

## Riemannian Geometry IV, Solutions 5 (Week 15)

**5.1. (★)** The Bonnet – Myers theorem claims that if  $(M, g)$  is complete and connected, and there is  $\varepsilon > 0$  such that  $Ric_p(v) \geq \varepsilon$  for every  $p \in M$  and for every unit tangent vector  $v$ , then the diameter of  $M$  is finite.

Show by example that the assumption  $\varepsilon > 0$  is essential (i.e. cannot be substituted by the assumption  $Ric_p(v) > 0$ ).

*Solution:* One may consider an elliptic paraboloid of revolution  $z = x^2 + y^2$ . Its curvature is positive, but the paraboloid is not compact (e.g., it is unbounded). Note that although the curvature is positive (since the manifold is 2-dimensional sectional and Ricci curvatures coincide) it is not separated from zero, so there is no contradiction with Bonnet-Myers theorem.

### 5.2. Second Variational Formula of Energy

Let  $F : (-\varepsilon, \varepsilon) \times [a, b] \rightarrow M$  be a proper variation of a geodesic  $c : [a, b] \rightarrow M$ , and let  $X$  be its variational vector field. Let  $E : (-\varepsilon, \varepsilon) \rightarrow \mathbb{R}$  denote the associated energy, i.e.,

$$E(s) = \frac{1}{2} \int_a^b \left\| \frac{\partial F}{\partial t}(s, t) \right\|^2 dt.$$

Show that

$$E''(0) = \int_a^b \left\| \frac{D}{dt} X \right\|^2 - \langle R(X, c')c', X \rangle dt$$

*Solution:*

Since  $E(s) = \frac{1}{2} \int_a^b \langle \frac{\partial F}{\partial t}(s, t), \frac{\partial F}{\partial t}(s, t) \rangle dt$ , using the Riemannian property of covariant derivative we obtain

$$E'(s) = \int_a^b \left\langle \frac{D}{ds} \frac{\partial F}{\partial t}(s, t), \frac{\partial F}{\partial t}(s, t) \right\rangle dt.$$

Differentiating the integrand with respect to  $s$ , using the Symmetry Lemma, and setting then  $s = 0$  yields

$$E''(0) = \int_a^b \left. \frac{d}{ds} \right|_{s=0} \left\langle \frac{D}{dt} \frac{\partial F}{\partial s}(s, t), \frac{\partial F}{\partial s}(s, t) \right\rangle dt.$$

Applying Riemannian property of covariant derivative, Symmetry Lemma, and using that  $\frac{\partial F}{\partial s}(0, t) = X(t)$ , we conclude that

$$\begin{aligned} E''(0) &= \int_a^b \left\langle \left. \frac{D}{ds} \right|_{s=0} \frac{D}{dt} \frac{\partial F}{\partial s}(s, t), \frac{\partial F}{\partial t}(0, t) \right\rangle dt + \int_a^b \left\langle \frac{D}{dt} \frac{\partial F}{\partial s}(0, t), \left. \frac{D}{ds} \right|_{s=0} \frac{\partial F}{\partial t}(s, t) \right\rangle dt = \\ &= \int_a^b \left\langle \left. \frac{D}{ds} \right|_{s=0} \frac{D}{dt} \frac{\partial F}{\partial s}(s, t), \frac{\partial F}{\partial t}(0, t) \right\rangle dt + \int_a^b \left\langle \frac{D}{dt} \frac{\partial F}{\partial s}(0, t), \frac{D}{dt} \frac{\partial F}{\partial s}(0, t) \right\rangle dt = \\ &= \int_a^b \left\langle \left. \frac{D}{ds} \right|_{s=0} \frac{D}{dt} \frac{\partial F}{\partial s}(s, t), c'(t) \right\rangle dt + \int_a^b \left\| \frac{DX}{dt} \right\|^2 dt \end{aligned}$$

