

# 8. TOPOLOGICAL SOLITONS & INSTANTONS

This chapter: non-trivial solutions of field equations whose existence and stability is ensured by topology.

## 8.1 - Global vortices

(E. Weinberg 3.1;  
Manton-Sutcliffe 7.1, 7.2)

- Vortices are topological solitons (non-trivial finite energy localised sol'n's protected by topology) which look like particles in 2 SPACE + 1 TIME dimensions.

(They look like strings in 3+1, membranes in 4+1, etc)

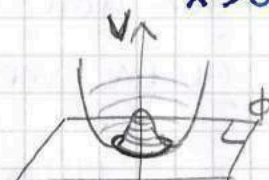
Coordinates  $(x^0, x^1, x^2)$ . Let

$$z = x^1 + ix^2 = r e^{i\theta} \quad (8.1)$$

be a complex coord. for  $\mathbb{C} \cong \mathbb{R}^2$  (space).

- Consider a cplx scalar field  $\phi$  with a U(1)-symmetric scalar potential

$$V(\phi, \bar{\phi}) = U(|\phi|^2) = \frac{\lambda}{2} (|\phi|^2 - v^2)^2. \quad \begin{matrix} \text{constants} \\ (v > 0) \\ (\lambda > 0) \end{matrix} \quad (8.2)$$



The ENERGY of a static field config'n ( $\dot{\phi} = 0$ ) is

$$E = \int d^2x \left[ \underbrace{|\nabla\phi|^2}_{\substack{\text{III} \\ (\partial_j \bar{\phi})(\partial_j \phi) \\ \text{or} \\ \sum_{j=1}^2 |\partial_j \phi|^2}} + \underbrace{U(|\phi|^2)}_{\substack{\text{II} \\ \frac{\lambda}{2} (|\phi|^2 - v^2)^2 \\ \text{or} \\ V_0}} \right] \quad (8.3)$$

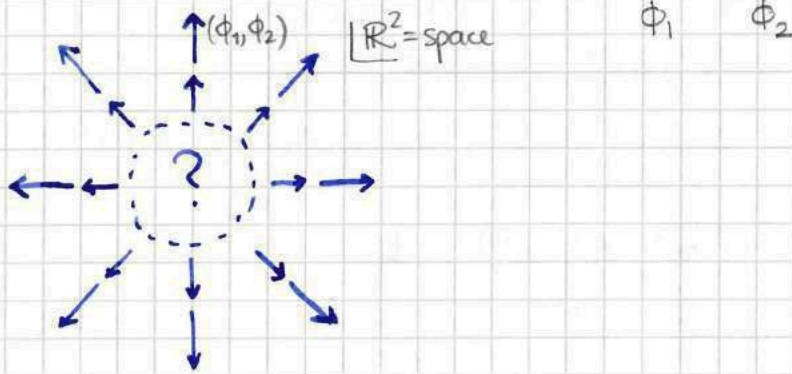
- <sup>Static</sup> Configurations of minimum energy  $\xrightarrow{E=0}$  (vacua)

$$\phi = |\phi| e^{i \arg(\phi)} = v e^{i\alpha} \quad (8.4)$$

where  $\alpha \sim \alpha + 2\pi$  is a constant. They parametrize the vacuum manifold (or "moduli space of vacua")

$$V = S^1 \quad (\text{circle}) \quad (8.5)$$

- We are interested in less trivial <sup>static</sup> configurations of finite energy, which look like this: (drawing  $\vec{\phi} = (\text{Re } \phi, \text{Im } \phi)$  in  $\mathbb{R}^2 = \text{space}$ )



#### REMARKS:

- 1) Such a field config<sup>n</sup> cannot be deformed continuously to the vacuum, if we keep the boundary conditions (BC) at spatial infinity fixed.
- 2) Keeping the BC fixed, the above static field configuration can be deformed continuously until the energy (8.3) is minimized. The resulting configuration solves the (E.-L.) EoM (\*Ex 31) and is called a GLOBAL VORTEX.

$\updownarrow$   
 because  $U(1)$  GLOBAL symmetry.

- There is some interesting underlying topology.  
 Given a smooth config<sup>n</sup> of  $\phi$ , we can define the VORTICITY  
/VORTEX NUMBER/WINDING NUMBER

$$N[C] = \frac{1}{2\pi} \oint_C \nabla \arg(\phi) \cdot d\vec{l} = \frac{1}{2\pi} \oint_C \partial_i \arg(\phi) dx^i \quad (8.6)$$

associated to a loop  $C$ .

- 1) If  $\phi \neq 0$  along  $C$ ,  $\arg(\phi)$  is well defined and (8.6) counts the number of full rotations that  $\arg(\phi)$  makes during one clockwise circuit along  $C$ , which is an integer.
- 2) If we deform  $C$  continuously,  $N[C]$  also changes continuously. But it's an integer, so it can only be continuous by being a constant. (One says that  $N[C]$  is "topologically conserved")
- 3) There is an exception: if  $C$  passes through a point  $P$  where  $\phi = 0$ , then  $\arg(\phi)$  is ill-defined, and so is (8.6). Then



$$N[C] - N[C'] = N[C_P] \quad (8.7)$$

and the jump in the vortex number,  $N[C_P]$ , is the order of vanishing of  $\phi$  at  $P$ .

