

## Problem Class 2

**Problem 1:** The action

$$S = \int dt d^3x \quad -|\nabla\psi|^2 + \frac{1}{2}i \left( \bar{\psi}\partial_t\psi - \psi\partial_t\bar{\psi} \right) \quad (0.1)$$

has a  $U(1)$  symmetry  $\psi \rightarrow e^{i\theta}\psi$ ,  $\bar{\psi} \rightarrow e^{-i\theta}\bar{\psi}$ ,  $\theta \in \mathbb{R}$ . Find the associated conserved current.

**solution:**

First note that we should treat  $\psi$  and  $\bar{\psi}$  as independent fields. There is only a single Lie algebra element  $\gamma = i\theta$  to consider and

$$\delta_\gamma\psi = i\theta\psi \quad \delta_\gamma\bar{\psi} = -i\theta\bar{\psi} \quad (0.2)$$

and

$$\begin{aligned} j^0 &= i\theta\psi \frac{\partial\mathcal{L}}{\partial\partial_t\psi} - i\theta\bar{\psi} \frac{\partial\mathcal{L}}{\partial\partial_t\bar{\psi}} = -|\psi|^2 \\ j^j &= i\theta\psi \frac{\partial\mathcal{L}}{\partial\partial_j\psi} - i\theta\bar{\psi} \frac{\partial\mathcal{L}}{\partial\partial_j\bar{\psi}} \\ &= i\theta\psi(-\partial_j\bar{\psi}) - i\theta\bar{\psi}(-\partial_j\psi) \\ &= -i\theta \left( \psi\partial_j\bar{\psi} - \bar{\psi}\partial_j\psi \right) \end{aligned} \quad (0.3)$$

and the conservation equation is

$$\partial_t|\psi|^2 + \partial_j \left( i\psi\partial_j\bar{\psi} - i\bar{\psi}\partial_j\psi \right) = 0 \quad (0.4)$$

This equation guarantees that the probability density  $|\psi|^2$  is conserved in quantum mechanics:

$$\frac{\partial}{\partial t} \int_{\mathbb{R}^3} d^3x |\psi|^2 = 0, \quad (0.5)$$

and what we have just seen is that this is enforced by a  $U(1)$  symmetry!

**Problem 2:** Consider the following action of a complex scalar field:

$$\mathcal{L} = \partial_\mu\bar{\phi}\partial^\mu\phi + m^2|\phi|^2. \quad (0.6)$$

- a) Show that this Lagrangian is Lorentz invariant.
- b) Show the equation of motion

$$(-\partial_\mu\partial^\mu + m^2)\phi = 0.$$

is Lorentz invariant.

- c) Find the conserved current  $j^\mu$  associated to a  $U(1)$  symmetry of  $\mathcal{L}$  acting as  $\phi \rightarrow e^{i\theta}\phi$ ,  $\theta \in \mathbb{R}$ .
- d) Show that  $j^\mu$  is real. Is  $j^0$  always positive? [hint: try plane wave solutions  $\phi = \exp\{ik_\mu x^\mu\}$ ].

**solution:**

- a) We work out

$$\begin{aligned} \mathcal{L} &\rightarrow \partial_\mu \bar{\phi}'(\mathbf{x}) \partial^\mu \phi'(\mathbf{x}) + m^2 |\phi'|^2(\mathbf{x}) \\ &= \eta_{\nu\mu} \Lambda^\nu_\rho \Lambda^\mu_\sigma (\partial_y)^\rho \bar{\phi}(\Lambda^{-1}\mathbf{x}) (\partial_y)^\sigma \phi(\Lambda^{-1}\mathbf{x}) + m^2 |\phi'|^2(\Lambda^{-1}\mathbf{x}) \\ &= (\partial_y)_\mu \bar{\phi}(\Lambda^{-1}\mathbf{x}) (\partial_y)^\mu \phi(\Lambda^{-1}\mathbf{x}) + m^2 |\phi|^2(\Lambda^{-1}\mathbf{x}) \end{aligned} \quad (0.7)$$

where  $\partial_\mu = \partial/\partial x^\mu$  and  $(\partial_y)_\mu = \partial/\partial y^\mu$ .

Hence all that is happening here is that we move the entire action from  $\mathbf{x}$  to  $\Lambda^{-1}\mathbf{x}$ , which is what we defined as the behavior of a Lorentz invariant Lagrangian:

$$\mathcal{L}(\phi(\mathbf{x}), \partial/\partial x^\mu \phi(\mathbf{x})) \mapsto \mathcal{L}(\phi(\mathbf{y}), \partial/\partial y^\mu \phi(\mathbf{y})) \quad (0.8)$$

with  $\mathbf{y} = \Lambda^{-1}\mathbf{x}$ . What made that work was the  $\partial_\mu \phi \partial^\mu \phi$  for which the 'exterior'  $\Lambda$  cancelled out.

