

★ Examples: Problem 1:

Show that  $\mathbb{Z}[\sqrt{-26}]$  has non-principal ideals of norm 30.

Claim 1:  $\mathcal{O}_{-26}$  has 4 ideals of norm 30. Check the decomposition:

$$p=2 \quad (2) = (2, \sqrt{-26})^2 = \mathfrak{p}_2^2$$

$$p=3 \quad -26 \equiv 1 \pmod{3} \rightarrow \mathfrak{p}_3 = (3, 1 - \sqrt{-26}) \quad \text{and } \mathfrak{p}_3 \neq \tilde{\mathfrak{p}}_3$$

$$p=5 \quad -26 \equiv 4 = 2^2 \pmod{5} \rightarrow \mathfrak{p}_5 = (5, 2 - \sqrt{-26}) \quad \text{and } \mathfrak{p}_5 \neq \tilde{\mathfrak{p}}_5$$

$N(\mathfrak{p}_p) = p$  in all these cases.

Suppose  $\mathfrak{I}$  (ideal) is of norm 30. Then  $\mathfrak{I} \mid \mathfrak{p}_2^r \mathfrak{p}_3^s \tilde{\mathfrak{p}}_3^t \mathfrak{p}_5^u \tilde{\mathfrak{p}}_5^v$   
for some  $r, s, t, u, v \geq 0$

Take norms.  $30 = N(\mathfrak{I}) = 2^r 3^{s+t} 5^{u+v}$  for some exponents.

Compute exponents.  $\Rightarrow r=1, s+t=1, u+v=1$

$\Rightarrow 1 \cdot 2 \cdot 2 = 4$  possibilities

Claim (ii)  $\mathbb{Z}[\sqrt{-26}]$  has only two principal ideals of norm 30.

$$y = a + b\sqrt{-26}, \rightarrow |N(y)| = \cancel{(x+y)} N(y) = 30$$

means  $a^2 + 26b^2 = 30$ , get 4 solutions.  $a = \pm 2, b = \pm 1 \rightarrow$  only two different ideals

Conclusion:  $\exists$  non-principal ones!

Lecture 11: The Ideal Class Group

let  $K = \mathbb{Q}(\theta) \mid K: \mathbb{Q} = n \quad R = \mathcal{O}_K$  with our running assumptions

① a maximal ideal is invertible

②  $\exists$  a norm function for ideals

③ ideals are finitely generated as abelian groups.

This means  $\mathcal{J}(R)$  is an abelian group.

For principal ideals, a similar thing is true, gives rise to the subgroup  $\mathcal{P}(R)$  of principal ideals.

Definition: Two ideals,  $\mathfrak{I}, \mathfrak{J}$  in  $\mathcal{J}(R)$  are equivalent, denoted  $\mathfrak{I} \sim \mathfrak{J}$  if  $\exists \lambda \in K^\times$  so that  $\mathfrak{I} = (\lambda)_R \mathfrak{J}$

This leads us to define  $CL(R) = \mathcal{J}(R) / \mathcal{P}(R)$  which is called the ideal class group of  $R$ .

The elements of  $CL(R)$  are  $[\mathfrak{I}]$  equivalence classes of ideals.

Lecture 12: Long-Term Goal - arithmetic of number rings  $R$

- unique factorisation into irreducibles (no longer true) replaced by unique prime ideal decomposition
- need a grip on the units in  $R$
- still to capture the "ambiguity" of factorisation into irreducibles.

For the latter, introduced the (ideal) class group for  $\mathcal{O}_K$ .

$CL(K) := CL(\mathcal{O}_K) := \mathcal{I}(\mathcal{O}_K) / \mathcal{P}(\mathcal{O}_K)$  and its order called the class number, of  $\mathcal{O}_K$ .

[[Notation:  $h_K := h_{\mathcal{O}_K} = \# CL(K)$

for quadratic fields  $K = \mathbb{Q}(\sqrt{d})$ ;  $h_K := h_{\mathcal{O}_K}$ ]]

We denote ideal class of an ideal  $I$  in  $\mathcal{I}(\mathcal{O}_K)$  by  $[I]$ .

Proposition: For  $I, J \in \mathcal{I}(R)$ , put  $e = e_{CL(R)}$  for identity in  $CL(R)$

- i)  $[I] = e \Leftrightarrow I$  is principal
- ii)  $[I] = [J] \Leftrightarrow \exists \alpha, \beta \in R \setminus \{0\}$ , st  $(\alpha)I = (\beta)J$
- iii)  $[I \cdot J] = [I] \cdot [J]$
- iv)  $[I]^{-1} = [I^{-1}]$
- v)  $[I]^m = e \Leftrightarrow I^m$  is principal

In order to get an upper bound on the class number, it is helpful to find relations among ideal classes, eg. by factorising principal ideals.

$$(\alpha) = \mathfrak{p} \cdot \mathfrak{q} \Rightarrow [\mathfrak{p}] = [\mathfrak{q}]^{-1}$$

Proposition: If  $K = \mathbb{Q}(\sqrt{d})$  we have

- i)  $[\tilde{I}] = [I]^{-1}$
- ii) If  $I \cdot \tilde{J}$  is principal  $\Leftrightarrow [I] = [J]$

Problem: For  $R = \mathcal{O}_K = \mathbb{Z}[\sqrt{-29}]$

- a) Show that  $R$  contains ideals  $\mathfrak{p}_2, \mathfrak{p}_3, \mathfrak{p}_5$  of norm 2, 3 and 5 resp. whose classes in  $CL(\mathcal{O}_K)$  are 2, 6 and 3 respectively.
- b)  $R$  has an ideal of norm 11, whose class has order 6.

Solution: Using previous theorem, find  $-29 \equiv 3 \pmod{4}$  hence  $(2)_R = \mathfrak{p}_2^2$ ,  $\mathfrak{p}_2 = (2, \sqrt{-29})$   
 $-29 \equiv 1^2 \pmod{3}$   $(3)_R = \mathfrak{p}_3 \tilde{\mathfrak{p}}_3$   $\mathfrak{p}_3 = (3, 1 - \sqrt{-29})$   
 $-29 \equiv 1^2 \pmod{5}$   $(5)_R = \mathfrak{p}_5 \tilde{\mathfrak{p}}_5$   $\mathfrak{p}_5 = (5, 1 - \sqrt{-29})$

Q: Are there any principal ideals of norm 2, 3 or 5?

[[No, otherwise  $a^2 + 29b^2 = 2, 3, 5$  not possible in integers]]

Precise orders:  $\mathfrak{p}_2$ : its square is principal, but it is not principal itself.

$[\mathfrak{p}_2]$  is of order 2 (and norm=2)

$\mathfrak{p}_5$ : Instead of multiplying  $\mathfrak{p}_5$  with itself and then checking if  $\mathfrak{p}_5^2$  is principal,  $\mathfrak{p}_5^3$  is principal, ..., we take a shortcut.

