

Cutting Sequences, Hecke Congruence Subgroups and the p -adic Littlewood Conjecture

John Blackman

Continued Fractions and SL_2 -Tilings

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The p -adic Littlewood Conjecture (pLC)

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This can be measured by asking “*what is the smallest value of c such that*

$$\left| \alpha - \frac{p}{q} \right| < \frac{c}{q^2}$$

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*has infinitely many solutions for $\frac{p}{q} \in \mathbb{Q}$?” This value is called the *Markov Constant* $\nu(\alpha)$.*

Alternatively, we can write $\nu(\alpha)$ as:

$$\nu(\alpha) := \liminf_{q \in \mathbb{N}} \{q \cdot \|q\alpha\|\}$$

where $\|\cdot\|$ is the distance to the nearest integer function.

Diophantine Approximation

If $\nu(\alpha) = 0$, then we say that α is *well approximable*. Otherwise, we say that α is *badly approximable* and we denote the set of all badly approximable numbers as **Bad**, i.e.:

$$\mathbf{Bad} := \{\alpha \in \mathbb{R} : \nu(\alpha) > 0\}.$$

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Theorem (Hurwitz)

For all $\alpha \in \mathbb{R} \setminus \mathbb{Q}$, we have $0 \leq c(\alpha) \leq \nu(\alpha) \leq \frac{1}{\sqrt{5}}$.

Continued Fractions

Definition

A (simple) *continued fraction* $\bar{\alpha}$ is an expression of the form:

$$\bar{\alpha} := a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\dots}}},$$

where $a_0 \in \mathbb{Z}$ and $a_i \in \mathbb{N}$ for $i \geq 1$.

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To simplify notation, we write $\bar{\alpha} := [a_0; a_1, a_2, \dots]$. We refer to the a_i 's as the *partial quotients*.

Convergents of Continued Fractions

Definition

Let $\bar{\alpha} = [a_0; a_1, a_2, \dots]$ be a continued fraction. We define the k -th convergent of $\bar{\alpha}$ to be $\frac{p_k}{q_k} := [a_0; a_1, \dots, a_k]$.

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We can define these terms iteratively:

$$p_{-1} = 1$$

$$p_0 = a_0$$

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We refer to the term p_k as the k -th convergent numerator of α and q_k as the k -th convergent denominator.

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Convergents provide us with very good rational approximations of real numbers. In fact, the convergents give the best possible rational approximations of α (in terms of the Markov constant and $c(\alpha)$).

Semi-Convergents of Continued Fractions

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Let $\bar{\alpha} = [a_0; a_1, a_2, \dots]$ be a continued fraction expansion of some real number α . We define the $\{k, m\}$ -th *semi-convergent* of $\bar{\alpha}$ to be $\frac{p_{\{k,m\}}}{q_{\{k,m\}}} := [a_0; a_1, \dots, a_k, m]$, where $0 \leq m \leq a_{k+1}$.

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Semi-convergents also provide us with good rational approximations of real numbers (but not as good as standard convergents).

Back to Rational Approximation

Since the convergents of a real number give the best possible rational approximations, for any $\alpha \in \mathbb{R}$ the value of $c(\alpha)$ is minimised by the sequence of convergent denominators $\{q_k\}_{k \in \mathbb{N}}$, i.e.:

$$c(\alpha) := \inf_{q \in \mathbb{N}} \{q \cdot \|q\alpha\|\} = \inf_{k \in \mathbb{N}} \{q_k \cdot \|q_k\alpha\|\}.$$

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If we let $B(\alpha) = \sup_{k \in \mathbb{N}} \{a_k : \bar{\alpha} = [a_0; a_1, \dots]\}$, then (for $\alpha \in \mathbb{R} \setminus \mathbb{Q}$) we can bound $c(\alpha)$ above and below as follows:

$$\frac{1}{B(\alpha) + 2} < c(\alpha) < \frac{1}{B(\alpha)}.$$

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As a result, we can redefine the badly approximable numbers to be:

$$\mathbf{Bad} := \{\alpha \in \mathbb{R} \setminus \mathbb{Q} : B(\alpha) < \infty\}.$$

The p -adic Littlewood Conjecture (pLC)

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The p -adic Littlewood Conjecture (de Mathan and Teulié 2004)

For every real number $\alpha \in \mathbb{R}$, we have:

$$m_p(\alpha) := \inf_{q \in \mathbb{N}} \{q \cdot |q|_p \cdot \|q\alpha\|\} = 0,$$

where $|\cdot|_p$ is the p -adic norm and $\|\cdot\|$ is the distance to the nearest integer function.

Let $v(q) := \sup\{j \in \mathbb{N} \cup \{0\} : p^j \mid q\}$, then $|q|_p = p^{-v(q)}$.