(If  $N[C_P] < 0$ , then  $-N[C_P]$  is the order of a pole).

\* Ex 32: Let  $\phi \approx c \cdot (z - z_0)^n$ ,  $n \in \mathbb{Z}$ , near  $z = z_0$ . Show that  $N[C_{z_0}] = n$  if  $C_{z_0}$  is an infinitesimal loop encircling  $z_0$ .

We say that there are:

$N[C_P]$	<u>vortices</u>	sitting at $P$	if	$N[C_P] > 0$
$-N[C_P]$	<u>antivortices</u>	" "	"	$N[C_P] < 0$ .

4) So

$$N[C] = \left( \begin{array}{c} \# \text{ vortices} \\ \text{enclosed by } C \end{array} \right) - \left( \begin{array}{c} \# \text{ antivortices} \\ \text{enclosed by } C \end{array} \right) \quad (8.8)$$

and, letting  $C = S^1_\infty$ , the circle at spatial infinity,

$$N \equiv N[S^1_\infty] = \left( \begin{array}{c} \text{total} \\ \# \text{ vortices} \end{array} \right) - \left( \begin{array}{c} \text{total} \\ \# \text{ antivortices} \end{array} \right). \quad (8.9)$$

5) Topology is the branch of maths that studies shapes up to continuous deformations. Topologically,  $C \approx S^1$  and

$$\arg(\phi)|_C : \begin{array}{c} \text{spatial} \\ C \\ \parallel \\ S^1 \end{array} \rightarrow \begin{array}{c} \text{field space / "target space"} \\ S^1 \end{array}$$

loop circle

counts how many times the spatial loop  $C$  winds around the circle parametrized by  $\arg(\phi)$  in field space / target space

Maps from  $S^1$  to  $S^1$  are labelled by an integer, the winding number  $N[C]$ .

• Let's now look for a static solution of the field equations

$$\nabla^2 \phi - \lambda (|\phi|^2 - v^2) \phi = 0 \quad (8.10)$$

with  $N=1$ . Solving (8.10) in general is hard, so let's make the ansatz

$$\phi(\underline{x}) = f(r) e^{i\theta} \quad (8.11)$$

[ NOTE: (8.11) is invariant under a combined rotation in spatial  $\mathbb{R}^2$  and a phase rotation of  $\phi$ . ]

If we require  $\phi$  to be invariant under a reflection about the  $x^1$ -axis combined with cplx. conjugation of  $\phi$ , then

$$\phi(r, \theta) = \phi^*(r, -\theta) \quad (8.12)$$

which implies

$$f(r) \in \mathbb{R}. \quad (8.13)$$

Subbing (8.11)-(8.13) in (8.10), we get the ODE

$$f''(r) + \frac{1}{r} f'(r) - \frac{1}{r^2} f + \lambda(v^2 - f^2)f = 0. \quad (8.14)$$

Proof: \* Ex 33.

We impose the BOUNDARY CONDITIONS

$$f(0) = 0, \quad f(\infty) = v \quad (8.15)$$

so that  $\phi$  is non-singular at the origin and  $U(|\phi|^2) \rightarrow 0$  at spatial infinity.

The eqn (8.14) with BC (8.15) can be solved numerically, but we run into a problem: the static energy (8.3) is infinite because  $\nabla\phi \not\rightarrow 0$  at spatial infinity, due to the  $\theta$ -dependence in (8.11).

E ...

\* Ex 34:

1) Let  $\phi(\underline{x}) = \rho(\underline{x}) e^{i\alpha(\underline{x})}$ . Show that

$$E = \int d^2x \left[ (\nabla\rho)^2 + \rho^2 (\nabla\alpha)^2 + \frac{\lambda}{2} (\rho^2 - v^2)^2 \right].$$

2) Let  $\phi = f(r) e^{i\theta}$  as in (8.11). Show that

$$\rho^2 (\nabla\alpha)^2 = \frac{f^2}{r^2} \xrightarrow{r \rightarrow \infty} \frac{v^2}{r^2},$$

and that this causes a logarithmic divergence of  $E$ .

(That is, let  $E_R = \int_{r \in R} d^2x \dots$  and show that  $E_R \sim \log R$  as  $R \rightarrow \infty$ .)

## 8.2 - Derrick's theorem

[E. Weinberg 3.2]

- The previous negative result is not an accident, but a particular case of a more general theorem due to Derrick (1964).
- Consider a scalar field theory in D space dimensions:

$$\mathcal{L} = -\frac{1}{2} G_{ab}(\phi) \partial_\mu \phi^a \partial^\mu \phi^b - V(\phi), \quad (8.16)$$

where  $G_{ab}(\phi)$  is positive definite  $\forall \phi$  and  $V(\phi) = 0$  at its global minima.

Then any finite energy static solution of the field equations is a stationary point of the static (or potential) energy functional.

$$E[\phi] = E_k[\phi] + E_v[\phi],$$

$$E_k[\phi] = \int d^D x \frac{1}{2} G_{ab}(\phi) \partial_i \phi^a \partial_i \phi^b \geq 0 \quad \text{because } G_{ab}(\phi) \text{ +ve definite} \quad (8.17)$$

$$E_v[\phi] = \int d^D x V(\phi) \geq 0 \quad \text{because } V(\phi) \geq 0$$

Spatial indices  $i$  are summed over in  $E_k[\phi]$  - no distinction between upper and lower indices. Internal indices  $a, b$  are also summed over.