Find  $\beta = 3 + 2\sqrt{-29}$ , of norm  $125 = 5^3$

$$\Rightarrow e = [(\beta)] = [\mathfrak{p}_5^a \tilde{\mathfrak{p}}_5^{3-a}]$$

But also,  $\beta \in \mathfrak{p}_5$ ,  $\beta = 3 + 2\sqrt{-29} = 5 - 2(1 + \sqrt{-29})$

and  $\beta \notin \tilde{\mathfrak{p}}_5$  [Otherwise  $\beta + \tilde{\beta} = 6 \in \mathfrak{p}_5$  hence  $1 \in \mathfrak{p}_5 \neq$ ]

So  $(\beta) = \mathfrak{p}_5^3$  and  $[\mathfrak{p}_5]^3 = e$

Hence, as  $\mathfrak{p}_5$  is not principal, get order of  $[\mathfrak{p}_5] = 3$ . (as must divide 3)

$\mathfrak{p}_3$ : We 'understand' the ideal classes  $\mathfrak{p}_2, \mathfrak{p}_5, \tilde{\mathfrak{p}}_5$ .

$\Rightarrow$  Try to concoct elements of norm  $2^a 5^b 3^c$

Take  $\gamma = 1 + \sqrt{-29}$ , of norm 30

$$(\gamma) = \mathfrak{p}_2 \cdot \mathfrak{p}_3^a \tilde{\mathfrak{p}}_3^{1-a} \mathfrak{p}_5^b \tilde{\mathfrak{p}}_5^{1-b} \quad \text{with } 0 \leq a, b \leq 1.$$

Indeed,  $\gamma \in \tilde{\mathfrak{p}}_3$ ,  $\gamma \in \tilde{\mathfrak{p}}_5$  (not in  $\mathfrak{p}_3, \mathfrak{p}_5$ )

$$\Rightarrow (\gamma) = \mathfrak{p}_2 \tilde{\mathfrak{p}}_3 \tilde{\mathfrak{p}}_5$$

$$\Rightarrow \underset{\text{in } \mathcal{A} \text{ group}}{=} = \underset{\substack{\uparrow \\ \text{order 2}}}{[\mathfrak{p}_2]} [\tilde{\mathfrak{p}}_3] \underset{\substack{\uparrow \\ \text{order 3}}}{[\tilde{\mathfrak{p}}_5]}$$

$\Rightarrow [\tilde{\mathfrak{p}}_3]$  has order  $\text{lcm}(2, 3) = 6$ .

b) Try to find an element of norm  $3 \cdot 11$

$\exists$  class of order 6

Simply take  $\alpha := 2 + \sqrt{-29}$

$$\Rightarrow e = [(\alpha)] = [\tilde{\mathfrak{p}}_3^{(\sim)} \cdot \mathfrak{p}_{11}^{(\sim)}] = \underset{\substack{\uparrow \\ \text{order 6}}}{[\tilde{\mathfrak{p}}_3^{(\sim)}]} \cdot \underset{\substack{\uparrow \\ \text{also has order 6}}}{[\mathfrak{p}_{11}^{(\sim)}]}$$

Goal:  $h_K$  is finite

Idea: (Minkowski), "Geometry of Numbers"

List prime ideals ordered by norm, show that primes of norm beyond a certain bound ("Minkowski bound") do not contribute anything to the relations.

# ecture 13: Finiteness of Class Group

Will need "Minkowski Bound"

To formulate, introduce discriminant of a polynomial.

Definition:  $f(x) \in \mathbb{C}[x]$ ,  $\deg f(x) = n$  with roots  $\theta_1, \dots, \theta_n$ .  
Then the discriminant  $\text{disc}(f(x)) := \prod_{1 \leq i < j \leq n} (\theta_i - \theta_j)^2$

$\llbracket f(x) \text{ has multiple roots} \Leftrightarrow \text{disc}(f(x)) = 0 \rrbracket$

Definition: The discriminant of a number field  $K = \mathbb{Q}(\theta)$  such that  $\mathcal{O}_K^* = \mathbb{Z}[\theta]$  for some  $\theta \in K$  is the discriminant of  $p_\theta(x)$  (minimal polynomial of  $\theta$  over  $\mathbb{Q}$ )

Caution:  $\star$  is not always possible!  $\llbracket \text{Ex. } K = \mathbb{Q}(\sqrt[3]{10}) \text{ does not have this property} \rrbracket$

But it is OK for quadratic fields.  $\Delta_{\mathbb{Q}(\sqrt{d})} = \begin{cases} 4d & \text{if } d \equiv 1, 2, 3 \pmod{4} \\ d & \text{if } d \equiv 0 \pmod{4} \end{cases}$

Check: minimal polynomial of  $\theta = \frac{1+\sqrt{d}}{2}$  if  $d \equiv 1 \pmod{4}$  is  $\left(x - \left(\frac{1+\sqrt{d}}{2}\right)\right)\left(x - \left(\frac{1-\sqrt{d}}{2}\right)\right)$

its discriminant is  $\left(\frac{1+\sqrt{d}}{2} - \frac{1-\sqrt{d}}{2}\right)^2 = d$

Definition: let  $K = \mathbb{Q}(\theta)$  be a number field of degree  $n = [K:\mathbb{Q}] = s + 2t$   
where  $s = \#\{\text{real embeddings of } K \text{ into } \mathbb{C}\}$   
 $t = \#\{\text{pairs of complex conjugate embeddings into } \mathbb{C}\}$

Then the Minkowski Bound for  $K$  is  $B_K := \left(\frac{4}{\pi}\right)^t \frac{n!}{n^n} \cdot \sqrt{|\Delta_K|} \in \mathbb{R}$

Theorem: (Minkowski)

For any number field  $K$  and any integral ideal  $\mathcal{I} \subset \mathcal{O}_K$ . ( $\mathcal{I} \neq (0)$ )  
There is a non-zero  $\alpha \in \mathcal{I}$  s.t.  $\frac{|N_K(\alpha)|}{N(\mathcal{I})} \leq B_K$

Corollary: For each ideal class  $c$  in  $CL(\mathcal{O}_K)$  we can find a representative  $\mathcal{I}$  which is an integral ideal in  $\mathbb{R}$  such that  $N(\mathcal{I}) \leq B_K$ .

Proof (Corollary): We can choose in  $c^{-1}$  an integral ideal  $\mathcal{J}$  representing it (up to multiplying by a principal ideal).

By theorem above, we can choose  $\alpha \in \mathcal{J} \setminus \{0\}$  such that  $|N_K(\alpha)| \leq B_K N(\mathcal{J})$   
Clearly  $\mathcal{J} \mid (\alpha)$ , so  $(\alpha) = \mathcal{J} \cdot \mathcal{I}$  for some integral ideal  $\mathcal{I}$ .

This  $\mathcal{I}$  satisfies the requirements: i)  $\mathcal{I} \in c$   $\llbracket [\mathcal{I}] = [\mathcal{J}^{-1}(\alpha)] = [\mathcal{J}^{-1}] = [\mathcal{J}]^{-1} = (c)^{-1} = c \rrbracket$

ii)  $N(\mathcal{I}) \leq B_K$   $\llbracket N(\mathcal{I})N(\mathcal{J}) = N((\alpha)_K) = |N_K(\alpha)| \leq B_K \cdot N(\mathcal{J}) \rrbracket$   $\square$

Example: The class number of  $\mathbb{Z}\left[\frac{1+\sqrt{-19}}{2}\right]$  is 1.

