

## Differential Geometry III, Term 2 (Section 13)

### 13 Gauss–Bonnet theorems

#### 13.1 A bit of topology

- Definition 13.1.** (a) A surface  $S \subset \mathbb{R}^n$  is a *closed surface* if  $S$  is bounded, connected and closed (as a set).
- (b) A surface is *oriented* if the Gauss map can be defined globally as a continuous map.
- (c) A *region* of a surface  $S$  is a subset of  $S$  such that its boundary consists of a finite number of smooth curves (called *edges*) and its interior is non-empty. We call the points in which two smooth curves meet on the boundary *vertices* (and we assume for simplicity that the curves meet non-tangentially).
- (d) A *triangle* is a region with three edges and three vertices homeomorphic to a disc (note that the edges, as well as the vertices, may coincide).
- (e) A *triangulation* of a (bounded) region  $R$  is a subdivision of  $S$  into a finite number of triangles meeting only in common edges or common vertices.
- (f) The *Euler characteristic* of a region  $R$  is defined by

$$\begin{aligned}\chi(R) &:= F(R) - E(R) + V(R) \\ &= \#\text{triangles} - \#\text{edges} + \#\text{vertices},\end{aligned}$$

where  $F(R)$  is the number of triangles,  $E(R)$  the number of edges and  $V(R)$  the number of vertices of the triangulation.

**Example 13.2.** A closed disc has Euler characteristic 1, a sphere has Euler characteristic 2, a closed cylinder  $S^1 \times [0, 1]$  (as well as a torus) has Euler characteristic 0.

*A priori*, the Euler characteristic may depend on the triangulation.

**Theorem 13.3.** The Euler characteristic is independent of the triangulation.

Basically, oriented closed surfaces can be topologically characterized by their Euler characteristic:

$$\chi(S) = 2 - 2g,$$

where  $g$  is the *genus* of  $S$  (roughly, the number of “handles” in  $S$ ).

**Theorem 13.4** (Jordan Curve Theorem). Let  $S$  be a surface homeomorphic to the plane, and let  $\alpha: [0, 1] \rightarrow S$  be a simple closed curve (i.e.,  $\alpha(0) = \alpha(1)$  and  $\alpha(t_1) \neq \alpha(t_2)$  for  $t_1 < t_2$  other than  $t_1 = 0, t_2 = 1$ ). Then  $S \setminus \alpha(I)$  has exactly two components, and one of them is homeomorphic to a disc.

## 13.2 The Gauss–Bonnet theorem

**Definition 13.5.** Let  $R \subset S$  be a region.

- (a) Denote by  $dA$  the area measure of a surface  $S$  (locally,  $dA = \sqrt{EG - F^2} du dv$ ), and we will write

$$\int_R K dA$$

for the integral of the Gauss curvature over  $R$  (the *total* Gauss curvature of  $R$ ).

- (b) Denote by  $ds$  the length measure of a curve or the boundary of a region, we will write

$$\int_{\partial R} \kappa_g ds = \sum_{j=1}^r \int_{I_j} \kappa_{g, \alpha_j}(s) ds_j$$

for the line integral of the geodesic curvature along the boundary of a region consisting of  $r$  smooth curves  $\alpha_j$ .

- (c) Let us parametrize the curves along  $\partial R$  counter-clockwise, and the curves are numbered in the same direction. We define the *angle*  $\vartheta_j$  at the vertex  $v_j$  (where curve  $\alpha_{j-1}$  and  $\alpha_j$  meet) as the angle between the tangent vector of  $\alpha_{j-1}$  with the tangent vector of  $\alpha_j$ , i.e.  $\vartheta_j$  is the exterior angle of  $R$  at  $v_j$ .

Note that all objects here are intrinsic (Gauss curvature, geodesic curvature), so we can state the Gauss–Bonnet Theorem for any surface  $S$  embedded in  $\mathbb{R}^n$  (not only for  $n = 3$ ).

**Theorem 13.6** (Global Gauss–Bonnet Theorem). Let  $R$  be a region in an oriented surface  $S$ . Then

$$\int_R K dA + \int_{\partial R} \kappa_g ds + \sum_{j=1}^r \vartheta_j = 2\pi\chi(R).$$

Let us mention some special cases.