\* Ex 35.1: prove the claim above (8.17).

[Hint: treat  $E[\phi]$  as an action for static field configs, and derive its Euler-Lagrange eqns.]

- Given a static solution of the EoM  $\phi(x) = \phi_1(x)$ , consider

$$\phi(x) = \phi_\lambda(x) \equiv \phi_1(\lambda x), \quad (8.18)$$

a 1-parameter family of field configs labelled by  $\lambda > 0$ .

Then

$$\begin{aligned} E[\phi_\lambda] &= E_k[\phi_\lambda] + E_v[\phi_\lambda] \\ &= \lambda^{2-D} E_k[\phi_1] + \lambda^{-D} E_v[\phi_1] \end{aligned} \quad (8.19)$$

Proof : \* Ex 35.2 .

We know that  $\lambda=1$ , which returns the static sol'n of the EoM, is a stationary point of  $E[\phi_\lambda]$ , hence

$$\begin{aligned} 0 &= \left. \frac{d}{d\lambda} E[\phi_\lambda] \right|_{\lambda=1} \\ &= (2-D) \lambda^{1-D} E_k[\phi_1] - D \lambda^{-1-D} E_v[\phi_1] \Big|_{\lambda=1} \\ &= (2-D) \underbrace{E_k[\phi_1]}_{\overset{0}{\text{}}} - D \underbrace{E_v[\phi_1]}_{\overset{0}{\text{}}} \end{aligned} \quad (8.20)$$

• D=1 : we get the identity

$$E_k[\phi_1] = E_v[\phi_1] .$$

There can be non-trivial finite energy solutions of the field eqns. (8.21)

• D=2 :  $E_v[\phi_1] = 0$  , (8.22)

which implies that  $\phi_1$  must be a vacuum for all  $x$ .

In particular, This rules out a <sup>global</sup> vortex solution, since it's not a vacuum. (need  $\phi=0$  at the core)

• D>2 : the two terms in the last line of (8.20) have the same sign. The only solution to (8.20) is  $E_k[\phi_1] = E_v[\phi_1] = 0$ , which again means that  $\phi_1$  must be a vacuum everywhere.

CONCLUSION :

There are no non-trivial (=non-constant) finite energy static solutions of the scalar field theory (8.16) in  $D>1$  space dimensions.

WAY OUT : introduce gauge fields.

## 8.3 - Gauged vortices

E. Weinberg 3.3  
Manton, Sutcliffe 7.1, 7.3  
Tong (GT) 2.5.2

- Let's return to our model for vortices in 2+1 dimensions, but now with a  $U(1)$  gauge symmetry.

We obtain the Abelian Higgs model, with

$$\mathcal{L} = -\frac{1}{4g^2} F_{\mu\nu} F^{\mu\nu} - \overline{D_\mu \phi} D^\mu \phi - \underbrace{\frac{\lambda}{2} (|\phi|^2 - v^2)^2}_{=U(|\phi|^2)}, \quad (8.23)$$

where

$$\begin{aligned} F_{\mu\nu} &= \partial_\mu A_\nu - \partial_\nu A_\mu \\ D_\mu \phi &= \partial_\mu \phi - i A_\mu \phi. \end{aligned} \quad (8.24)$$

- In the gauge  $A_0 = 0$ , the energy of static field configurations is  $\dot{\phi} = 0, \dot{A}_i = 0$

$$E = \int d^2x \left[ \sum_{j=1}^2 |D_j \phi|^2 + \underbrace{\frac{\lambda}{2} (|\phi|^2 - v^2)^2}_{=U(|\phi|^2)} + \frac{1}{2g^2} B^2 \right] \quad (8.25)$$

where

$$B = F_{12} = \partial_1 A_2 - \partial_2 A_1. \quad (8.26)$$

(In 3d: magnetic field transverse to the plane)

[See \*Ex 6 in the problem sheet.]

### Remarks:

- Vacua/Ground states are config's with  $E=0$ .

$$\begin{aligned} (1) \quad D_j \phi &= \partial_j \phi - i A_j \phi = 0 \\ (2) \quad |\phi| &= v \\ (3) \quad B &= 0. \end{aligned} \quad (8.27)$$

Eq. (2) sets  $\phi = v e^{i\alpha(x)}$ , and subbing in (1) we find  
 $\alpha = (\alpha^1, \alpha^2) = (\alpha_1, \alpha_2)$



$$A_j = -i \partial_j \log \phi = \partial_j \alpha, \quad (\text{"pure gauge"}) \quad (8.28)$$

which implies

$$B = F_{12} = 0. \quad (8.29)$$

2) A necessary condition for  $\underline{E} < \infty$  is that the fields  $(\phi, A)$  approach a vacuum at spatial infinity:  $\underline{E} \dots$

$$\lim_{|\underline{x}| \rightarrow \infty} \left( \phi(\underline{x}) - v e^{i \alpha_\infty(\underline{x})}, A_j(\underline{x}) - \partial_j \alpha_\infty(\underline{x}) \right) = 0. \quad (8.30)$$

By the logic of (8.29), this implies that  $B \rightarrow 0$  at infinity.