Minkowski bound:  $n=2$ ,  $t=1$ ,  $|\Delta_K|=19$

$$\rightarrow B_{\mathbb{Q}(\sqrt{-19})} = \left(\frac{4}{\pi}\right)^1 \cdot \frac{2!}{2^2} \sqrt{19} \leq \frac{2}{3.14} \times 4.5 < 3$$

$\approx 4.5$

field of degree 2 has <sup>2 real embeddings or</sup> 1 pair of complex embeddings  
 $f(x) = \pi(\text{real roots}) \pi(\text{complex roots})$   
 $t = \frac{\# \text{ complex roots}}{2}$

Now check ideals of norm = 2

decomposing (2) into prime ideals. Since  $d = -19 \equiv 5 \pmod{8}$ , we know (2) is a prime ideal, of ideal norm  $2^2 = 4$ .

$\Rightarrow$  There is no ideal of norm 2 in  $\mathbb{Z}\left[\frac{1+\sqrt{-19}}{2}\right]$

Hence, the only generator of  $\mathcal{C}(\mathbb{Z}\left[\frac{1+\sqrt{-19}}{2}\right])$  is the class containing an ideal of norm 1. i.e.  $\mathcal{R} (= \mathbb{Z}\left[\frac{1+\sqrt{-19}}{2}\right])$

Conclusion: Class group is trivial.

EXAM: We can even get the full structure of the class group.

Ex:  $R = \mathbb{Z}[\sqrt{-14}]$   $n=2$ ,  $t=1$ ,  $\Delta_{\text{Quot}(R)} = -4 \cdot 14$ ,  $K = \text{Quot}(R) = \mathbb{Q}(\sqrt{-14})$

$$\Rightarrow B_K = \frac{4}{\pi} \cdot \frac{2!}{2^2} 2\sqrt{14} < 5$$

$< 3.73$

This reduces the calculation to ideals of norm  $\leq 4$ .

$$\text{norm}=2 \rightarrow (2) = \mathfrak{p}_2^2 \quad \mathfrak{p}_2 = (2, \sqrt{-14}) \quad \text{norm}=2$$

$$=3 \rightarrow (3) = \mathfrak{p}_3 \tilde{\mathfrak{p}}_3 \quad \mathfrak{p}_3 = (3, 1-\sqrt{-14}) \quad \text{split} \quad \text{norm}=3 \quad [\text{as for } \tilde{\mathfrak{p}}_3]$$

$$=4 \rightarrow \text{only } \mathfrak{p}_2^2 \text{ has norm } = 4$$

Hence: class group is, as a set, equal to  $\{e, [\mathfrak{p}_2], [\mathfrak{p}_3], [\tilde{\mathfrak{p}}_3], [\mathfrak{p}_2^2]\}$

$$\Rightarrow h_{\sqrt{-14}} \leq 4$$

$\begin{matrix} \parallel \\ [\mathfrak{p}_2^2] \\ e \end{matrix}$  every principal ideal represents the trivial class

• Show  $\mathfrak{p}_2, \mathfrak{p}_3, \tilde{\mathfrak{p}}_3$  are non-principal

• Show  $[\mathfrak{p}_2] \neq [\mathfrak{p}_3]$ , ( $\Rightarrow h \geq 3$ )

• Show  $\mathfrak{p}_3^2$  is not principal (look at norms)

Conclusion:  $h_{\sqrt{-14}} = 4$ ,  $\mathcal{C}(\mathbb{Z}[\sqrt{-14}]) \cong \mathbb{Z}/4\mathbb{Z}$  generated by  $[\mathfrak{p}_3]$   
 not  $\mathbb{Z}_2 \times \mathbb{Z}_2$

See Online

Lecture 14: Recall: Minkowski bound.  $K$  a number field with  $n = [K : \mathbb{Q}]$  and  $s$  real embeddings, and  $t$  pairs of complex conjugate embeddings. Then

$$B_K = \left(\frac{4}{\pi}\right)^t \frac{n!}{n^n} \sqrt{|\Delta_K|}$$

Ex: For  $K = \mathbb{Q}(\sqrt{-29})$  we had seen

$[\mathfrak{p}_2]$  has order 2

$[\mathfrak{p}_3]$  has order 6

$[\mathfrak{p}_5]$  has order 3

Now use Minkowski:  $t=1, n=2, \Delta_K = 4(-29)$

$$B_{\mathbb{Q}(\sqrt{-29})} = \left(\frac{4}{\pi}\right)^1 \frac{2!}{2^2} \sqrt{4 \cdot 29} = \frac{4}{\pi} \sqrt{29} < 7$$

$$[4 \times 5 \cdot 4 < 7 \times 3 \cdot 14 \approx 21.98]$$

Now only need to consider ideals of norm  $< 7$

| $n$                | 1   | 2                | 3                                    | 4                           | 5                                    | 6                                                                  |
|--------------------|-----|------------------|--------------------------------------|-----------------------------|--------------------------------------|--------------------------------------------------------------------|
| Ideals of norm $n$ | $R$ | $\mathfrak{p}_2$ | $\mathfrak{p}_3$<br>$\mathfrak{p}_3$ | $\mathfrak{p}_2^2$<br>$(2)$ | $\mathfrak{p}_5$<br>$\mathfrak{p}_5$ | $\mathfrak{p}_2 \mathfrak{p}_3$<br>$\mathfrak{p}_2 \mathfrak{p}_3$ |

$$\Rightarrow h_{\sqrt{-29}} \leq 8$$

We know that  $6 \mid h_{\sqrt{-29}}$  (as  $[\mathfrak{p}_3]$  has order 6)  
 $\Rightarrow h_{\sqrt{-29}} = 6 \quad \Rightarrow \text{Cl}(O_K) \cong \mathbb{Z}/6\mathbb{Z}$  (only one group of order 6)

## Arithmetic of General Number Fields

General assumption:  $K = \mathbb{Q}(\theta)$ ,  $n = [K : \mathbb{Q}]$   
 $p_\theta(x) = x^n + p_{n-1}x^{n-1} + \dots + p_0$  the minimal polynomial of  $\theta$ .

Basis of  $\mathbb{Q}$ -vector space  $K$  given by  $\{1, \theta, \dots, \theta^{n-1}\}$

1. Norms: We had seen  $N_{K/\mathbb{Q}}(\alpha) = \prod_{\sigma \in K} \sigma(\alpha)$ , where  $\sigma_i: K \hookrightarrow \mathbb{C}$

runs through the embeddings of  $K$ .

Another way: think of  $\alpha$  as an endomorphism of  $K$  (multiplication by  $\alpha$ )

$$\hat{\alpha}: K \rightarrow K$$

$$x \mapsto \alpha x$$

In particular, for  $\alpha = \theta$  we have

$$\begin{aligned}\theta: 1 &\mapsto \theta \\ \theta &\mapsto \theta^2 \\ \theta^{n-1} &\mapsto \theta^n = -p_{n-1}\theta^{n-1} - \dots - p_0\end{aligned}$$

We have an associated matrix, (using <sup>the</sup> given basis).

$$T = \begin{pmatrix} 0 & 1 & \dots & \dots \\ 0 & 0 & 1 & \dots \\ \vdots & \vdots & \vdots & \ddots \\ -p_0 & -p_1 & \dots & -p_{n-1} \end{pmatrix}$$

Take determinant  $\det(T) = (-1)^n p_0$ .

