

# Server Advantage in Tennis Matches

Jonathan Rougier (and Iain MacPhee)

Department of Mathematics

University of Bristol, UK

Iain MacPhee day, 3 April 2014, Durham, UK

## SERVER ADVANTAGE IN TENNIS MATCHES

I. M. MACPHEE \* AND

JONATHAN ROUGIER,\* \*\* *University of Durham*

G. H. POLLARD,\*\*\* *University of Canberra*

### Abstract

We show that the advantage that can accrue to the server in tennis does not necessarily imply that serving first changes the probability of winning the match. We demonstrate that the outcome of tie-breaks, sets and matches can be independent of who serves first. These are corollaries of a more general invariance result that we prove for  $n$ -point win-by-2 games. Our proofs are non-algebraic and self-contained.

*Keywords:* Tennis; tie-break;  $n$ -point win-by- $k$  games

2000 Mathematics Subject Classification: Primary 91A60; 91A05

Secondary 60J20



# The Flip-Flop Lemma

## Assumption

Individual points comprise independent Bernoulli trials with fixed probability of success depending only on a single two-level factor, say  $\{A, B\}$ .

# The Flip-Flop Lemma

## Assumption

Individual points comprise independent Bernoulli trials with fixed probability of success depending only on a single two-level factor, say  $\{A, B\}$ .

## Definition

A *pairwise factor ordering (PFO)* is a concatenation of the pairs of factor levels  $AB$  and  $BA$  according to some **rule**.

# The Flip-Flop Lemma

## Assumption

Individual points comprise independent Bernoulli trials with fixed probability of success depending only on a single two-level factor, say  $\{A, B\}$ .

## Definition

A *pairwise factor ordering (PFO)* is a concatenation of the pairs of factor levels  $AB$  and  $BA$  according to some **rule**.

## Flip-Flop Lemma

Let the factor levels conform to a PFO with given **rule**. Under the assumption, the probability of attaining the terminal score  $i$ - $j$  is invariant to the **rule** when either

- (i) the game is played for exactly  $2m$  points, or
- (ii) the game is  $n$ -point win-by-2, and  $\min\{i, j\} \geq n - 1$ .

# Proof of the Flip-Flop Lemma

Imagine a game of exactly 8 points, which terminates at 5-3.

# Proof of the Flip-Flop Lemma

Imagine a game of exactly 8 points, which terminates at 5-3.

Rule $R_A$	1	0	1	1	0	1	1	0	5-3
	A	B	B	A	A	B	B	A	

# Proof of the Flip-Flop Lemma

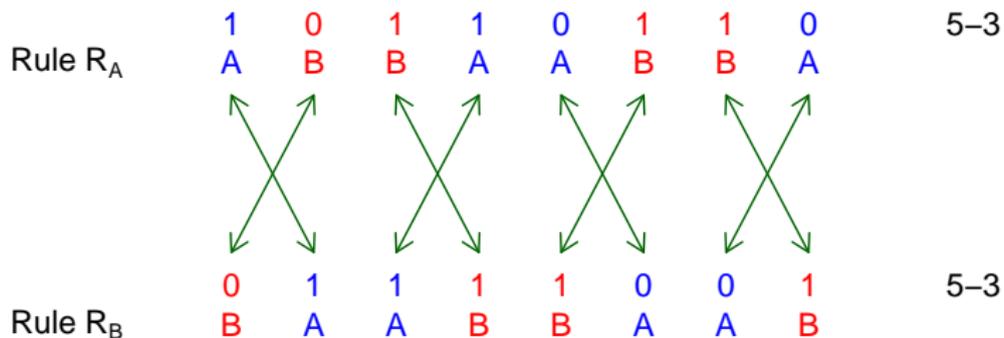
Imagine a game of exactly 8 points, which terminates at 5-3.

Rule $R_A$	1	0	1	1	0	1	1	0	5-3
	A	B	B	A	A	B	B	A	

Rule $R_B$	0	1	1	1	1	0	0	1	5-3
	B	A	A	B	B	A	A	B	

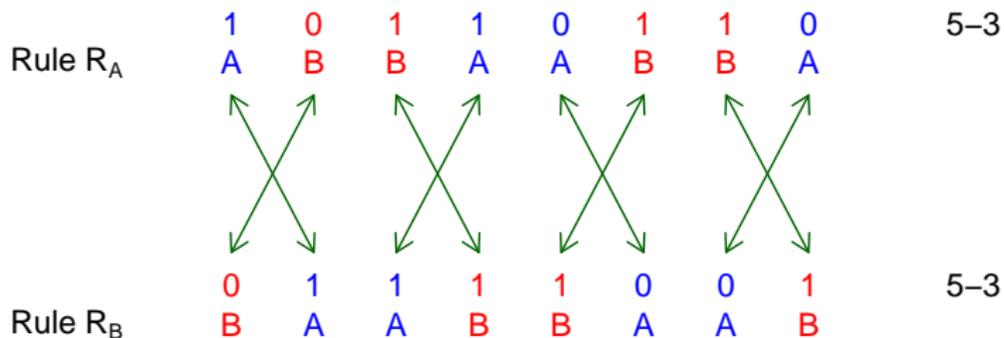
# Proof of the Flip-Flop Lemma

Imagine a game of exactly 8 points, which terminates at 5-3.