3) The total vortex number / winding number

$$N = N[S_\infty^1] := \frac{1}{2\pi} \oint_{S_\infty^1} \nabla \alpha_\infty \cdot d\underline{x} = \frac{1}{2\pi} \int_0^{2\pi} d\theta \frac{d\alpha_\infty(\theta)}{d\theta} \quad (\text{at } r \rightarrow \infty) \quad (8.31)$$

is gauge invariant and proportional to the magnetic flux through the plane

$$N = \frac{1}{2\pi} \oint_{S_\infty^1} \underline{A} \cdot d\underline{x} \stackrel{\text{Stokes}}{=} \frac{1}{2\pi} \int_{\mathbb{R}^2} B d^2x = \frac{\Phi(B)}{2\pi} \quad (8.32)$$



x: vortex  
□: antivortex

This means in particular that the magnetic flux through the spatial plane is quantized. (There's a hidden  $\hbar$  which I set to 1.)

4) In (8.31), the asymptotic profile  $\alpha_\infty(\theta)$  of  $\arg(\phi)$  defines a map

$$\alpha_\infty : S_\infty^1 \rightarrow S^1. \quad (8.33)$$

Such maps fall into disjoint classes, labelled by the integer  $N$ , the winding number, which counts the number of times  $\alpha_\infty(S_\infty^1)$  winds around  $S^1$ .

Mathematically, continuous maps from  $S^1$  to  $S^1$  are (partially) classified by the 1<sup>st</sup> homotopy group / "fundamental group" of  $S^1$

$$\pi_1(S^1) = \mathbb{Z}. \quad (8.34)$$

- Can we find static solutions of the equations of motion with  $N=1$ ?  
In the gauge  $A_0=0$ , one can use the ansatz

$$\begin{aligned} \phi(\underline{x}) &= v e^{i\theta} f(\sigma r) \quad \text{real} \\ A_j(\underline{x}) &= \varepsilon_{jk} \hat{x}^k \frac{a'(r)}{r} \end{aligned} \quad (8.35)$$

Remarks:

1) (8.35) is rotationally symmetric: the effect of a spatial rotation can be undone by a gauge transformation.

2) (8.35) is invariant under reflection about the  $x^1$ -axis combined with cplx conjugation (of  $\phi$ ).

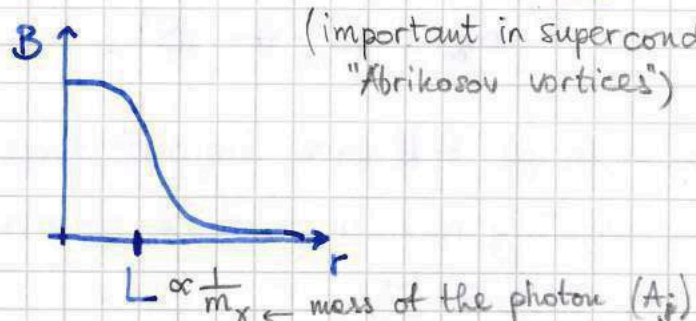
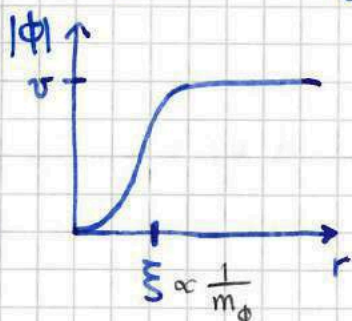
3) We require that

$$f, a \xrightarrow{r \rightarrow 0} 0, \quad f, a \xrightarrow{r \rightarrow \infty} 1 \quad (8.36)$$

to ensure regularity at the origin and the BC at infinity.

4) Using (8.35), the EoM reduce to a system of 2 ODE's for  $f(r), a(r)$ . (\*Ex 36: find them.)

The solutions are not known analytically, but are easy to find numerically: NIELSEN - OLESEN VORTICES.



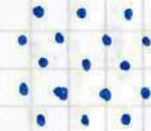
(important in superconductivity: "Abrikosov vortices")

5) The physics of multiple vortices depends on the ratio between the length scales  $\xi$  and  $L$  associated to  $\phi$  and  $B$ , due to competition between

- attractive force due to  $\phi \approx 0$
- repulsive force due to  $B \neq 0$

• Type I ( $\xi > L$ ): attraction wins  $\rightarrow$  separate vortices combine into one big vortex, inside which we are just in a normal vacuum.

• Type II ( $\xi < L$ ): repulsion wins  $\rightarrow$  lattice of separate vortices (Abrikosov lattice).



•  $\xi = L$ : zero net force. Coming next...

$\uparrow$   $\lambda = g^2$ , it turns out.

### 8.3.1 - Bogomol'nyi vortices

Let  $\lambda = g^2$ . We can massage the static energy (8.25), using a trick due to Bogomol'nyi, to find a lower bound for the energy for every fixed value of  $N$ :

$$E = \int d^2x \left[ \sum_{j=1}^2 |D_j \phi|^2 + \frac{g^2}{2} (|\phi|^2 - v^2)^2 + \frac{1}{2g^2} B^2 \right]$$

"complete the squares"  $\uparrow$   
(twice)

$$E = \int d^2x \left[ |D_1 \phi - i \varepsilon_1 D_2 \phi|^2 + i \varepsilon_1 (\overline{D_1 \phi} D_2 \phi - \overline{D_2 \phi} D_1 \phi) + \frac{1}{2g^2} (B - \varepsilon_2 g^2 (|\phi|^2 - v^2))^2 + \varepsilon_2 B (|\phi|^2 - v^2) \right] \quad (8.37)$$

where  $\varepsilon_1^2 = \varepsilon_2^2 = 1$  (i.e.,  $\varepsilon_1, \varepsilon_2$  are signs).