This agrees with previous definition of norm.

$$\begin{aligned}N_K(\theta) &= \prod \sigma_i(\theta) \\ \rightarrow p_\theta(x) &= \prod_{i=1}^n (x - \sigma_i(\theta)) = x^n + \dots + \underbrace{(-1)^n \prod \sigma_i(\theta)}_{=p_0}\end{aligned}$$

Define: For any  $\alpha \in K$ , the norm is given by  $\det(\hat{\alpha})$  where  $\hat{\alpha}$  is the endomorphism "multiplication by  $\alpha$ ".

[[Recall that norm is multiplicative]]

Proposition: If  $\alpha \in \mathbb{Q}$  then  $N_K(\alpha - \theta) = p_\theta(\alpha)$

Proof (sketch) To  $\hat{\alpha - \theta}$  we get the associated matrix (wrt basis  $\{1, \theta, \dots, \theta^{n-1}\}$ )  
 $\alpha \cdot I_n - T$  [[ $I_n = n \times n$  identity matrix]]

$$\rightarrow N_K(\alpha) = \det(\alpha I_n - T) = \text{char. polynomial of } T|_\alpha = p_\theta(\alpha)$$

Very useful:

Proposition: For  $a, b \in \mathbb{Q}$  we can compute  $N_K(a + b\theta)$

$$\begin{aligned}N_K(a + b\theta) &\stackrel{\text{mult}}{=} \underbrace{N_K(-b)}_{=(-b)^n} N_K\left(-\frac{a}{b} - \theta\right) \\ &\quad \downarrow \\ &\quad \begin{pmatrix} -b & & \\ & -b & \\ & & \ddots \\ & & & -b \end{pmatrix} \\ &= (-b)^n p_\theta\left(-\frac{a}{b}\right)\end{aligned}$$

Example: let  $\theta$  be a root of  $f(x) = x^3 - 4x + 14$  [[irreducible by Eisenstein]]  
 so  $K = \mathbb{Q}(\theta)$  is of degree 3, and we find  
 $N_K(a + b\theta) = (-b)^3 f\left(-\frac{a}{b}\right) = a^3 - 4ab^2 - 14b^3$

Discriminants: If  $K = \mathbb{Q}(\theta)$  does not always imply  $\mathcal{O}_K = \mathbb{Z}[\theta]$

Possible "deviations" are controlled by discriminant.

Only primes dividing  $\Delta_K$  to a square can possibly be responsible for  $\mathcal{O}_K \neq \mathbb{Z}[\theta]$

The discriminant  $\Delta_K(x)$  of a basis ~~over~~  $x = (x_1, \dots, x_n) \in K$  is given by  $\det Q(x)$  where

$$Q(x) = (\text{Tr}_K(x_i x_j))_{1 \leq i, j \leq n}$$
$$= \begin{pmatrix} \text{Tr}_K(x_1 x_1) & \dots & \text{Tr}_K(x_1 x_n) \\ \vdots & & \vdots \\ \text{Tr}_K(x_n x_1) & \dots & \text{Tr}_K(x_n x_n) \end{pmatrix}$$

and  $\text{Tr}_K(\alpha) = \text{trace}(\hat{\alpha}) \leftarrow$  same linear map as before  
=  $\text{trace}(A)$  where  $A$  is matrix associated to  $\hat{\alpha}$ .

[[ Recall that trace of an endomorphism is independent of matrix ]]

Proposition: i)  $\Delta_K(x) \in \mathbb{Q}$ , and if all  $x_i \in \mathcal{O}_K$ , then actually  $\Delta_K(x) \in \mathbb{Z}$ .  
ii) If  $\underline{\delta} = Mx$  for some  $M \in M_n(\mathbb{Q})$ , then  $\Delta_K(\underline{\delta}) = \det(M)^2 \Delta_K(x)$

Proof of ii) Calculate  $M Q(x) M^t$ ,  $M = (m_{ij})_{i,j=1,\dots,n}$   
with  $ij$ th entry  $\sum_{k=1}^n \sum_{l=1}^n m_{ik} \text{Tr}_K(x_k x_l) m_{jl} = \text{Tr}_K\left(\sum_k \sum_l m_{ik} x_k x_l m_{jl}\right)$   
 $= \text{Tr}_K\left(\underbrace{\sum_k m_{ik} x_k}_{\delta_i} \underbrace{\sum_l m_{jl} x_l}_{\delta_j}\right) = \text{Tr}_K(\delta_i \delta_j)$

Now take determinants (use multiplicativity)  $\square$

Theorem:  $\Delta_K(\{1, \theta, \dots, \theta^{n-1}\})$   
 $= (-1)^{n(n-1)/2} N_K(p_{\theta'}(\theta))$

Lecture 15: Lecture Recall/enhance discriminant of a system

$x = \{x_1, \dots, x_n\} \in K$  ( $[K:\mathbb{Q}] = n$ ), not necessarily a basis is given by  $\det(\text{Tr}(x_i x_j))_{1 \leq i, j \leq n} \in \mathbb{Q}$ .

[[ Possibly zero, eg if  $x$  is not a basis ]]

Then, if  $\underline{\delta} = Mx$  for  $M \in M_n(\mathbb{Q})$ , then  
 $\Delta_K(\underline{\delta}) = \det(\text{Tr}(\delta_i \delta_j)) = \det(Q(\underline{\delta}))$   
 $= \det(M Q(x) M^t) = \det(M)^2 \Delta_K(x)$

quadratic matrix

Theorem: Let  $K = \mathbb{Q}(\theta)$ , conjugates of  $\theta$  denoted  $\theta_1, \dots, \theta_n$ . Then

$$\Delta_K(\{1, \theta, \dots, \theta^{n-1}\}) = \prod_{r < s} (\theta_r - \theta_s)^2$$

$$= (-1)^{\binom{n}{2}} \prod_{r=1}^n p'_\theta(\theta_r) = (-1)^{\binom{n}{2}} N_K(p'_\theta(\theta))$$

Proof: Express  $Q(\{1, \theta, \dots, \theta^{n-1}\})$  in terms of  $D = (\theta_i^{j-1})_{1 \leq i, j \leq n}$

$$= \begin{pmatrix} 1 & \theta_1 & \theta_1^2 & \dots & \theta_1^{n-1} \\ 1 & \theta_2 & & & \\ \vdots & & & & \\ 1 & \theta_n & \theta_n^2 & \dots & \theta_n^{n-1} \end{pmatrix} \quad \text{a Vander Monde matrix}$$

\* Exercise: Check  $\det(D) = (-1)^{\binom{n}{2}} \prod_{r < s} (\theta_r - \theta_s)$

$$[n=2] \quad \begin{vmatrix} 1 & \theta_1 \\ 1 & \theta_2 \end{vmatrix} = \theta_2 - \theta_1$$

$$[n=3] \quad \begin{vmatrix} 1 & \theta_1 & \theta_1^2 \\ 1 & \theta_2 & \theta_2^2 \\ 1 & \theta_3 & \theta_3^2 \end{vmatrix} = \begin{vmatrix} 1 & \theta_1 & \theta_1^2 \\ 0 & \theta_1 - \theta_1 & \theta_2^2 - \theta_1^2 \\ 0 & \theta_1 - \theta_1 & \theta_3^2 - \theta_1^2 \end{vmatrix} = \quad c_3 \mapsto c_3 - \theta_1 c_2$$

$$\text{Now } \text{Tr}_K(\theta^i) = \sum_{r=1}^n \theta_r^i \quad 0 \leq i \leq n-1$$

$$\text{and } D^T D = \begin{pmatrix} 1 & \dots & 1 \\ \theta_1 & \dots & \theta_n \\ \vdots & & \\ \theta_1^{n-1} & \dots & \theta_n^{n-1} \end{pmatrix} \begin{pmatrix} 1 & \theta_1 & \dots & \theta_1^{n-1} \\ 1 & \theta_2 & \dots & \theta_2^{n-1} \\ \vdots & & & \\ 1 & \theta_n & \dots & \theta_n^{n-1} \end{pmatrix} = \left( \sum_{r=1}^n \theta_r^{i-1} \theta_r^{j-1} \right)_{i,j}$$