# Proof of the Flip-Flop Lemma

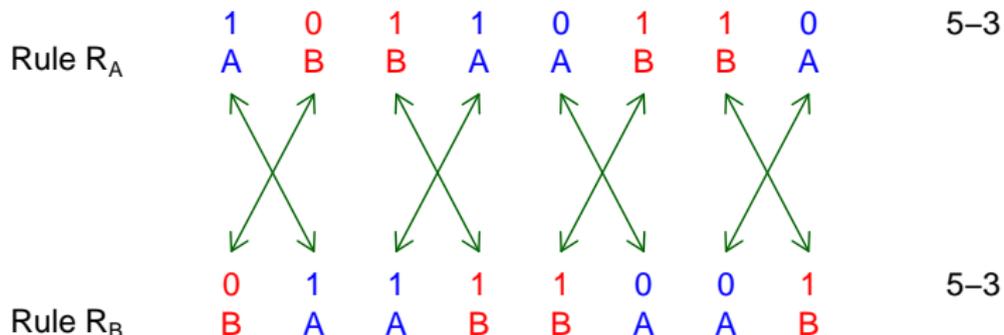
Imagine a game of exactly 8 points, which terminates at 5-3.



- ▶ These two paths to 5-3 have the same probability. The probability of the outcome 5-3 under  $R_A$  is the sum of the probabilities of the paths to 5-3. The bijective relationship between paths under  $R_A$  and  $R_B$  shows that the probability of 5-3 is the same for  $R_A$  and  $R_B$ .

# Proof of the Flip-Flop Lemma

Imagine a game of exactly 8 points, which terminates at 5-3.



- ▶ These two paths to 5-3 have the same probability. The probability of the outcome 5-3 under  $R_A$  is the sum of the probabilities of the paths to 5-3. The bijective relationship between paths under  $R_A$  and  $R_B$  shows that the probability of 5-3 is the same for  $R_A$  and  $R_B$ .
- ▶ The conditions of the Lemma ensure that there are an even number of points, and that the swapping operation does not imply a different terminating score.

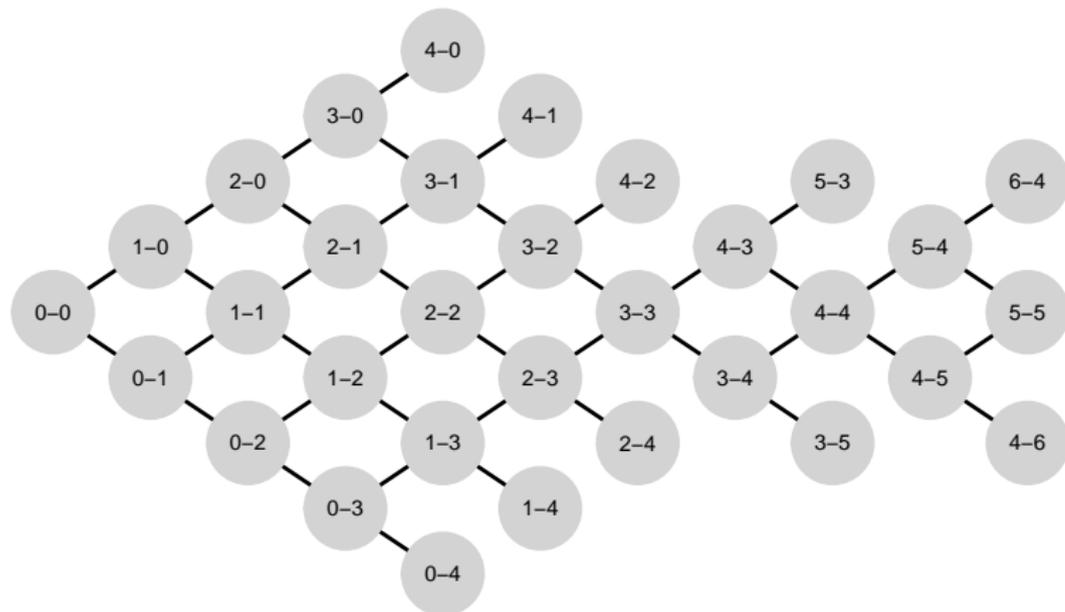
## Theorem

Under the assumption, the probability that  $A$  wins a tiebreak does not depend on who serves first.