Integrating by parts and using the BC to drop boundary/surface terms,

$$\begin{aligned} \int d^2x \left( D_1 \bar{\phi} D_2 \phi - (D_2 \bar{\phi})(D_1 \phi) \right) &= - \int d^2x \bar{\phi} [D_1, D_2] \phi \\ &= + \int d^2x i \bar{\phi} F_{12} \phi = i \int d^2x B |\phi|^2. \end{aligned} \quad (8.38)$$

Hence

$$\begin{aligned} E &= \int d^2x \left[ |(D_1 - i \overset{\circ}{\epsilon}_1 D_2) \phi|^2 + \frac{1}{2g^2} (B - \overset{\circ}{\epsilon}_2 g^2 (|\phi|^2 - v^2))^2 \right. \\ &\quad \left. - \epsilon_1 B |\phi|^2 + \epsilon_2 B (|\phi|^2 - v^2) \right] \end{aligned} \quad (8.39)$$

Picking  $\epsilon_2 = \epsilon_1 \equiv \epsilon$ , we find that

$$E \geq -\epsilon v^2 \int d^2x B = -2\pi \epsilon v^2 N. \quad (8.40)$$

Since this holds for  $\epsilon = \pm 1$ , we find the BOGOMOL'NYI BOUND

$$E \geq 2\pi v^2 |N|. \quad (8.41)$$

static energy ↗
↖ vortex number

• The static sol's that saturate the bound, i.e.  $E = 2\pi v^2 |N|$ , obey the 1<sup>st</sup> order BOGOMOL'NYI EQNS

$$\begin{aligned} (D_1 - i \epsilon D_2) \phi &= 0 \\ B &= \epsilon g^2 (|\phi|^2 - v^2) \end{aligned} \quad (8.42)$$

where  $\epsilon = -\text{sign}(N)$ . They are called BOGOMOL'NYI VORTICES.

(if  $N > 0$ , otherwise antivortices)

The general solution can be found explicitly, and has  $2N$  <sup>real</sup> parameters.

## 8.4 - The Dirac monopole

[Nakahara 1.9(9.4, 10.5)]

- If we extend the Abelian Higgs model to 3+1 spacetime dimensions, static gauged vortices look like strings extending along  $x^3$ : the magnetic field is localised in the  $x^1$ - $x^2$  plane, near the core of the vortices.

**Q** Can we have a magnetic field localised near a point in  $\mathbb{R}^3$ ?  
(MAGNETIC MONOPOLE)

We can already ask the question in pure E-M.

**A1** NO!

1)  $\nabla$  magnetic charge density <sup>current</sup> in Maxwell's eqns

$$\begin{aligned}\partial_\mu F^{\mu\nu} &\propto j^\nu && \leftarrow \text{electric current} \\ \partial_\mu \tilde{F}^{\mu\nu} &= 0 && \leftarrow \text{no magnetic current}\end{aligned}\quad (8.43)$$

where  $\tilde{F}^{\mu\nu} = \frac{1}{2} \varepsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}$  ( $\varepsilon^{0123} = 1$ ).

2) In the gauge theory formulation of E-M, for static fields

$$\underline{\mathbf{B}} = \nabla \times \underline{\mathbf{A}} \Rightarrow \nabla \cdot \underline{\mathbf{B}} = 0. \quad \leftarrow \rho_m = 0 \quad (8.44)$$

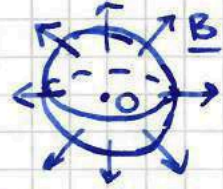
- This seems to suggest that pointlike magnetic charges don't exist, but Dirac (1931) found a loophole in this reasoning. This was understood later in geometric terms by Wu & Yang (1968).

As we will see, the key point is to remove a point (the position of the monopole) from space  $\mathbb{R}^3$ .

Then  $\nabla \cdot \underline{B} = 0$  everywhere in  $\mathbb{R}^3 \setminus 0$ , <sup>origin</sup> but we can have

$$m = \frac{1}{2\pi} \int_{S^2} \underline{B} \cdot d\underline{\sigma} \neq 0 \quad \text{MAGNETIC CHARGE} \quad (8.45)$$

↑ area element



magnetic flux through the 2-sphere surrounding the location of the monopole.

• REMARK:

(8.45) can also be phrased as

$$\nabla \cdot \underline{B} = 2\pi m \delta^{(3)}(\underline{x}) \quad \text{in } \mathbb{R}^3 \quad (8.46)$$

using Gauss' theorem (but it's better to work in  $\mathbb{R}^3 \setminus 0$ ).

Proof: \* Ex 38.