$\text{throw} \rightarrow$   $\text{Tr}_{j^{\text{th}} \text{ col}}$

$$= (\text{Tr}(\theta^{i-1} \cdot \theta^{j-1}))_{i,j}$$

Taking determinants we get

$$\begin{aligned} \text{LHS} &= \prod_{r < s} (\theta_r - \theta_s)^2 \\ &= \det(D^T D) = \det(D)^2 = \det(\text{Tr}(\theta^{i-1} \cdot \theta^{j-1})) \\ &= \Delta_K(\{1, \dots, \theta^{n-1}\}) \\ &= \text{1st equality} \end{aligned}$$

Connection to  $p'_\theta(x)$ :

$$\text{Write } p_\theta(x) = \prod_{r=1}^n (x - \theta_r)$$

$$\text{Then } p'_\theta(x) = \sum_{r=1}^n \prod_{\substack{s=1 \\ s \neq r}}^n (x - \theta_s)$$

$$\text{So } p'_\theta(\theta_r) = \prod_{\substack{s=1 \\ s \neq r}}^n (\theta_r - \theta_s) \quad [\text{All other summands have factors } (\theta_r - \theta_s), \text{ hence vanish}]$$

$$\prod_{r=1}^n p_{\theta}'(\theta_r) = \prod_{r=1}^n \prod_{\substack{s=1 \\ s \neq r}}^n (\theta_r - \theta_s) = \prod_{r < s} (\theta_r - \theta_s) \prod_{r > s} (\theta_r - \theta_s) \\ = (-1)^{\binom{n}{2}} \prod_{r < s} (\theta_r - \theta_s)^2$$

$\Rightarrow$  2nd equality.  $\square$

Corollary:  $\Delta_K(x) \neq 0 \iff x$  is a basis of  $K$ .

Proof: Compare with basis  $\mathcal{B} = \{1, \theta, \dots, \theta^{n-1}\}$  of  $K = \mathbb{Q}(\theta)$ . Write  $x = M\theta$ , some  $M \in M_n(\mathbb{Q})$

Then  $\Delta_K(x) = \det(M)^2 \Delta_K(\theta)$   
 $\uparrow$  each  $\theta_r - \theta_s$  non zero

So  $\Delta_K(x) \neq 0 \iff \det(M) \neq 0$   
 $\iff x$  is a basis of  $K$ .

### Calculating Discriminants

i) Quadratic fields  $K = \mathbb{Q}(\theta)$ ,  $\theta = \sqrt{d}$ ,  $p_{\theta}(x) = x^2 - d$

$$\Delta_K(\{1, \theta\}) = (-1)^{\binom{2}{2}} (2\sqrt{d})(2\sqrt{d}) = 4d \quad \mapsto p_{\theta}'(x) = 2x$$

ii) Cubic fields  $K = \mathbb{Q}(\theta)$ ,  $\theta = \sqrt[3]{5}$ ,  $p_{\theta}(x) = x^3 - 5$ ,  $p_{\theta}'(x) = 3x^2$   
 then  $\Delta_K(\{1, \theta, \theta^2\}) = (-1)^{\binom{3}{2}} N_K(3\theta^2)$   
 $= \underbrace{-N_K(3)}_{3^{[K:\mathbb{Q}]} = p_{\theta}(0) = -5} \underbrace{N_K(-\theta^2)}_{= p_{\theta}'(\theta) = 3\theta^2} = -3^3(-5)^2 = -3^3 \cdot 5^2$

iii)  $K = \mathbb{Q}(\theta)$ ,  $\theta$  root of  $f(x) = x^3 - x + 2$  [[irreducible]]

Hence  $[K:\mathbb{Q}] = 3$  and  $p_{\theta}(x) = f(x)$

Moreover  $f'(\theta) = 3\theta^2 - 1$  [[cannot decompose into linear factors]]

$$= \frac{3\theta^3 - \theta}{\theta} = \frac{3(\theta - 2) - \theta}{\theta} = \frac{2\theta - 6}{\theta}$$

$$\Rightarrow \Delta_K(\{1, \theta, \theta^2\}) = -N_K\left(\frac{2\theta - 6}{\theta}\right) = \underbrace{-N_K(2)}_{\substack{N_K(-\theta) \\ p_{\theta}'(\theta)}} \underbrace{N_K(3 - \theta)}_{p_{\theta}(\theta)} \\ = \frac{-2^3}{2} \cdot 26 = -2^3 \cdot 13$$

Getting rid of our running assumptions.  
 (1) each ideal in  $\mathcal{O}_K$  is finitely generated

Definition: Let  $A$  be a subgroup of a  $\mathbb{Q}$ -vector space  $V$ , generated by a set  $B$ , which is a  $\mathbb{Q}$ -basis for  $V$ .

Then  $A$  is called a full lattice in  $V$  and  $B$  is a generating set for  $A$ .

Ex:  $K = \mathbb{Q}(\theta)$  with  $\theta \in \mathcal{O}_K$  [[can assume]] Then  $\mathbb{Z}[\theta]$  has a generating basis  $\{1, \dots, \theta^{n-1}\}$  and is a full lattice in  $K = \mathbb{Q} + \mathbb{Q}\theta + \dots + \mathbb{Q}\theta^{n-1}$

Lecture 16: Recall: Minkowski bound for class # computations needs  $\Delta_K$ . General # fields.

Ex:  $K = \mathbb{Q}(\theta)$ , with  $\theta \in \mathcal{O}_K$ . Then  $\mathbb{Z}[\theta]$  has a generating basis,  $\{1, \theta, \dots, \theta^{n-1}\}$  and is a full lattice in  $K (= \mathbb{Q} \cdot 1 + \mathbb{Q} \cdot \theta + \dots + \mathbb{Q} \cdot \theta^{n-1})$

Proposition: Every non-zero ideal  $I \subset \mathcal{O}_K$  is generated by a basis of  $K/\mathbb{Q}$ .

Proof: ①  $I$  contains a  $\mathbb{Q}$  basis of  $K$ ,

[[take any  $\mathbb{Q}$ -basis  $\{\delta_1, \dots, \delta_n\}$  of  $K$ , can assume  $\delta_i \in \mathcal{O}_K$ , then multiply by  $\beta \in I \setminus \{0\}$  so that  $\{\beta\delta_1, \dots, \beta\delta_n\} (\subset I)$  is still a basis of  $K$ ]]

② Any such  $\mathbb{Q}$ -basis  $B$  of  $K$  with  $B \subset I$  has discriminant  $\Delta_K(B) \in \mathbb{Z} \setminus \{0\}$   
 [[since  $B \subset \mathcal{O}_K$ , get  $\Delta_K(B) \in \mathbb{Z}$  (all entries in  $\mathbb{Q}(B)$  are traces)  
 as  $B$  is a basis, get  $\neq 0$ ]]

③ Choose a basis  $\{\gamma_1, \dots, \gamma_n\} \subset I$  with minimal discriminant.