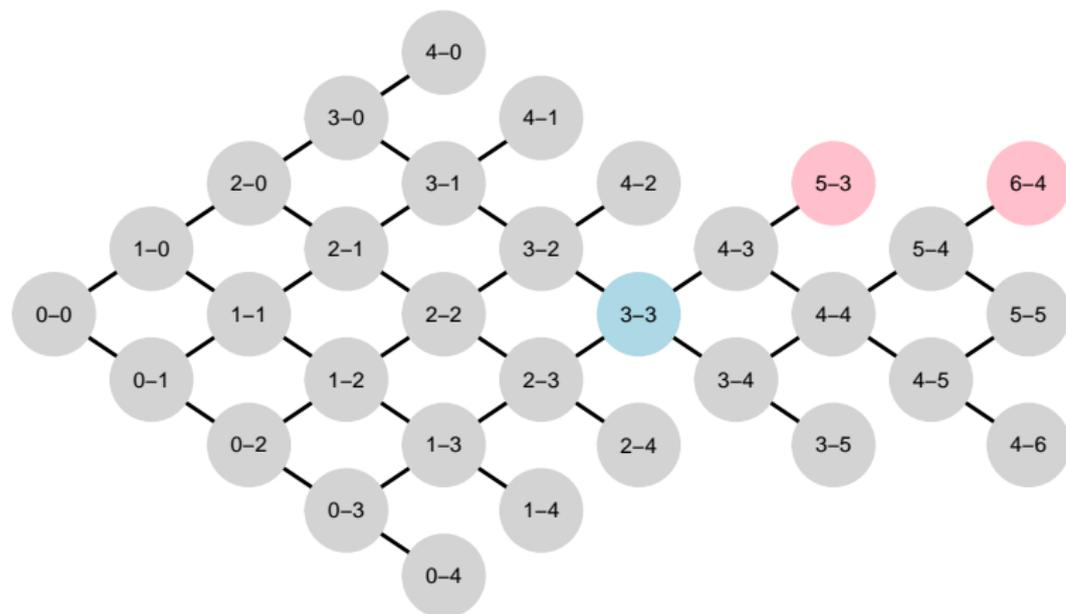
## Corollaries

The same result also holds for sets and for matches.