A2 YES!  
DIRAC MONOPOLE (AND DIRAC STRINGS)

• Using polar coordinates in  $\mathbb{R}^3$ , we have

$$\Delta \frac{1}{r} = -4\pi \delta^{(3)}(\underline{x}), \quad \nabla \frac{1}{r} = -\frac{\underline{x}}{r^3} \quad (8.47)$$

where  $r = |\underline{x}|$ . Then we can solve (8.46) by

$$\underline{B} = \frac{m}{2} \frac{\underline{x}}{r^3} = \frac{m}{2} \frac{1}{r^2} \hat{\underline{x}}, \quad (8.48)$$

similarly to how we describe pointlike electric charges.

• What about the vector potential/gauge field A? Consider

Consider A<sup>label</sup> given by

$$A_x^+ = -\frac{m}{2} \frac{y}{r(r+z)}, \quad A_y^+ = \frac{m}{2} \frac{x}{r(r+z)}, \quad A_z^+ = 0, \quad (8.49)$$

which has

$$\nabla \times \underline{A}^+ = \frac{m}{2} \frac{\underline{x}}{r^3} + 2\pi m \delta(x)\delta(y)\theta(-z) \quad (8.50)$$

Proof: \* Ex 38.

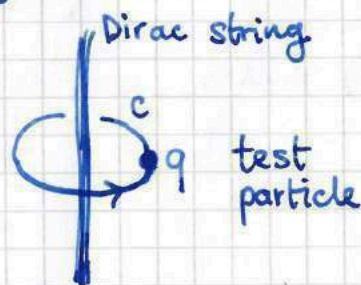
So we have

$$\underline{B}^+ = \nabla \times \underline{A}^+ = \underline{B} \quad (8.51)$$

on  $\mathbb{R}^3 \setminus \{\text{negative } z\text{-axis}\}$ , where  $\underline{A}^+$  is defined.

- The singularity along the -ve z-axis is called a DIRAC STRING. At first sight it looks problematic, but Dirac realized that it's not observable if the magnetic charge is properly quantized:  $m \in \mathbb{Z}$ .

Understanding this properly requires QM, but we can also see this as follows: consider moving a test particle of electric charge  $q \in \mathbb{Z}$  slowly around the Dirac string.



Its quantum wavefunction <sup>is multiplied by</sup> picks up a phase from parallel transport:

$$e^{iq \oint_C \underline{A} \cdot d\underline{x}} = W_C^{(q)} \leftarrow \begin{matrix} \text{Wilson loop along } C \\ \text{in rep of charge } q \end{matrix} \quad (8.52)$$

One can show that this phase is  $= 1$ , i.e. unobservable,  $\forall q \in \mathbb{Z}$  iff  $m \in \mathbb{Z}$ .

Proof: \* Ex 38.

- In fact, there's no need to worry about Dirac strings, as was understood by Wu & Yang (1968).

KEY IDEA: gauge fields are defined locally (connection of fibre bundle).

- Think of  $\mathbb{R}^3$  as  $\mathbb{R}_+ \times S^2$  (polar coordinate) and cover  $S^2$  by 2 patches  $U_+$  and  $U_-$ . In addition to  $A^+$  defined on  $U_+$ ,

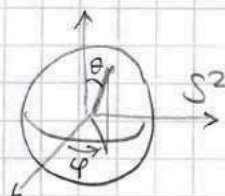
$$A_x^- = \frac{m}{2} \frac{y}{r(r-z)}, \quad A_y^- = -\frac{m}{2} \frac{x}{r(r-z)}, \quad A_z^- = 0 \quad (8.53)$$

defined on the southern patch  $U_-$ . (which can be taken to be  $S^2 \setminus N$ )

- $A^+$ ,  $A^-$  are non-singular where they are defined, and are related by a  $U(1)$  gauge transfo on the overlap  $U_+ \cap U_-$ :

$$A^\pm = A_x^\pm dx + A_y^\pm dy + A_z^\pm dz = A_r^\pm dr + A_\theta^\pm d\theta + A_\varphi^\pm d\varphi, \quad (8.54)$$

$$A^\pm = \frac{m}{2} (\pm 1 - \cos\theta) d\varphi$$



(\*Ex 39), so

$$\begin{aligned} A^+ - A^- &= m d\varphi = d(m\varphi) \equiv d\underbrace{\alpha_{+-}} \\ &= -ig_{+-}^{-1} dg_{+-} \end{aligned} \quad (8.55)$$

where the transition function (or gauge transfo parameter)

$$g_{+-}(\varphi) = e^{i\alpha_{+-}(\varphi)} = e^{im\varphi} \in \underline{U(1)} \quad (8.56)$$

Since  $\varphi \sim \varphi + 2\pi$  (angle, e.g. along the equator),  $g_{+-}$  is single-valued as we do one lap around the equator iff  $m \in \mathbb{Z}$ :

$$g_{+-}(\varphi + 2\pi) = g_{+-}(\varphi) \iff \underline{m \in \mathbb{Z}}. \quad (8.57)$$

So the quantization of the magnetic charge follows from requiring that  $A^\pm$  are connections for a  $U(1)$  principal bundle over  $S^2$ . (The charged test particle in Dirac's argument is then a section of an associated line bundle.)