The p -adic Littlewood Conjecture (pLC)

If $\alpha \in \mathbf{Bad}$:

$$\begin{aligned} m_p(\alpha) = 0 &\iff \inf_{k \in \mathbb{N} \cup \{0\}} \{c(p^k \alpha)\} = 0 \\ &\iff \sup_{k \in \mathbb{N} \cup \{0\}} \{B(p^k \alpha)\} = \infty. \end{aligned}$$

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Sketch:

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The set of counterexamples to pLC are (sometimes) referred to as the *multiplicatively badly approximable numbers*:

$$\mathbf{Mad}(p) := \{\alpha \in \mathbb{R} : m_p(\alpha) = 0\}.$$

Continued Fraction Arithmetic

- Hall 1947: Given a continued fraction x , described a process for computing:

$$z(x) = \frac{ax + b}{cx + d}$$

with $a, b, c, d \in \mathbb{Z}$ and $ad - bc \neq 0$.

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- Gosper 1972: HAKMEM Given continued fraction expansions for x and y , described a process for computing:

$$w(x, y) = \frac{axy + bx + cy + d}{exy + fx + gy + h}$$

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Some Known Results for pLC

Theorem (de Mathan and Teulié 2004)

Every quadratic irrational satisfies pLC.

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Theorem (Badziahin et al. 2015)

If α has an eventually recurrent continued fraction expansion, then α satisfies pLC. Additionally, the complexity function of the continued fraction expansion a counterexample must grow sub-exponentially.

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- 2 Terminates at one vertex and separates the other vertices from each other. We can think of this as either a left or a right triangle.

Cutting Sequences

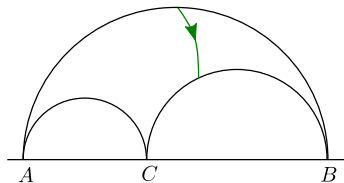
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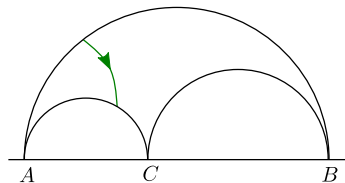
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The *cutting sequence* (ζ, T) is then the potentially infinite word over the alphabet $\{L, R\}$ that tracks how ζ intersects each triangle in T .

Examples of left and right triangles

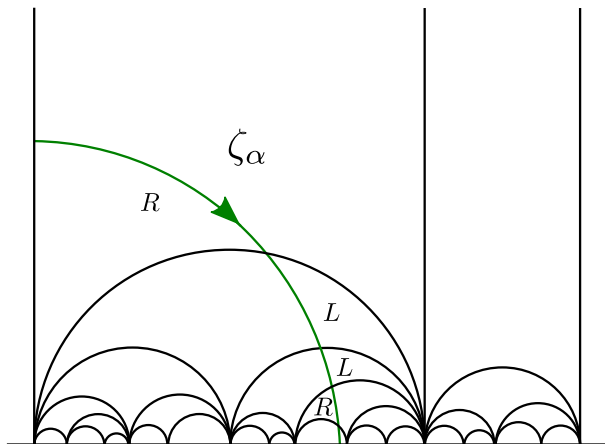


An example of a left triangle.



An example of a right triangle.

An Example of a Cutting Sequence



An example of a geodesic ray ζ_α intersecting a (truncated) triangulation T to form a cutting sequence. The cutting sequence starts $RLLR\dots$.

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$$|ps - rq| = 1.$$

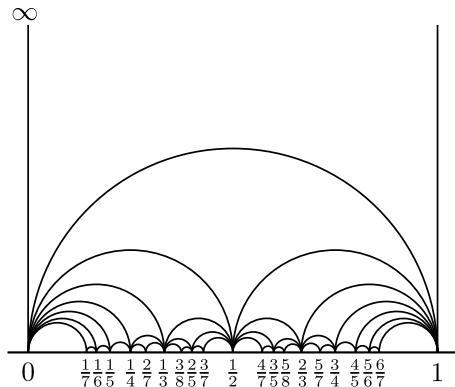
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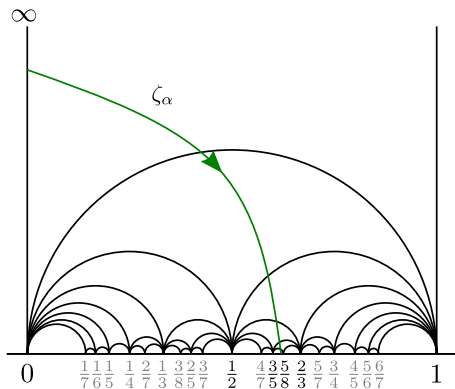
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An example of a cutting sequence with the Farey tessellation



A truncated image of a geodesic ray ζ_α with endpoint $\alpha = \frac{\sqrt{5}-1}{2}$ intersecting the Farey tessellation \mathcal{F} with convergents shown in bold. The cutting sequence is $RLRL\dots$ and the corresponding continued fraction expansion is $[0; 1, 1, 1, \dots]$

Integer Multiplication of Continued Fractions and Triangulation Replacement

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Therefore, replacing \mathcal{F} with $\frac{1}{n}\mathcal{F}$ represents multiplication by n of continued fractions. $\bar{n} : (\cdot, \mathcal{F}) \rightarrow (\cdot, \frac{1}{n}\mathcal{F})$.