- b) Let's look at the equations of motion from problem 10:

$$0 = (\partial_\mu \partial^\mu - m^2)\phi(\mathbf{x}) = \eta^{\mu\nu} \left( \frac{\partial}{\partial x^\mu} \frac{\partial}{\partial x^\nu} - m^2 \right) \phi(\mathbf{x}). \quad (0.9)$$

Let us assume that we have found a solution  $\phi_0(\mathbf{x})$ . Then an argument as done in the lecture shows that

$$\begin{aligned} 0 &= \eta^{\mu\nu} \left( \frac{\partial}{\partial x^\mu} \frac{\partial}{\partial x^\nu} - m^2 \right) \phi_0(\mathbf{x}) \\ &= \eta^{\mu\nu} (\Lambda^{-1})^\rho_\mu (\Lambda^{-1})^\sigma_\nu \left( \frac{\partial}{\partial y^\rho} \frac{\partial}{\partial y^\sigma} - m^2 \right) \phi_0(\mathbf{y}) \Big|_{\mathbf{y}=\Lambda^{-1}\mathbf{x}} \\ &= \left( \eta_{\mu\nu} \frac{\partial}{\partial y^\mu} \frac{\partial}{\partial y^\nu} - m^2 \right) \phi_0(\mathbf{y}) \Big|_{\mathbf{y}=\Lambda^{-1}\mathbf{x}} \end{aligned} \quad (0.10)$$

Here we used that  $\Lambda^T \eta \Lambda = \eta$  holds for Lorentz transformations (and hence for inverses of Lorentz transformations). As this equation must be true for all  $\mathbf{x}$ , it is also true for all  $\mathbf{y}$ , in other words  $\phi_0(\mathbf{y})$  is also a solution to the equations of motion.

c) We have

$$j^\mu = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \delta_\gamma \phi + \frac{\partial \mathcal{L}}{\partial(\partial_\mu \bar{\phi})} \delta_\gamma \bar{\phi} \quad (0.11)$$

Furthermore

$$\delta_\gamma \phi = i\theta \phi \quad \delta_\gamma \bar{\phi} = -i\theta \bar{\phi}. \quad (0.12)$$

We can write

$$\mathcal{L} = \eta^{\rho\sigma} \partial_\rho \bar{\phi} \partial_\sigma \phi + m^2 |\phi|^2. \quad (0.13)$$

so that

$$\frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} = -\frac{\partial \eta^{\rho\sigma} \partial_\rho \bar{\phi} \partial_\sigma \phi}{\partial(\partial_\mu \phi)} = -\partial^\mu \bar{\phi} \quad (0.14)$$

and

$$\frac{\partial \mathcal{L}}{\partial(\partial_\mu \bar{\phi})} = -\frac{\partial \eta^{\rho\sigma} \partial_\rho \bar{\phi} \partial_\sigma \phi}{\partial(\partial_\mu \bar{\phi})} = -\partial^\mu \phi \quad (0.15)$$

Hence we have all the ingredients in place to write down

$$j^\mu = -(\partial^\mu \bar{\phi}) i\theta \phi - (\partial^\mu \phi) (-i\theta \bar{\phi}) = i\theta (\bar{\phi} \partial^\mu \phi - \phi \partial^\mu \bar{\phi}) \quad (0.16)$$

Of course this is conserved for any  $\theta$ , so that we might as well write this as

$$j^\mu = i (\bar{\phi} \partial^\mu \phi - \phi \partial^\mu \bar{\phi}) \quad (0.17)$$

d) We work out

$$\bar{j}^\mu = -i (\phi \partial^\mu \bar{\phi} - \bar{\phi} \partial^\mu \phi) = j^\mu \quad (0.18)$$

so that the current must be real. A plane wave solution might be

$$\phi(\mathbf{x}) = \exp(i k_\mu x^\mu). \quad (0.19)$$

Plugging this into the equations of motion gives

$$\begin{aligned} 0 &= (\partial_\mu \partial^\mu - m^2) \exp(i k_\nu x^\nu) = (\eta^{\rho\sigma} \frac{\partial}{\partial x^\rho} \frac{\partial}{\partial x^\sigma} - m^2) \exp(i k_\nu x^\nu) \\ &= (-\eta^{\rho\sigma} k_\rho k_\sigma - m^2) \exp(i k^\nu x_\nu) = (-k_\nu k^\nu - m^2) \exp(i k^\nu x_\nu) \end{aligned} \quad (0.20)$$

so that we need to choose  $k_\nu$  such that  $k^\nu k_\nu = -k_0^2 + k_1^2 + k_2^2 + k_3^2 = -m^2$ . Note that we could e.g. have solutions with  $k_0 = \pm m$  and  $k_i = 0$  for  $i = 1, 2, 3$ . We then have that

$$j^0 = -2k^0 \quad (0.21)$$

and depending on the sign of  $k^0$  this is either positive or negative. If we wanted to use  $\phi$  as a wave-function we would then be in trouble when trying to interpret  $j^0$  as a probability density. This is why the Klein-Gordon equation could not be used to describe relativistic quantum mechanics using wave-functions.