REMARKS:

1) In this formulation, calling  $U_N$  = northern hemisphere,  $U_S$  = southern hemisphere,

$$\frac{1}{2\pi} \oint_{S^2} \underline{B} \cdot d\underline{\sigma} = \frac{1}{2\pi} \int_{U_N} \underline{B}^+ \cdot d\underline{\sigma} + \frac{1}{2\pi} \int_{U_S} \underline{B}^- \cdot d\underline{\sigma}$$

$$= \frac{1}{2\pi} \int_{U_N} (\nabla \times \underline{A}^+) \cdot d\underline{\sigma} + \frac{1}{2\pi} \int_{U_S} (\nabla \times \underline{A}^-) \cdot d\underline{\sigma}$$

(8.58)



opposite orientations

$$= \frac{1}{2\pi} \oint_{\text{equator}} \underline{A}^+ \cdot d\underline{l} - \frac{1}{2\pi} \oint_{\text{equator}} \underline{A}^- \cdot d\underline{l}$$

$$= \frac{1}{2\pi} \oint_{\text{equator}} (\underline{A}^+ - \underline{A}^-) = \frac{m}{2\pi} \int_0^{2\pi} d\varphi = m$$

||  
d\alpha\_{+-}

2) If  $m=1$ , the <sup>relevant</sup> principal  $U(1)$  bundle on  $S^2$  is the HOPF BUNDLE of Ex 27 (total space  $E = S^3$ ).

• Very nice! We can describe a static solution of Maxwell's eqn which is a pointlike magnetic charge (magnetic monopole) by excising the location of the monopole from space and exploiting geometry and topology of bundles over  $\mathbb{R}^3 \setminus \text{point}$  (or equivalently  $S^2$ ).

BUT (\* Ex 39):

(Show that) a Dirac monopole has infinite energy!

So A3 No! (?)

We'll fix this next.

## 8.5 - The 't Hooft - Polyakov monopole

- In 1974 't Hooft and Polyakov independently discovered that non-abelian gauge theories with scalars in the adjoint rep admit smooth monopoles as static finite energy solutions of the EoM.

### GEORGI - GLASHOW MODEL (SU(2) ADJOINT HIGGS MODEL)

Gauge group  $G = SU(2)$ ,  $\Phi \in \underline{\text{adj}}$  (triplet, 3-dim'l irrep)  
view as 2x2 traceless hermitian

$$\mathcal{L} = -\frac{1}{2g^2} \text{tr}(F_{\mu\nu} F^{\mu\nu}) - \text{tr}((D_\mu \Phi)(D^\mu \Phi)) - V(\Phi),$$

$$V(\Phi) = \lambda \left( \frac{1}{2} \text{tr}(\Phi^2) - v^2 \right)^2, \quad (v > 0) \quad (8.59)$$

where

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - i[A_\mu, A_\nu]$$

$$D_\mu \Phi = \partial_\mu \Phi - i[A_\mu, \Phi]. \quad (8.60)$$

The ENERGY of STATIC field configurations is (gauge  $A_0 = 0$ )

$$E = \int d^3x \left[ \frac{1}{g^2} \text{tr}(\underbrace{B_i B_i}_{=B^2}) + \text{tr}(\underbrace{(D_i \Phi)(D_i \Phi)}_{=(D\Phi)^2}) + V(\Phi) \right]. \quad (8.61)$$

$\underbrace{\hspace{10em}}_{-\frac{1}{2} \epsilon_{ijk} F_{jk}}$

We can minimize  $E$  by setting

$$\underline{B} = 0, \quad \underline{D}\Phi = 0, \quad \text{tr}(\Phi^2) = 2v^2. \quad (8.62)$$

$\downarrow$   
 $F_{ij} = 0$   
 $\Leftrightarrow A_i$  is pure gauge

$\downarrow$   
 $\Phi$  covariantly constant

By a gauge transfo we can set  $\underline{A} = 0$ , so  $\Phi$  is constant and

$$\text{tr}(\Phi^2) = 2v^2 \iff \phi_1^2 + \phi_2^2 + \phi_3^2 = v^2. \quad (8.63)$$

$\Phi = \phi_a \sigma_a$

Proof: \* Ex 40

So the vacuum manifold is

$$V = \{ \phi \mid \text{tr}(\phi^2) = v^2 \} \cong S^2. \quad (\text{radius} = v) \quad (8.64)$$

By a further (constant) gauge transfo, we can take

$$\Phi = \begin{pmatrix} v & 0 \\ 0 & -v \end{pmatrix} = v \sigma_3, \quad (8.65)$$

which breaks ("spontaneously" in physics parlance)  $G = SU(2)$  to  $H = U(1)$ .

$\uparrow$   $\mathcal{L}$  is invariant  
Ground state is not invariant

Proof: \* Ex 40.

• In order for the energy (8.61) to be finite, we require

$$\underline{BC}: \quad \underline{B} \rightarrow 0, \quad \underline{D}\phi \rightarrow 0, \quad \text{tr}(\phi^2) \rightarrow 2v^2 \quad \text{as } |\vec{x}| \rightarrow \infty \quad (8.66)$$

so the fields must tend to a vacuum at spatial infinity.

(this can be a different vacuum for each direction!)