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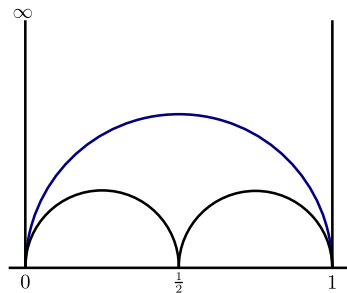
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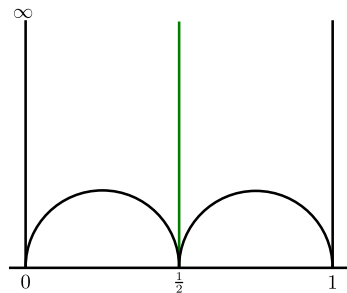
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How these subpaths intersect $T_{\{1,n\}}$ and $T_{\{n,n\}}$ determines how ζ_α intersects \mathcal{F} and $\frac{1}{n}\mathcal{F}$ and, therefore, how multiplication by n affects the underlying continued fraction $\bar{\alpha}$.

Example of Triangulation Replacement

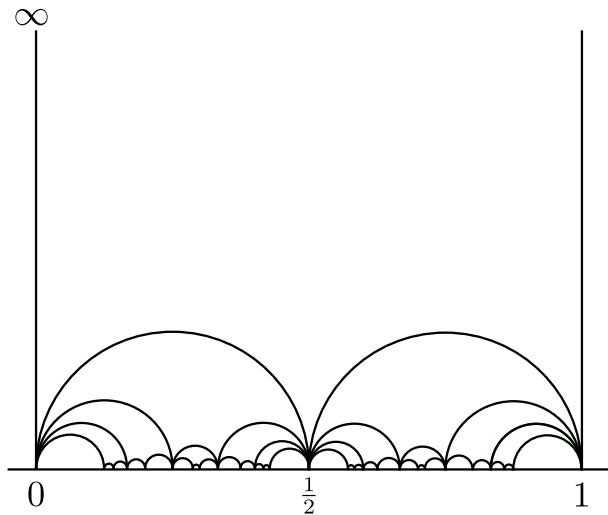


An example of $T_{\{1,4\}}$.



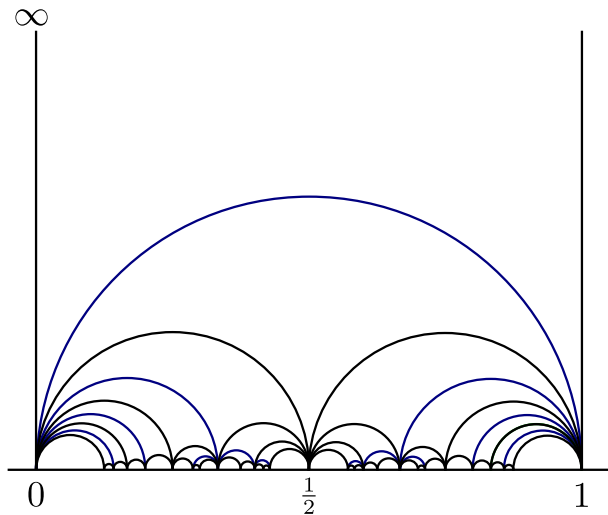
An example of $T_{\{2,4\}}$.

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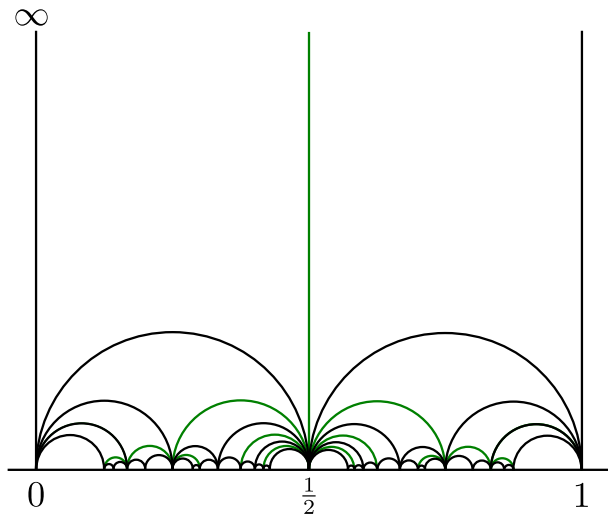
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\mathbb{H} tessellated by $T_{\{2,4\}}$. This is equivalent to $\frac{1}{2}\mathcal{F}$.

