

## Problem Class 3

**Problem 1:** In section 5.3. we have assumed for simplicity that  $\phi$  transforms as

$$\phi \rightarrow e^{i\alpha} \phi$$

under a global  $U(1)$  symmetry and then gauged this symmetry. Assume that  $\phi$  is acted on in a different complex irreducible representation of  $U(1)$  and adjust all equations in section 5.3. accordingly.

**solution:**

First we need to recall what complex irreducible representations of  $U(1)$  are like: parametrizing  $U(1)$  by  $e^{i\alpha}$ , they are given by  $r_k : e^{i\alpha} \mapsto e^{ik\alpha}$  for  $k \in \mathbb{Z}$ . A field  $\hat{\phi}$  transforming under a gauge transformation associated with  $r_k$  would then transform as

$$\hat{\phi} \rightarrow e^{ik\alpha(x)} \hat{\phi}, \quad (0.1)$$

while the gauge field  $A_\mu$  still behaves as

$$A_\mu \rightarrow e^{i\alpha} (A_\mu + \partial_\mu) e^{-i\alpha} = A_\mu + \partial_\mu \alpha \quad (0.2)$$

The key point in the construction of gauge invariant dynamics is 5.3. was the covariant derivative

$$D_\mu \phi = \partial_\mu \phi - iA_\mu \phi \quad (0.3)$$

which had the property that

$$D_\mu \phi \mapsto D'_\mu \phi' = e^{i\alpha} D_\mu \phi, \quad (0.4)$$

i.e. it transforms the same way as  $\phi$ . Hence we now want that

$$D_\mu \hat{\phi} \rightarrow e^{ik\alpha} D_\mu \hat{\phi}. \quad (0.5)$$

The construction of the covariant derivative was motivated by cancelling the unwanted derivative of  $\alpha$  by the shift, and we can do the same thing here with a little tweak by defining

$$D_\mu \hat{\phi} := \partial_\mu \hat{\phi} - ikA_\mu \hat{\phi}. \quad (0.6)$$

Let us check this does what it should:

$$\begin{aligned} D_\mu \hat{\phi} \mapsto D'_\mu \hat{\phi}' &= \partial_\mu (e^{ik\alpha} \hat{\phi}) - ik(A_\mu + (\partial_\mu \alpha)) e^{ik\alpha} \hat{\phi} \\ &= e^{ik\alpha} \partial_\mu \hat{\phi} + ik e^{ik\alpha} \hat{\phi} \partial_\mu \alpha - iA_\mu k e^{ik\alpha} \hat{\phi} - ik e^{ik\alpha} \hat{\phi} \partial_\mu \alpha \\ &= e^{ik\alpha} (\partial_\mu \hat{\phi} - ikA_\mu \hat{\phi}) = e^{ik\alpha} D_\mu \hat{\phi} \end{aligned} \quad (0.7)$$

All we need to do is hence to use the covariant derivative  $D_\mu = \partial_\mu \hat{\phi} - ikA_\mu \hat{\phi}$  instead throughout 5.3 and we are done. It is common to still write  $D_\mu$  in the understanding that a covariant derivative acts on a field depending on its transformation behavior.

Note that the current  $j_\mu$  and hence the coupling of  $\phi$  to  $A_\mu$  gets rescaled by a factor of  $k$ , which can even be negative. For this reason  $k$  is called the charge of the field  $\hat{\phi}$ .

**Problem 2:** We have seen the Schroedinger action

$$S = \int dt d^3x - \nabla \psi \cdot \overline{\nabla \psi} + i\frac{1}{2} (\bar{\psi} \partial_t \psi - \psi \partial_t \bar{\psi}) \quad (0.8)$$

in the lectures which gave Schroedinger's equation as the equation of motion of a classical field theory, and observed that it has a global  $U(1)$  symmetry

$$\psi \rightarrow e^{i\alpha} \psi \quad (0.9)$$

which guaranteed conservation of the charge  $Q = \int d^3x |\psi|^2$  interpreted as probability conservation in quantum mechanics.

Turn this  $U(1)$  into a gauge symmetry by letting  $\alpha = \alpha(t, x)$  and derive the equations of motion of  $\psi$  (we have made the dependence of  $\alpha$  on both time and space explicit, i.e. are using a non-relativistic notation here).