Then  $I = \langle \gamma_1, \dots, \gamma_n \rangle_{\text{gp}}$

[[Suppose not, then  $\exists \alpha \in I \setminus \langle \gamma_1, \dots, \gamma_n \rangle_{\text{gp}}$  and  $\alpha = \sum_{j=1}^n q_j \gamma_j$ ,  $q_j \in \mathbb{Q}$ , not all  $q_j \in \mathbb{Z}$ .  
 say  $q_1 \notin \mathbb{Z}$ .

Then  $\{\alpha - \lfloor q_1 \rfloor \gamma_1, \gamma_2, \dots, \gamma_n\}$  is also a basis for  $K$ , but has smaller absolute value for discriminant,  $\nexists$  to minimality]]

Definition: A generating basis for  $\mathcal{O}_K$  is called an integral basis for  $\mathcal{O}_K$ .

Proposition: Suppose  $A, B$  are full lattices in an  $n$ -dimensional  $\mathbb{Q}$ -vector-space  $V$ , with generating bases  $\{\alpha_j\}_{1 \leq j \leq n}$ ,  $\{\beta_j\}_{1 \leq j \leq n}$  (respectively), and  $A \supset B$ .

Express  $\beta_j = \sum_{i=1}^n m_{ji} \alpha_i$  ( $1 \leq j \leq n$ ) with  $m_{ji} \in \mathbb{Z}$ , i.e.  $\beta = M\alpha$

$M \in GL_n(\mathbb{Z})$ .

Then, i)  $\#(A/B) = |\det M|$

ii)  $A=B \Leftrightarrow |\det M|=1$

Idea of proof: Think 'fundamental theorem of f.g. abelian groups'

The group  $A/B$  has a set of generators  $\{\alpha_i\}_i$  and a set of relations given by  $\{\sum_{i=1}^n m_{ji} \alpha_i\}_j$ . By applying suitable row and column operations we can bring  $M$  into diagonal form  $D$ , preserving the determinant (at least up to sign), can read off the size of  $A/B$  by taking  $|\det(D)| = |\det(M)|$   
 = product of diagonal terms of  $D$  = product of torsion coefficients  $\square$

Corollary: For  $K$  (of degree  $n/\mathbb{Q}$ ) and  $\{\alpha_i\}_i$ ,  $\{\beta_j\}_j$  of  $K$

i)  $\Delta_K(\{\beta_j\}_{j=1}^n) = \det(M)^2 \Delta_K(\{\alpha_i\}_{i=1}^n)$

ii) In particular,  $\Delta$  depends only on the group generated by some bases.

ie. We can write  $\Delta_K(B) = \Delta_K(\{\beta_1, \dots, \beta_n\})$  for  $B = \langle \beta_1, \dots, \beta_n \rangle_{gp}$

Note: If  $A \supset B$ , as in proposition, we get  $\Delta_K(B) = \#(A/B)^2 \Delta_K(A)$ .  
(discriminant of smaller lattice (here  $B$ ) is larger)

Definition: The discriminant  $\Delta_K := \Delta_K(\mathcal{O}_K)$  is defined as the discriminant of any integral basis for  $K$ .  
[ie. any generating basis for  $\mathcal{O}_K$ ]

Corollary:  $\#(\mathcal{O}_K/I)$  is finite for any non-zero ideal  $I \subset \mathcal{O}_K$ .

Definition: The ideal norm of  $I \subset \mathcal{O}_K$  is given by  $N(I) = \#(\mathcal{O}_K/I)$

Lemma: The ideal norm is strictly multiplicative.

[mult. use chinese remainder theorem.  $R/IJ \cong R/I \times R/J$  for  $I, J$  coprime.]

Strict multiplication: one shows  $\mathcal{O}_K/p \cong \mathbb{F}_p[x]/p^2$  for  $p$  prime.]

Consistency check with previous definition:

eg.  $d=2, 3$ ;  $\mathcal{O}_{\mathbb{Q}(\sqrt{d})} = \mathbb{Z} + \mathbb{Z}\sqrt{d}$ .

Any ideal  $I$  can be written  $I = \langle \alpha, \beta \rangle_{gp}$   $\alpha = a + b\sqrt{d}$   $\beta = e + f\sqrt{d}$

$$\Rightarrow \begin{pmatrix} \alpha & \beta \\ \tilde{\alpha} & \tilde{\beta} \end{pmatrix} = \begin{pmatrix} 1 & \sqrt{d} \\ 1 & -\sqrt{d} \end{pmatrix} \begin{pmatrix} a & e \\ b & f \end{pmatrix}$$

$$\text{Hence, } \#(\mathcal{O}_K/I) = \left| \det \begin{pmatrix} a & e \\ b & f \end{pmatrix} \right| = \frac{|\det \begin{pmatrix} \alpha & \beta \\ \tilde{\alpha} & \tilde{\beta} \end{pmatrix}|}{\left| \det \begin{pmatrix} 1 & \sqrt{d} \\ 1 & -\sqrt{d} \end{pmatrix} \right|} = \frac{|\alpha\tilde{\beta} - \tilde{\alpha}\beta|}{|2\sqrt{d}|}$$

$$\begin{aligned} \text{OTOH: } m &= \gcd(\alpha\tilde{\alpha}, \beta\tilde{\beta}, \alpha\tilde{\beta} + \beta\tilde{\alpha}), \text{ then we get} \\ m^2 &= \#(\mathcal{O}_K/m\mathcal{O}_K) = \#(\mathcal{O}_K/\langle m, \tilde{\alpha}\beta \rangle_{gp}) = \frac{|\det \begin{pmatrix} m & \tilde{\alpha}\beta \\ m & \alpha\tilde{\beta} \end{pmatrix}|}{2\sqrt{d}} \\ &= m \#(\mathcal{O}_K/I) \quad \square \end{aligned}$$

→ How to check whether, given  $\theta \in \mathcal{O}_K$ , one has  $\mathbb{Z}[\theta] = \mathcal{O}_K$  or not?

Theorem: Suppose  $S = \langle x_1, \dots, x_n \rangle \subset \mathcal{O}_K$  is a basis of  $K$ .

Then for each prime  $p \mid \#(\mathcal{O}_K/S)$  one has:

i)  $p^2 \mid \Delta_K(S)$

ii) there is an element  $\alpha \in S$ , ie.  $\alpha = \sum m_i x_i$ , ( $m_i \in \mathbb{Z}$ )

such that  $\frac{\alpha}{p} \in \mathcal{O}_K \setminus S$

$$\begin{cases} 0 \leq |m_i| < p/2 & \text{if } p \text{ odd} \\ 0 \leq m_i \leq 1 & \text{if } p=2 \end{cases}$$

[Note: first bullet point excludes  $\alpha=0$ ]

Proof (i) Since  $p^2 \mid \#(\mathcal{O}_K/S)^2$ , we also have  $p^2 \mid \#(\mathcal{O}_K/S)^2 \Delta_K(\mathcal{O}_K) = \Delta_K(S)$

ii) Use Cauchy's theorem for finite group: if  $p$  prime divides  $\#G$  for a finite group  $G$ , then  $\exists$  element  $g \in G$  of order  $p$ .