So at spatial infinity we have a map

$$\Phi_\infty : S_\infty^2 \longrightarrow V \cong S^2, \quad (8.67)$$

which is characterized by an integer, the TOPOLOGICAL DEGREE of the map, which is a generalization of the winding number:

(Mathematically, this is because  $\pi_2(S^2) = \mathbb{Z}$ .)

$$v = \frac{1}{4\pi v^3} \int_{S_\infty^2} \frac{1}{2} \epsilon_{ijk} \vec{\Phi}_\infty \cdot (\partial_j \vec{\Phi}_\infty \times \partial_k \vec{\Phi}_\infty) d\sigma_i \quad (8.68)$$

where  $\vec{\Phi}_\infty = ((\Phi_\infty)_1, (\Phi_\infty)_2, (\Phi_\infty)_3)$ .

\* Ex: write the integrand of (8.68) in terms of the matrix  $\Phi_\infty = (\Phi_\infty)_a \sigma_a$ .

\* Ex 41: Let

$$F_{\mu\nu}^{U(1)} := \frac{1}{2v} \text{tr}(\Phi_\infty F_{\mu\nu}) \quad (8.69)$$

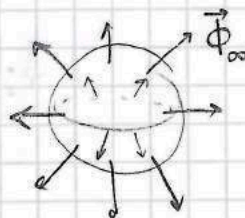
be the field strength for the unbroken  $U(1) \subset SU(2)$ . Show that the corresponding magnetic charge

$$m^{U(1)} := \frac{1}{2\pi} \int_{S_\infty^2} \underline{B}^{U(1)} \cdot d\underline{\sigma} \quad (8.70)$$

is proportional to the degree  $v$  of  $\Phi_\infty$ , with a proportionality factor that you should find.

EXAMPLE:

$$\Phi_\infty = v \hat{r} \cdot \underline{\sigma} \quad (8.71)$$



has  $v=1$ . We can write

$$\Phi_\infty = v e^{-i\alpha} \sigma_3 e^{i\alpha} \quad (8.72)$$

with

$$\alpha = \frac{\theta}{2} (-\sigma_1 \sin\varphi + \sigma_2 \cos\varphi) = \frac{\theta}{2} e^{i\varphi} \sigma_3 \quad (8.73)$$

a gauge transformation parameter which is singular at  $\theta = \pi$ .

(Polar coord's  $(r, \theta, \varphi)$  in  $\mathbb{R}^3$ )

• 't Hooft - Polyakov "hedgehog" ansatz:

$$\phi = \frac{\underline{x} \cdot \underline{\sigma}}{r^2} H(vr) \quad (8.74)$$

$$A = \sigma_a \varepsilon_{aij} \frac{x_i dx_j}{r^2} (1 - K(ar))$$

(up to factors of 2 possibly...)

with

$$\underline{\xi} \equiv vr \rightarrow \infty: \quad H(\underline{\xi}) - \underline{\xi} \rightarrow 0, \quad K(\underline{\xi}) \rightarrow 0 \quad (\text{fast enough}) \quad (8.75)$$

to ensure finite energy, and

↖ Solution looks like a Dirac monopole for  $H=U(1)$  as  $\xi \rightarrow \infty$ !

$$\underline{\xi \rightarrow 0}: \quad H = O(\xi), \quad K-1 = O(\xi) \quad (8.76)$$

to ensure regularity at the centre of the monopole.  
(Smoothness)

• BOGOMOL'NYI (-PRASAD-SOMMERFELD) BOUND: for static fields w/  $A_0 = c$

$$\begin{aligned} E &= \int d^3x \left[ \frac{1}{g_{YM}^2} \text{tr}(\underline{B}^2) + \text{tr}(\underline{D}\phi)^2 + V(\phi) \right] \\ &\geq \int d^3x \left[ \text{tr} \left( \frac{1}{g_{YM}} \underline{B} \mp \underline{D}\phi \right)^2 \pm \frac{2}{g_{YM}} \underline{B} \cdot \underline{D}\phi \right] \\ &\geq \pm \frac{2}{g_{YM}} \int d^3x \text{tr}(\underline{B} \cdot \underline{D}\phi) \\ &= \pm \frac{2}{g_{YM}} \int d^3x \text{tr}(\underline{D} \cdot (\underline{B}\phi)) \end{aligned} \quad (8.77)$$

Bianchi id.  
 $\underline{D} \cdot \underline{B} = 0$

$\text{tr}(\underline{D} \cdot \dots)$   
 $= \nabla \cdot \text{tr}(\dots)$

since tr is gauge invariant

Gauss

$$= \pm \frac{2}{g_{YM}} \int_{S_\infty^2} \text{tr}(\phi_\infty \underline{B}) \cdot d\sigma$$

$$= \pm \frac{4\pi v}{g_{YM}} \int_{S_\infty^2} \underline{B}^{U(1)} \cdot d\sigma = \pm \frac{8\pi v}{g_{YM}} m^{U(1)}$$

So

$$E \geq \frac{8\pi v}{g_{YM}} |m^{U(1)}| \quad \text{BPS BOUND} \quad (8.78)$$

↑ energy
↑ magnetic charge

The bound is saturated ( $E = \frac{8\pi v}{g_{YM}} |m^{U(1)}|$ ) iff for static configurations.

$\lambda \rightarrow 0$  keeping  $v$  fixed

$$\underline{B} = \pm g_{YM} \underline{D}\phi$$

↑ sign( $m^{U(1)}$ )

"BPS LIMIT"  
BPS/BOGOMOL'NYI EQN  
(1st order, easier to solve) (8.79)