# Proof of the theorem (fish plot is $n = 4$ )

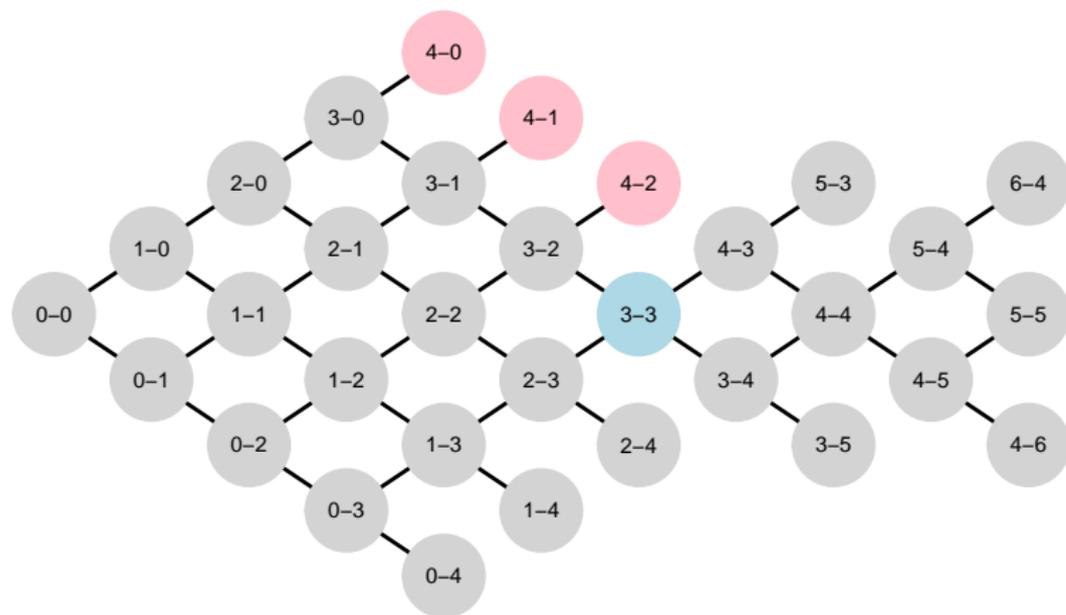


## Proof of the theorem (fish plot is $n = 4$ )



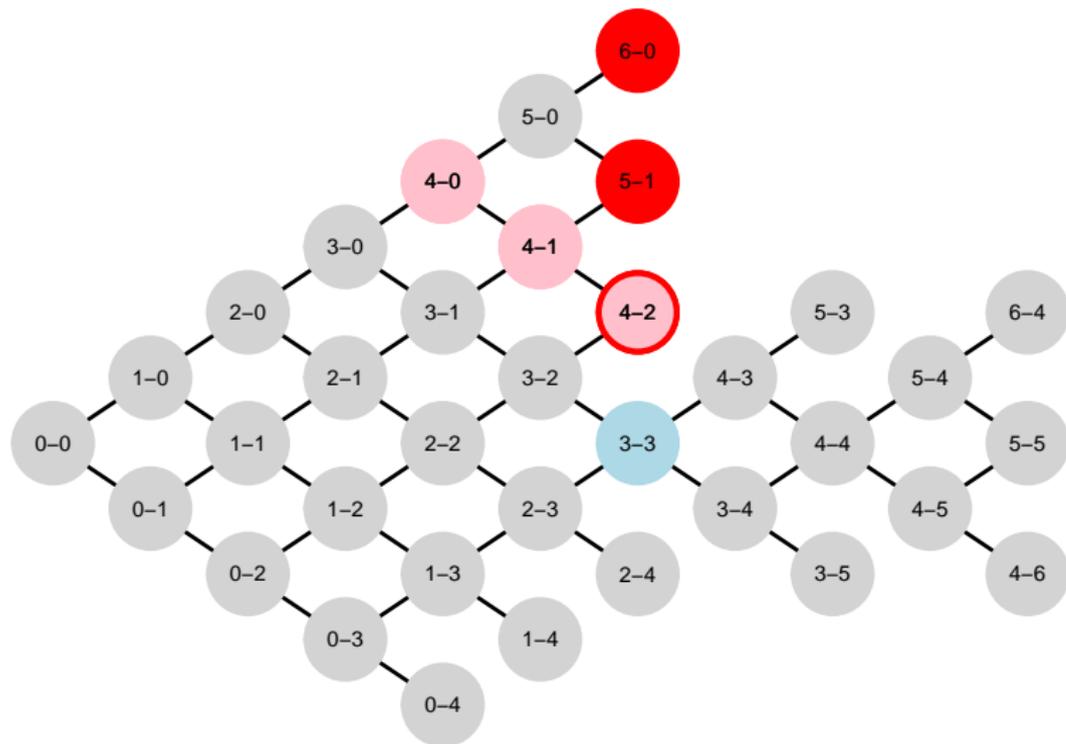
We condition on the path passing through  $(n-1)-(n-1)$ . If this point is on the path, then all terminating points satisfy case (ii) of the Flip-Flop Lemma.

# Proof of the theorem (fish plot is $n = 4$ )



For paths not passing through this point,

## Proof of the theorem (fish plot is $n = 4$ )



For paths not passing through this point, *the probability on the two sets, pink and red, is the same*. But each of the red points satisfies case (i) of the Flip-Flop Lemma.

## Corollaries

Our model implies that the games themselves are independent Bernoulli trials, and the PFO is ABABAB... or BABABA... .

## Corollaries

Our model implies that the games themselves are independent Bernoulli trials, and the PFO is ABABAB... or BABABA... .

1. Sets *without* tiebreaks (the final set of the match). A set without a tiebreak is a 6-point win-by-2 game. Our result hold for all n-point win-by-2 games.

## Corollaries

Our model implies that the games themselves are independent Bernoulli trials, and the PFO is ABABAB... or BABABA... .

1. Sets *without* tiebreaks (the final set of the match). A set without a tiebreak is a 6-point win-by-2 game. Our result hold for all n-point win-by-2 games.
2. Sets *with* tiebreaks. We condition our argument on passing through the score 5-5 in games. If we pass through 5-5, then each of 7-5, 5-7, and the tiebreak are invariant to the PFO. If we do not pass through 5-5, then apply the same reasoning as the second branch of the tiebreak proof.

## Corollaries

Our model implies that the games themselves are independent Bernoulli trials, and the PFO is ABABAB... or BABABA... .

1. Sets *without* tiebreaks (the final set of the match). A set without a tiebreak is a 6-point win-by-2 game. Our result hold for all n-point win-by-2 games.
2. Sets *with* tiebreaks. We condition our argument on passing through the score 5-5 in games. If we pass through 5-5, then each of 7-5, 5-7, and the tiebreak are invariant to the PFO. If we do not pass through 5-5, then apply the same reasoning as the second branch of the tiebreak proof.

So it does not matter, for winning the set, who serves first. And hence it does not matter for winning the match.