \|\bar{a} - \hat{S}_N\|_1 \xrightarrow{N \rightarrow \infty} 0$$

i.e.  $\lim_{N \rightarrow \infty} (a_N - \alpha_N) = 0$

But  $\sum_{j \in \mathbb{N}} |a_j| < \infty \Rightarrow a_N \xrightarrow{N \rightarrow \infty} 0$

and we conclude that

$$\alpha_N = \alpha_N - \alpha_N + \alpha_N \xrightarrow{N \rightarrow \infty} 0.$$