**solution:**

Again it is the derivatives which are an issue, but we can solve this in the same way as done for a relativistic scalar. We simply replace

$$\nabla \rightarrow \mathbf{D} := \nabla - i\mathbf{A} \quad \partial_t \rightarrow D_t := \partial_t - i\phi \quad (0.10)$$

where  $A_0 = \phi$  and  $\mathbf{A} = A_1, A_2, A_3$  are the usual electrostatic and vector potential appearing in a non-relativistic formulation of Maxwell's equations. With these replacements the gauged Schroedinger action reads (omitting a kinetic term for the gauge field  $A_\mu$ ):

$$\begin{aligned} S &= \int dt d^3x - \mathbf{D}\psi \cdot \overline{\mathbf{D}\psi} + i\frac{1}{2} (\bar{\psi} D_t \psi - \psi \overline{D_t \psi}) \\ &= \int dt d^3x - ((\nabla - i\mathbf{A})\psi) \cdot ((\nabla + i\mathbf{A})\bar{\psi}) + i\frac{1}{2} (\bar{\psi} (\partial_t - i\phi)\psi - \psi (\partial_t + i\phi)\bar{\psi}) \end{aligned} \quad (0.11)$$

The Euler-Lagrange equations for  $\psi$  are the complex conjugates of those of  $\bar{\psi}$ , and to get an equation for  $\psi$  we work out those. To write down the Euler-Lagrange

equations for  $\bar{\psi}$ , we work out

$$\begin{aligned}\frac{\partial}{\partial \bar{\psi}} \mathcal{L} &= \phi \psi + \frac{1}{2} i \partial_t \psi - i \mathbf{A} \cdot (\nabla - i \mathbf{A}) \psi \\ \frac{\partial}{\partial \partial_t \bar{\psi}} \mathcal{L} &= -\frac{1}{2} i \psi \\ \frac{\partial}{\partial \partial_j \bar{\psi}} \mathcal{L} &= -((\nabla - i \mathbf{A}) \psi)_j\end{aligned}\tag{0.12}$$

where  $\partial_j = \partial/\partial x_j$ ,  $j = 1, 2, 3$ .

Hence the Euler Lagrange equation

$$\frac{\partial}{\partial \bar{\psi}} \mathcal{L} - \partial_t \frac{\partial}{\partial \partial_t \bar{\psi}} \mathcal{L} - \partial_j \frac{\partial}{\partial \partial_j \bar{\psi}} \mathcal{L} = 0\tag{0.13}$$

gives

$$\begin{aligned}0 &= \phi \psi + \frac{1}{2} i \partial_t \psi - \mathbf{A} \cdot (\nabla - i \mathbf{A}) \psi + \frac{1}{2} i \partial_t \psi + \nabla (\nabla - i \mathbf{A}) \psi \\ &= i D_t \psi + \mathbf{D} \mathbf{D} \psi\end{aligned}\tag{0.14}$$

To no surprise the e.o.m. contains covariant derivatives only and is gauge covariant.

Note that we can rewrite this as

$$\mathbf{D} \mathbf{D} \psi + \phi \psi = -i \partial_t \psi\tag{0.15}$$

which means that in QM we would use

$$\hat{H} = -(\nabla - i \mathbf{A})^2 - \phi\tag{0.16}$$

as the Hamilton operator. This is just the quantum version of the Hamiltonian of a charged particle of mass  $1/2$  and charge  $-1$  in an electro-magnetic field.

**Problem 3:** Repeat problem 2 for the Dirac action

$$S = \int d^4 x \bar{\Psi} (\gamma^\mu \partial_\mu + m) \Psi\tag{0.17}$$

Here we can use the same principle and replace  $\partial_\mu \rightarrow D_\mu := \partial_\mu - i A_\mu$ . resulting in (again ignoring the kinetic term for the gauge field  $A_\mu$ ):

$$S = \int d^4 x \bar{\Psi} (\gamma^\mu D_\mu + m) \Psi\tag{0.18}$$

The e.o.m is simply

$$(\gamma^\mu D_\mu + m) \Psi = 0.\tag{0.19}$$

This is the Dirac equation describing a charged electron in an electro-magnetic field and can be used to find the celebrated result that the magnetic moment of an electron (or rather the so-called  $g$ -factor) is 2. Combining the ideas of the spin- $\frac{1}{2}$  'representation' of the Lorentz group and gauge invariance forces us to write down the above version of the Dirac equation, which in turn explains an experimental result which had been a mystery before.