Here  $\exists$  element  $\beta$  of order  $p$  in  $\mathcal{O}_K/S$ . We can modify  $\beta = \sum r_i x_i$ ,  $r_i \in \mathbb{Q}$  subtracting multiples of  $p \rightarrow$  coefficients in  $(-p/2, p/2)$

Lecture 17: How to decide, for  $\theta \in K$ , whether  $\mathbb{Z}[\theta] = \mathcal{O}_K$ ?

Theorem: Suppose  $\mathcal{O}_K \neq S = \langle x_1, \dots, x_n \rangle$  full lattice.

Then  $p \mid \#(\mathcal{O}_K/S) \Rightarrow p^2 \mid \Delta_K(S)$

ii)  $\exists \alpha \in S$ ,  $\alpha = \sum_{i=1}^n m_i x_i$  ( $m_i \in \mathbb{Z}$ ) st.  $\frac{\alpha}{p} \in \mathcal{O}_K \setminus S$   
 $\begin{cases} 0 \leq m_i < p/2, & p \text{ odd} \\ 0 \leq m_i \leq 1, & p=2 \end{cases}$

Ex:  $K = \mathbb{Q}(\theta)$ ,  $\theta = \sqrt[3]{5}$

Show:  $\mathbb{Z}[\theta] = \mathcal{O}_K$

Proof: Minimal polynomial of  $\theta$ :  $p_\theta(x) = x^3 - 5 \Rightarrow [K:\mathbb{Q}] = 3$  as  $p_\theta(x)$  irreducible.  
 and  $\{1, \theta, \theta^2\}$  is a basis of  $K/\mathbb{Q}$ .

For  $\alpha = a + b\theta + c\theta^2$  get

$$\text{Tr}_K(\alpha) = 3a, \quad N_K(\alpha) = a^3 + 5b^3 + 25c^3 - 15abc$$

Already seen:  $\Delta_K(\{1, \theta, \theta^2\}) = -5^2 \cdot 3^3$

Now suppose  $\mathbb{Z}[\theta] \subsetneq \mathcal{O}_K$ , then  $p$  (as in theorem)  $\in \{3, 5\}$ , and  $\alpha = a + b\theta + c\theta^2$  such that  $\frac{\alpha}{p} \notin S = \langle 1, \theta, \theta^2 \rangle$ , but  $\frac{\alpha}{p} \in \mathcal{O}_K$ .

and  $0 \leq |a|, |b|, |c| \leq p/2$

Case  $p=5$ : Suppose  $\frac{\alpha}{5} \in \mathcal{O}_K$ ,  $|a|, |b|, |c| \in \{0, 1, 2\}$

Now we can use combination of properties of  $N_K$  and  $\text{Tr}_K$ , of  $\frac{\alpha}{5}$  and related numbers to get  $\alpha=0$ , contradicting  $\frac{\alpha}{5} \in \mathcal{O}_K \setminus S$

$$\text{Trace: } \text{Tr}\left(\frac{\alpha}{5}\right) = \frac{1}{5} \text{Tr}(\alpha) = \frac{3a}{5} \in \mathbb{Z} \quad \Rightarrow 5 \mid a \quad \Rightarrow a=0$$

$\uparrow$  trace is linear                       $\uparrow$  know it must be

$$\text{So } \alpha = b\theta + c\theta^2 = \theta(b + c\theta)$$

From  $\frac{\alpha}{5} \in \mathcal{O}_K$ ,  $\theta \in \mathcal{O}_K$  get  $\frac{\alpha}{5}\theta \in \mathcal{O}_K$

$$\text{and } \frac{b\theta^2}{5} = \underbrace{\frac{\alpha\theta}{5}}_{\in \mathcal{O}_K} - \underbrace{\frac{c\theta^3}{5}}_{\in \mathcal{O}_K} \in \mathcal{O}_K$$

$\nearrow \theta^3 = 5$

$$\text{So } N_K\left(\frac{b\theta^2}{5}\right) \in \mathbb{Z}$$

$$= \frac{N_K(b)N(-\theta)^2}{N_K(5)} = \frac{b^3}{5^3} \underbrace{p_\theta(0)^2}_{-5} \Rightarrow \frac{b^3}{5} \in \mathbb{Z} \Rightarrow 5|b$$

$$\Rightarrow \alpha = c\theta^2$$

$$N_K\left(\frac{\alpha}{5}\right) \in \mathbb{Z} \quad = \frac{N_K(c\theta^2)}{5^3} = \frac{c^3 p_\theta(0)^2}{5^3} = \frac{c^3}{5} \Rightarrow c=0$$

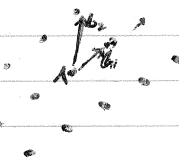
$$\text{So } \alpha = 0 \quad \square$$

Case  $p=3$  is similar.

### Minkowski's Theorem

Def: let  $\Lambda \subset \mathbb{R}^n$  be a full lattice with basis  $\{b_1, \dots, b_n\}$ . Then the fundamental domain for  $\Lambda \in \Lambda$  (and  $\{b_i\}$ ) is  
 $D_\Lambda := \left\{ \Lambda + \sum_{i=1}^n a_i b_i \mid 0 \leq a_i < 1 \right\}$  in  $\mathbb{R}^n$

Note: All lattice translates  $D_\Lambda, \Lambda \in \Lambda$  cover  $\mathbb{R}^n$  precisely.



Theorem (Minkowski)  $\Lambda \subset \mathbb{R}^n$  full lattice with fundamental domain  $D (= D_\Lambda, \Lambda \in \Lambda)$   
 Furthermore, let  $T$  be convex and a centrally symmetric subset  $\subset \mathbb{R}^n$ .

$$\left[ \begin{array}{l} \text{centrally symmetric: } t \in T \Rightarrow -t \in T \\ \text{convex: } a, b \in T \Rightarrow \mu a + (1-\mu)b \in T \text{ for } 0 \leq \mu \leq 1 \end{array} \right]$$

In particular,  $0 \in T$ . If  $\text{vol}(T) > 2^n \text{vol}(D)$ , then there exists a  $\Lambda \in \Lambda \cap T$  different from 0.

Proof (Idea) "intuitively clear". There are overlaps among the lattice translates of any  $S \subset \mathbb{R}^n$  with  $\text{vol}(S) > \text{vol}(D)$ . i.e.  $\exists \underbrace{s_1 \neq s_2}_{\in S}, \underbrace{\lambda_1 \neq \lambda_2}_{\in \Lambda} \text{ st } s_1 + \lambda_1 = s_2 + \lambda_2$

Use as follows:

- $\alpha \in T \Rightarrow -\alpha \in T$
- $\alpha, \beta \in T \Rightarrow \frac{1}{2}(\alpha - \beta) \in T$
- Take  $S' = \frac{1}{2}T = \{t/2 \mid t \in T\}$ , by assumption we get  $\text{vol}(S') = \frac{1}{2^n} \text{vol}(T) > \text{vol}(D)$ .