Now we use Lemma 8.5 to interchange the order of covariant derivatives, and again Riemannian property to obtain

$$\begin{aligned} \left\langle \left. \frac{D}{ds} \right|_{s=0} \frac{D}{dt} \frac{\partial F}{\partial s}(s, t), c'(t) \right\rangle &= \\ &= \left\langle \frac{D}{dt} \left. \frac{D}{ds} \right|_{s=0} \frac{\partial F}{\partial s}(s, t), c'(t) \right\rangle + \left\langle R \left( \frac{\partial F}{\partial s}(0, t), \frac{\partial F}{\partial t}(0, t) \right) \frac{\partial F}{\partial s}(0, t), c'(t) \right\rangle = \\ &= \frac{d}{dt} \left\langle \left. \frac{D}{ds} \right|_{s=0} \frac{\partial F}{\partial s}(s, t), c'(t) \right\rangle - \left\langle \left. \frac{D}{ds} \right|_{s=0} \frac{\partial F}{\partial s}(s, t), \frac{D}{dt} c'(t) \right\rangle + \langle R(X(t), c'(t))X'(t), c'(t) \rangle = \\ &= \frac{d}{dt} \left\langle \left. \frac{D}{ds} \right|_{s=0} \frac{\partial F}{\partial s}(s, t), c'(t) \right\rangle - \langle R(X(t), c'(t))c'(t), X(t) \rangle, \end{aligned}$$

since  $c(t)$  is geodesic and  $\frac{D}{dt}c'(t) = 0$ .

Now we are left to show that

$$\int_a^b \frac{d}{dt} \left\langle \frac{D}{ds} \Big|_{s=0} \frac{\partial F}{\partial s}(s, t), c'(t) \right\rangle dt = 0$$

Indeed,

$$\int_a^b \frac{d}{dt} \left\langle \frac{D}{ds} \Big|_{s=0} \frac{\partial F}{\partial s}(s, t), c'(t) \right\rangle dt = \left\langle \frac{D}{ds} \Big|_{s=0} \frac{\partial F}{\partial s}(s, b), c'(b) \right\rangle - \left\langle \frac{D}{ds} \Big|_{s=0} \frac{\partial F}{\partial s}(s, a), c'(a) \right\rangle,$$

but

$$\frac{\partial F}{\partial s}(s, a) = \frac{\partial F}{\partial s}(s, b) = 0$$

since the variation  $F(s, t)$  is proper.

- 5.3.** Let  $S^2 = \{x \in \mathbb{R}^3 \mid x_1^2 + x_2^2 + x_3^2 = 1\}$  be a unit sphere, and  $c : [-\pi/2, \pi/2] \rightarrow S^2$  be a geodesic defined by  $c(t) = (\cos t, 0, \sin t)$ . Define a vector field  $X : [-\pi/2, \pi/2] \rightarrow TS^2$  along  $c$  by

$$X(t) = (0, \cos t, 0).$$

Let  $\frac{D}{dt}$  denote the covariant derivative along  $c$ .

- Calculate  $\frac{D}{dt}X(t)$  and  $\frac{D^2}{dt^2}X(t)$ .
- Show that  $X$  satisfies the Jacobi equation.

*Solution:*

The problem can be solved by a direct computation: compute Christoffel symbols, and then compute first and second covariant derivatives of  $X(t)$ , then verify the Jacobi equation for  $X(t)$ .

- If we parametrize the sphere by  $(x, y, z) = (\sin \vartheta \cos \varphi, \sin \vartheta \sin \varphi, \cos \vartheta)$ , one has  $\Gamma_{11}^2 = -\sin \vartheta \cos \vartheta$ ,  $\Gamma_{12}^1 = \Gamma_{21}^1 = \cot \vartheta$  with others  $\Gamma_{ij}^k$  equal to zero, where  $\varphi = x_1$  and  $\vartheta = x_2$  (see Exercise 3.4).

In these coordinates, the curve  $c(t) = (\cos t, 0, \sin t)$  is  $c(t) = (0, \frac{\pi}{2} - t)$ ,  $c'(t) = (0, -1) = -\frac{\partial}{\partial \vartheta}$ . Further, observe that

$$\frac{\partial}{\partial \varphi} \Big|_{c(t)} = (-\sin \vartheta \sin \varphi, \sin \vartheta \cos \varphi, 0) \Big|_{\varphi=0, \vartheta=\frac{\pi}{2}-t} = (0, \cos t, 0) = X(t)$$

Hence,

$$\begin{aligned} \frac{D}{dt}X(t) &= \nabla_{c'(t)}X(t) = \nabla_{-\frac{\partial}{\partial \vartheta}}\frac{\partial}{\partial \varphi} = -\cot \vartheta \frac{\partial}{\partial \varphi} \Big|_{c(t)} = -\tan t X(t), \\ \frac{D^2}{dt^2}X(t) &= \frac{D}{dt}(-\tan t X(t)) = -\sec^2 t X(t) + \tan^2 t X(t) = -X(t) = -\frac{\partial}{\partial \varphi} \Big|_{c(t)} \end{aligned}$$