**Corollary 13.7** (Special cases of the Gauss–Bonnet Theorem).

- (a) (*R bounded by geodesics*) If the region  $R$  is bounded piecewise by *geodesics*, then

$$\int_R K dA + \sum_{j=1}^r \vartheta_j = 2\pi\chi(R).$$

- (b) (*R bounded by a closed geodesic*) If  $\gamma$  is a simple closed geodesic and  $R$  is a region having  $\gamma$  as its boundary, then

$$\int_R K dA = 2\pi\chi(R).$$

- (c) (*No boundary, case  $R = S$ ,  $\partial R = \emptyset$* ) If  $S$  is a closed surface, then

$$\int_S K dA = 2\pi\chi(S).$$

**Theorem 13.8** (Local Gauss–Bonnet Theorem/Gauss–Bonnet Theorem for triangles). Let  $T$  be a triangle in an oriented surface  $S$  with interior angles  $\alpha$ ,  $\beta$  and  $\gamma$ . Then

$$\int_T K \, dA + \int_{\partial T} \kappa_g \, ds = \alpha + \beta + \gamma - \pi.$$

Some more special cases.

**Corollary 13.9.** Assume that  $S$  is a surface of constant Gauss curvature  $K$ . Assume additionally, that  $T$  is a geodesic triangle in  $S$  (i.e.,  $\partial T$  consists of three arcs of geodesics). Then

$$K \cdot (\text{area } T) = \alpha + \beta + \gamma - \pi.$$

**Example 13.10.**

- (a) On a sphere ( $K = 1$ ), the sum of angles in a (geodesic) triangle is always *larger* than  $\pi$  and the difference is equal to the area of the triangle.
- (b) On a plane ( $K = 0$ ), the sum of angles in a (geodesic) triangle is always  $\pi$  (independent of the area of the triangle).
- (c) On the hyperbolic plane ( $K = -1$ ), the sum of angles in a (geodesic) triangle is always *smaller* than  $\pi$  and the difference is equal to the area of the triangle.

**Example 13.11.** (a) The total Gauss curvature of the region  $R$  of a unit sphere given by the triangle with vertices at the North pole and two points on the equator at distance one quarter of the circumference is equal to  $\pi/2$  as  $R$  covers one eighth of the surface of the unit sphere. On the other hand, one can observe that  $R$  is a regular right-angled triangle, so the statement of the local Gauss–Bonnet theorem becomes “area of  $R = 3\pi/2 - \pi$ ”.

- (b) The total Gauss curvature of a surface  $T$  homeomorphic to a torus is equal to zero since the Euler characteristic is zero. In particular, if  $T$  is not flat everywhere, then it contains elliptic, parabolic and flat points.

**Example 13.12.** Let  $S$  be homeomorphic to the plane  $\mathbb{R}^2$ , and assume that  $K \leq 0$  everywhere on  $S$ . Then  $S$  cannot have any simple closed geodesic.

Indeed, by the Jordan curve theorem, a simple closed curve  $\alpha$  encloses two regions, one of them homeomorphic to a disc; call this region  $R$ . If we assume now that  $\alpha$  were a closed geodesic, then its geodesic curvature would vanish and there would be no vertices, hence by the Gauss–Bonnet theorem we would have

$$\int_R K \, dA + \underbrace{\int_{\partial R} \kappa_g \, ds}_{=0} + \underbrace{\sum_{j=1}^r \vartheta_j}_{=0} = 2\pi \underbrace{\chi(R)}_{=1}$$

as the Euler characteristic of a disc is  $\chi(R) = 1$  (the same as for a triangle). But since  $K \leq 0$ , the integral  $\int_R K \, dA \leq 0$ , and this is a contradiction. Therefore, there is no such geodesic.

**Example 13.13.** One can verify the local Gauss–Bonnet theorem explicitly for an “ideal” triangle on a hyperbolic plane: the area of the region bounded by two vertical lines  $u = u_1$  and  $u = u_2$  and a semicircle intersecting the real axis at points  $u_1$  and  $u_2$  is equal to  $\pi$ .

**Example 13.14.** Let  $T$  be a flat torus in  $\mathbb{R}^4$  (i.e. a torus parametrized by  $\mathbf{x}(u, v) = (\cos u, \sin u, \cos v, \sin v)$ ). The Gauss–Bonnet theorem implies that any non-closed geodesic on  $T$  is not self-intersecting.

The same result can be obtained by considering the geodesics on  $T$  as images of lines on  $\mathbb{R}^2$  under local isometry  $\mathbf{x}$ .