Cutting Sequences on Orbifolds

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For every geodesic ray ζ_α in \mathbb{H} starting at the y -axis l with endpoint $\alpha > 0$, there is a canonical projection $\widehat{\zeta}_\alpha$ onto $\Gamma_0(n)\backslash\mathbb{H}$ such that $(\zeta_\alpha, \mathcal{F}) = (\widehat{\zeta}_\alpha, \widehat{\mathcal{F}})$ and $(\zeta_\alpha, \frac{1}{n}\mathcal{F}) = (\widehat{\zeta}_\alpha, \widehat{\frac{1}{n}\mathcal{F}})$.

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Corollary

Let $\alpha \in \mathbb{R}$, let $M = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ be a non-trivial integer matrix (i.e. $a, b, c, d \in \mathbb{Z}$, $ad - bc \neq 0$), and let $\beta = M \cdot \alpha = \frac{a\alpha + b}{c\alpha + d}$. If the continued fraction expansion $\overline{\alpha}$ is eventually recurrent and $c\alpha + d \neq 0$, then the continued fraction $\overline{\beta}$ is eventually recurrent.

Cutting Sequences and the p -adic Littlewood Conjecture

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Lemma

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If a geodesic ray ζ_α intersects an edge E in $\mathcal{F} \cap \frac{1}{n}\mathcal{F}$, then we can split ζ_α along E to form a geodesic segment $\zeta_{\alpha,1}$ and a geodesic ray $\zeta_{\alpha,2}$.

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If ζ_α doesn't intersect $\mathcal{F} \cap \frac{1}{n}\mathcal{F}$, no canonical map exists.

$$\Gamma_0(n) \cdot I$$

Since I is an edge of both \mathcal{F} and $\frac{1}{n}\mathcal{F}$ and $\Gamma_0(n)$ preserves both \mathcal{F} and $\frac{1}{n}\mathcal{F}$, it follows that $\Gamma_0(n) \cdot I \subseteq \mathcal{F} \cap \frac{1}{n}\mathcal{F}$.

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Proposition (B. 2023)

If ζ_α intersects $\Gamma_0(n) \cdot I$, then there is a tail $\bar{\beta}$ of $\bar{\alpha}$ such that $n\bar{\beta}$ is a tail of $n\bar{\alpha}$.

Infinite Loops mod n

Definition

Let ζ_α be a geodesic ray starting at the y -axis I and terminating at the point $\alpha \in \mathbb{R}_{>0}$. Then, ζ_α is an *infinite loop mod n* , if ζ_α is disjoint from $\Gamma_0(n) \cdot I$ except for the edges of the form $I + k$, for $k \in \mathbb{Z}_{\geq 0}$.

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Proposition (B. 2023)

If $n \in \mathbb{N}$ and $n \geq 4$, then there exist infinite loops mod n .

Infinite loops and pLC

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Assume that α is not an infinite loop mod n . Then we have:

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$$\max\{B(\alpha), B(n\alpha)\} \geq \lfloor 2\sqrt{n} \rfloor - 1.$$

Corollary

Let $\alpha \in \mathbf{Bad}$ and assume there is some sequence of natural numbers $\{\ell_m\}_{m \in \mathbb{N}}$ such that $p^{\ell_m}\alpha$ is not an infinite loop mod p^m . Then α satisfies pLC.

Cutting Sequences and pLC

On the other hand, if for some real number α and some natural number m , every value of $p^\ell \alpha$ is an infinite loop mod p^m , then $p^\ell \alpha$ is a counter-example to pLC.

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Lemma

Let $\alpha \in \mathbf{Bad}$ and assume there exists an $m \in \mathbb{N}$ such that $p^\ell \alpha$ is an infinite loop mod p^m for all $\ell \in \mathbb{N} \cup \{0\}$. Then α is a counterexample to pLC and $m_p(\alpha) \geq \frac{1}{p^m}$.

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Combining these statements together, we get the main theorem of the talk: the following reformulation of pLC in terms of infinite loops mod n .

Theorem (B. 2023)

Let $\alpha \in \mathbf{Bad}$. Then, α satisfies pLC if and only if there is a sequence of natural numbers $\{\ell_m\}_{m \in \mathbb{N}}$ such that $p^{\ell_m} \alpha$ is not an infinite loop mod p^m .

Thank you for listening.
Any questions?