- Compute  $R(X, c')c' = \nabla_X \nabla_{c'}c' - \nabla_{c'} \nabla_X c' - \nabla_{[X, c']}c'$ . As  $X = \frac{\partial}{\partial \varphi}$  and  $c' = -\frac{\partial}{\partial \vartheta}$ , we have  $[X, c'] = 0$ . Also,

$$\begin{aligned} \nabla_X \nabla_{c'}c' &= \nabla_{\frac{\partial}{\partial \varphi}} \nabla_{-\frac{\partial}{\partial \vartheta}} - \frac{\partial}{\partial \vartheta} = \nabla_{\frac{\partial}{\partial \varphi}} 0 = 0, \\ \nabla_{c'} \nabla_X c' &= \nabla_{-\frac{\partial}{\partial \vartheta}} \nabla_{\frac{\partial}{\partial \varphi}} - \frac{\partial}{\partial \vartheta} = \nabla_{\frac{\partial}{\partial \vartheta}} (\cot \vartheta \frac{\partial}{\partial \varphi}) = -\frac{1}{\sin^2 \vartheta} \frac{\partial}{\partial \varphi} + \cot \vartheta (\cot \vartheta \frac{\partial}{\partial \varphi}) = (\cot^2 \vartheta - \frac{1}{\sin^2 \vartheta}) \frac{\partial}{\partial \varphi} = -X(t). \end{aligned}$$

Thus,  $R(X, c')c' = X(t) = \frac{\partial}{\partial \varphi}$ , and (since  $\frac{D^2}{dt^2}X(t) = -X(t) = -\frac{\partial}{\partial \varphi}$ ) Jacobi equation holds.

#### 5.4. Jacobi fields on manifolds of constant curvature.

Let  $M$  be a Riemannian manifold of constant sectional curvature  $K$ , and  $c : [0, 1] \rightarrow M$  be a geodesic parametrized by arc length. Let  $J : [0, 1] \rightarrow TM$  be an orthogonal Jacobi field along  $c$  (i.e.  $\langle J(t), c'(t) \rangle = 0$  for every  $t \in [0, 1]$ ).

- Show that  $R(J, c')c' = KJ$ .

- (b) Let  $Z_1, Z_2 : [0, 1] \rightarrow TM$  be parallel vector fields along  $c$  with  $Z_1(0) = J(0)$ ,  $Z_2(0) = \frac{DJ}{dt}(0)$ . Show that

$$J(t) = \begin{cases} \cos(t\sqrt{K})Z_1(t) + \frac{\sin(t\sqrt{K})}{\sqrt{K}}Z_2(t) & \text{if } K > 0, \\ Z_1(t) + tZ_2(t) & \text{if } K = 0, \\ \cosh(t\sqrt{-K})Z_1(t) + \frac{\sinh(t\sqrt{-K})}{\sqrt{-K}}Z_2(t) & \text{if } K < 0. \end{cases}$$

*Hint:* Show that these fields satisfy Jacobi equation, their value and covariant derivative at  $t = 0$  is the same as for  $J(t)$ .

*Solution:*

- (a) We conclude from Exercise 4.3 that

$$R(v_1, v_2)v_3 = K(\langle v_2, v_3 \rangle v_1 - \langle v_1, v_3 \rangle v_2).$$

This implies

$$R(J, c')c' = K(\langle c', c' \rangle J - \langle J, c' \rangle c').$$

Since  $\|c'\|^2 = 1$  and  $J \perp c'$ , we obtain

$$R(J, c')c' = KJ.$$

- (b) We only consider the case  $K > 0$ , all other cases are similar. The vector field

$$J(t) = \cos(t\sqrt{K})Z_1(t) + \frac{\sin(t\sqrt{K})}{\sqrt{K}}Z_2(t)$$

satisfies  $J(0) = Z_1(0)$  and

$$\frac{DJ}{dt}(t) = -\sqrt{K} \sin(t\sqrt{K})Z_1(t) + \cos(t\sqrt{K})Z_2(t),$$

which implies  $\frac{DJ}{dt}(0) = Z_2(0)$ . Obviously, we have

$$\frac{D^2J}{dt^2}(t) = -K \cos(t\sqrt{K})Z_1(t) - \sqrt{K} \sin(t\sqrt{K})Z_2(t) = -KJ(t),$$

and therefore we obtain

$$\frac{D^2J}{dt^2}(t) + KJ(t) = 0,$$

i.e.,  $J$  satisfies the Jacobi equation.