$\xRightarrow{\text{above}} \exists s_1, s_2 \in S', s_1 - s_2 \in \Lambda \setminus \{0\} \quad \square$

Apply this to # of  $K$ ,  $[K:\mathbb{Q}] = n = s + 2t$ ,  $s = \#\{\text{real embs}\}$   
 $t = \#\{\text{pairs of complex embeddings}\}$

$$\sigma: K \rightarrow \mathbb{R}^s \times \mathbb{C}^t$$

$$\alpha \mapsto (\sigma_1(\alpha), \dots, \sigma_s(\alpha), \sigma_{s+1}(\alpha), \dots, \sigma_{s+t}(\alpha)) \quad \mathbb{R}^{2t} \text{ - a real vector space.}$$

Map further to

$$\begin{aligned} \alpha &\mapsto (\sigma_1(\alpha), \dots, \text{Re}(\sigma_{s+1}(\alpha)), \text{Im}(\sigma_{s+1}(\alpha)), \dots) \\ K &\mapsto \mathbb{R}^{s+2t} \end{aligned}$$

Prop:  $I \subset \mathcal{O}_K$  ideal  $\Rightarrow \sigma(I)$  is a full lattice in  $\mathbb{R}^n$ , and  
 $\text{vol}(\mathcal{D}_I) = 2^{-t} N(I) \sqrt{\Delta_K}$

Idea: Pass to  $(\sigma_1(\alpha), \dots, \sigma_s(\alpha), \sigma_{s+1}(\alpha), \dots)$   
 $\rightarrow$  gives  $(-2i)^t$  as "difference factor."

The new presentation then gives  $\det = (\sigma(\alpha_i)) = \dots = \Delta_K(\cdot)$ .

Minkowski bound now from comparing "balls" (wrt a certain norm) in  $\mathbb{R}^s \times \mathbb{C}^t$   
 $\mathbb{R}^s \times \mathbb{C}^t \rightarrow 2^s \left(\frac{\pi}{2}\right)^t \frac{\rho^n}{n!}$  ( $\rho = \text{'radius for balls'}$ )

Important Material not covered

Dirichlet Unit Theorem

$$\mathcal{O}_K^* = \underbrace{\mathbb{Z}/n\mathbb{Z}}_{\substack{\text{roots of 1} \\ \text{inside } K}} \times \mathbb{Z}^{s+t-1}$$

Ex:  $\mathbb{Q}(\sqrt{d})$ ,  $d > 1 \Rightarrow s=2, t=0$

$\Rightarrow$  get  $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}$

$\hookrightarrow \pm 1$  generated by fundamental unit.

Class number formula

$$\text{for } \mathbb{Q}(\sqrt{\Delta}) \quad \Delta \text{ discriminant}$$

$$h_\Delta = -\frac{1}{\log u} \sum_{r=1}^{h_\Delta} \left(\frac{\Delta}{r}\right) \log\left(\sin \frac{\pi r}{\Delta}\right)$$

Ex:  $d=5$

$$\left(\frac{\Delta}{r}\right) = 1 \quad r=1 \rightarrow \log\left(\sin\left(\frac{\pi}{5}\right)\right) \approx -0.53\dots$$

$$\left(\frac{\Delta}{r}\right) = -1 \quad r=2 \rightarrow \log\left(\sin\left(\frac{2\pi}{5}\right)\right) \approx -0.05$$

$$\log\left(\frac{1+\sqrt{5}}{2}\right) \approx 0.46\dots$$

# Prime Decomposition in General # Fields

Useful for

computing class

Dedekind's Theorem: Suppose  $\mathcal{O}_K = \mathbb{Z}[\theta]$  and  $f_\theta(x)$  the minimal polynomial of  $\theta$ . For any prime  $p$ , one has, after reducing mod  $p$ , the factorisation  $\bar{f}_\theta(x) = \bar{g}_1(x) \dots \bar{g}_r(x)$

$g_i(x) \in \mathbb{Z}[x]$  irreducible and monic.

Then we have the prime decomposition  $(p) = (p, g_1(\theta)) \dots (p, g_r(\theta))$  of respective norms  $p^{f_i(\theta)}$

Sheet 10 q 8: Take  $\theta$ , a root of  $x^3 + 3x - 1$ . Factorise  $(2\theta - 3), (\theta - 7), (3\theta^2 + 2)$  and  $3(\theta - 7, \theta^2 - \theta - 9)$

$K = \mathbb{Q}(\theta)$ , we are given  $\mathcal{O}_K = \mathbb{Z}[\theta]$ .

$$N_K(2\theta - 3) = N_K(-2) N_K(\frac{3}{2} - \theta) = (-2)^3 \cdot (\frac{3}{2})^3 + \frac{3}{2} \cdot 3 - 1 = -55$$

$$N_K(\theta - 7) = -f(-7) = -3 \cdot 11^2$$

$$N_K(3\theta^2 + 2) = \frac{N_K(\theta(3\theta^2 + 2))}{N_K(\theta)} = \frac{N_K(\overbrace{3(1 - 3\theta)}^{= 3 - 7\theta} + 2\theta)}{N_K(\theta)}$$

$$= \frac{N_K(7) N_K(\frac{3}{7} - \theta)}{N_K(\theta)} = \dots = 125 = 5^3$$

Primes to check: 3, 5, 11

mod 3:  $\bar{f}(x) = x^3 - 1 = (x - 1)^3$   
 $\Rightarrow (3) = \underbrace{(3, \theta - 1)^3}_{= p_3}$ ,  $p_3$  of norm 3

mod 5:  $\bar{f}(x) = (x + 1)(x - 3)^2$   
 $\Rightarrow (5) = \underbrace{(5, \theta - 1)}_{\text{norm} = 5^1} \cdot \underbrace{(5, \theta - 3)^2}_{\text{norm} = 5^1} \leftarrow p_5'$

mod 11:  $\bar{f}(x) = (x - 7)(x^2 - 7x + 8)$   
 $(11) = \underbrace{(11, \theta - 7)}_{p_{11} \text{ of norm } 11} \cdot \underbrace{(11, \theta^2 - 7\theta + 8)}_{p_{11}' \text{ of norm } 11^2}$

So  $(2\theta - 3) \in p_5 : (2(\theta + 1) - 5) \Rightarrow p_5 | (2\theta - 3)$   
 $\uparrow \text{norm} = -5 \cdot 11$

$$\Rightarrow (2\theta - 3) = p_5 p_{11}$$

Inverse:  $((2\theta - 3)^{-1}) = p_5^{-1} p_{11}^{-1} = \frac{(p_5^{-1})^2}{(5)} \cdot \frac{(p_{11}')}{(11)} = \frac{1}{(55)} (p_5')^2 p_{11}'$   
 $\uparrow$  "up there"

$$\begin{aligned}
 \text{Now } & 3(\theta-7, \theta^2-\theta-9) \\
 &= 3(\theta-7, \theta^2-\theta-9 - (\theta-7)(\theta+6)) \\
 &= 3(\theta-7, 33)
 \end{aligned}$$

$$[N(\theta-7) = -3 \cdot 11^2]$$

short calculation  $\Rightarrow (\theta-7)$  has factors  $p_3 \cdot p_{11}^2$

$$\text{Also } (33) = p_3^3 \cdot p_{11} \cdot p_{11}'$$

$$= 3(\gcd(p_3 p_{11}^2, p_3^3 \cdot p_{11} \cdot p_{11}')) = 3p_3 p_{11} = p_3^4 p_{11}$$

$$\text{with inverse } \frac{p_3^2}{(3^2)} \frac{p_{11}'}{(11)}$$

